

Quantifying and Achieving the Capacity of **Wireless 1-Hop Network Coding** — A **Code-Alignment-Based Approach**

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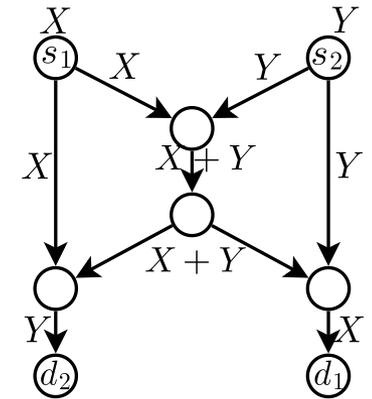
Joint work with Y. Charlie Hu (Purdue), Ness B. Shroff (The OSU), Dimitrios
Koutsonikolas, Abdallah Khreishah.

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The Benefits of Network Coding

- Network Coding (NC) has been formulated for 10+ years.
[Ahlsweede *et al.* 98].
- The famous “butterfly” network:



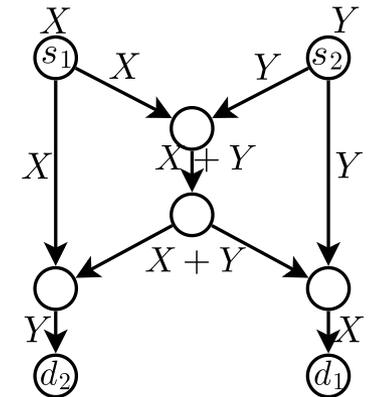
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- **Many promised advantages:**

- Throughput,
- Energy and power savings, [Cui *et al.* 08], [Goseling *et al.* 09], etc.
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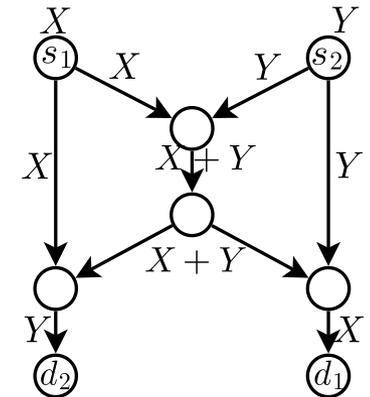
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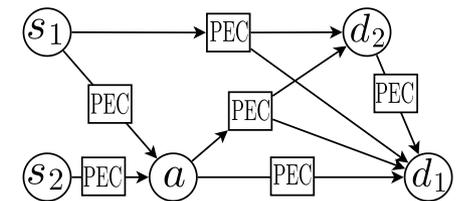
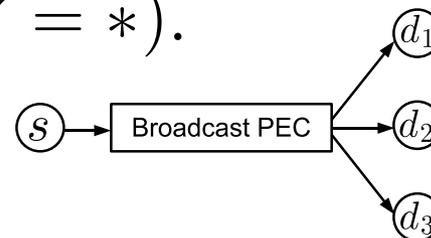
● But ... What is Network Coding?

- Many different interpretations of NC:
 - Link-by-Link Forward Error Control [Ghaderi *et al.* 07],
 - Fountain codes [Luby 02],
 - Network-wide multiple-description codes,
 - Cooperative wireless networks [Médard 09],
 - Generalization of the store-&-forward policy [Yeung 06],
 - ...



Yet Another Definition ...

- A conservative **Packet Erasure Channel (PEC)** abstraction of packet transmission that is independent from the PHY layer schemes:
 - Input: $X \in GF(2^b)$ for some sufficiently large b .
 - Output: $Y \in \{X\} \cup \{*\}$ where “*” is the erasure symbol.
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 - $P(Y = * | X = x) = P(Y = *)$.

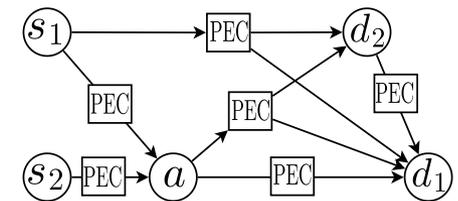
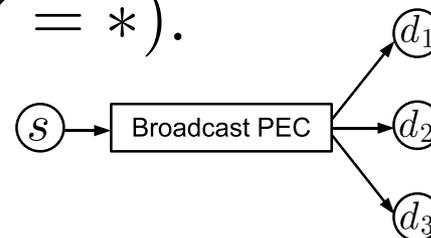


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Network coding is ... the network information theory study (especially **the achievability part**) of a PEC-based network.



The Packet Erasure Channels

- The PEC-based abstraction may be too conservative:
 - Discard the corrupted packets completely, instead of using hybrid ARQ schemes.
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- Wireless Networks (modeled as **Packet Erasure Channels**) +
Throughput Analysis + **Digital Network Coding**

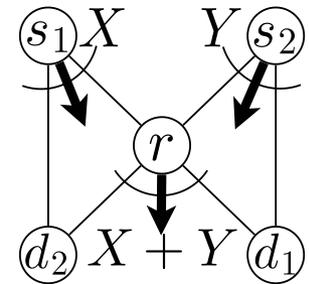


Wireless 1-Hop Intersession NC

- Intersession NC (INC): Coding over multiple unicast sessions.
- The COPE protocol — 2-hop relay networks [Katti *et al.* 06]

4 transmissions w/o coding vs. 3 transmissions w. coding

- r sends $[X + Y]$; d_1 decodes X by subtraction.
- Empirically, 40–200% throughput improvement.

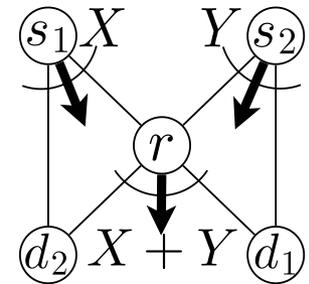


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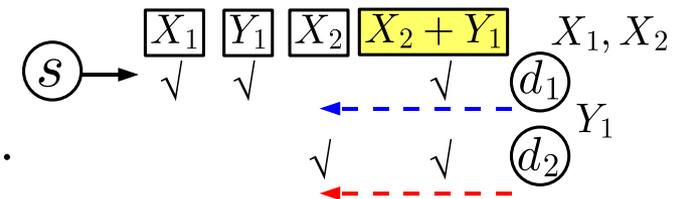
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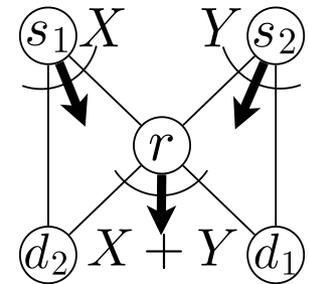


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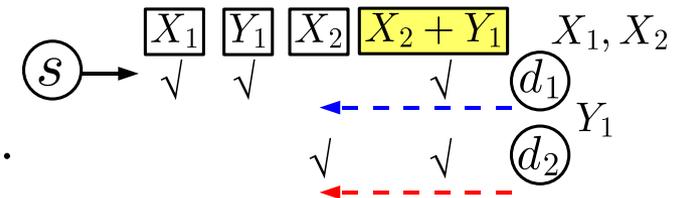
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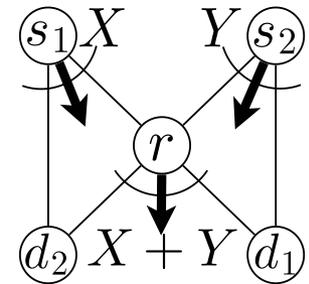


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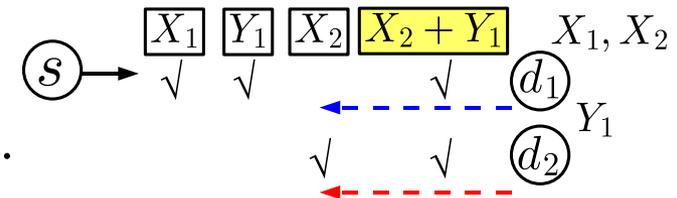
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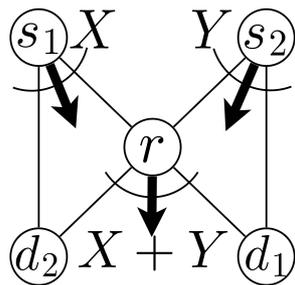


- INC is a hard problem. Ex: Pure random lin. NC does not work.
- Shannon capacities of 1-hop INC remain unknown. It becomes non-trivial for random broadcast PECs and $M > 2$ sessions.



Main Theoretical Results

- The benefits of COPE follows from the message side information (**MSI**).

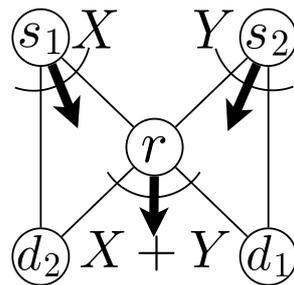


# of sessions	COPE-like Protocols (Broadcast PECs w. MSI)	Gaussian broadcast channels w. MSI
M=2	Full capacity region	Full capacity region [Wu 07]
M=3	Full capacity region	?
General M	Outer and inner bounds that are numerically close	?

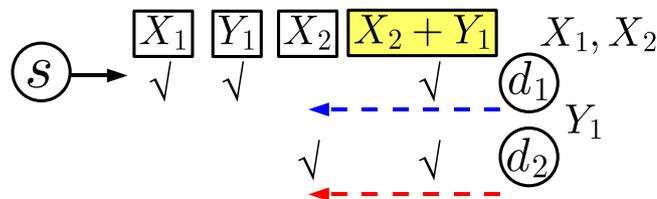


Main Theoretical Results

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- The benefits of ER follows from the channel output feedback (**COF**).



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# of sessions	ER-like Protocols (Broadcast PECs w. COF)	Gaussian broadcast channels w. COF
M=2	Full capacity region [Georgiadis et al. 09]	Outer and inner bounds [Ozarow 84]
M=3	Full capacity region	?
General M	(1) Capacity for fair systems; (2) Outer and inner bounds that meet numerically.	?



Part I: Quantifying and achieving the capacity of COPE-like protocols

Part II: Quantifying and achieving the capacity of ER-like protocols



Our Setting

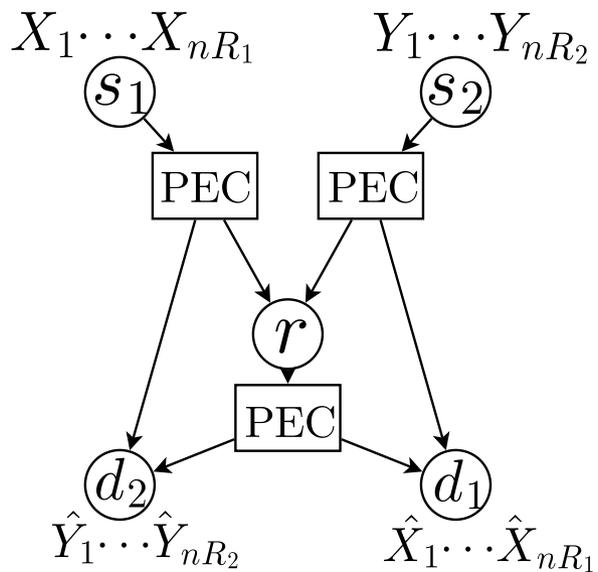
- Memoryless broadcast PECs: Ex: A 1-to-2 PEC is governed by the **success probabilities** $p_{s \rightarrow 12}, p_{s \rightarrow 12^c}, p_{s \rightarrow 1^c 2}, p_{s \rightarrow 1^c 2^c}$.



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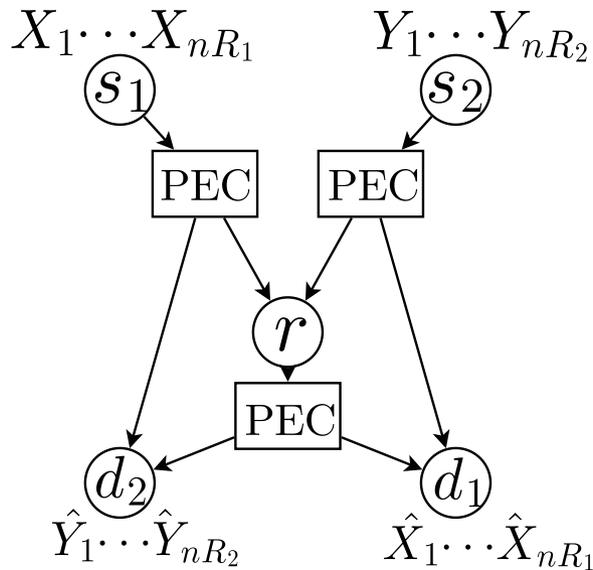
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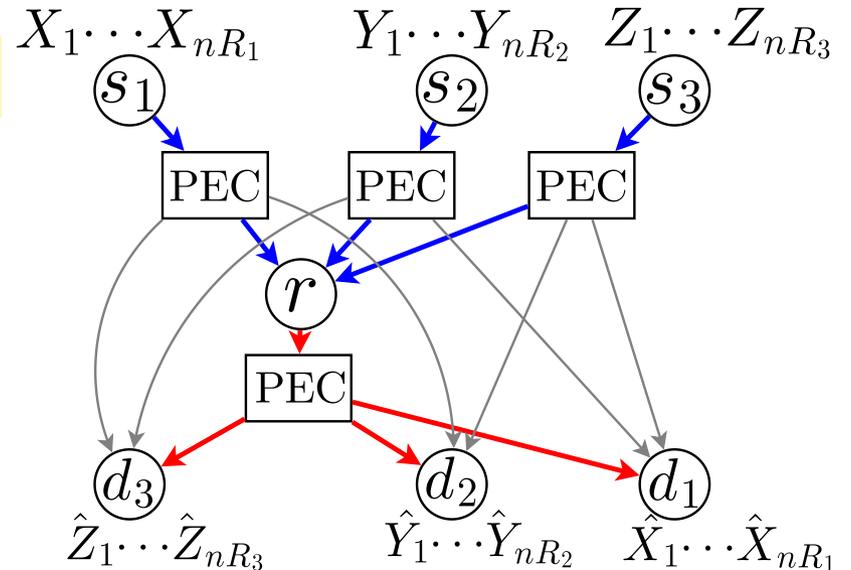
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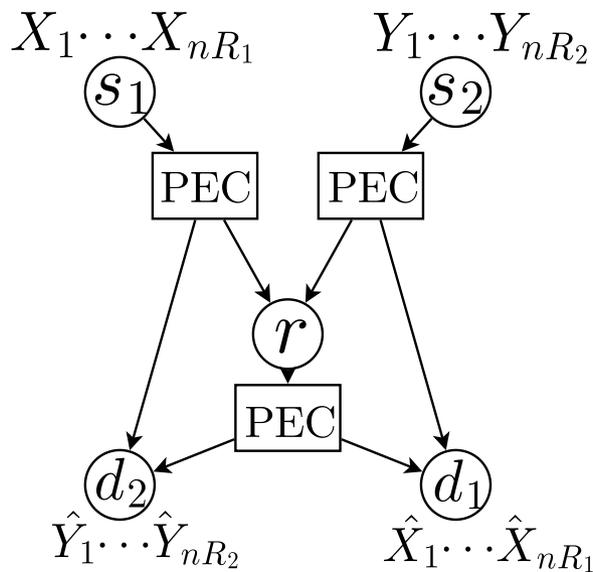
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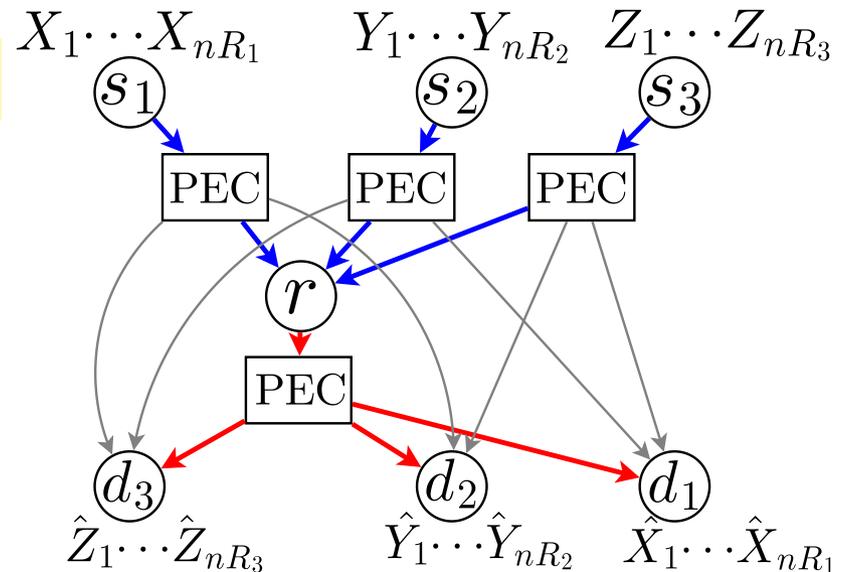
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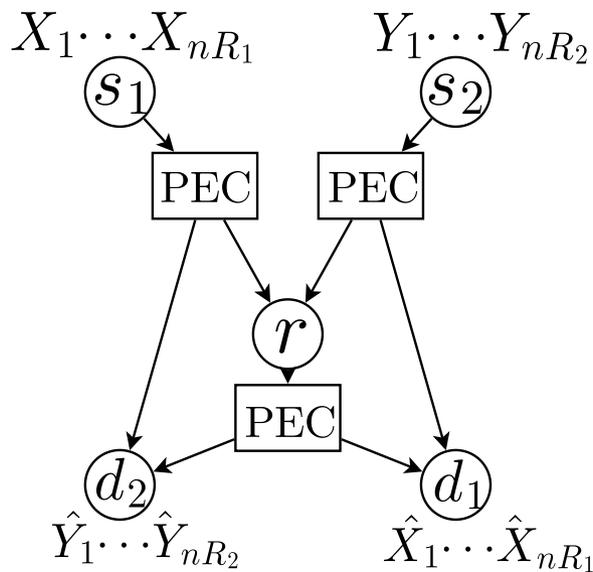
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PEC parameters for $M = 2$:

