

Matroidal Entropy Functions: A Quartet of Theories of Information, Matroid, Design and Coding

Qi Chen ¹

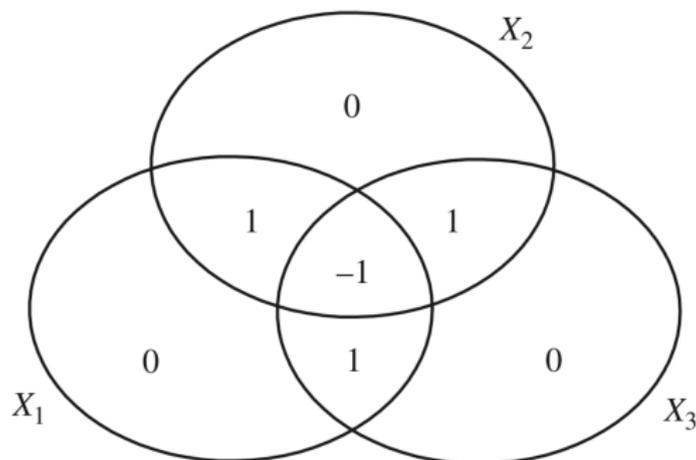
Joint work with Mingquan Cheng ² and Baoming Bai ¹

1. ISN Lab and School of Telecommunication Engineering, Xidian University
2. Guangxi Normal University

The Chinese University of Hong Kong
April 20, 2023

A toy example

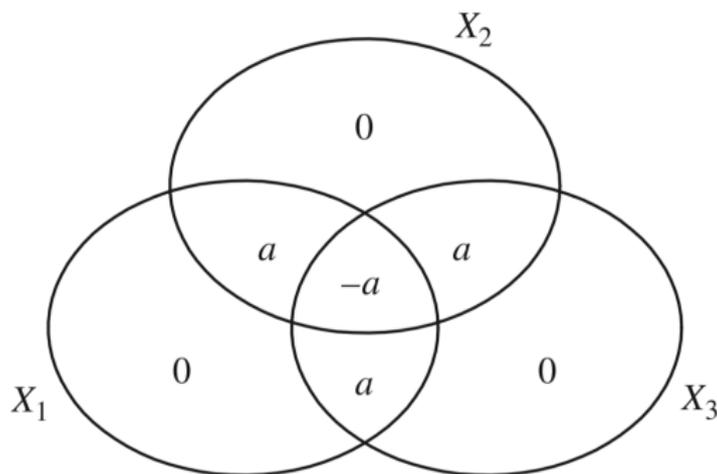
For a discrete random vector (X_1, X_2, X_3) , X_1, X_2 and X_3 are pairwise independent, X_i is a function of X_j, X_k .



$X_1 \perp X_2$ and uniformly distributed on $\{0, 1\}$,
 $X_3 = X_1 + X_2 \pmod{2}$.

A toy example

X_1, X_2 and X_3 are pairwise independent, X_i is a function of X_j, X_k .



where $a = \log v$.

$X_1 \perp X_2$ and uniformly distributed on $\mathbb{Z}_v = \{0, 1, \dots, v-1\}$

$X_3 = X_1 + X_2 \pmod{v}$.¹

¹Z. Zhang and R. W. Yeung, "A non-Shannon type conditional inequality of information quantities," *IEEE Trans. Info. Theory*, vol. 43, no. 11 pp. 1982-1986, Nov. 1997.

Extremal rays of Γ_3 containing matroidal entropy functions induced by matroid $U_{2,3}$

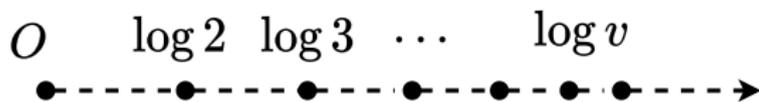


Figure: $R_{U_{2,3}} := \{a \cdot \mathbf{r}_{U_{2,3}} : a \geq 0\}$

Matroidal entropy function

$$\log v \cdot \mathbf{r}_{U_{2,3}}$$

where $v \geq 2$ is an integer and $\mathbf{r}_{U_{2,3}}$ is the rank function of the uniform matroid $U_{2,3}$.

$$\mathbf{r}_{U_{2,3}}(A) = \min\{2, |A|\} \quad \forall A \subseteq N = \{1, 2, 3\}.$$

Entropy functions

Entropy function

Let N be an indexed set. For a random vector $\mathbf{X}_N = (X_i, i \in N)$, the entropy function of \mathbf{X} is a set function $\mathbf{h} : 2^N \rightarrow \mathbb{R}$ defined by

$$\mathbf{h}(A) = H(X_A),$$

for any $A \subseteq N$.

Entropy functions

Entropy function

Let N be an indexed set. For a random vector $\mathbf{X}_N = (X_i, i \in N)$, the entropy function of \mathbf{X} is a set function $\mathbf{h} : 2^N \rightarrow \mathbb{R}$ defined by

$$\mathbf{h}(A) = H(X_A),$$

for any $A \subseteq N$.

Entropy space

$$\mathcal{H}_N \triangleq \mathbb{R}^{2^N}$$

Entropy functions

Entropy function

Let N be an indexed set. For a random vector $\mathbf{X}_N = (X_i, i \in N)$, the entropy function of \mathbf{X} is a set function $\mathbf{h} : 2^N \rightarrow \mathbb{R}$ defined by

$$\mathbf{h}(A) = H(X_A),$$

for any $A \subseteq N$.

