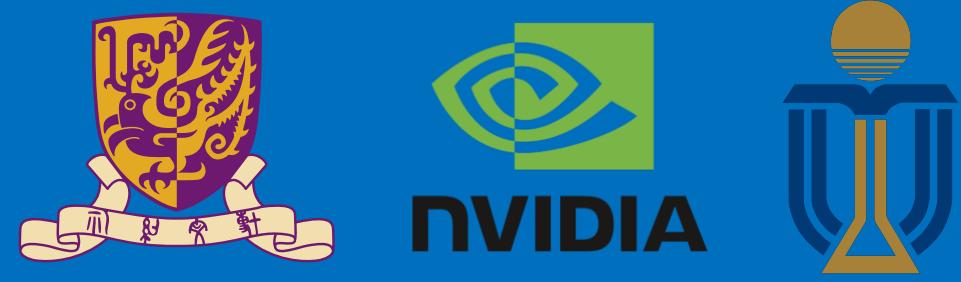


# PHYSICS-INFORMED OPTICAL KERNEL REGRESSION USING COMPLEX-VALUED NEURAL FIELDS



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## Introduction

- Lithography is fundamental to integrated circuit fabrication, necessitating large computation overhead.
- All previous methods regard the lithography system as an image-to-image black box mapping.
- In this paper, we propose a new ML-based paradigm disassembling the rigorous lithographic model into non-parametric mask operations and learned optical kernels containing determinant source, pupil, and lithography information.

Keywords: PINN, NeRF, Lithography

## Background of Lithography

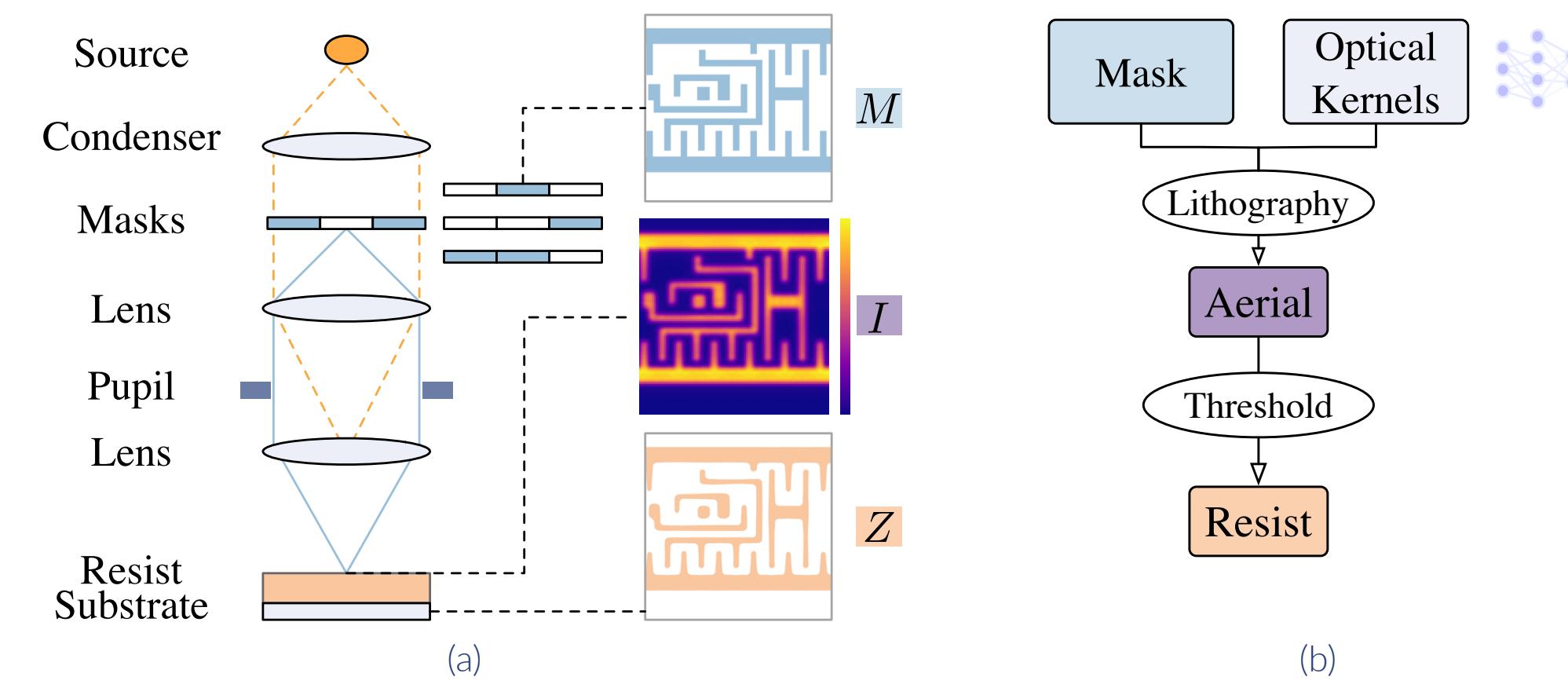


Figure 1. (a) Components of the lithography imaging system: illumination source, lenses, and pupil. (b) Lithography simulation flow using source- and pupil-dependent optical kernels.