Joint Prob.:

$$p_{s_1 \rightarrow 2r}, p_{s_1 \rightarrow 2r^c}, p_{s_1 \rightarrow 2^c r}, p_{s_1 \rightarrow 2^c r^c};$$

$$p_{s_2 \rightarrow 1r}, p_{s_2 \rightarrow 1r^c}, p_{s_2 \rightarrow 1^c r}, p_{s_2 \rightarrow 1^c r^c};$$

$$p_{r \rightarrow 12}, p_{r \rightarrow 12^c}, p_{r \rightarrow 1^c 2}, p_{r \rightarrow 1^c 2^c}.$$

Marginal Prob.:

$$p_{r;1} \stackrel{\Delta}{=} p_{r \rightarrow 12} + p_{r \rightarrow 12^c}$$

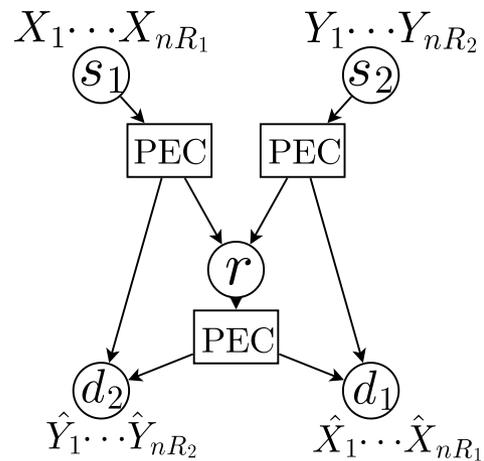
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- Each round: s_1 to s_M first and then r . Totally $(M + 1) \cdot n$ pkts.

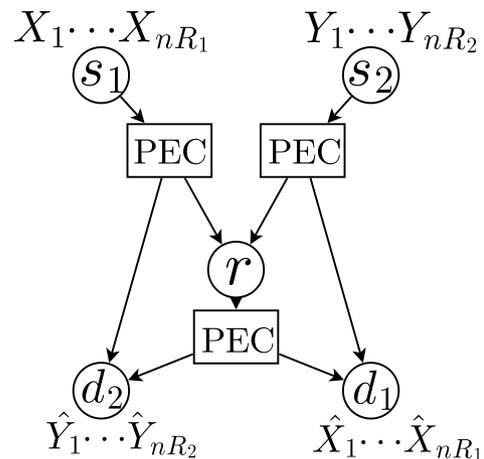
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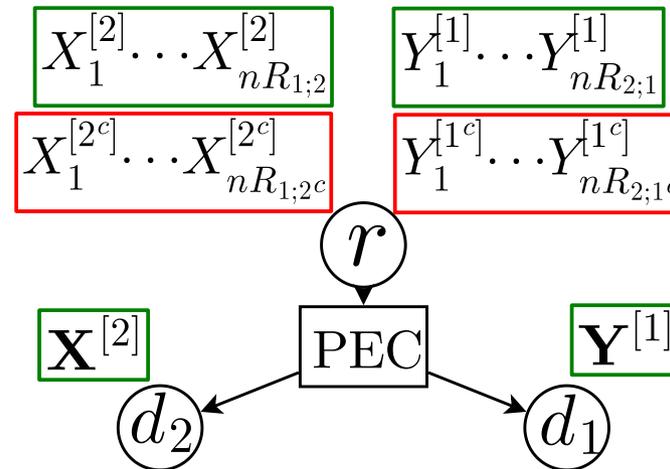
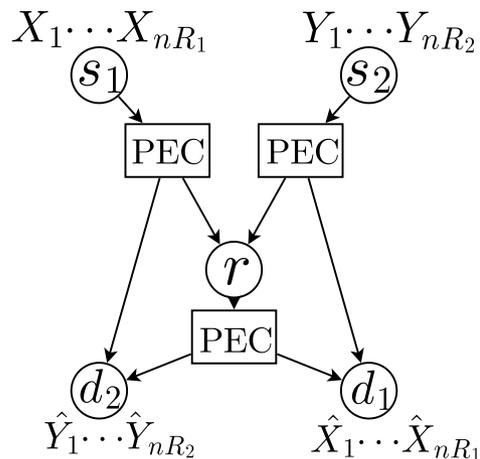
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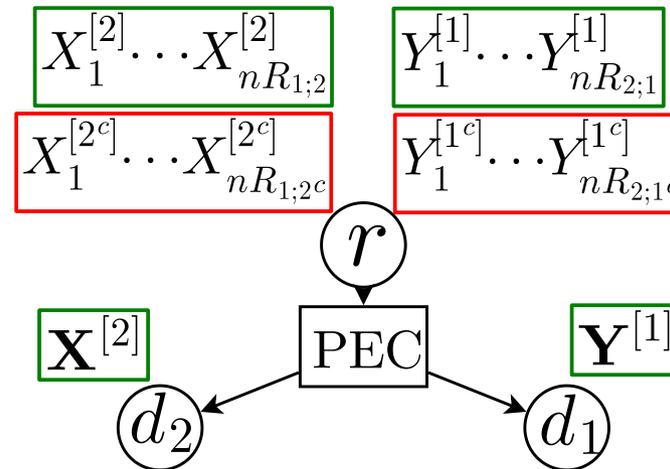
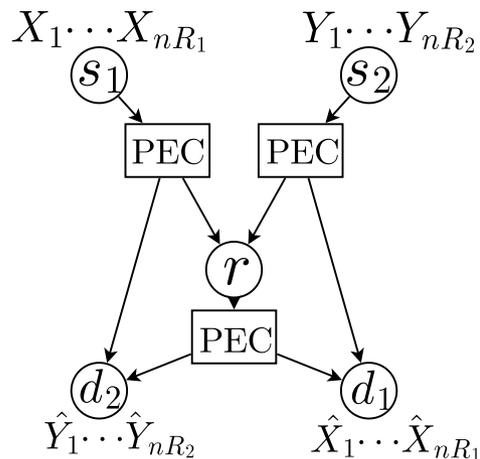
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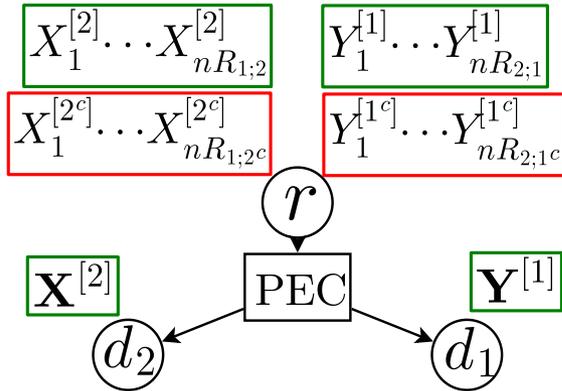
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- No feedback is allowed during the transmission of the last n packets by relay r .



An Intuitive Argument

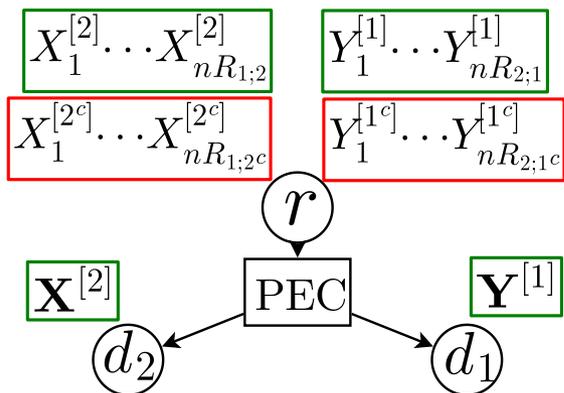


Without loss of generality, assume:

$$p_{r;1} > p_{r;2} .$$



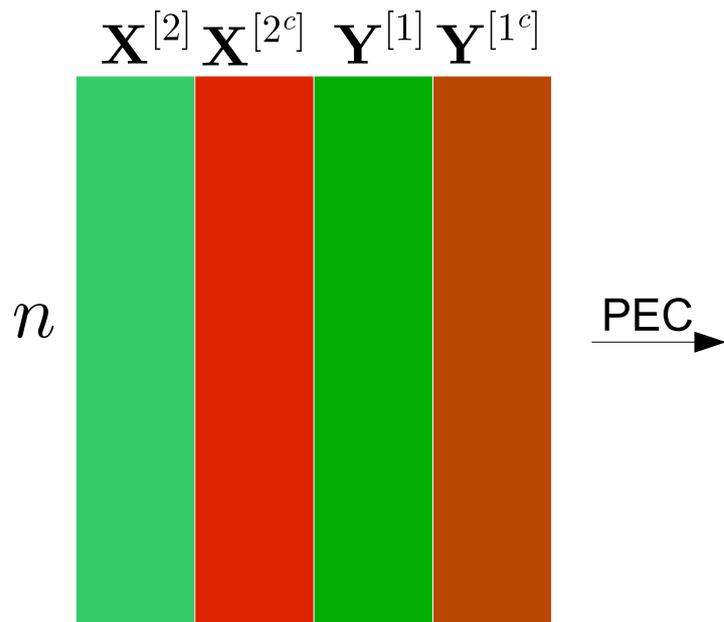
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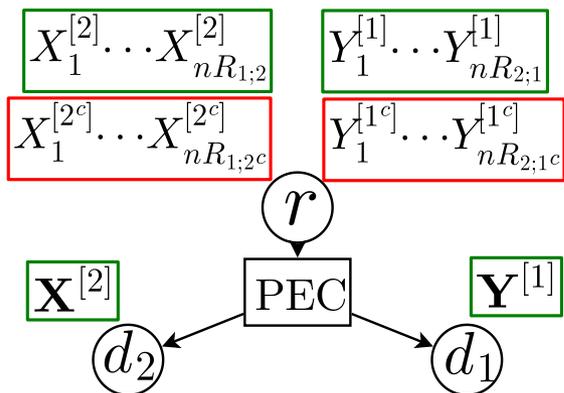
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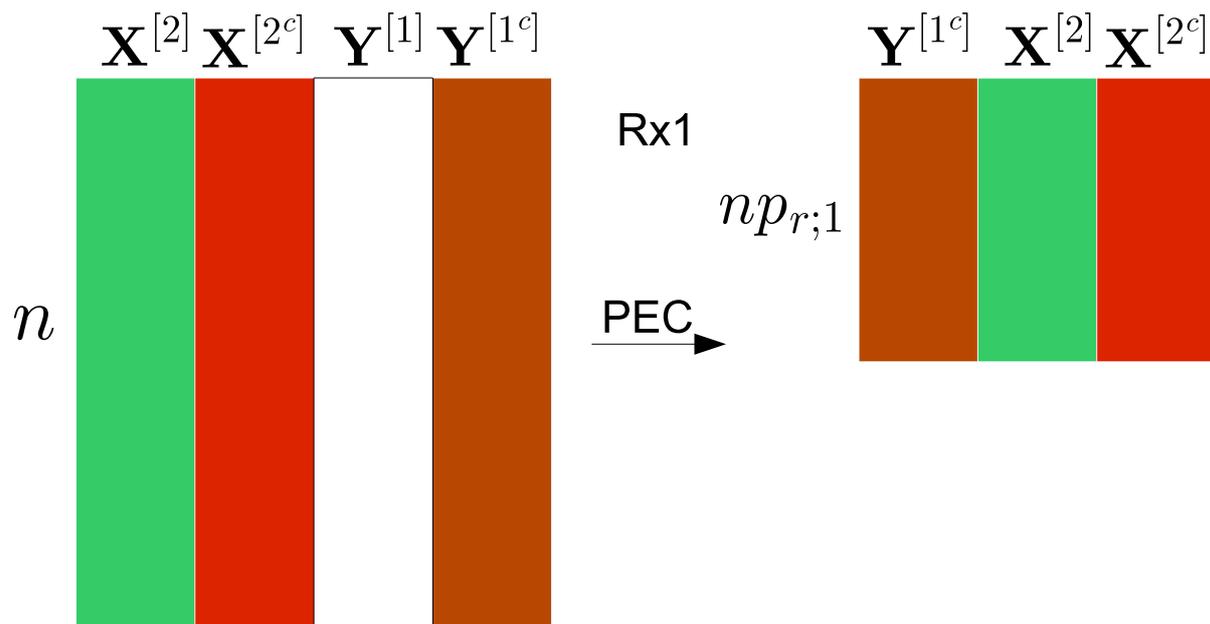
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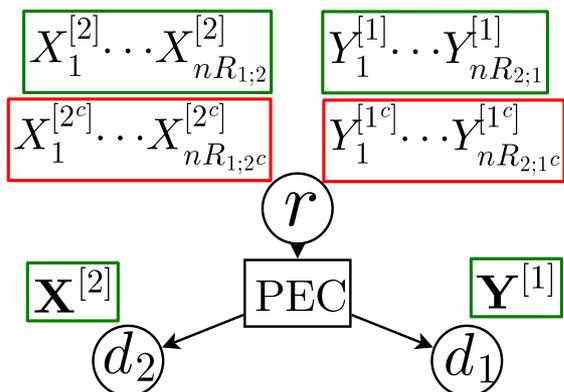
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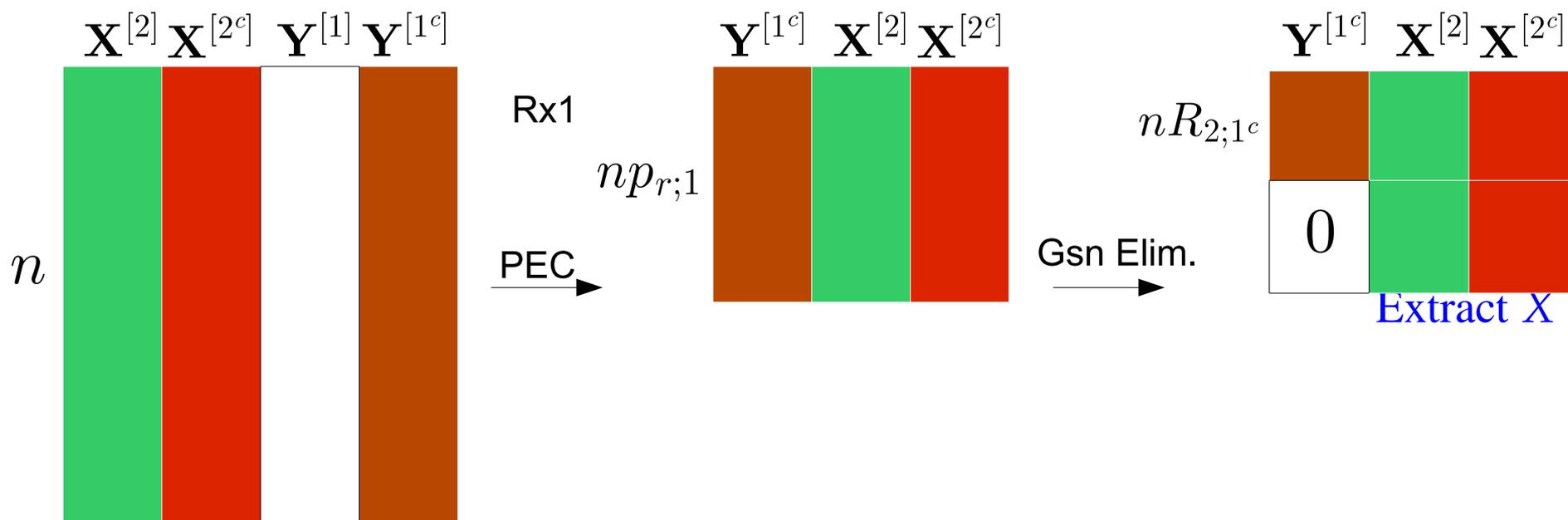
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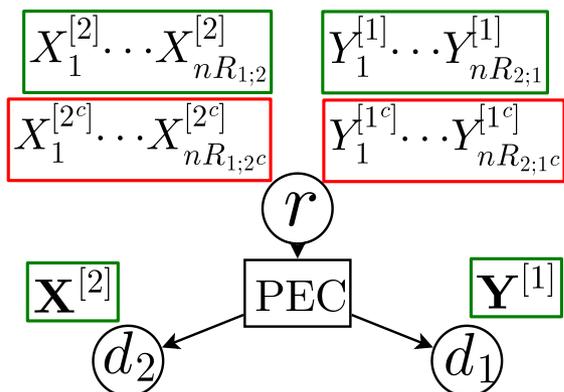
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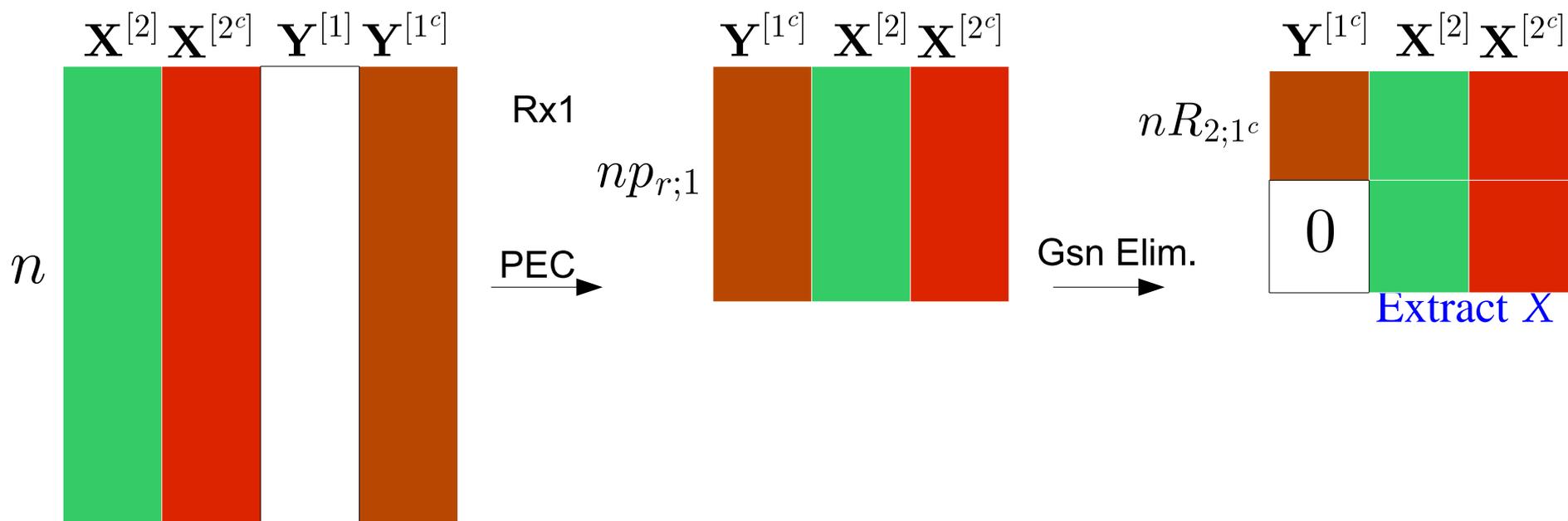
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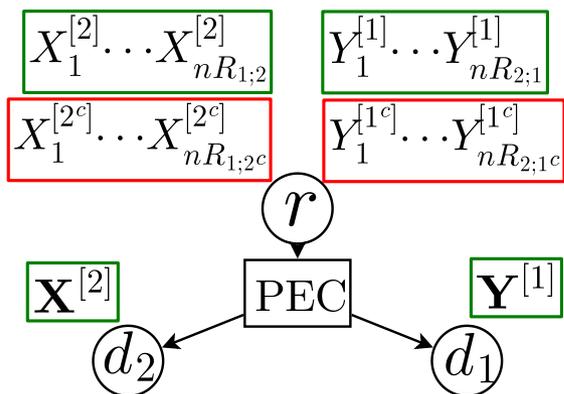
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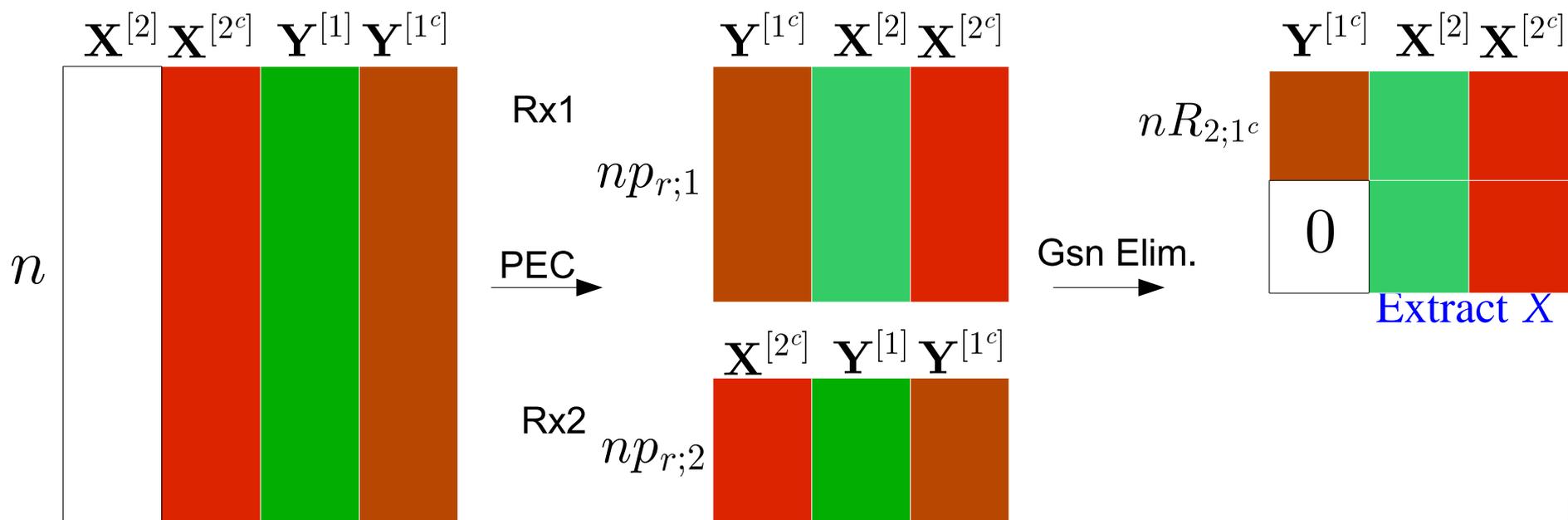
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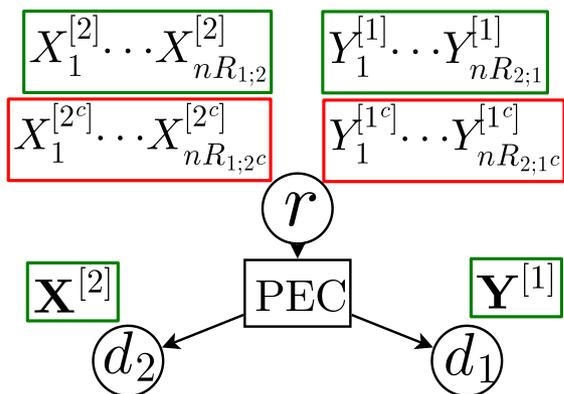
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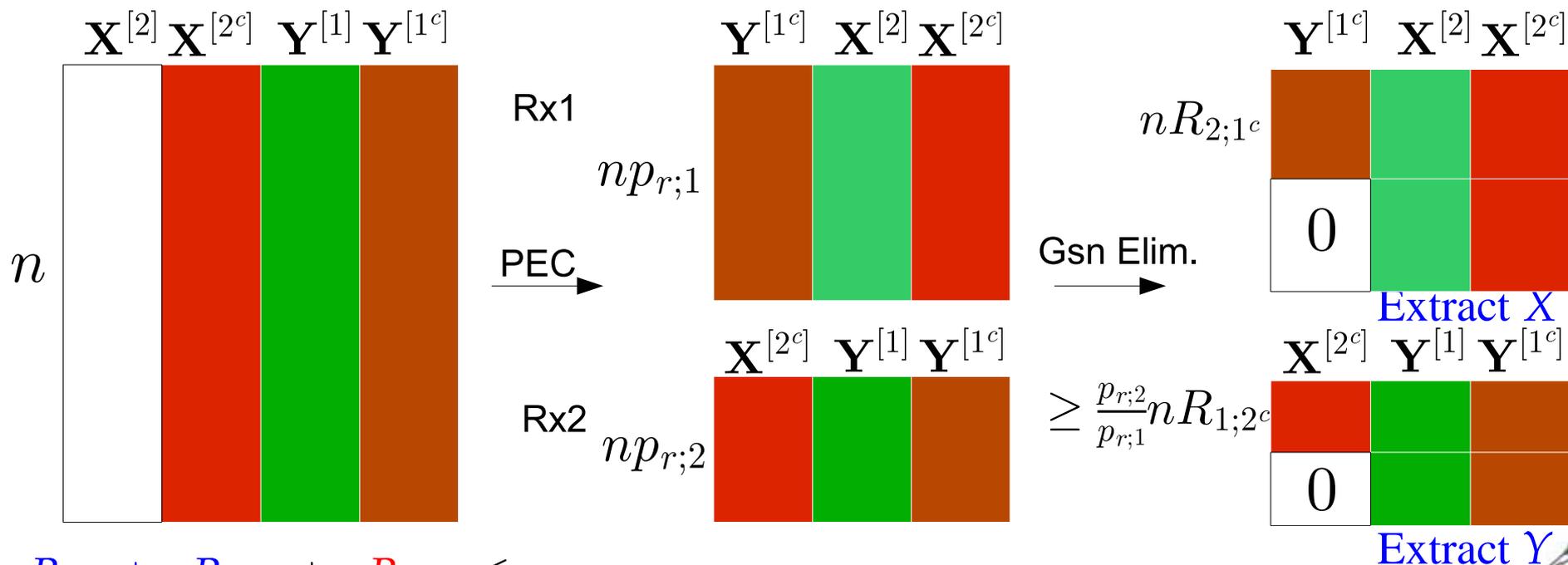
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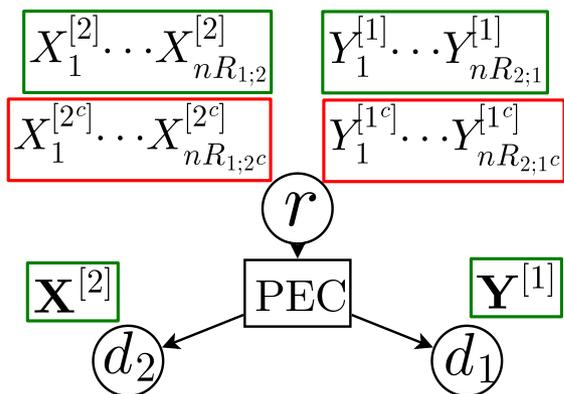
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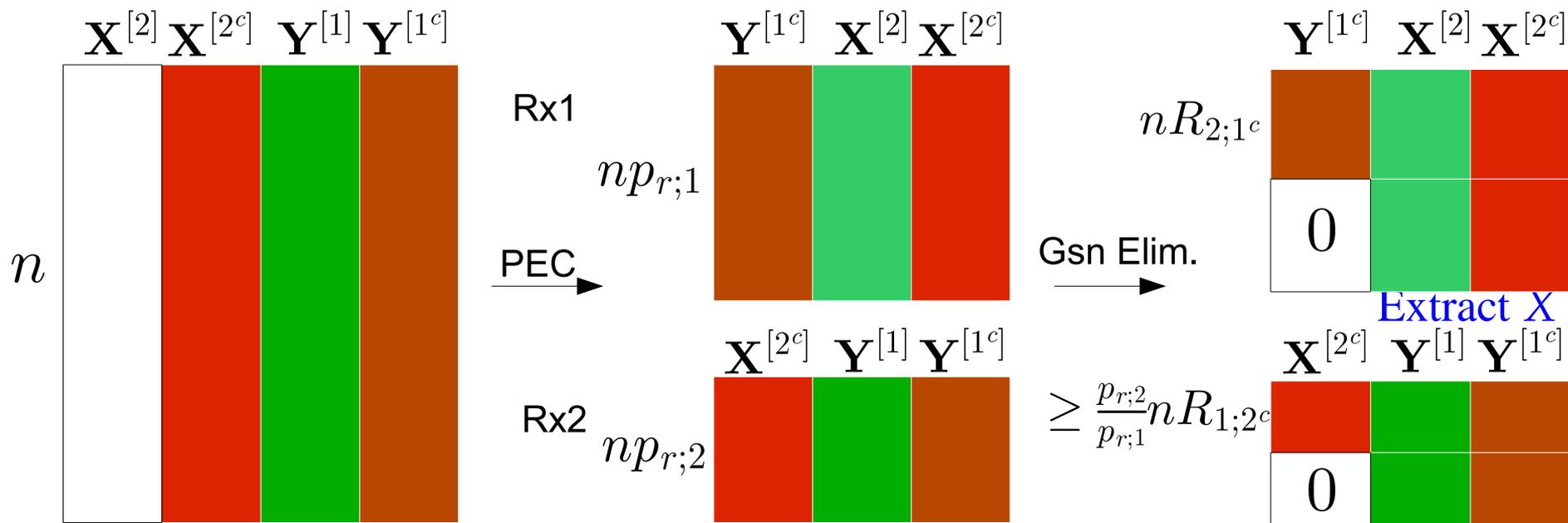
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Continued

- The cap. outer bound, $M = 2$ users:

main info + minimal interference \leq the overall available slots

$$d_1 \text{'s perspective: } nR_{1;2} + nR_{1;2}^c + nR_{2;1}^c \leq np_{r;1}$$

$$d_2 \text{'s perspective: } nR_{2;1} + nR_{2;1}^c + \frac{p_{r;2}}{p_{r;1}} nR_{1;2}^c \leq np_{r;2}.$$



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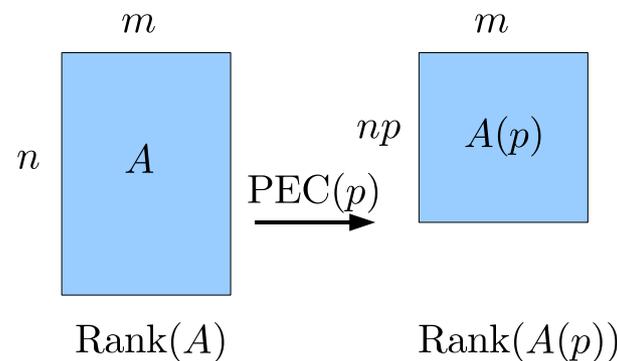
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$$d_1 \text{'s perspective: } nR_{1;2} + nR_{1;2}^c + nR_{2;1}^c \leq np_{r;1}$$

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- The only argument we used is:

The concavity of information transmission when using linear codes.



Continued

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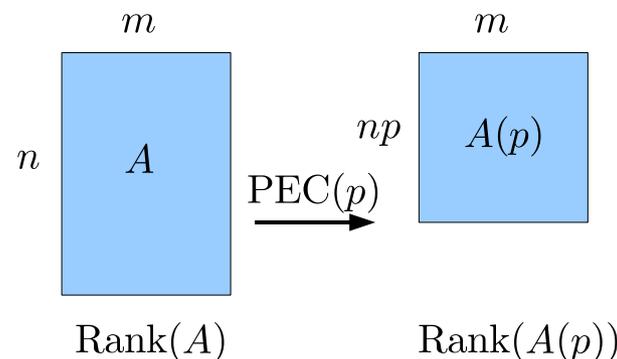
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At least p fraction of $\text{Rank}(A)$ basis vectors of A will be passed to $A(p)$.

$$\Rightarrow \text{Rank}(A(p)) \geq p \cdot \text{Rank}(A).$$



Continued

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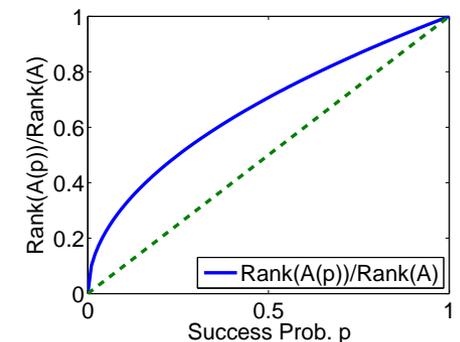
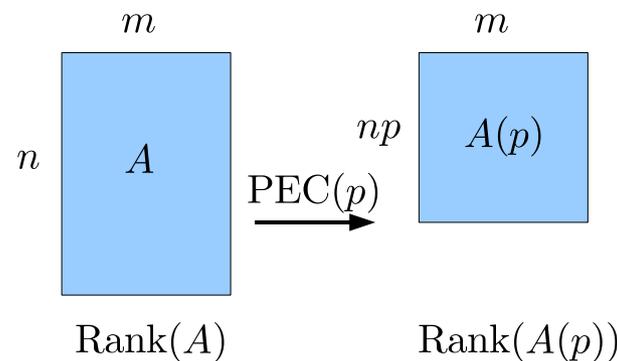
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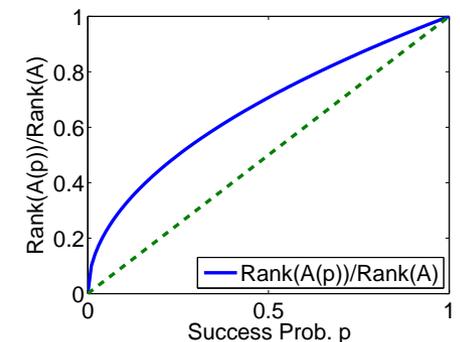
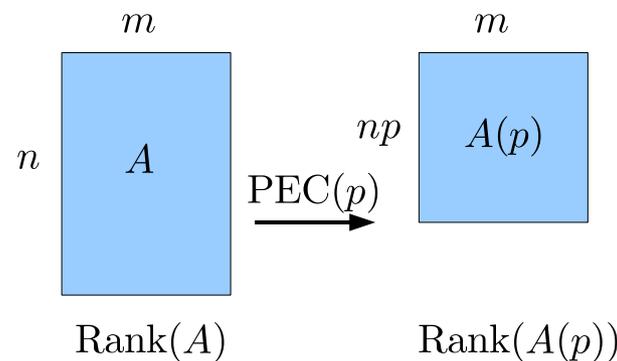
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- When focusing on the mutual info. instead, the info. concavity argument can be generalized for non-linear codes [Wang, ISIT 10].