Entropy space

$$\mathcal{H}_N \triangleq \mathbb{R}^{2^N}$$

Entropy region: Γ_N^*

$$\Gamma_N^* \triangleq \{\mathbf{h} \in \mathcal{H}_N : \exists \mathbf{X}_N, \mathbf{h} \text{ is the entropy function of some } \mathbf{X}_N.\}$$

When $N = \{1, 2, \dots, n\}$, we write it as Γ_n^* .

polymatroidal region

Shannon-type inequalities

For any $A, B \subseteq N$,

$$H(X_A) \geq 0,$$

$$H(X_A) \leq H(X_B) \quad \text{if } A \subseteq B,$$

$$H(X_A) + H(X_B) \geq H(X_{A \cap B}) + H(X_{A \cup B}).$$

Polymatroidal region: Γ_N

$$\Gamma_N \triangleq \{\mathbf{h} \in \mathcal{H}_N : \mathbf{h}(A) \geq 0,$$

$$\mathbf{h}(A) \leq \mathbf{h}(B), \quad \text{if } A \subseteq B,$$

$$\mathbf{h}(A) + \mathbf{h}(B) \geq \mathbf{h}(A \cap B) + \mathbf{h}(A \cup B).\}$$

Matroid

Definition

A **matroid** M is an ordered pair (N, \mathbf{r}) , where the **ground set** N is a finite set and the **rank function** \mathbf{r} is a set function on 2^N , and they satisfy the conditions that: for any $A, B \subseteq N$,

- ▶ $0 \leq \mathbf{r}(A) \leq |A|$ and $\mathbf{r}(A) \in \mathbb{Z}$.
- ▶ $\mathbf{r}(A) \leq \mathbf{r}(B)$, if $A \subseteq B$,
- ▶ $\mathbf{r}(A) + \mathbf{r}(B) \geq \mathbf{r}(A \cup B) + \mathbf{r}(A \cap B)$.

The value $\mathbf{r}(N)$ is called the **rank** of M .

Matroid

Definition

A **matroid** M is an ordered pair (N, \mathbf{r}) , where the **ground set** N is a finite set and the **rank function** \mathbf{r} is a set function on 2^N , and they satisfy the conditions that: for any $A, B \subseteq N$,

- ▶ $0 \leq \mathbf{r}(A) \leq |A|$ and $\mathbf{r}(A) \in \mathbb{Z}$.
- ▶ $\mathbf{r}(A) \leq \mathbf{r}(B)$, if $A \subseteq B$,
- ▶ $\mathbf{r}(A) + \mathbf{r}(B) \geq \mathbf{r}(A \cup B) + \mathbf{r}(A \cap B)$.

The value $\mathbf{r}(N)$ is called the **rank** of M .

Matroids are special cases of polymatroids

For a polymatroid $\mathbf{h} \in \Gamma_n$, if $\mathbf{h}(A) \in \mathbb{Z}$ and $\mathbf{h}(A) \leq |A|$, then \mathbf{h} is the rank function of a matroid.

Uniform matroid

A uniform matroid $U_{t,n}$ with $0 \leq t \leq n$ is matroid (N, \mathbf{r}) with $|N| = n$ and

$$\mathbf{r}(A) = \min\{t, |A|\} \quad \forall A \subseteq N.$$

When $1 \leq t \leq n - 1$, $U_{t,n}$ is a connected matroid.

Entropy functions on the extreme rays of Γ_N

Theorem

For a matroid $M = (N, \mathbf{r})$, \mathbf{r} is on an extreme ray of Γ_N if and only if it is connected after deleting its loops.²

For a matroid $M = (N, \mathbf{r})$,

- ▶ $C \subseteq N$ is called a circuit of M if for any $e \in C$,
 $\mathbf{r}(C) = \mathbf{r}(C - e) = |C| - 1$,
- ▶ M is called connected if any two elements in N are in a circuit,
- ▶ a single element circuit, or a rank zero element is called a loop of M .

²H. Q. Nguyen, "Semimodular functions and combinatorial geometries,"

Entropy functions on the extreme rays of Γ_N

Theorem

*For a matroid $M = (N, \mathbf{r})$, \mathbf{r} is on an extreme ray of Γ_N if and only if it is connected after deleting its loops.*²

For a matroid $M = (N, \mathbf{r})$,

- ▶ $C \subseteq N$ is called a circuit of M if for any $e \in C$,
 $\mathbf{r}(C) = \mathbf{r}(C - e) = |C| - 1$,
- ▶ M is called connected if any two elements in N are in a circuit,
- ▶ a single element circuit, or a rank zero element is called a loop of M .

Entropy functions on 1-dimensional faces of Γ_N

²H. Q. Nguyen, "Semimodular functions and combinatorial geometries,"
Trans. AMS., vol. 238, pp. 355-383, April 1978.

Matroidal entropy functions

Definition

For matroid M and positive integer $v \geq 2$, we call the entropy function in the form

$$\mathbf{h} = \log v \cdot \mathbf{r}_M$$

matroidal entropy function induced by M with degree v .

Extremal rays of Γ_3 containing matroidal entropy functions induced by matroid $U_{2,3}$

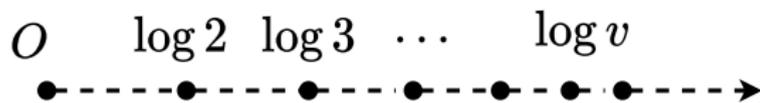


Figure: $R_{U_{2,3}} := \{a \cdot \mathbf{r}_{U_{2,3}} : a \geq 0\}$

Matroidal entropy function

$$\log v \cdot \mathbf{r}_{U_{2,3}}$$

where $v \geq 2$ is an integer and $\mathbf{r}_{U_{2,3}}$ is the rank function of the uniform matroid $U_{2,3}$.

Extrames rays containing $U_{2,3}$ and $U_{2,4}$

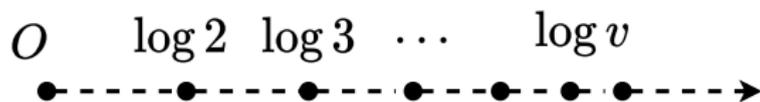


Figure: $R_{U_{2,3}} := \{a \cdot U_{2,3} : a \geq 0\}$

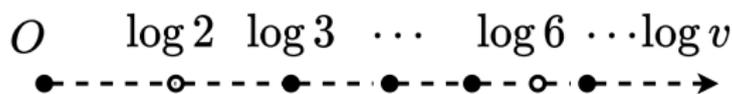
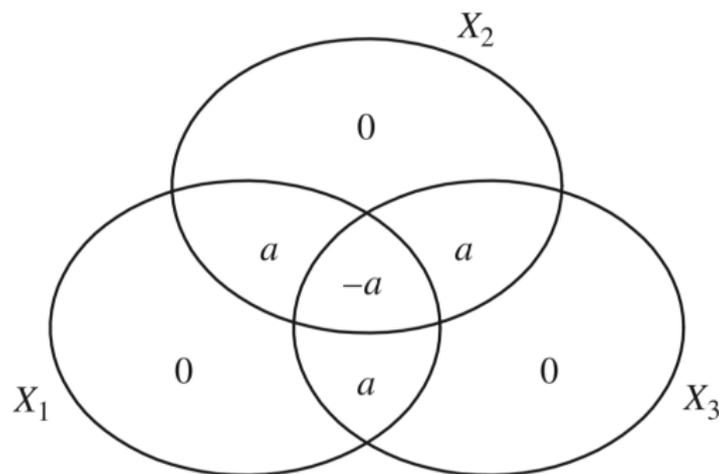


Figure: $R_{U_{2,4}} := \{a \cdot U_{2,4} : a \geq 0\}$

A polymatroid on $R_{U_{2,4}}$ is entropic if and only if $a = \log v$,
 $v \geq 3, v \neq 6$.