## Summary of previous works

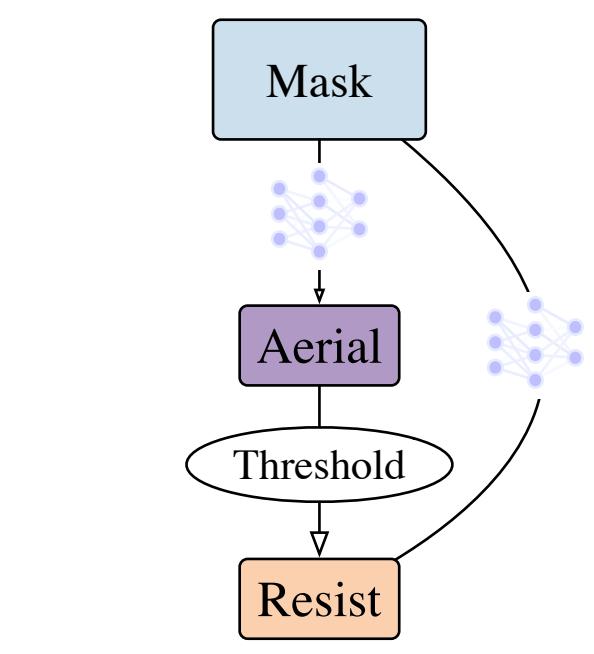


Figure 2. General flow of previous SOTA.

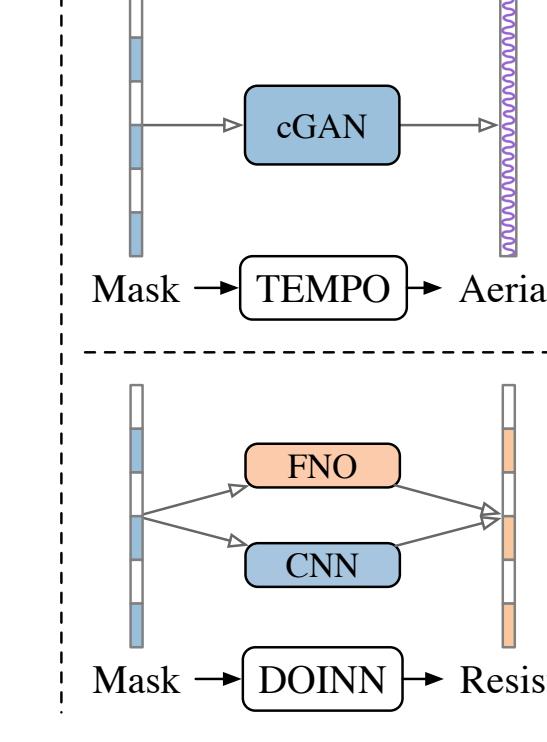


Figure 3. Previous SOTA work on Aerial stage (TEMPO) and Resist stage (DOINN).

- Previous works, two stage: **mask-to-resist**, **mask-to-aerial**
- Modeling the lithography process as a **black box**, utilizing neural networks to fit this black box.