Cap. 2-User Brdcst PEC w. MSI

The cap. outer bound:

$$d_1 \text{'s perspective: } nR_{1;2} + nR_{1;2^c} + nR_{2;1^c} \leq np_{r;1}$$

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The achievability: A 2-Stage coding scheme.



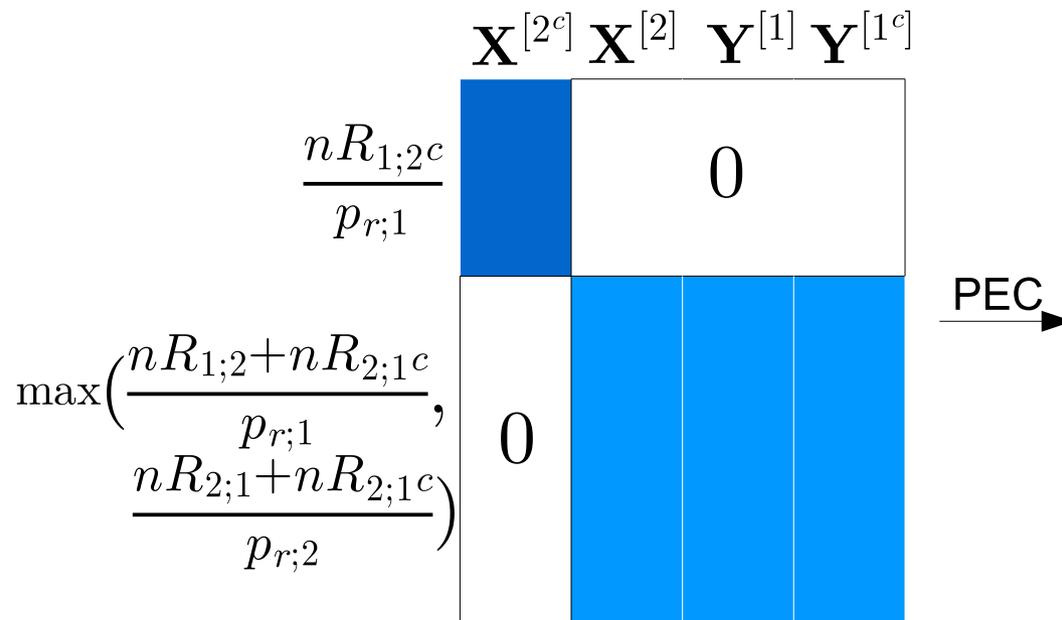
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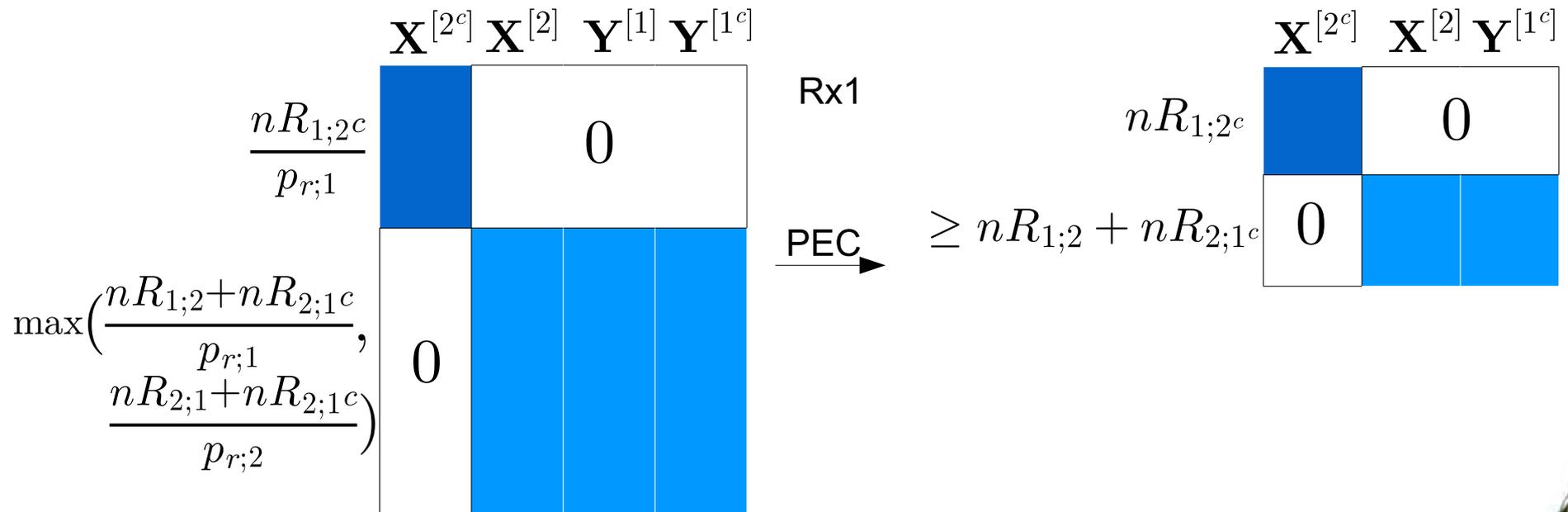
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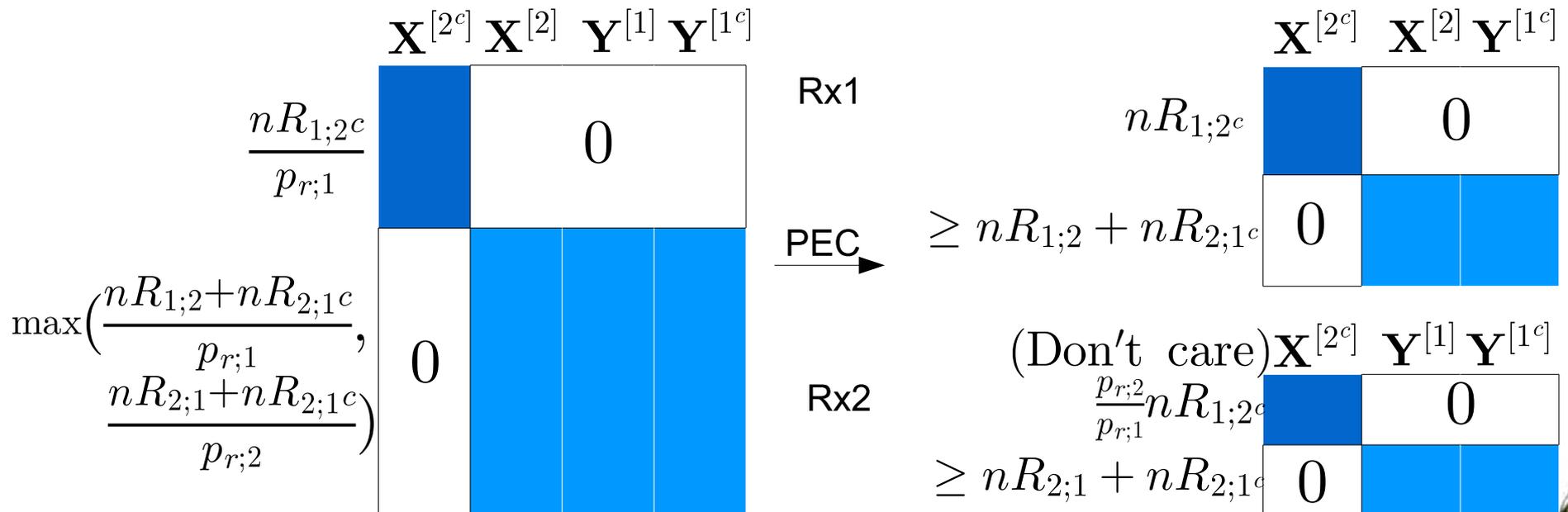
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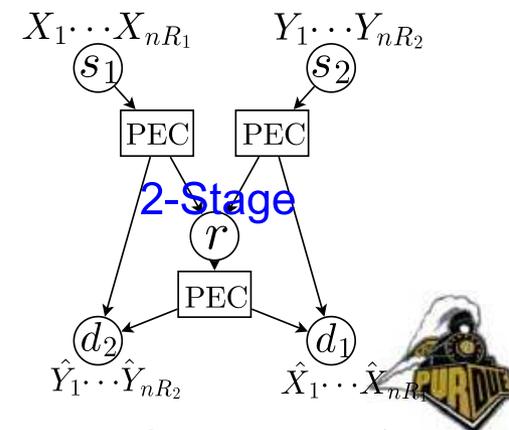


Combine it with $s_i \rightarrow r$ coding

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Given that $R_1 = R_{1;2} + R_{1;2^c}$, maximizing R_2 is equivalent to allocating the smallest R_1 to $R_{1;2^c}$. I.e., the stronger overhearing the better.



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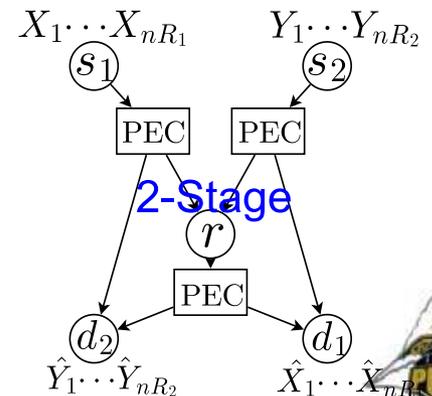
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- By s_1 performing random linear NC, we max. the overhearing

$$\min nR_{1;2^c} = (nR_1 - np_{s_1;2})^+$$

- By s_2 performing random linear NC, we max. the overhearing

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Combine it with $s_i \rightarrow r$ coding

d_1 's perspective: $nR_{1;2} + nR_{1;2^c} + nR_{2;1^c} \leq np_{r;1}$

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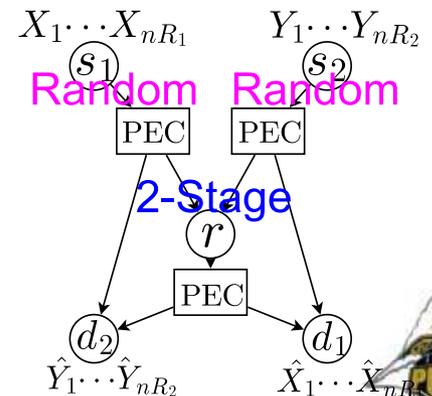
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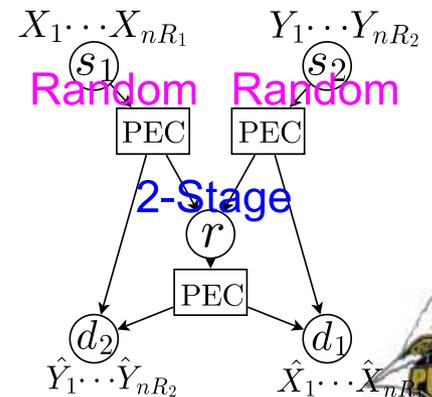
- By s_2 performing random linear NC, we max. the overhearing

$$\min nR_{2;1^c} = (nR_2 - np_{s_2;1})^+$$

- Therefore:

$$R_1 \leq p_{r;1} - (R_2 - p_{s_2;1})^+$$

$$R_2 \leq p_{r;2} - \frac{p_{r;2}}{p_{r;1}}(R_1 - p_{s_1;2})^+$$

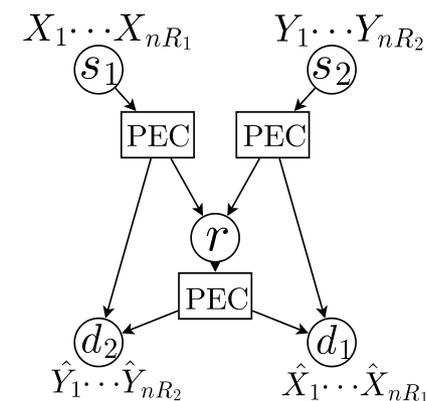


The Capacity Regions (Cont'd)

- After combining the $s_i \rightarrow r$ coding:

$$R_1 \leq p_{r;1} - (R_2 - p_{s_2;1})^+$$

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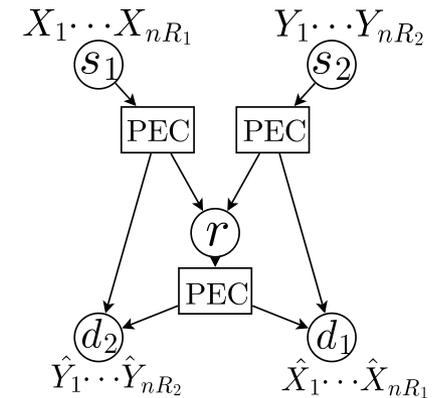
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- To ensure that s_i can convey all the info. to r , we must also have $R_1 \leq p_{s_1;r}$ and $R_2 \leq p_{s_2;r}$.



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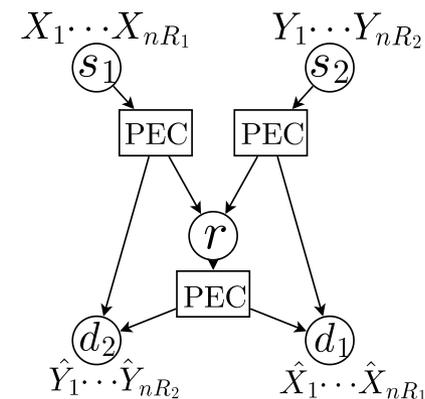
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- Final Results:

$$R_1 \leq \min \left(p_{s_1;r}, p_{r;1} - (R_2 - p_{s_2;1})^+ \right)$$

$$R_2 \leq \min \left(p_{s_2;r}, p_{r;2} - \frac{p_{r;2}}{p_{r;1}} (R_1 - p_{s_1;2})^+ \right)$$



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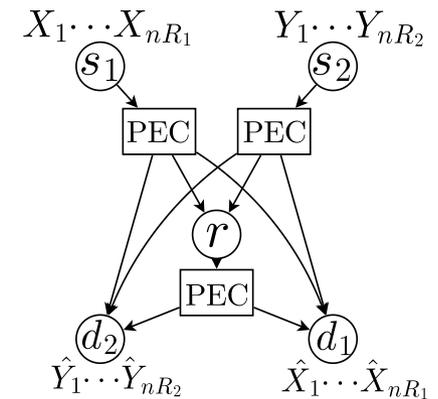
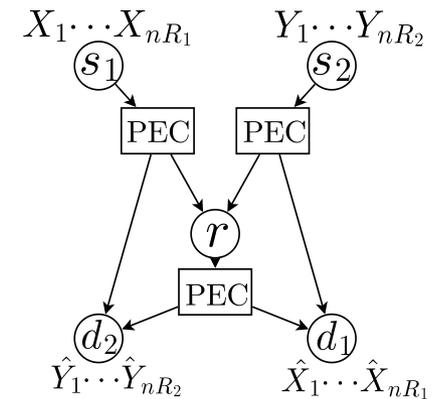
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- Can be combined with **opportunistic routing** (jump over 2 hops):



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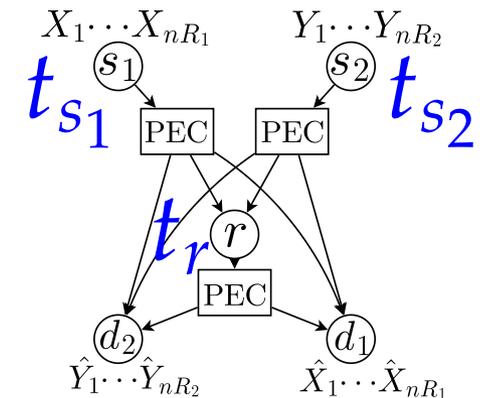
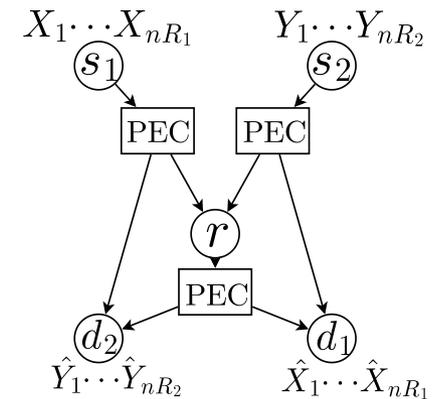
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- Can be combined with **opportunistic routing** (jump over 2 hops):
- Can be combined with **cross-layer optimization**: Each round of $3n$ packets \Rightarrow variable scheduling t_{s_1}, t_{s_2}, t_r .