The toy example for $U_{2,3}$

X_1, X_2 and X_3 are pairwise independent, X_i is a function of X_j, X_k .



where $a = \log v$.

$X_1 \perp X_2$ and uniformly distributed on $\mathbb{Z}_v = \{0, 1, \dots, v-1\}$

$X_3 = X_1 + X_2 \pmod{v}$.

Latin square: additive group

0	1	2	3	4
1	2	3	4	0
2	3	4	0	1
3	4	0	1	2
4	0	1	2	3

The multiplication table of the additive group $\langle \mathbb{Z}_V, + \rangle$

Latin square: quasigroup

0	1	2	3	4
1	0	3	4	2
2	4	0	1	3
3	2	4	0	1
4	3	2	2	0

If X_1 is uniformly distributed on rows and X_2 is uniform distributed on columns, then X_3 is uniformly distributed on the symbols

A bit more generalization

How to construct X_1, X_2, X_3, X_4 such that

- ▶ $X_i \perp X_j$ for each $1 \leq i < j \leq 4$
- ▶ X_k is a function of X_i and X_j for any $1 \leq i < j \leq 4$ and $k \in \{1, 2, 3, 4\} \setminus \{i, j\}$

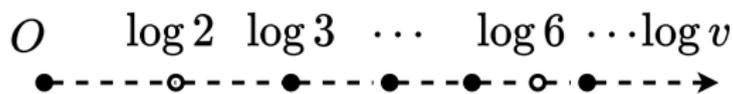


Figure: $R_{U_{2,4}} := \{a \cdot U_{2,4} : a \geq 0\}$

Mutually orthogonal latin squares

$$A := \begin{bmatrix} A & K & Q & J \\ Q & J & A & K \\ J & Q & K & A \\ K & A & J & Q \end{bmatrix}, \quad B := \begin{bmatrix} \spadesuit & \heartsuit & \diamondsuit & \clubsuit \\ \clubsuit & \diamondsuit & \heartsuit & \spadesuit \\ \heartsuit & \spadesuit & \clubsuit & \diamondsuit \\ \diamondsuit & \clubsuit & \spadesuit & \heartsuit \end{bmatrix}$$

X_1, X_2, X_3 and X_4 are uniformly distributed on the rows, columns, symbols of the first square and symbols of the second square, respectively.

Mutually orthogonal latin squares

$$A := \begin{bmatrix} A & K & Q & J \\ Q & J & A & K \\ J & Q & K & A \\ K & A & J & Q \end{bmatrix}, \quad B := \begin{bmatrix} \spadesuit & \heartsuit & \diamondsuit & \clubsuit \\ \clubsuit & \diamondsuit & \heartsuit & \spadesuit \\ \heartsuit & \spadesuit & \clubsuit & \diamondsuit \\ \diamondsuit & \clubsuit & \spadesuit & \heartsuit \end{bmatrix}$$

X_1, X_2, X_3 and X_4 are uniformly distributed on the rows, columns, symbols of the first square and symbols of the second square, respectively.

For this case, $v \neq 2, 6$

- ▶ $v \neq 2$: trivial
- ▶ $v \neq 6$: Euler's 36 officer problem

Characterizing matroidal entropy functions via variable strength orthogonal array (VOA)

Theorem

A random vector $\mathbf{X} = (X_i : i \in N)$ characterizes matroidal entropy function $\log v \cdot \mathbf{r}_M$ for a connected matroid with rank $\mathbf{r}(N) \geq 2$ if and only if random variable $Y = \mathbf{X}$ is uniformly distributed on the rows of a $\text{VOA}(M, v)$.³

³Q. Chen, M. Cheng and B. Bai, "Matroidal entropy functions: a quartet of theories of information, matroid, design and coding," *Entropy*, vol. 23:3, 1-11, 2021.

Characterizing matroidal entropy functions via variable strength orthogonal array (VOA)

Theorem

A random vector $\mathbf{X} = (X_i : i \in N)$ characterizes matroidal entropy function $\log v \cdot \mathbf{r}_M$ for a connected matroid with rank $\mathbf{r}(N) \geq 2$ if and only if random variable $Y = \mathbf{X}$ is uniformly distributed on the rows of a $\text{VOA}(M, v)$.³

Corollary

For a connected matroid $M = (N, \mathbf{r}_M)$ with rank $\mathbf{r}(N) \geq 2$, if the polymatroid

$$a \cdot \mathbf{r}_M$$

with $a > 0$ is entropic, then $a = \log v$ for some integer $v \geq 2$.

³Q. Chen, M. Cheng and B. Bai, "Matroidal entropy functions: a quartet of theories of information, matroid, design and coding," *Entropy*, vol. 23:3, 1-11, 2021.

Probabilistically characteristic set of a matroid

For a matroid M , we call the set χ_M of all $v \geq 2$ such that $\mathbf{h} = \log v \cdot M$ is entropic the **probabilistically (p-)characteristic set** of M .

Probabilistically characteristic set of a matroid

For a matroid M , we call the set χ_M of all $v \geq 2$ such that $\mathbf{h} = \log v \cdot M$ is entropic the **probabilistically (p-)characteristic** set of M .

$$\chi_{U_{2,3}} = \{v \in \mathbb{Z} : v \geq 2\}, \quad \chi_{U_{2,4}} = \{v \in \mathbb{Z} : v \geq 3, v \neq 6\}$$

Orthogonal array

Example

0	1	2
1	2	0
2	0	1

0	1	2
2	0	1
1	2	0

```
0 0 0 0
0 1 1 1
0 2 2 2
1 0 1 2
1 1 2 0
1 2 0 1
2 0 2 1
2 1 0 2
2 2 1 0
```

is an $OA(2, 4, 3)$ corresponding to the MOLS.