## Drawbacks of previous works

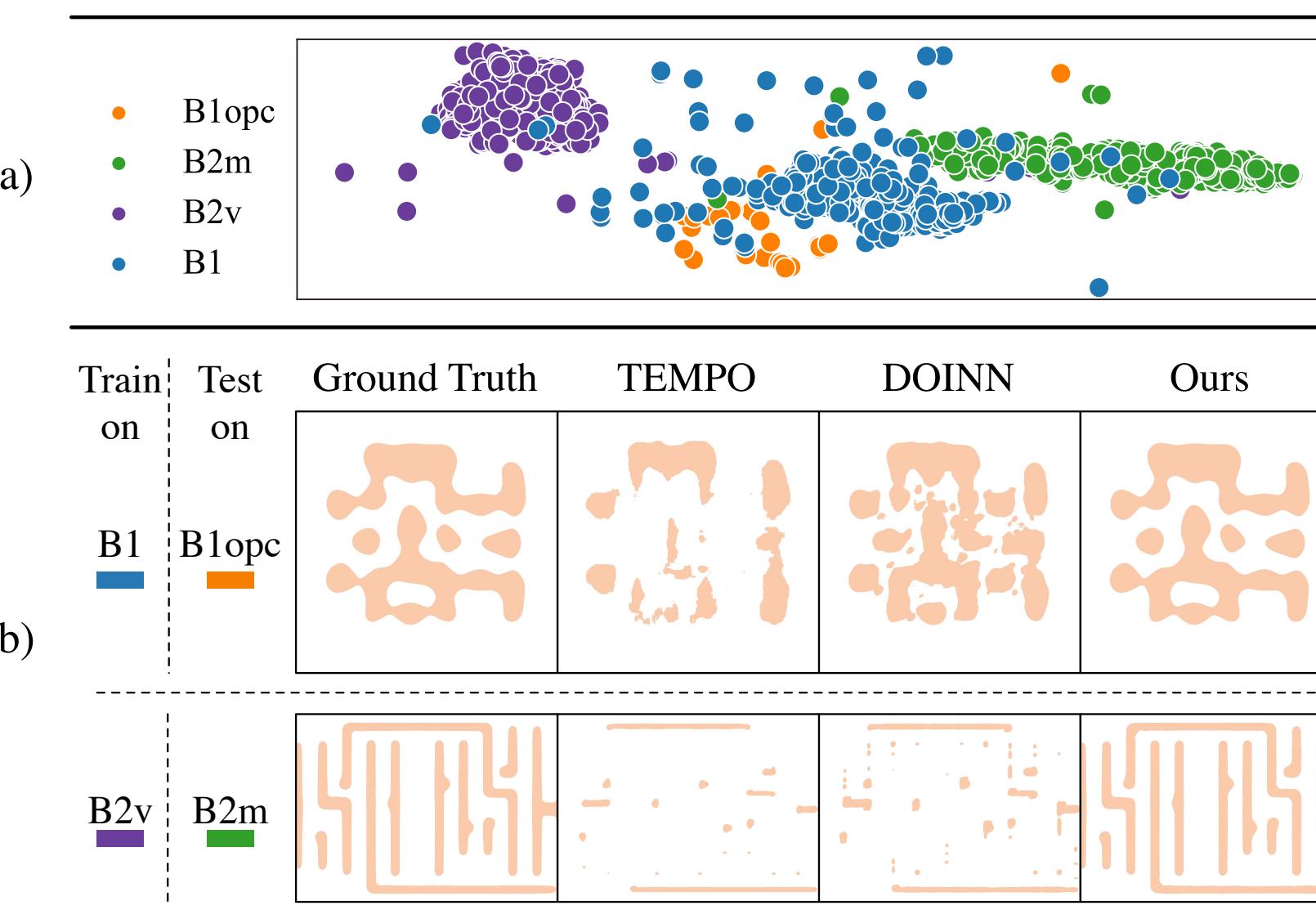


Figure 4. (a) t-SNE distribution of datasets. (b) Comparison of generalization capability on out-of-distribution (OOD) datasets.

**Previous image-learning based**

- ✗ ⚡ Bias on image distribution.
- ✗ ⚡ Large models.
- ✓ ⚡ Fast prediction.

**Industrial lithography simulator.**

- ✓ ⚡ Good generalization capability.
- ✗ ⚡ Computationally expensive.

## Motivation

Instead of learning an image-to-image mapping,  
Would it be Possible to learn the TCC optical kernels?