The Capacity Regions (Cont'd)

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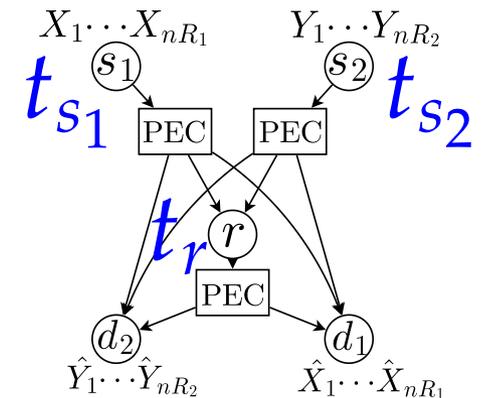
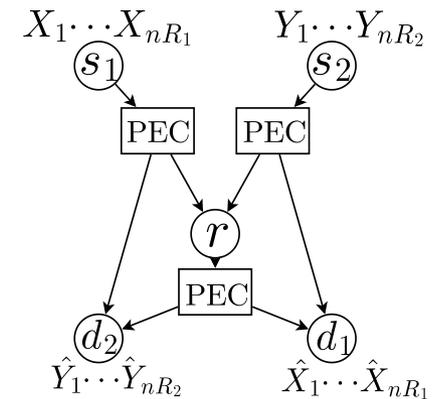
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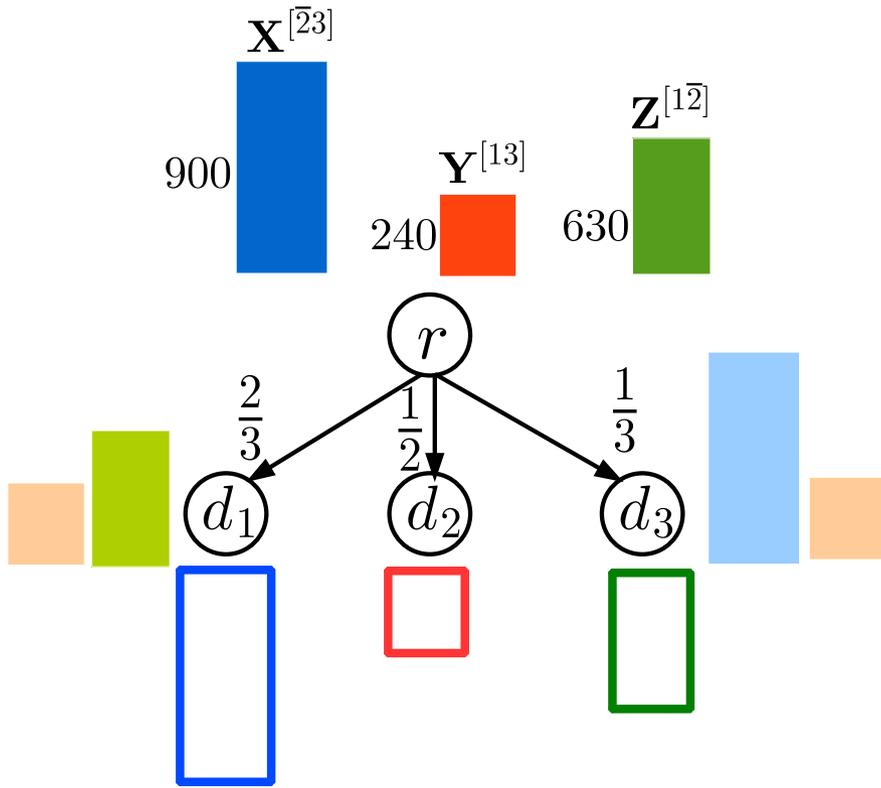
$$R_1 \leq \min \left(\overset{s \rightarrow r}{p_{s_1;r}}, \overset{\text{avail. slots}}{p_{r;1}} - \overset{\text{min inter.}}{(R_2 - p_{s_2;1})^+} \right)$$

$$R_2 \leq \min \left(\overset{s \rightarrow r}{p_{s_2;r}}, \overset{\text{avail. slots}}{p_{r;2}} - \overset{\text{min inter.}}{\frac{p_{r;2}}{p_{r;1}} (R_1 - p_{s_1;2})^+} \right)$$

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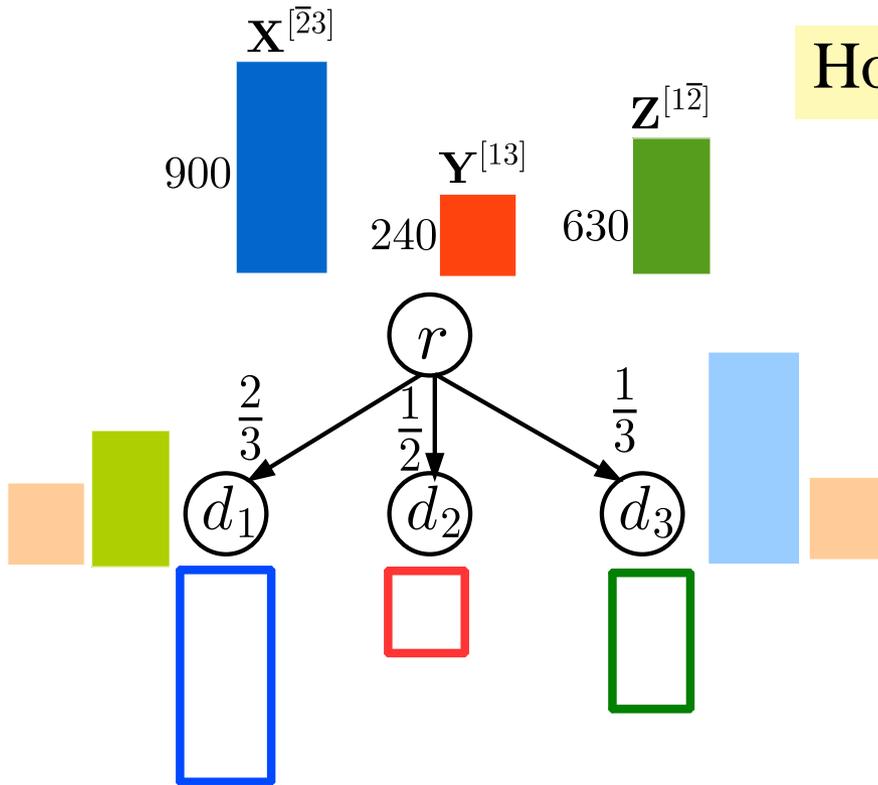


An Illustrative Example of $M = 3$

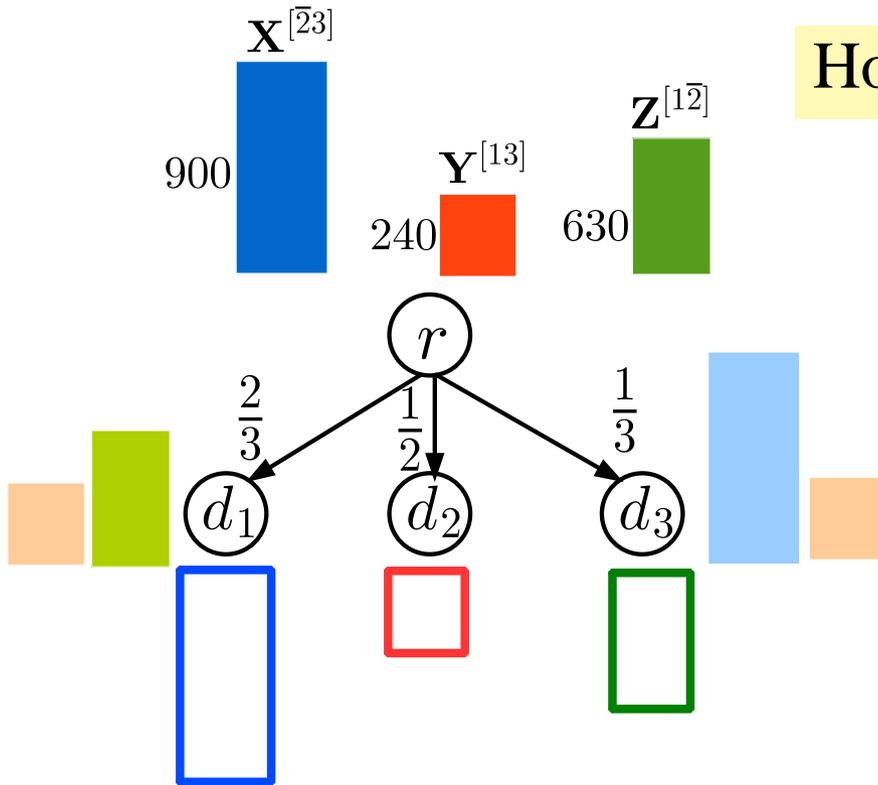


An Illustrative Example of $M = 3$

How many time slots to finish transmission?



An Illustrative Example of $M = 3$



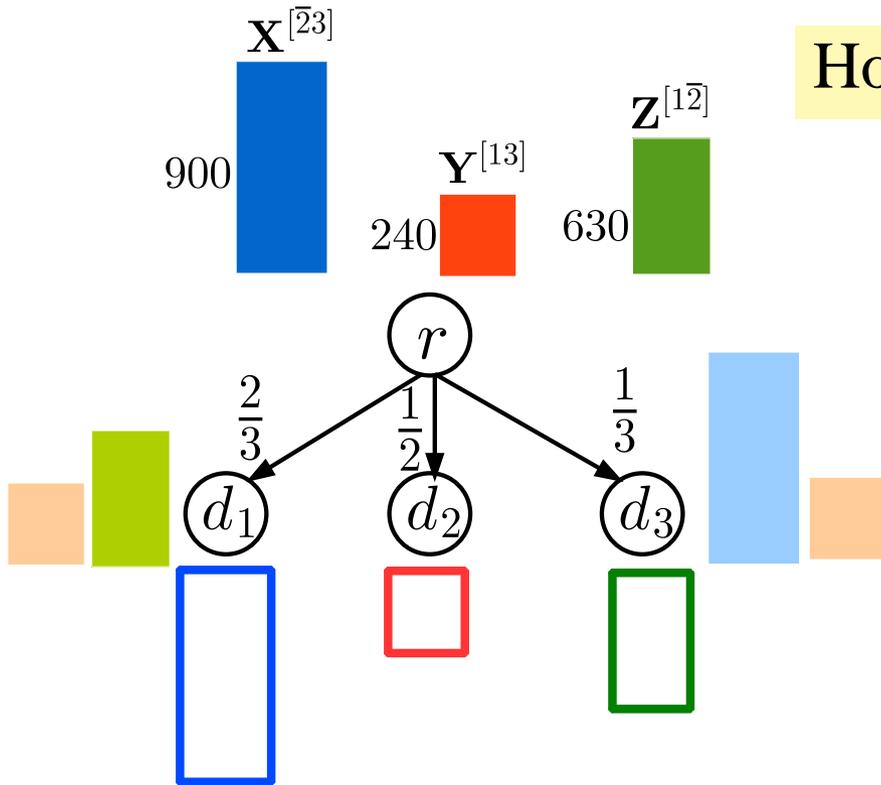
How many time slots to finish transmission?

Solution 1 — Time sharing:

$$\frac{900}{2/3} + \frac{240}{1/2} + \frac{630}{1/3} = 3720$$



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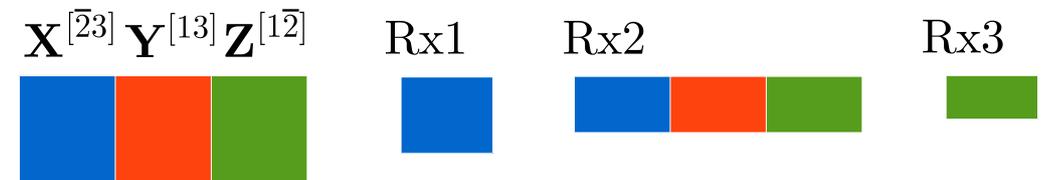


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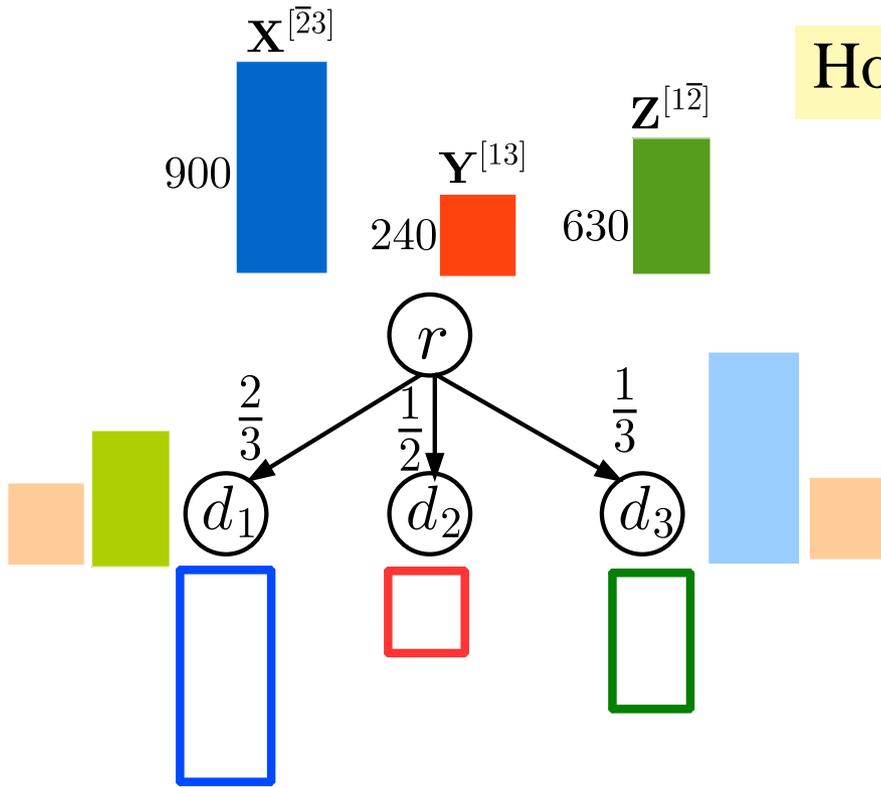
Solution 2 — Random mixing:



$$\max \left(\frac{900}{2/3}, \frac{900+240+630}{1/2}, \frac{630}{1/3} \right) = 3540$$



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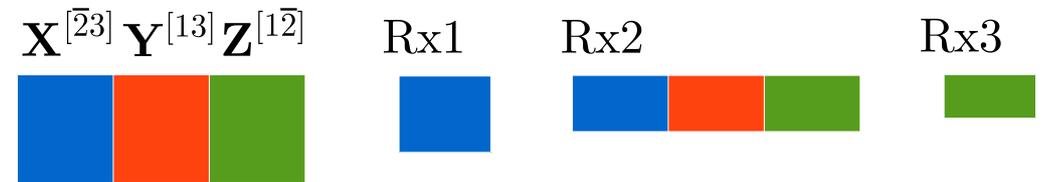


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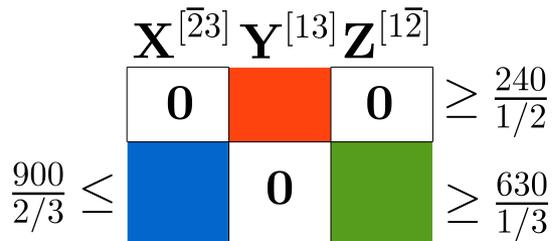
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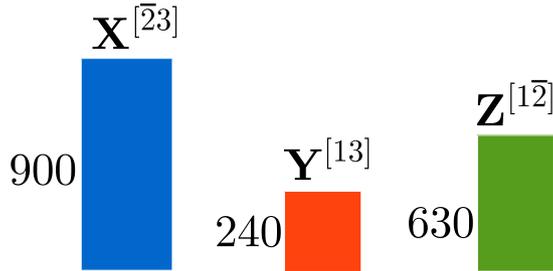
Solution 3 — A 2-staged scheme:



$$\frac{240}{1/2} + \max \left(\frac{900}{2/3}, \frac{630}{1/3} \right) = 2370$$



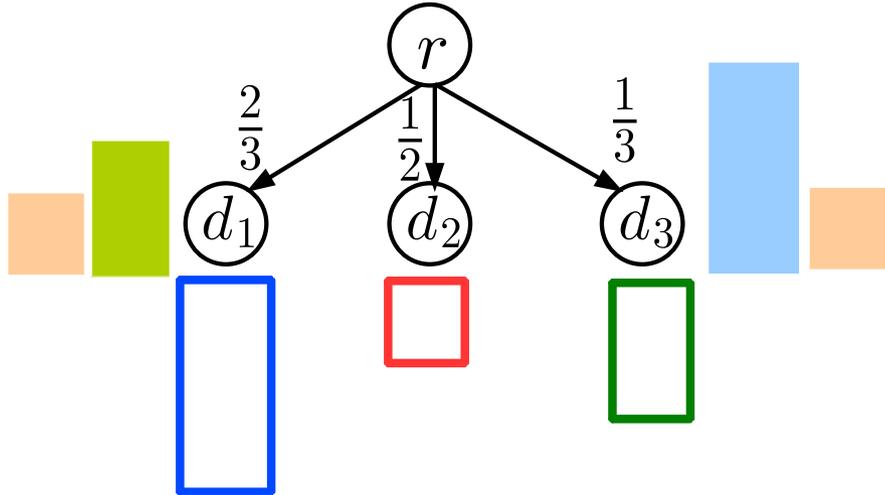
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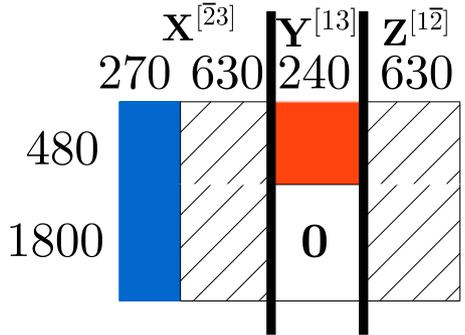
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Solution 4 — Code Alignment :

Totally 2280 time slots.