Orthogonal array

Definition

A $\lambda v^t \times n$ array T with entries from \mathbb{Z}_v is called an **orthogonal array** of **strength** t , **factor** n , **level** v and **index** λ if any $\lambda v^t \times t$ subarray of T contains each t -tuple in \mathbb{Z}_v^t exactly λ times as a row. We call T an $\text{OA}(\lambda \times v^t; t, n, v)$.

Orthogonal array

Definition

A $\lambda v^t \times n$ array T with entries from \mathbb{Z}_v is called an **orthogonal array** of **strength** t , **factor** n , **level** v and **index** λ if any $\lambda v^t \times t$ subarray of T contains each t -tuple in \mathbb{Z}_v^t exactly λ times as a row. We call T an $\text{OA}(\lambda \times v^t; t, n, v)$.

When $\lambda = 1$, we say such orthogonal array has *index unity* and call it an $\text{OA}(t, n, v)$ for short.

Variable strength orthogonal array (VOA)

Definition

Given a matroid $M = (N, \mathbf{r})$ with $\mathbf{r}(N) \geq 2$,

- ▶ a $v^{\mathbf{r}(N)} \times n$ array T
- ▶ with columns indexed by N ,
- ▶ entries from \mathbb{Z}_v ,

is called a **variable strength orthogonal array (VOA)** induced by M with **level** v if for any $A \subseteq N$, $v^{\mathbf{r}(N)} \times |A|$ subarray of T consisting of columns indexed by A satisfy the following condition:

- ▶ each row of this subarray occurs $v^{\mathbf{r}(N) - \mathbf{r}(A)}$ times.

We also call such T a $\text{VOA}(M, v)$.

Variable strength orthogonal array(VOA)

Definition

Given a matroid $M = (N, \mathbf{r})$ with $\mathbf{r}(N) \geq 2$,

- ▶ a $v^{\mathbf{r}(N)} \times n$ array T
- ▶ with columns indexed by N ,
- ▶ entries from \mathbb{Z}_v ,

is called a **variable strength orthogonal array(VOA)** induced by M with **level** v if for any $A \subseteq N$, $v^{\mathbf{r}(N)} \times |A|$ subarray of T consisting of columns indexed by A satisfy the following condition:

- ▶ each row of this subarray occurs $v^{\mathbf{r}(N) - \mathbf{r}(A)}$ times.

We also call such T a $\text{VOA}(M, v)$.

For $U_{t,n}$, $\text{VOA}(U_{t,n}, v) = \text{OA}(t, n, v)$

Variable strength orthogonal array

Example

Let $M_1 = (N, r_1)$ be a matroid with $N = \{1, 2, 3, 4, 5\}$ and rank function

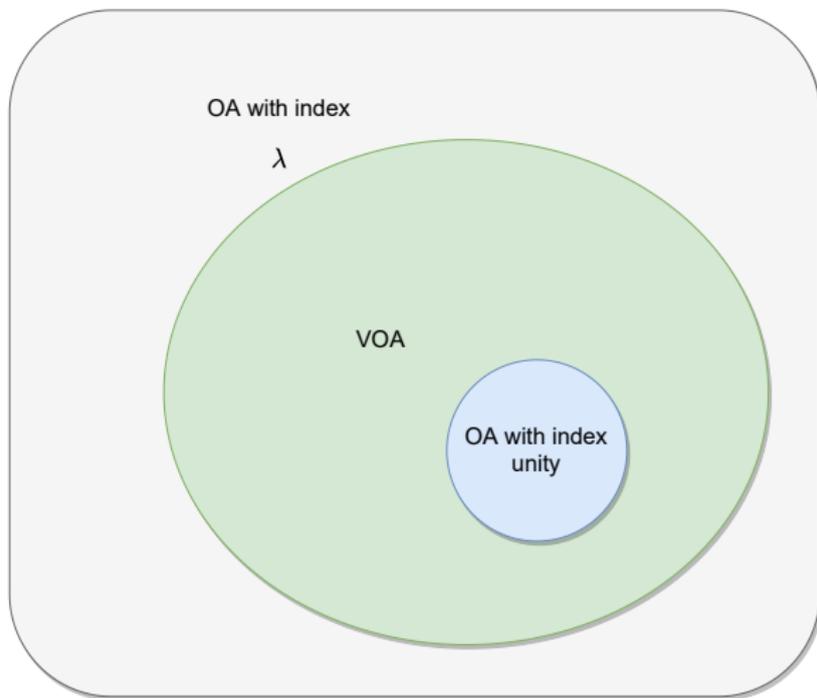
$$r_1(A) = \begin{cases} |A| & |A| \leq 2 \\ 2 & A \in \{\{1, 2, 3\}, \{3, 4, 5\}\} \\ 3 & \text{o.w.} \end{cases}$$

Then

0	0	0	0	0
0	1	1	0	1
1	0	1	0	1
1	1	0	0	0
0	0	0	1	1
0	1	1	1	0
1	0	1	1	0
1	1	0	1	1

is a $\text{VOA}(M_1, 2)$.

Relations between OA and VOA



Relations to coding theory

For a matroid M over a field $GF(q)$, that is, M is the vector matroid of a matrix \hat{M} over $GF(q)$, the set of rows of a $\text{VOA}(M, q)$ is the code book of the (n, k, q) linear code generated by \hat{M} , where $k = \mathbf{r}_M(N)$.

Relations to coding theory

For a matroid M over a field $GF(q)$, that is, M is the vector matroid of a matrix \hat{M} over $GF(q)$, the set of rows of a $\text{VOA}(M, q)$ is the code book of the (n, k, q) linear code generated by \hat{M} , where $k = r_M(N)$.

Example

Let $M_1 = (N, r_1)$ be a matroid with $N = \{1, 2, 3, 4, 5\}$ and rank function

$$r_1(A) = \begin{cases} |A| & |A| \leq 2 \\ 2 & A \in \{\{1, 2, 3\}, \{3, 4, 5\}\} \\ 3 & \text{o.w.} \end{cases}$$

is the vector matroid of the matrix

$$\hat{M}_1 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

Relations to coding theory

Example

For matrix

$$\hat{M}_1 = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

the mapping $\mathbf{x} \mapsto \mathbf{x}M$ maps the tuples in \mathbb{Z}_2^3 to the set of rows of $\text{VOA}(M_1, 2)$ below.

$$\begin{array}{ccccc} 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \end{array}$$

It is a $(5, 3, 2)$ linear code.