## Overall flow of Nitho

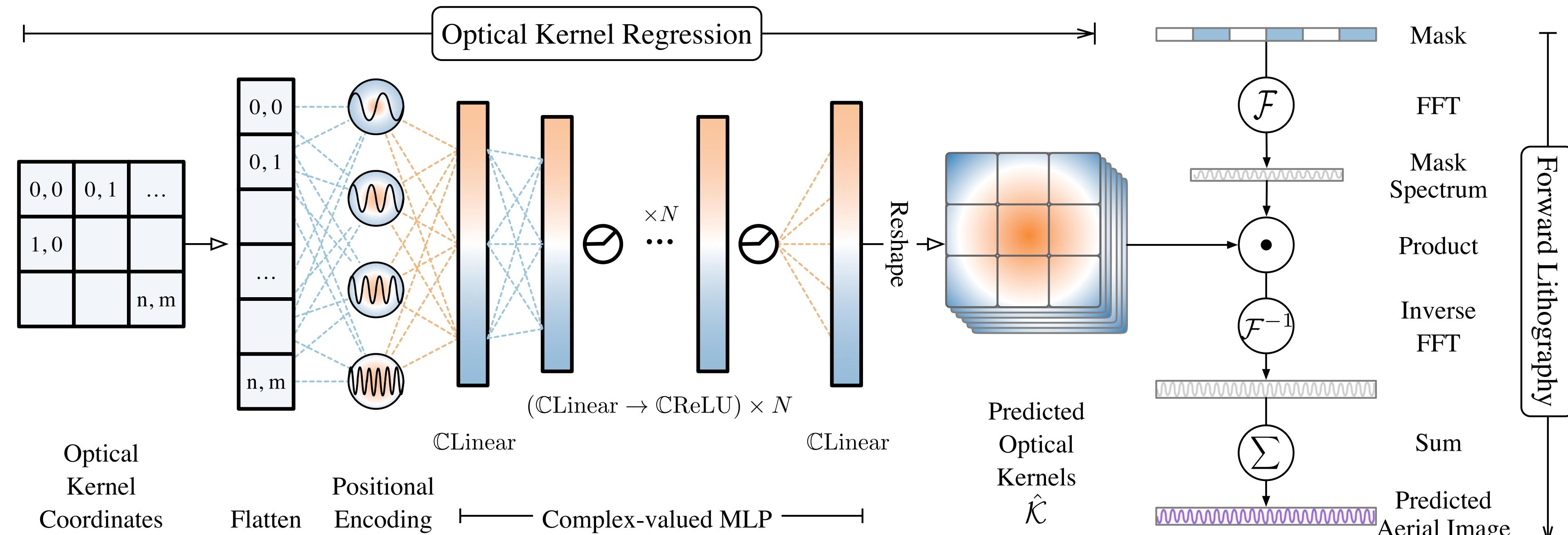


Figure 5. The overall aerial image prediction pipeline of Nitho framework, which separates mask-related linear operations from optical kernel regression using coordinate-based CMLP.

## Hopkins Model & Transmission Cross-Coefficient (TCC)

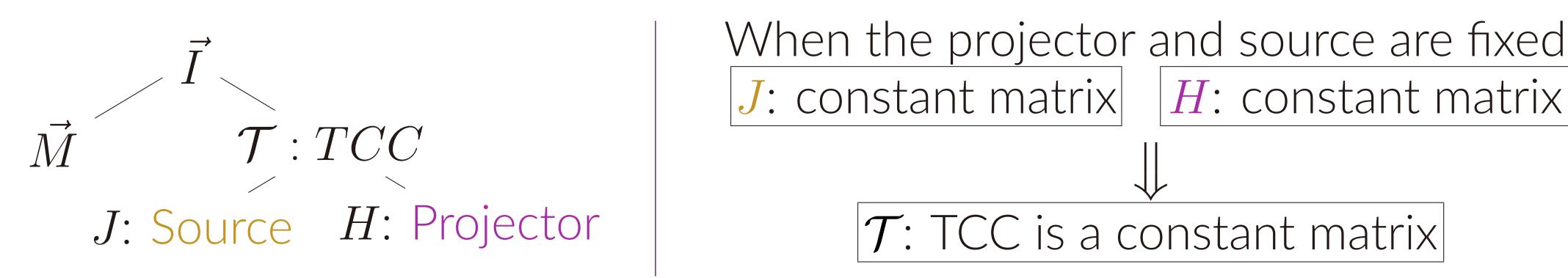
The imaging equation:

$$\mathcal{F}(\vec{I})(f, g) = \iint_{-\infty}^{\infty} \mathcal{T}((f' + f, g' + g), (f', g')) \mathcal{F}(\vec{M})(f' + f, g' + g) \mathcal{F}(\vec{M})^*(f', g') df' dg', \quad (1)$$

where  $\vec{M}$  is the mask,  $(f, g)$  is its frequencies.  $\mathcal{T}$  is TCC given by:

$$\mathcal{T}((f', g'), (f'', g'')) := \iint_{-\infty}^{\infty} \mathcal{F}(J)(f, g) \mathcal{F}(H)(f + f', g + g') \mathcal{F}(H)^*(f + f'', g + g'') df dg, \quad (2)$$

where the weight factor  $J$  solely depends on effective source,  $H$  is projector transfer function.



## The benefits of learning optical kernels

- Get rid of negative influence of layer types & dataset distribution.
- Less training data required & smaller model size.