Code Alignment

Solution 3 — A 2-staged scheme:

$$\frac{900}{2/3} \leq \begin{matrix} X^{[23]} & Y^{[13]} & Z^{[12]} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{matrix} \geq \frac{240}{1/2}$$

$$\geq \frac{630}{1/3}$$

$$\frac{240}{1/2} + \max\left(\frac{900}{2/3}, \frac{630}{1/3}\right) = 2370$$



An Illustrative Example of $M = 3$

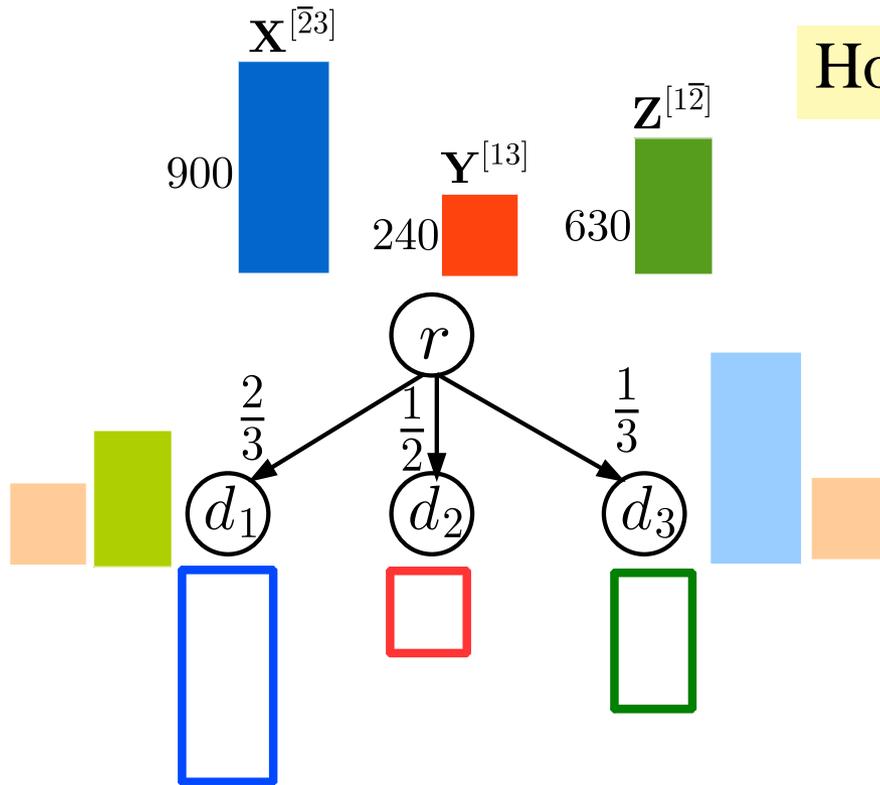
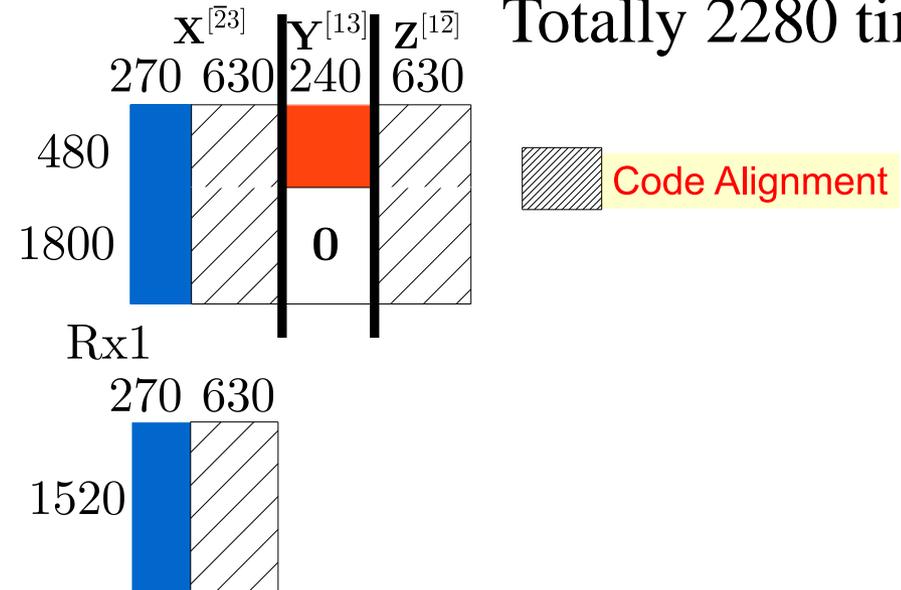
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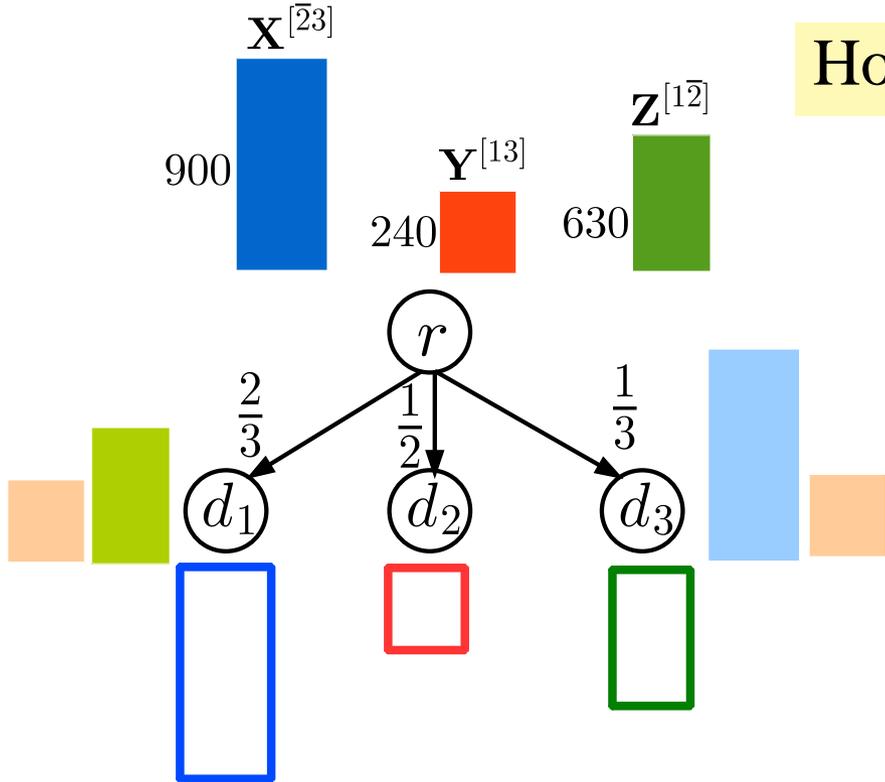


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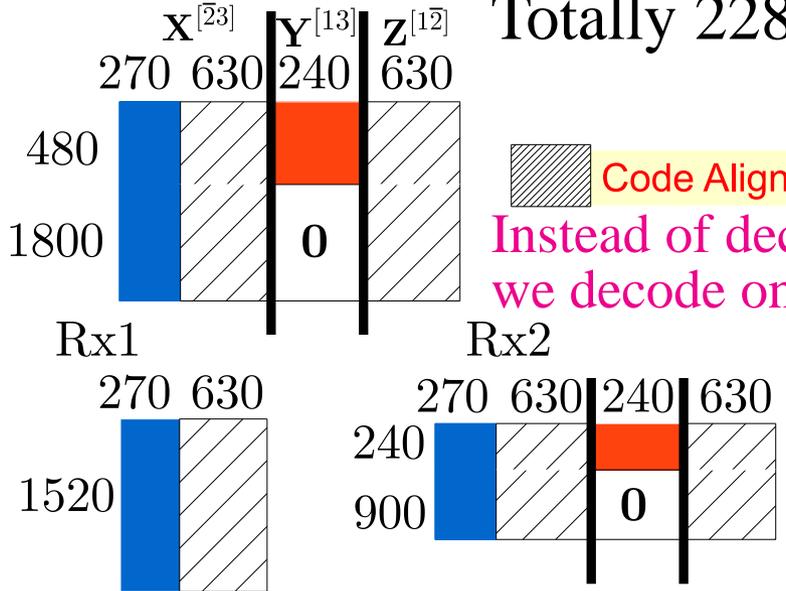
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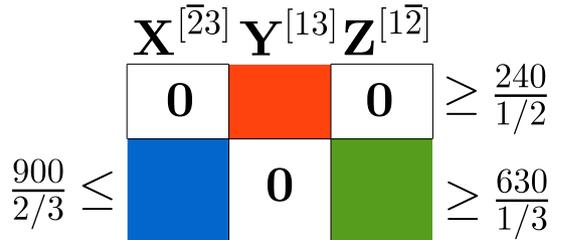
Solution 4 — Code Alignment :

Totally 2280 time slots.



Code Alignment
Instead of decoding X and Z , we decode only $X + Z$.

Solution 3 — A 2-staged scheme:



$$\frac{240}{1/2} + \max\left(\frac{900}{2/3}, \frac{630}{1/3}\right) = 2370$$



An Illustrative Example of $M = 3$

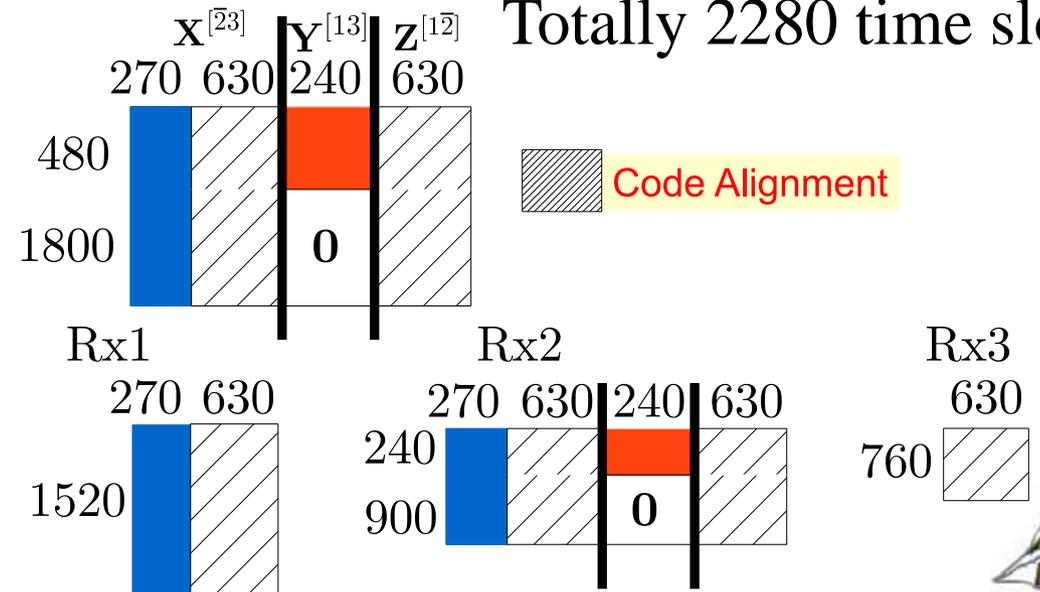
How many time slots to finish transmission?

Solution 1 — Time sharing:

$$\frac{900}{2/3} + \frac{240}{1/2} + \frac{630}{1/3} = 3720$$

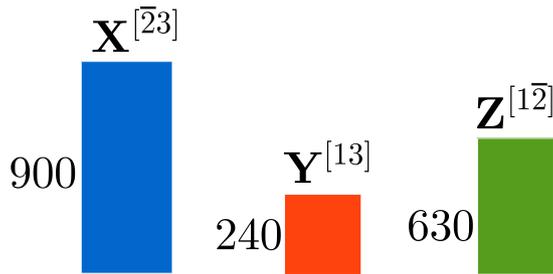
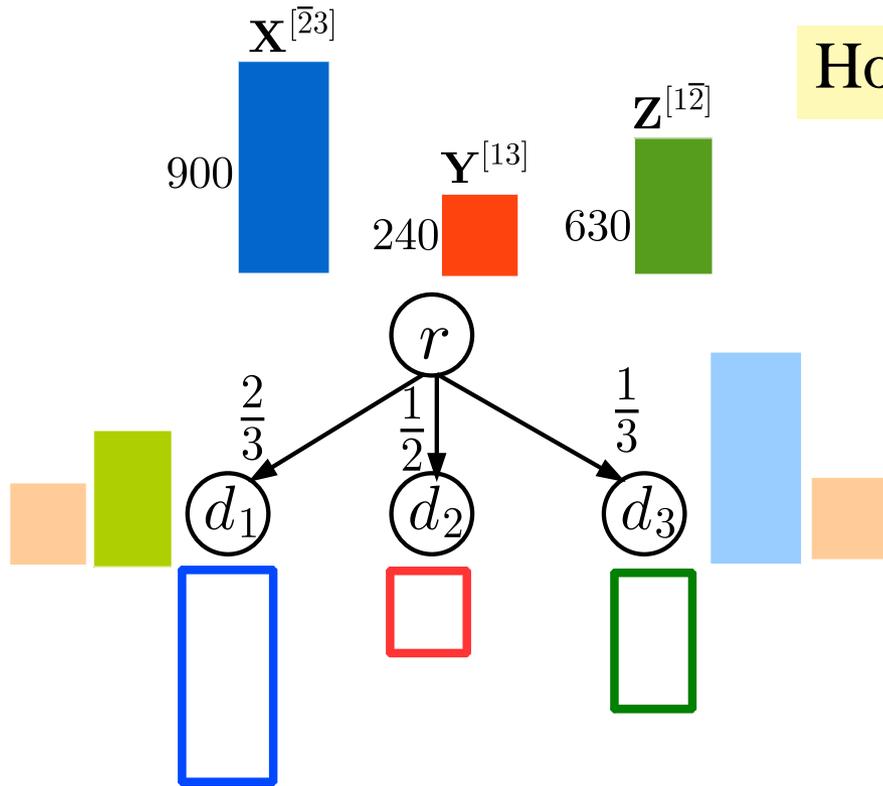
Solution 4 — Code Alignment:

Totally 2280 time slots.