Almost affine code

Definition

For a set of v symbols, say \mathbb{Z}_v , $\mathcal{C} \subseteq \mathbb{Z}_v^N$ is called an **almost affine code** if

$$\mathbf{r}(A) := \log_v |\mathcal{C}_A| \quad (1)$$

is an integer for all $A \subseteq N$.⁴

⁴J. Simonis and A. Ashikhmin, "Almost affine codes," *Designs, Codes Cryptogr.*, vol. 14, pp. 179–797, 1998.

Almost affine code

Definition

For a set of v symbols, say \mathbb{Z}_v , $\mathcal{C} \subseteq \mathbb{Z}_v^N$ is called an **almost affine code** if

$$\mathbf{r}(A) := \log_v |\mathcal{C}_A| \quad (1)$$

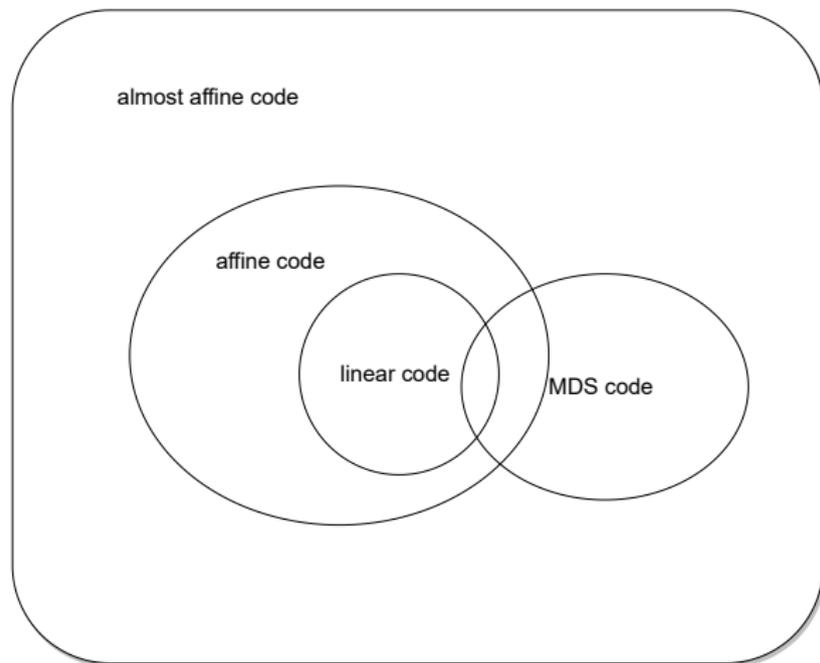
is an integer for all $A \subseteq N$.⁴

Almost affine code induced by matroid

- ▶ For any almost affine code \mathcal{C} , (N, \mathbf{r}) forms a matroid M , where the rank function \mathbf{r} is defined in (1). We call such almost affine code an (M, v) (almost affine) code.
- ▶ For an (M, v) code, if M is a uniform matroid $U_{t,n}$, it coincides with a (n, t, v) maximum distance separable (MDS) code.

⁴J. Simonis and A. Ashikhmin, "Almost affine codes," *Designs, Codes Cryptogr.*, vol. 14, pp. 179–797, 1998.

Almost affine code



(7, 4) Hamming code is a characterization of the dual matroid of Fano matroid

Parity check matrix of (7, 4)
Hamming code.

$$\begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

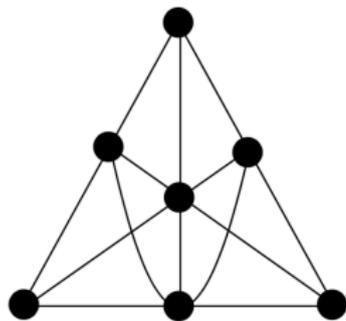
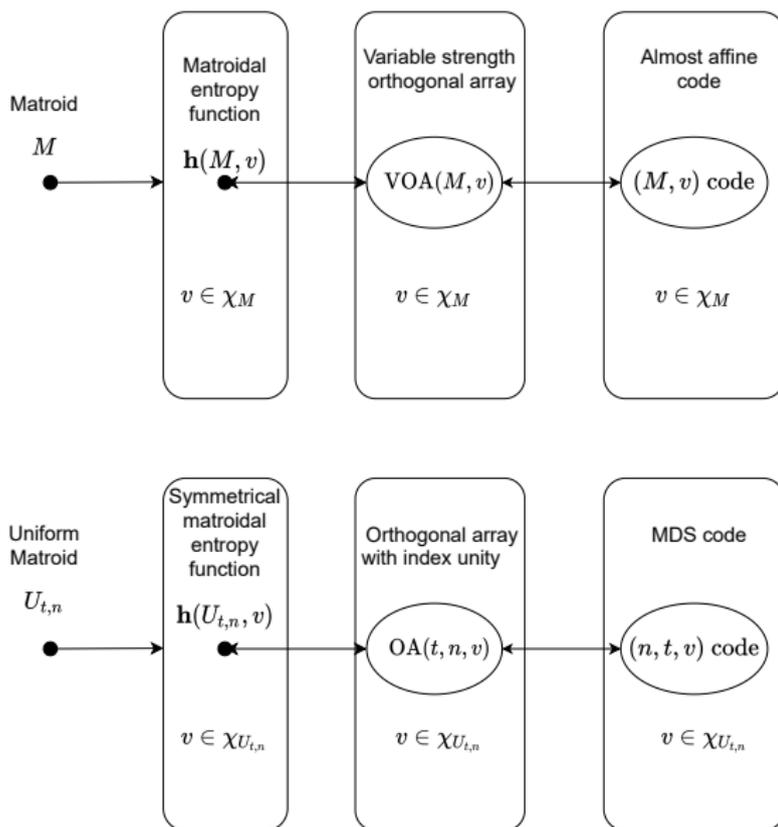


Figure: Fano matroid

Correspondences among four fields



Some applications

- ▶ E. F. Brickell.; D. M. Davenport, “On the classification of ideal [secret sharing](#) schemes,” *J. Cryptol.* vol. 4, 123-134, 1991.
- ▶ R. Dougherty, C. Freiling and K Zeger, “Networks, matroids, and non-Shannon information inequalities,” *IEEE Trans. Inf. Theory* vol. 53, pp. 1949-1969, 2007. ([network coding](#))
- ▶ S. El Rouayheb, A. Sprintson and C. Georghiades, “On the [index coding](#) problem and its relation to network coding and matroid theory”, *IEEE Trans. Inf. Theory* vol. 56, no.7 pp. 3187-3195, 2010.
- ▶ T. Westerbäck, R. Freij-Hollanti, T. Ernvall and C. Hollanti, “On the combinatorics of [locally repairable codes](#) via matroid theory”, *IEEE Trans. Inf. Theory* vol. 62, no.10 pp. 5296-5315, 2016.