## The solutions of learning optical kernels

- Design the kernel dimension based on physical “resolution limit”.
- Implement a set of differentiable complex-valued neuron layers.
- A new training paradigm separates the influence of masks and optical kernels

## SOCS w. optical kernels / Kernel Dimensions

$$\begin{aligned} \vec{I} &= \sum_{i=1}^r \alpha_i |\mathcal{F}^{-1}(\mathcal{F}(\mathbf{h}_i) \odot \mathcal{F}(\mathbf{M}))|^2. & m &= (W \times \frac{2\text{NA}}{\lambda}) \times 2 + 1, \\ &\downarrow & n &= (H \times \frac{2\text{NA}}{\lambda}) \times 2 + 1, \\ \vec{I} &= \sum_i |\mathcal{F}^{-1}(\mathcal{K}_i \odot \mathcal{F}(\mathbf{M}))|^2, & \Rightarrow \mathcal{K} &\in \mathbb{C}^{r \times n \times m} \end{aligned}$$

## Computing using complex-valued neural network

**Complex Liner Layer:**  $\vec{W} = \vec{A} + i\vec{B}$  by a complex vector  $\vec{h} = \vec{x} + i\vec{y}$ , where  $\vec{A}$  and  $\vec{B}$  are real matrices and  $\vec{x}$  and  $\vec{y}$  are real vectors. We obtain:

$$\vec{W}\vec{h} = (\vec{A}\vec{x} - \vec{B}\vec{y}) + i(\vec{B}\vec{x} + \vec{A}\vec{y}),$$

**Complex ReLU:** Complex rectified linear unit (CReLU) is applied as:

$$\text{CReLU}(z) = \text{ReLU}(\Re(z)) + i\text{ReLU}(\Im(z)).$$

**CMLP:** The CMLP is further constructed as,

$$\text{CMLP} : \text{CLinear} \rightarrow (\text{CLinear} \rightarrow \text{CReLU}) \times N \dots \rightarrow \text{CLinear}, \quad (3)$$

where  $\times N$  means there are  $N$  hidden blocks ( $\text{CLinear} \rightarrow \text{CReLU}$ ).