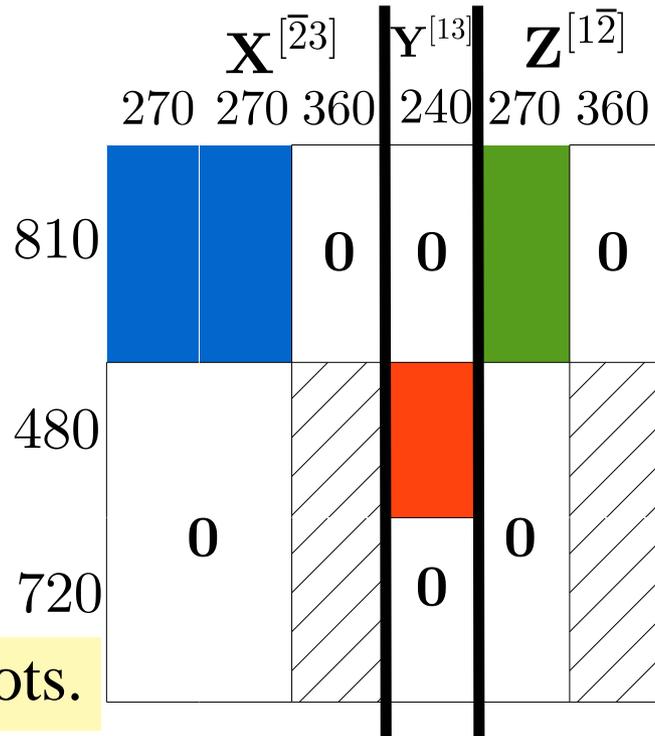
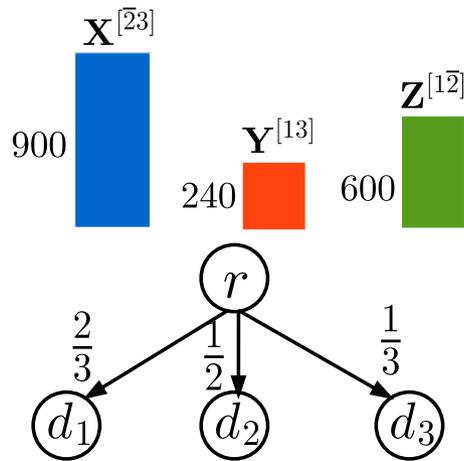


Solution 3 — A 2-staged scheme:

$$\frac{240}{1/2} + \max\left(\frac{900}{2/3}, \frac{630}{1/3}\right) = 2370$$



Solution 5: A Hybrid Scheme

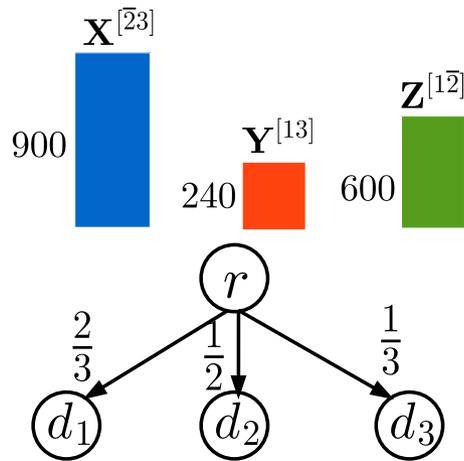


 Code Alignment

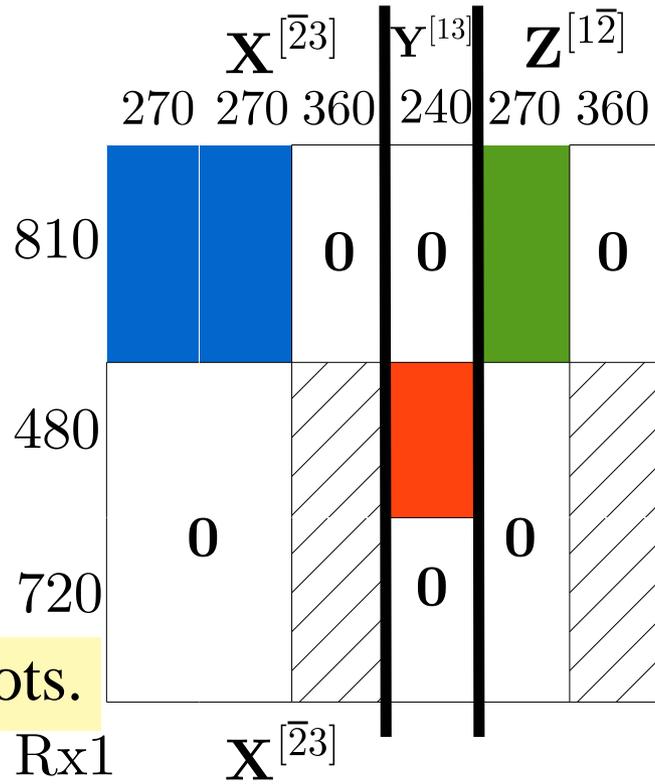
Totally 2010 time slots.



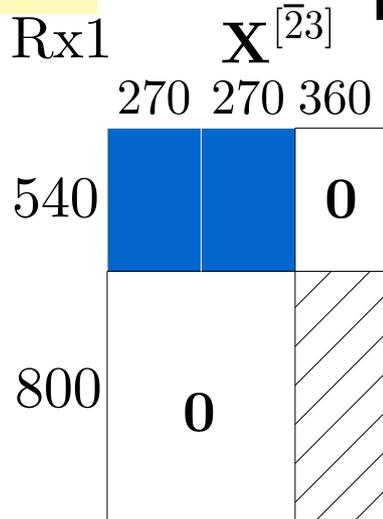
Solution 5: A Hybrid Scheme



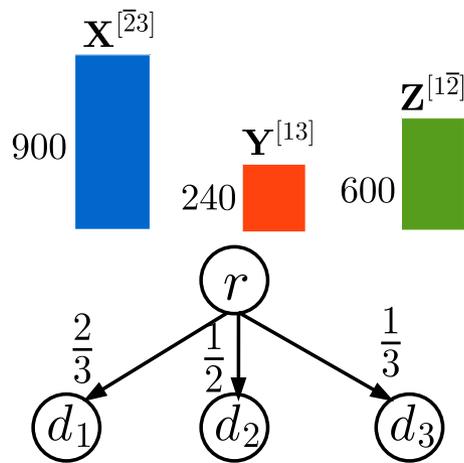
Totally 2010 time slots.



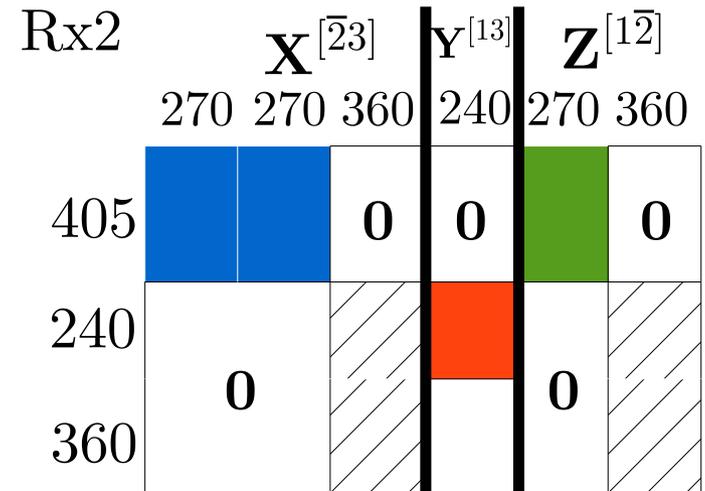
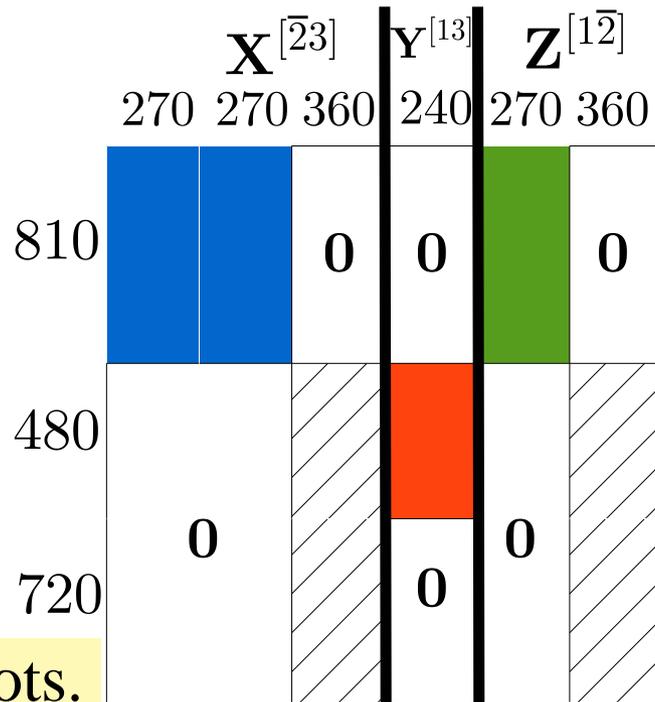
 Code Alignment



Solution 5: A Hybrid Scheme

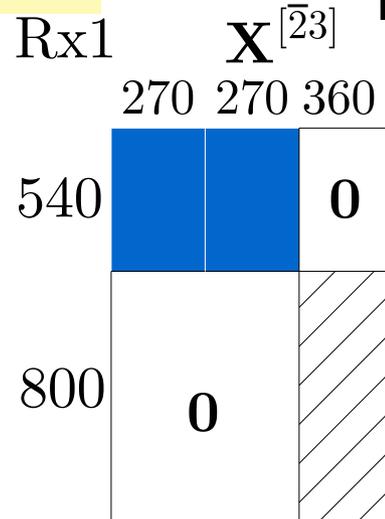


Totally 2010 time slots.

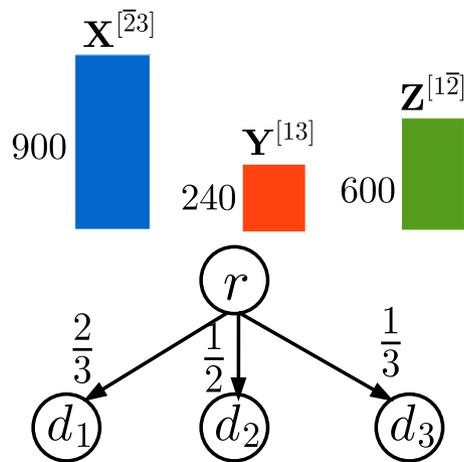


Instead of decoding X and Z , we decode only $X + Z$.

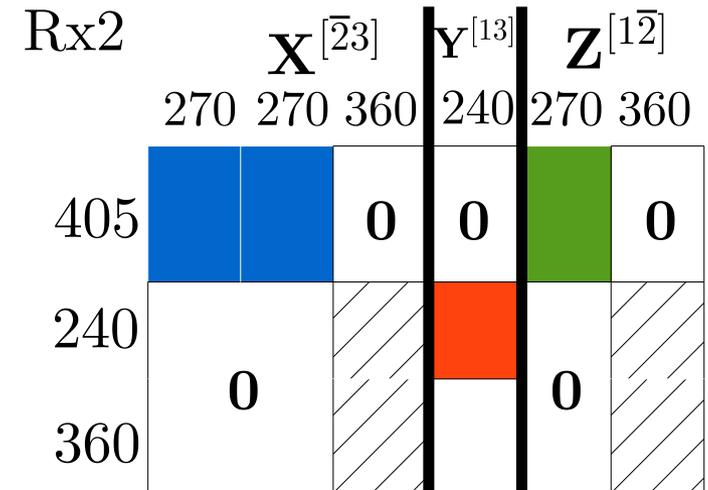
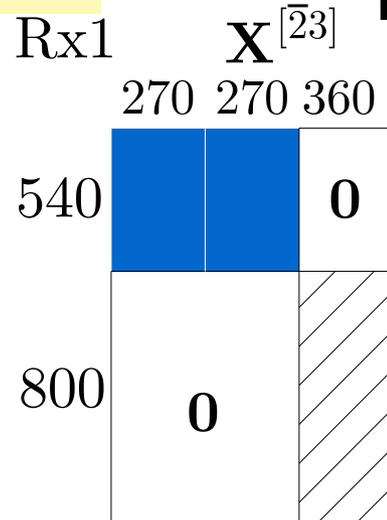
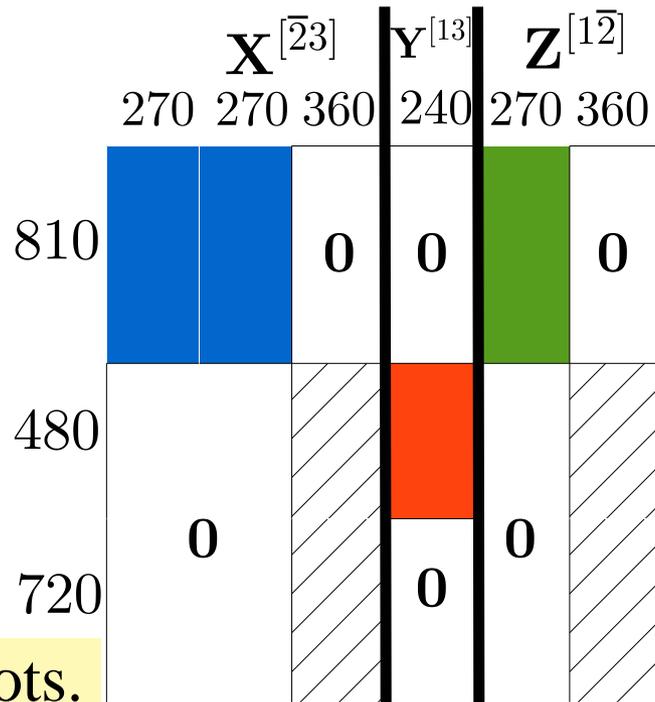
 Code Alignment



Solution 5: A Hybrid Scheme

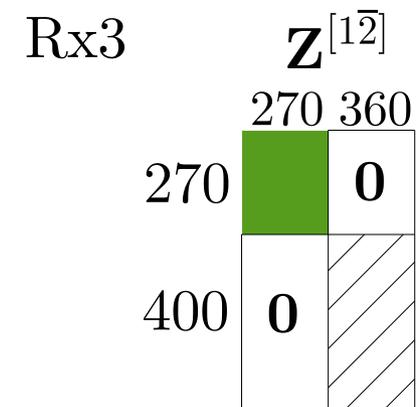


Totally 2010 time slots.



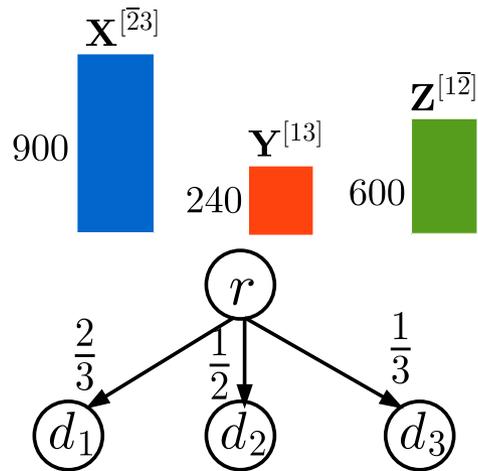
Instead of decoding X and Z , we decode only $X + Z$.

Code Alignment



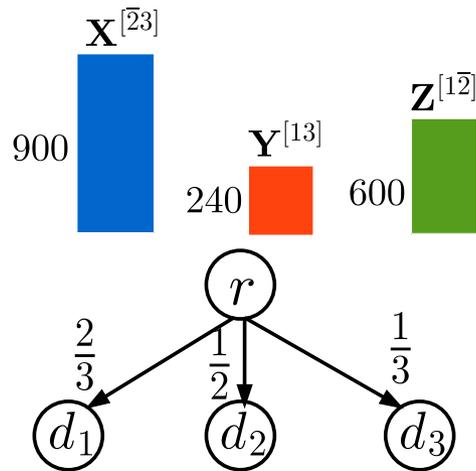
Is The Hybrid Scheme Optimal?

Can we finish tx in < 2010 slots?



Is The Hybrid Scheme Optimal?

Can we finish tx in < 2010 slots?

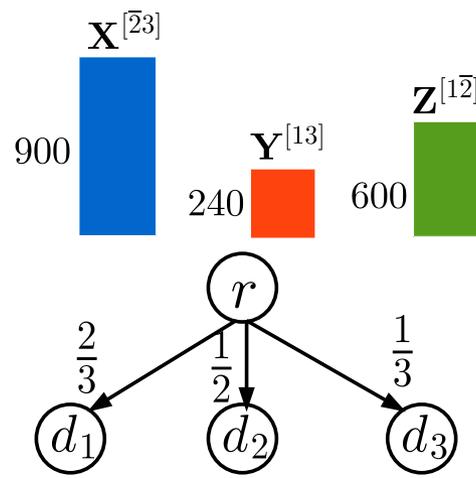


Any network code.