An application to network coding

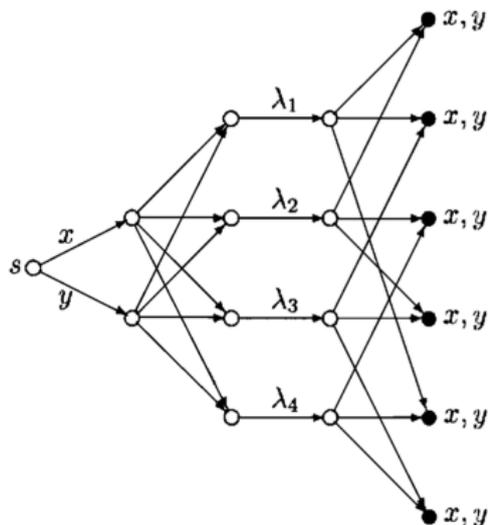


Figure: $\lambda_1 = x, \lambda_2 = y, \lambda_3 = L_1(x, y), \lambda_4 = L_2(x, y)$, where L_1, L_2 are MOLSSs. Thus, $\{\lambda_1, \lambda_2, \lambda_3, \lambda_4\}$ forms $\text{VOA}(U_{2,4}, v)$.

Determine χ_M of a matroid via VOA operations of the corresponding matroid operation

- ▶ Q. Chen, M. Cheng, and B. Bai, “Matroidal entropy functions: constructions, characterizations and representations,” in IEEE Int.Symp. Info. Theory, Espoo, Finland June 2022.
- ▶ Q. Chen, M. Cheng, and B. Bai, “Matroidal entropy functions: constructions, characterizations and representations,” in preparing for submitting to *IEEE, Trans. Inf. Theory*

Matroid operations

Unitary matroid operations

- ▶ deletion
- ▶ contraction
- ▶ minor

Binary matroid operations

- ▶ series connection
- ▶ parallel connection
- ▶ 2-sum

Matroid operations: deletion

Definition (Deletion)

Given a matroid $M = (N, \mathbf{r})$ and $S \subseteq N$, the matroid $M \setminus S = (N', \mathbf{r}')$ with $N' = N \setminus S$ and

$$\mathbf{r}'(A) = \mathbf{r}(A), \quad \forall A \subseteq N'$$

is called a matroid of M **deleted** by S or the **restriction** of M on N' .

VOA operations: deletion

For $S \subseteq N$, let $\mathbf{T} \setminus S$ denote the array whose rows are exactly those of $\mathbf{T}(N')$ with each occurring once, where $N' = N \setminus S$.

$\mathbf{T} : \text{VOA}(U_{3,4}, 2)$

0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1

$\mathbf{T} \setminus \{3, 4\} : \text{VOA}(U_{2,2}, 2)$

0	0
0	1
1	0
1	1

Note that

$$U_{2,2} \simeq U_{3,4} \setminus \{3, 4\}.$$

VOA operations:deletions

Proposition

For a VOA (M, ν) \mathbf{T} and $S \subseteq N$, $\mathbf{T} \setminus S$ is a VOA $(M \setminus S, \nu)$.

Matroid operations: contractions

Definition (Contraction)

Given a matroid $M = (N, \mathbf{r})$ and $S \subseteq N$, the matroid $M/S = (N', \mathbf{r}')$ with $N' = N \setminus S$ and

$$\mathbf{r}'(A) = \mathbf{r}(A \cup S) - \mathbf{r}(S), \quad \forall A \subseteq N'$$

is called the **contraction** of S from M .

VOA operations: contraction

For a $\text{VOA}(M, \nu)$ \mathbf{T} and $S \subseteq N$, let \mathbf{a} be a row of $\mathbf{T}(S)$. We denote by $\mathbf{T}_{|S:\mathbf{a}}$ the array whose rows are $\mathbf{c}(N \setminus S)$ with \mathbf{c} the rows of \mathbf{T} and $\mathbf{c}(S) = \mathbf{a}$.

$\mathbf{T} : \text{VOA}(U_{3,4}, 2)$

0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1

$\mathbf{T}_{|\{4\}:0} : \text{VOA}(U_{2,3}, 2)$

0	0	0
0	1	1
1	0	1
1	1	0

Note that

$$U_{2,3} \simeq U_{3,4}/\{4\}.$$

VOA operations: contractions

Proposition

For a VOA (M, ν) \mathbf{T} and $S \subseteq N$, $\mathbf{T}|_{S:\mathbf{a}}$ is a VOA $(M/S, \nu)$ where \mathbf{a} is any row of $\mathbf{T}(S)$.

Matroid operations: minors

Definition (Minor)

For a sequence of disjoint $S_1, S_2, \dots, S_k \subseteq N$, M being deleted or contracted by S_i , the result can be written in the form of $M \setminus S/T$, where S is the union of the deleted S_i and T is the union of the contracted S_j . Such $M \setminus S/T$ is called a **minor** of M .

Matroid operations: minors

Definition (Minor)

For a sequence of disjoint $S_1, S_2, \dots, S_k \subseteq N$, M being deleted or contracted by S_i , the result can be written in the form of $M \setminus S/T$, where S is the union of the deleted S_i and T is the union of the contracted S_j . Such $M \setminus S/T$ is called a **minor** of M .

Theorem

Let M be a matroid and M' be its minor. Then $\chi_M \subseteq \chi_{M'}$.

Proof sketch.