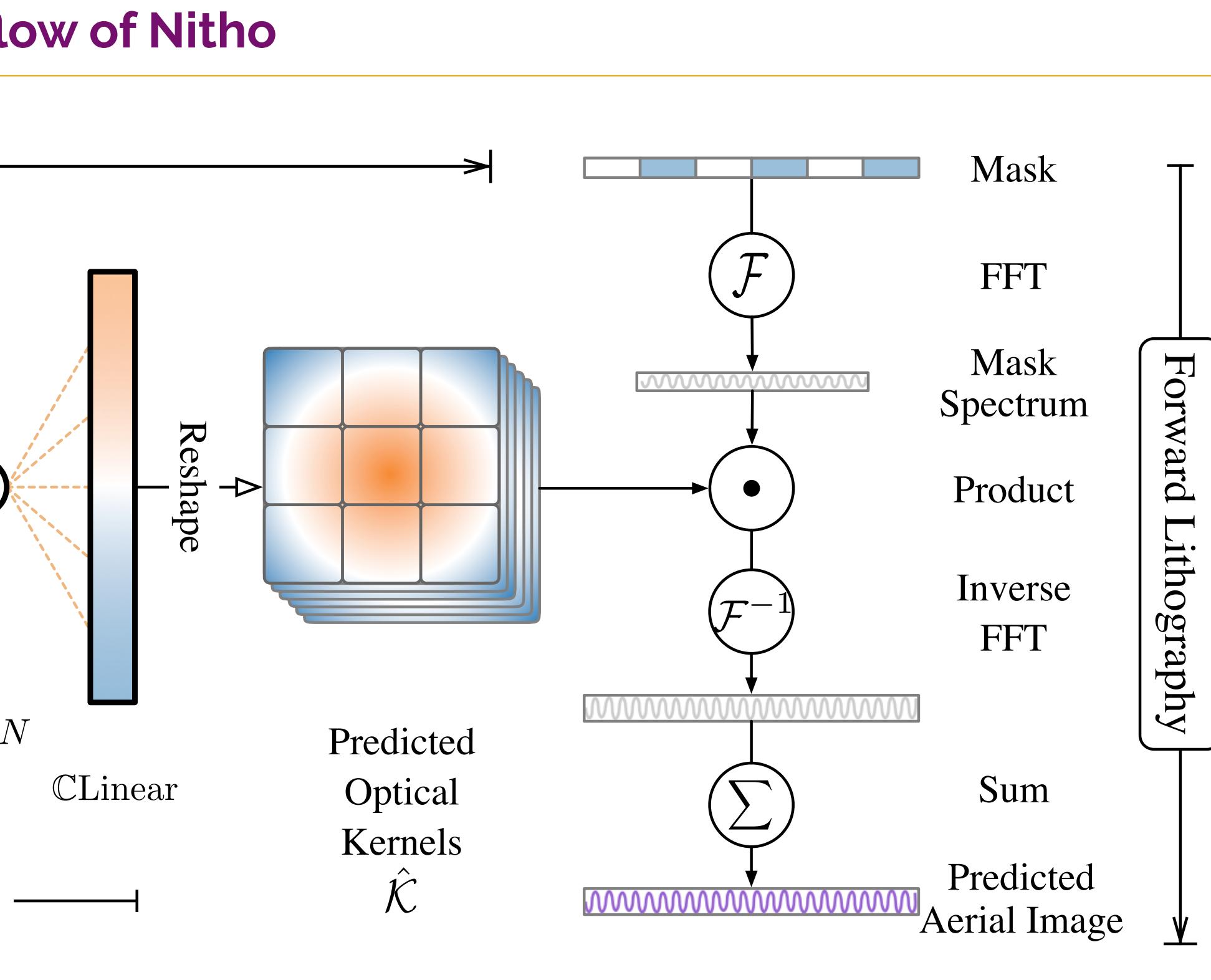
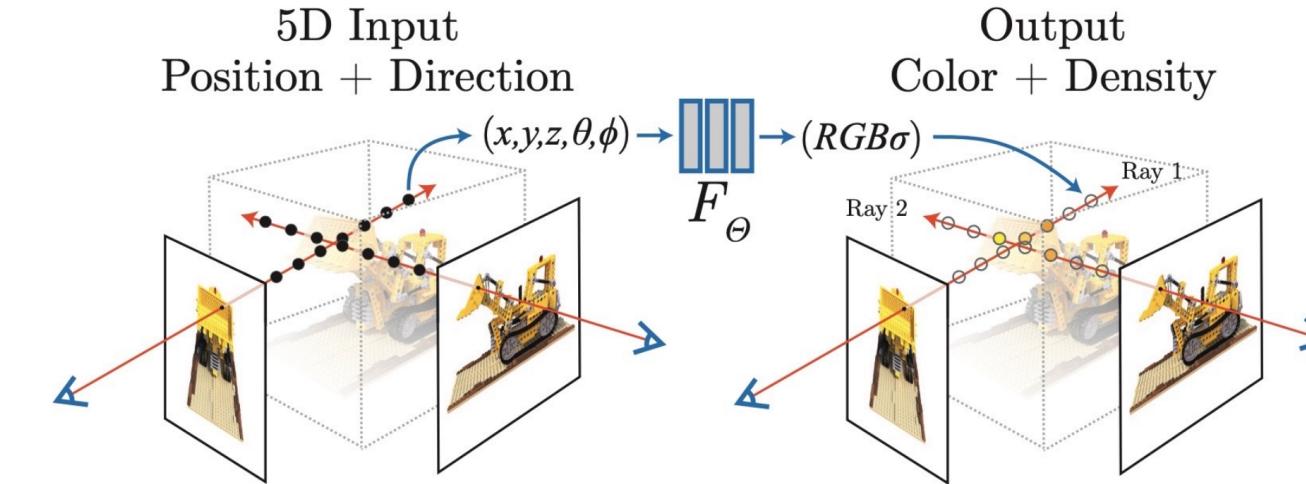
## NeRF

Inputs:

- $x, y, z$ : Target position.
- $\theta, \phi$ : orientation.

Outputs:

- $c = (r, g, b)$ : Color.
- $\sigma$ : Volume density.



## Nitho: NeRF inspired lithography simulator.

The lithography conditions are **location dependent**. TCC is given by:

$$\begin{aligned} \mathcal{T}((f', g'), (f'', g'')) &:= \iint_{-\infty}^{\infty} \mathcal{F}(J)(f, g) \\ \mathcal{F}(H)(f + f', g + g') \mathcal{F}(H)^*(f + f'', g + g'') df dg, \end{aligned} \quad (4)$$

## One more thing: positional encoding

NeRF's positional encoding:

$$\gamma(\vec{v}) = [\sin(2^0 \pi \vec{v}), \cos(2^0 \pi \vec{v}), \dots, \sin(2^{L-1} \pi \vec{v}), \cos(2^{L-1} \pi \vec{v})]^T,$$