If $\text{VOA}(M, v)$ is constructible, so is $\text{VOA}(M', v)$. □

Matroid operations

Unitary matroid operations

- ▶ deletion
- ▶ contraction
- ▶ minor

Binary matroid operations

- ▶ series connection
- ▶ parallel connection
- ▶ 2-sum

Matroid operations: series and parallel connections

Definition (Series and parallel connections)

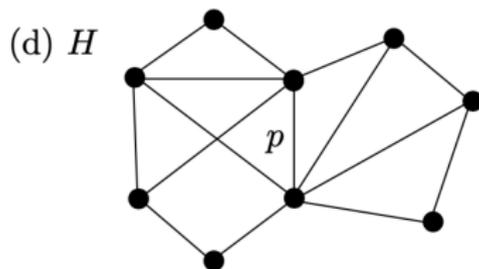
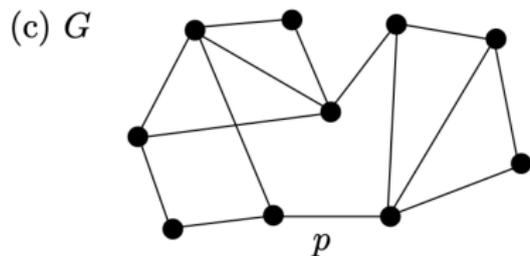
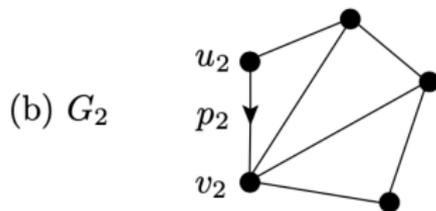
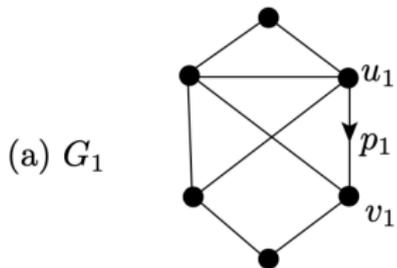
For two matroids $M_1 = (N_1, \mathbf{r}_1)$ and $M_2 = (N_2, \mathbf{r}_2)$ with $p_i \in N_i$, p_i neither loops nor coloops, $i = 1, 2$, and any $p \notin N_1 \cup N_2$ the **series connection** $S((M_1; p_1), (M_2; p_2))$ of M_1 and M_2 with respect to **base points** p_1 and p_2 is a matroid with ground set $N \triangleq (N_1 \setminus p_1) \cup (N_2 \setminus p_2) \cup p$ and family of circuits

$$\begin{aligned} \mathcal{C}_S = & \mathcal{C}(M_1 \setminus p_1) \cup \mathcal{C}(M_2 \setminus p_2) \\ & \cup \{(C_1 - p_1) \cup (C_2 - p_2) \cup p : C_i \in \mathcal{C}(M_i), i = 1, 2\} \quad (2) \end{aligned}$$

and the **parallel connection** $P((M_1; p_1), (M_2; p_2))$ of M_1 and M_2 with respect to **base points** p_1 and p_2 is a matroid with ground set N and family of circuits

$$\begin{aligned} \mathcal{C}_P = & \mathcal{C}(M_1 \setminus p_1) \cup \mathcal{C}(M_2 \setminus p_2) \cup \{(C_1 - p_1) \cup p : C_1 \in \mathcal{C}(M_1)\} \\ & \cup \{(C_2 - p_2) \cup p : C_2 \in \mathcal{C}(M_2)\} \quad (3) \end{aligned}$$

Matroid operations: series and parallel connections



VOA operations: series connections

Let

- ▶ \mathbf{T}_1 be a $\text{VOA}(M_1, \nu)$ with $M_1 = (N_1, \mathbf{r}_1)$,
- ▶ \mathbf{T}_2 be a $\text{VOA}(M_2, \nu)$ with $M_2 = (N_2, \mathbf{r}_2)$,
- ▶ ν an integer and
- ▶ \mathbf{U} be any $\text{VOA}(U_{2,3}, \nu)$.

We construct a $\nu^{r_S} \times (|N_1| + |N_2| - 1)$ array \mathbf{T} with columns indexed by $N = (N_1 \setminus p_1) \cup (N_2 \setminus p_2) \cup p$ according to the following rule, where $r_S = \mathbf{r}_1(N_1) + \mathbf{r}_2(N_2)$.

- ▶ For any row \mathbf{a}_1 of \mathbf{T}_1 and \mathbf{a}_2 of \mathbf{T}_2 , we construct a row \mathbf{b} of \mathbf{T} such that
- ▶ $\mathbf{b}(N_1 \setminus p_1) = \mathbf{a}_1(N_1 \setminus p_1)$, $\mathbf{b}(N_2 \setminus p_2) = \mathbf{a}_2(N_2 \setminus p_2)$ and $(\mathbf{a}_1(p_1), \mathbf{a}_2(p_2), \mathbf{b}(p))$ is a row of \mathbf{U} .

We denote such constructed \mathbf{T} by $S((\mathbf{T}_1; p_1), (\mathbf{T}_2; p_2))$ or $S(\mathbf{T}_1, \mathbf{T}_2)$ if there is no ambiguity. It can be checked that \mathbf{T} is a VOA.

VOA operations: series connections

$$\mathbf{T}_1 : \text{VOA}(U_{2,3}, 2)$$

$$\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{array}$$

$$\mathbf{T}_2 : \text{VOA}(U_{2,3}, 2)$$

$$\begin{array}{ccc} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 1 \end{array}$$

$$S(\mathbf{T}_1, \mathbf{T}_2)$$

$$\begin{array}{ccccc} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & 1 & 1 & 1 & 1 \end{array}$$

$$\mathbf{U} : \text{VOA}(U_{2,3}, 2)$$

$$\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{array}$$

is a $\text{VOA}(U_{4,5}, 2)$, where
 $U_{4,5} \simeq S(U_{2,3}, U_{2,3})$.

VOA operations: series connections

Proposition

For a VOA (M_1, ν) \mathbf{T}_1 and a VOA (M_2, ν) \mathbf{T}_2 , the array $S((\mathbf{T}_1; p_1), (\mathbf{T}_2; p_2))$ is a VOA $(S((M_1; p_1), (M_2; p_2)), \nu)$.

VOA operations: parallel connections

Let

- ▶ \mathbf{T}_1 be a $\text{VOA}(M_1, \nu)$ with $M_1 = (N_1, \mathbf{r}_1)$,
- ▶ \mathbf{T}_2 be a $\text{VOA}(M_2, \nu)$ with $M_2 = (N_2, \mathbf{r}_2)$ and
- ▶ ν an integer.

We construct a $\nu^{r_P} \times (|N_1| + |N_2| - 1)$ array \mathbf{T} with columns indexed by $N = (N_1 \setminus p_1) \cup (N_2 \setminus p_2) \cup p$ according to the following rule, where $r_P = r_1 + r_2 - 1$.