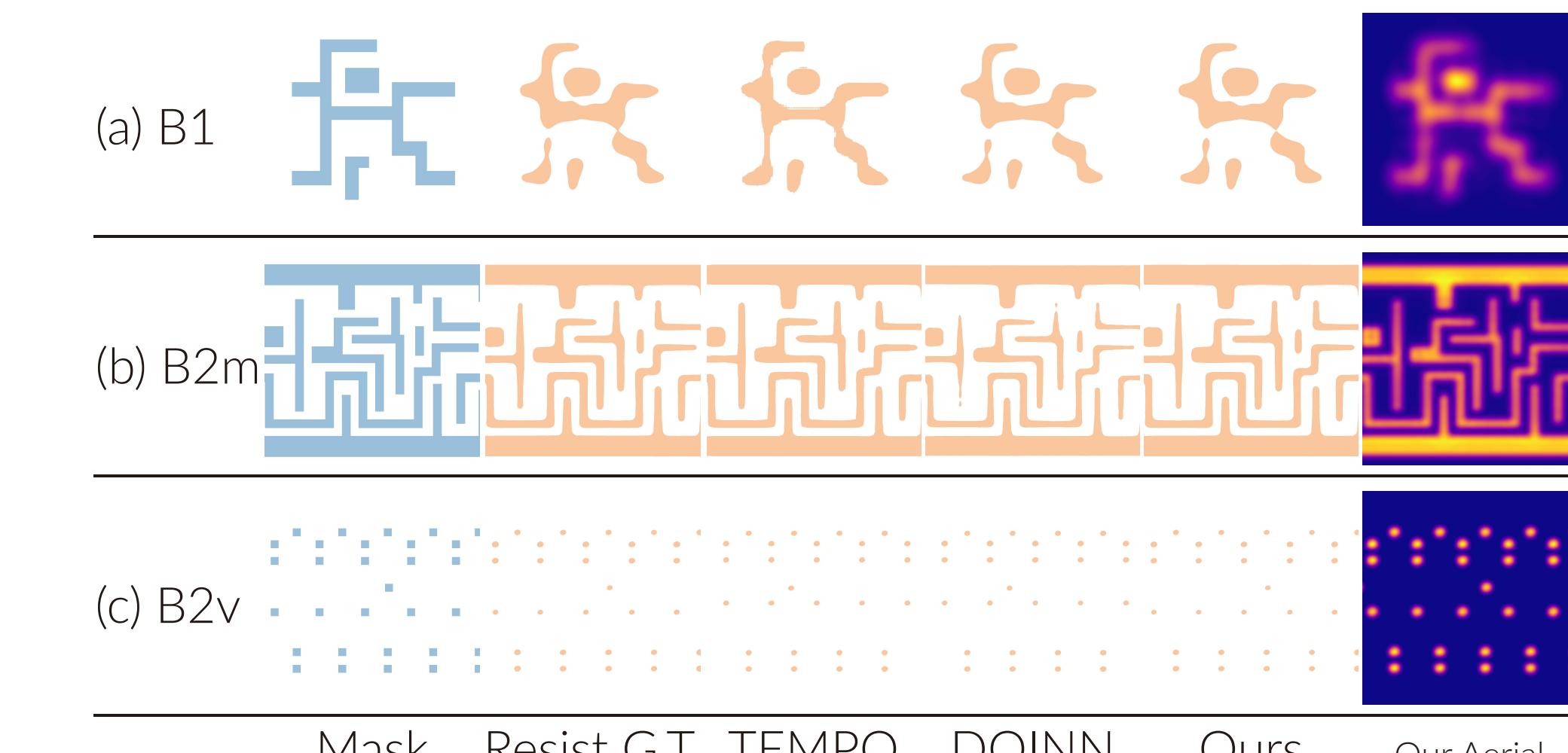
Ours:

$$\gamma(\vec{v}) = [\cos(2\pi \vec{B}v) * (1+j), \sin(2\pi \vec{B}v) * (1+j)]^T,$$

Table 1. Result Comparison with State-of-the-Art.

| Bench     | Aerial Image |       |       |         |       |       | Resist Image |      |       |        |       |       |       |
|-----------|--------------|-------|-------|---------|-------|-------|--------------|------|-------|--------|-------|-------|-------|
|           | TEMPO        |       |       | DOINN   |       |       | Nitho        |      |       | TEMPO* |       |       |       |
|           | MSE          | ME    | PSNR  | MSE     | ME    | PSNR  | MSE          | ME   | PSNR  | mPA    | mIOU  | mPA   | mIOU  |
| B1        | 108.29       | 10.49 | 32.01 | 5.55    | 1.94  | 47.10 | 1.32         | 0.51 | 50.75 | 94.60  | 88.70 | 99.19 | 98.32 |
| B2m       | 1899.04      | 13.96 | 30.77 | 1202.39 | 6.11  | 31.64 | 25.48        | 0.82 | 49.06 | 98.24  | 96.55 | 98.79 | 97.10 |
| B2v       | 6.54         | 3.86  | 42.76 | 2.26    | 2.75  | 46.37 | 2.01         | 0.68 | 48.06 | 99.06  | 93.28 | 99.21 | 98.41 |
| B2m + B2v | 4352.25      | 15.21 | 27.10 | 3114.24 | 12.35 | 29.92 | 33.13        | 0.78 | 47.88 | 98.63  | 95.84 | 98.71 | 96.68 |
| Average   | 1591.53      | 10.88 | 33.16 | 1081.11 | 5.79  | 39.26 | 15.49        | 0.70 | 48.94 | 97.63  | 93.59 | 98.98 | 97.63 |
| Ratio     | 102.77       | 15.55 | 0.68  | 69.81   | 8.27  | 0.80  | 1.00         | 1.00 | 1.00  | 0.98   | 0.94  | 0.99  | 0.98  |
|           | 1.00         | 1.00  | 1.00  |         |       |       |              |      |       |        |       |       |       |

Figure 6. Visualization of the results of Nitho in aerial and resist stage.



## Ablation study on smaller training sets and kernels sizes

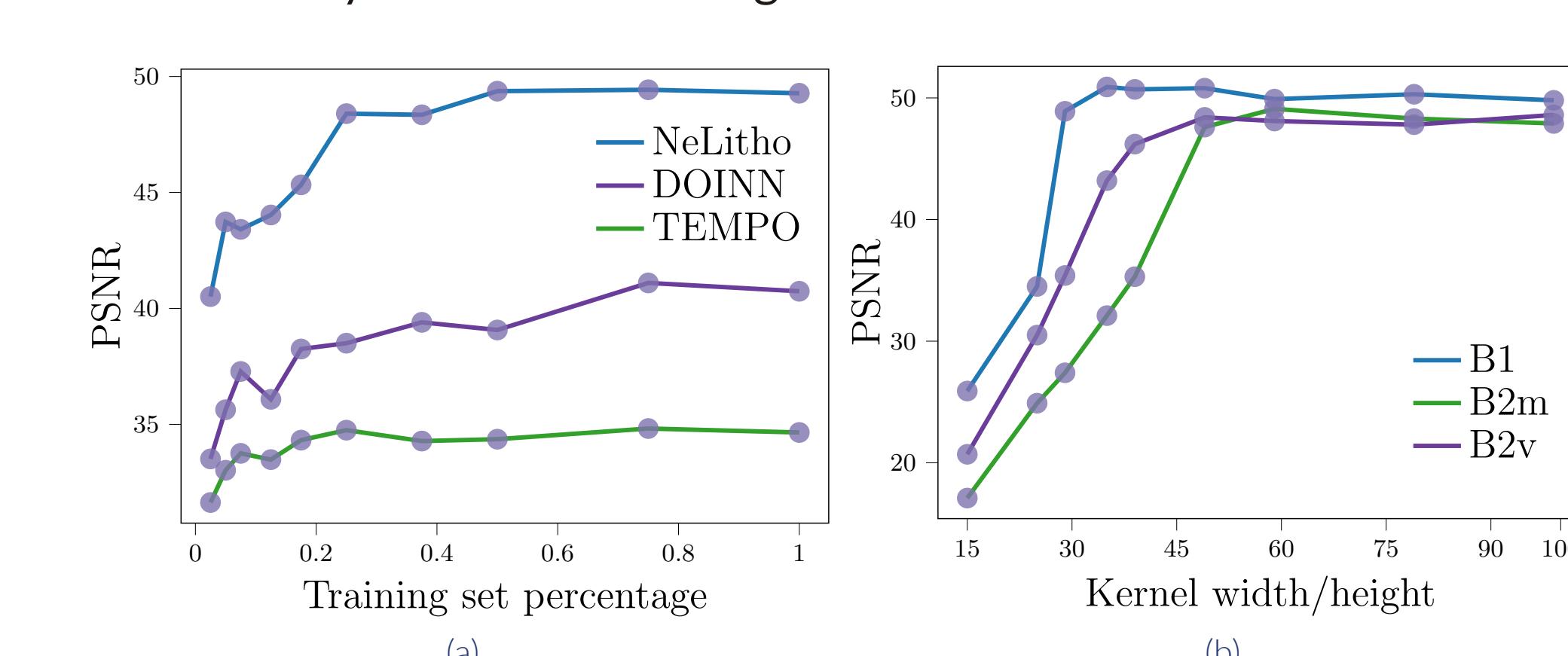


Figure 7. (a) Comparison with SOTA on smaller training sets. (b) Ablation study on kernel size on different datasets.