- ▶ For any row \mathbf{a}_1 of \mathbf{T}_1 and \mathbf{a}_2 of \mathbf{T}_2 with $\mathbf{a}_1(p_1) = \mathbf{a}_2(p_2)$, we construct row \mathbf{b} of \mathbf{T} such that
- ▶ $\mathbf{b}(N_i \setminus p_i) = \mathbf{a}_i$, $i = 1, 2$, and $\mathbf{b}(p) = \mathbf{a}_1(p_1)$.

We denote such constructed \mathbf{T} by $P((\mathbf{T}_1; p_1), (\mathbf{T}_2; p_2))$ or $P(\mathbf{T}_1, \mathbf{T}_2)$ if there is no ambiguity. It can be checked that \mathbf{T} is a VOA.

VOA operations: parallel connections

Example

$\mathbf{T}_1 : \text{VOA}(U_{2,3}, 2)$

0	0	0
0	1	1
1	0	1
1	1	0

$\mathbf{T}_2 : \text{VOA}(U_{2,3}, 2)$

0	0	1
0	1	0
1	0	0
1	1	1

$P(\mathbf{T}_1, \mathbf{T}_2)$

0	0	0	0	1
0	0	0	1	0
0	1	1	0	0
0	1	1	1	1
1	0	1	0	0
1	0	1	1	1
1	1	0	0	1
1	1	0	1	0

is a $\text{VOA}(M_1, 2)$, where
 $M_1 = P(U_{2,3}, U_{2,3})$.

VOA operations: parallel connections

Proposition

For a VOA (M_1, ν) \mathbf{T}_1 and a VOA (M_2, ν) \mathbf{T}_2 , the array $P((\mathbf{T}_1; p_1), (\mathbf{T}_2; p_2))$ is a VOA $(P((M_1; p_1), (M_2, p_2)), \nu)$.

Matroid operations: 2-sum

Definition

For matroids $M_1 = (N_1, \mathbf{r}_1)$ and $M_2 = (N_2, \mathbf{r}_2)$, the **2-sum** of them $M_1 \oplus_2 M_2$ is defined by $S(M_1, M_2)/p$ or equivalently $P(M_1, M_2) \setminus p$.

VOA operations: 2-sum

Let

- ▶ \mathbf{T}_1 be a $\text{VOA}(M_1, \nu)$ with $M_1 = (N_1, \mathbf{r}_1)$,
- ▶ \mathbf{T}_2 be a $\text{VOA}(M_2, \nu)$ with $M_2 = (N_2, \mathbf{r}_2)$,
- ▶ ν an integer.

We construct $\mathbf{T}_1 \oplus_2 \mathbf{T}_2$ by

- ▶ $S(\mathbf{T}_1, \mathbf{T}_2)|_{p:a}$ for some $a \in \mathbb{Z}_\nu$, or equivalently
- ▶ $P(\mathbf{T}_1, \mathbf{T}_2) \setminus p$.

Proposition

For a $\text{VOA}(M_1, \nu)$ \mathbf{T}_1 and a $\text{VOA}(M_2, \nu)$ \mathbf{T}_2 , $\mathbf{T}_1 \oplus_2 \mathbf{T}_2$ is a $\text{VOA}(M_1 \oplus_2 M_2, \nu)$.

Characteristic set of binary VOA operations

Theorem

For any matroids M_1 and M_2 , $\chi_{M_1 \oplus_2 M_2} = \chi_{M_1} \cap \chi_{M_2}$.

Smaller building blocks

Corollary

The p -characteristic set of a connected matroid is the intersection of the p -characteristic set of its 3-connected components.

Regular matroids

Definition

A matroid M is **regular** if it is represented by a totally unimodular matrix, i.e., a matrix over \mathbb{R} for which every square submatrix has determinant in $\{-1, 1, 0\}$.

Theorem

For a matroid M , $\chi_M = \{v \in \mathbb{Z} : v \geq 2\}$ if and only if M is regular.

Proof Sketch.

- ▶ For the if part construct a totally unimodular matrix, i.e., a matrix over a ring \mathbb{Z}_v ;
- ▶ for the only if part, excluded minor of regular matroid $U_{2,4}$, F_7 and F_7^* .



Regular matroids

Definition

A matroid M is **regular** if it is represented by a totally unimodular matrix, i.e., a matrix over \mathbb{R} for which every square submatrix has determinant in $\{-1, 1, 0\}$.

Theorem

For a matroid M , $\chi_M = \{v \in \mathbb{Z} : v \geq 2\}$ if and only if M is regular.

Proof Sketch.

- ▶ For the if part construct a totally unimodular matrix, i.e., a matrix over a ring \mathbb{Z}_v ;
- ▶ for the only if part, excluded minor of regular matroid $U_{2,4}$, F_7 and F_7^* .



Remark

It is a generalization of the matroid representation problem over a field.

Whirl matroids

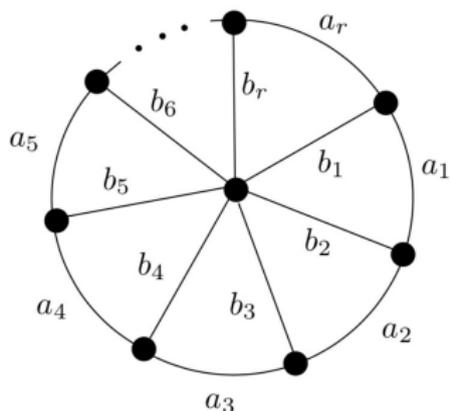


Figure: The wheel graph \mathcal{W}_r

Definition

The whirl matroid \mathcal{W}^r is a matroid by relaxing the circuit-hyperplane A , i.e., the rim of the wheel matroid $M(\mathcal{W}_r)$.

Note that $\mathcal{W}^2 = U_{2,4}$.

Whirl matroids

Proposition

For matroid \mathcal{W}^r , $r \geq 2$, $\chi_{\mathcal{W}^r} = \chi_{U_{2,4}} = \{v \in \mathbb{Z} : v \geq 3, v \neq 6\}$.

Matroids with the same p -characteristic set as $U_{2,4}$

Theorem

For any matroid M , let M_i be its connected components, and $M_{i,j}$ be the 3-connected components of M_i . Then $\chi_M = \chi_{U_{2,4}}$ if each of these $M_{i,j}$ is either a regular matroid or a \mathcal{W}^r with $r \geq 2$, and at least one of them is a \mathcal{W}^r .

Thank you!