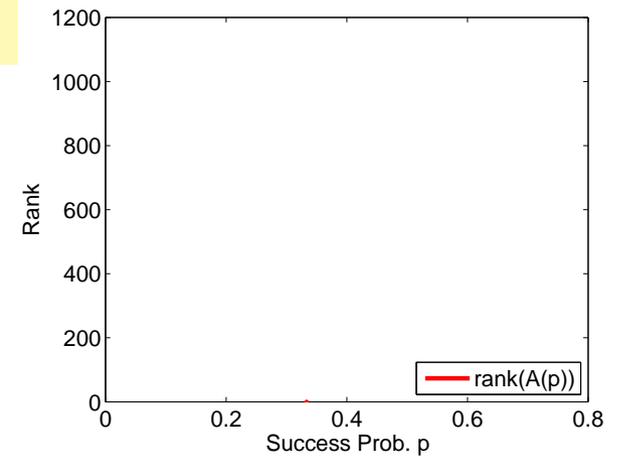
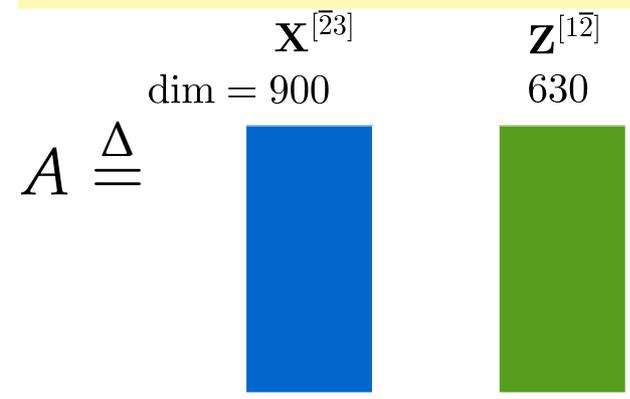
	$X^{[23]}$	$Y^{[13]}$	$Z^{[12]}$
dim =	900	240	630



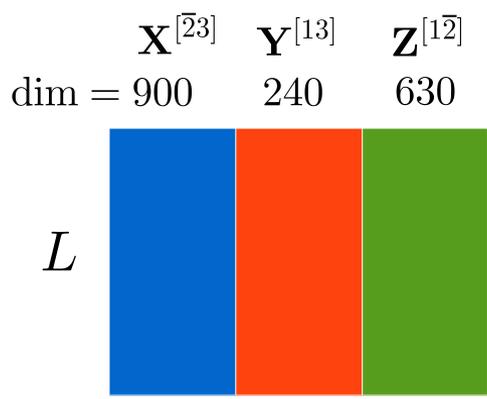
Is The Hybrid Scheme Optimal?



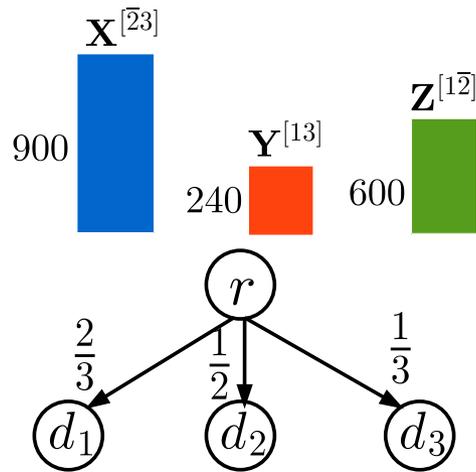
Can we finish tx in <2010



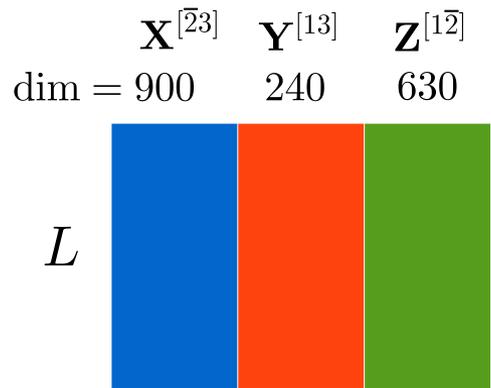
Any network code.



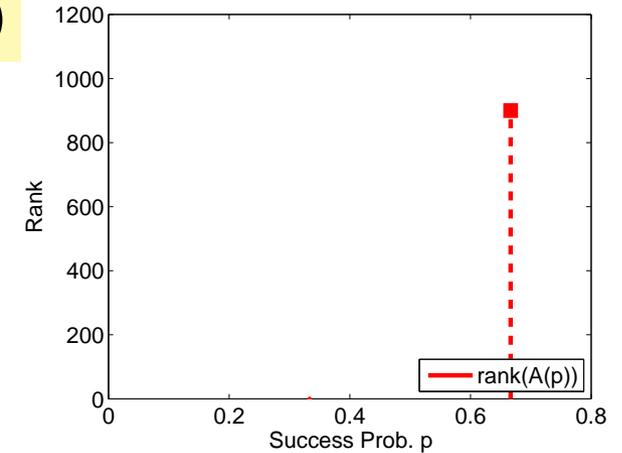
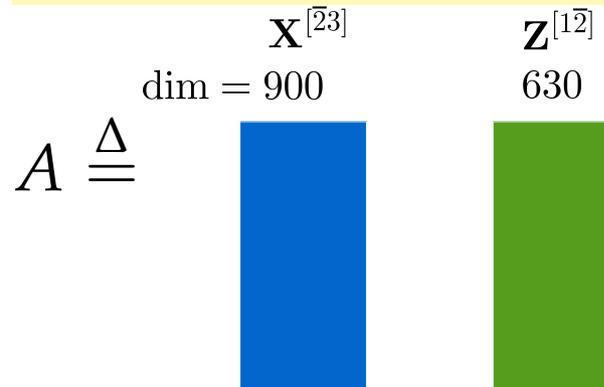
Is The Hybrid Scheme Optimal?



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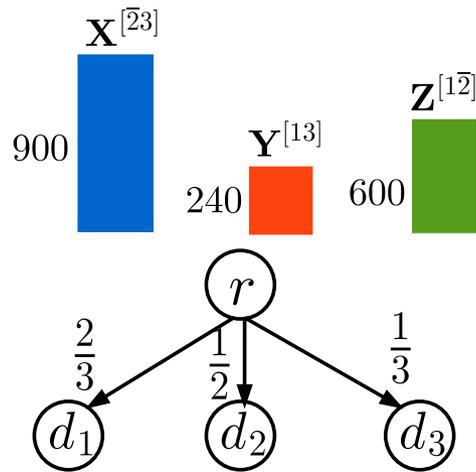
Can we finish tx in <2010



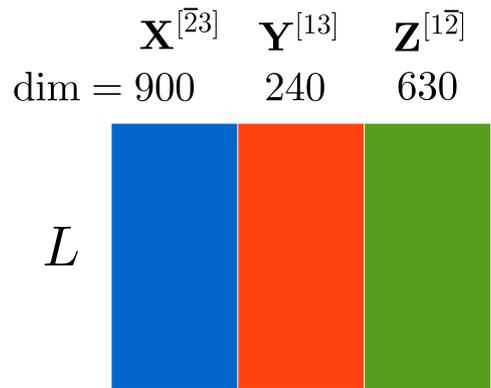
Decodability at $d_1 \Rightarrow \text{Rank}(A(2/3)) \geq 900$.



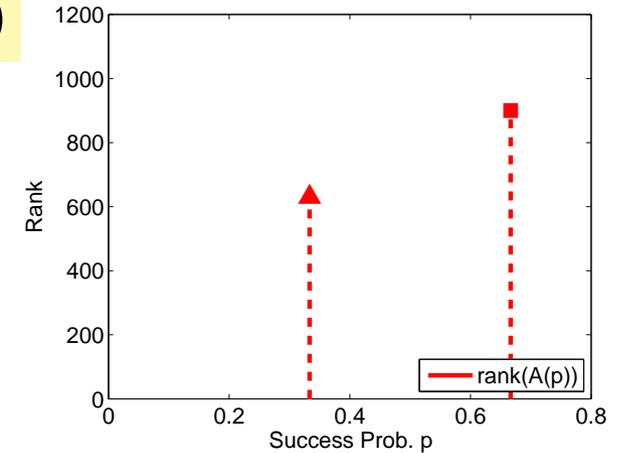
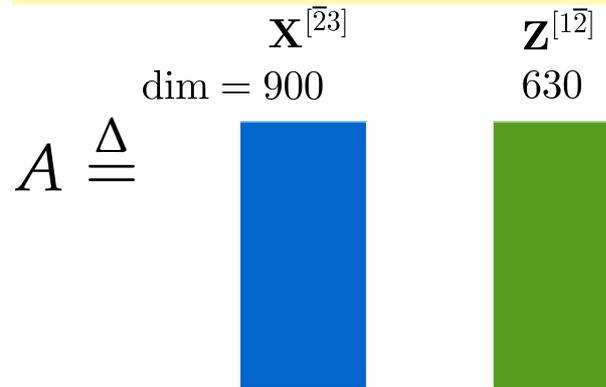
Is The Hybrid Scheme Optimal?



Any network code.



Can we finish tx in <2010

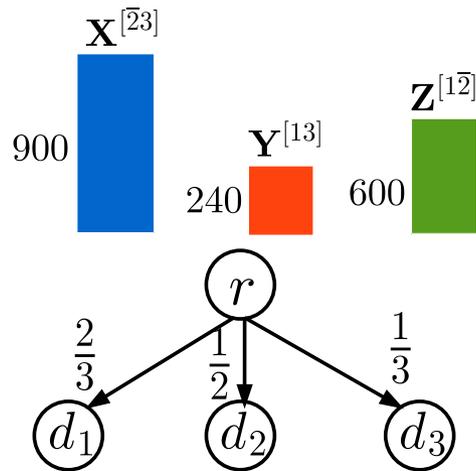


Decodability at $d_1 \Rightarrow \text{Rank}(A(2/3)) \geq 900$.

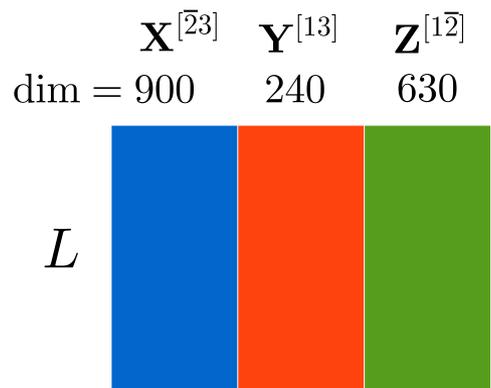
Decodability at $d_3 \Rightarrow \text{Rank}(A(1/3)) \geq 630$.



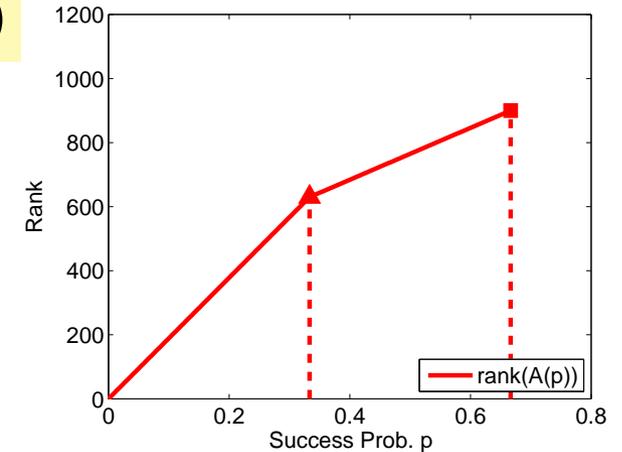
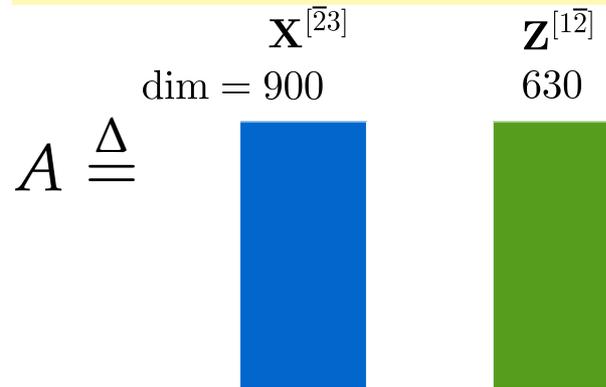
Is The Hybrid Scheme Optimal?



Any network code.



Can we finish tx in < 2010



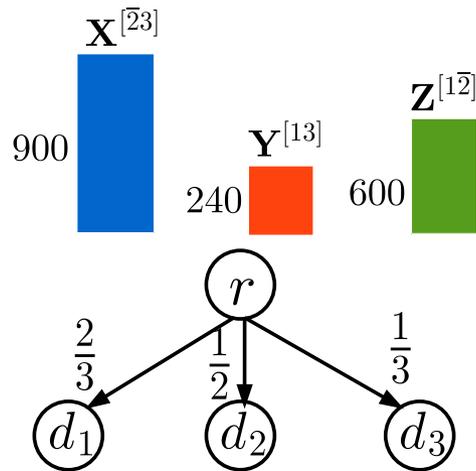
Decodability at $d_1 \Rightarrow \text{Rank}(A(2/3)) \geq 900$.

Decodability at $d_3 \Rightarrow \text{Rank}(A(1/3)) \geq 630$.

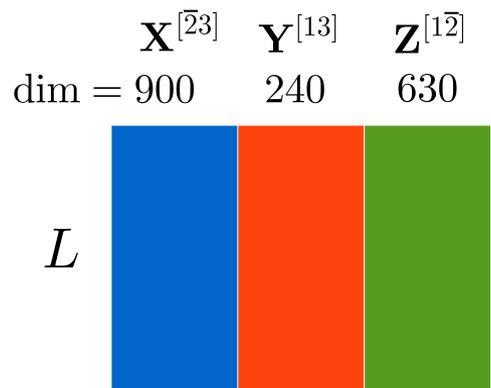
Concavity of information transmission.



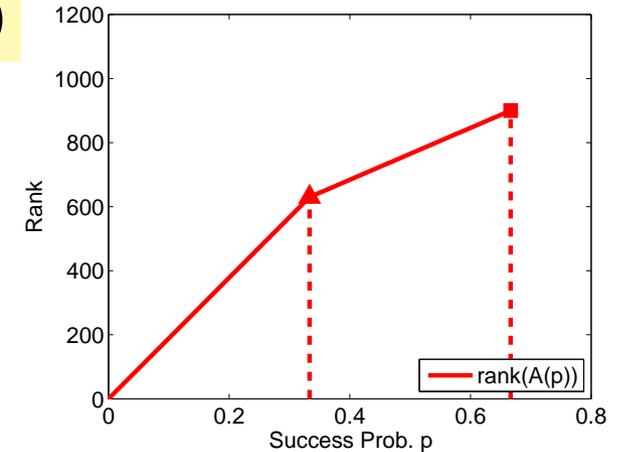
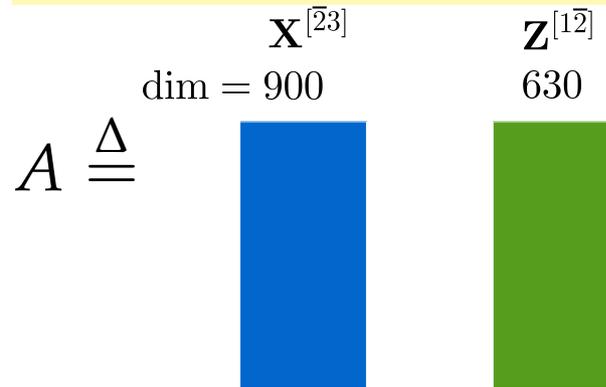
Is The Hybrid Scheme Optimal?



Any network code.



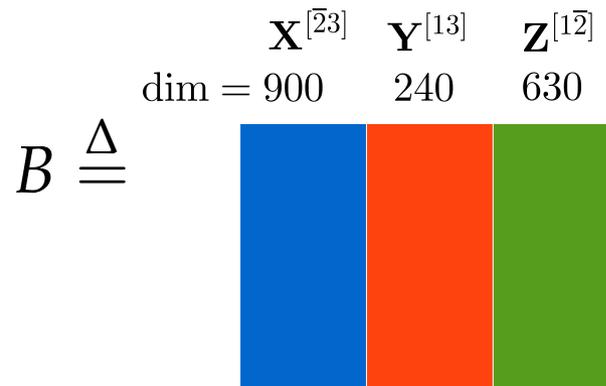
Can we finish tx in <2010



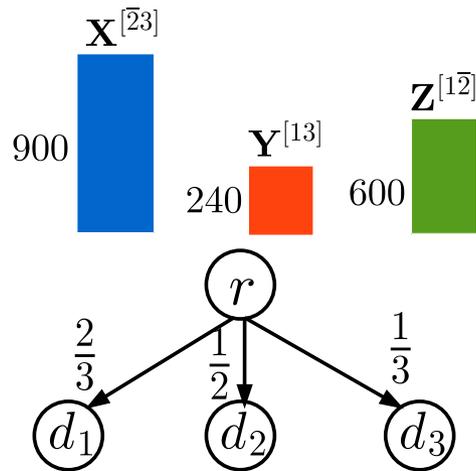
Decodability at $d_1 \Rightarrow \text{Rank}(A(2/3)) \geq 900$.

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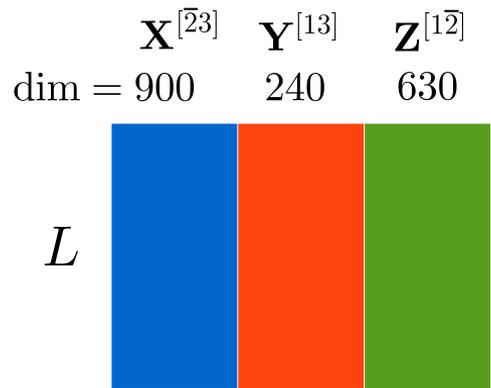
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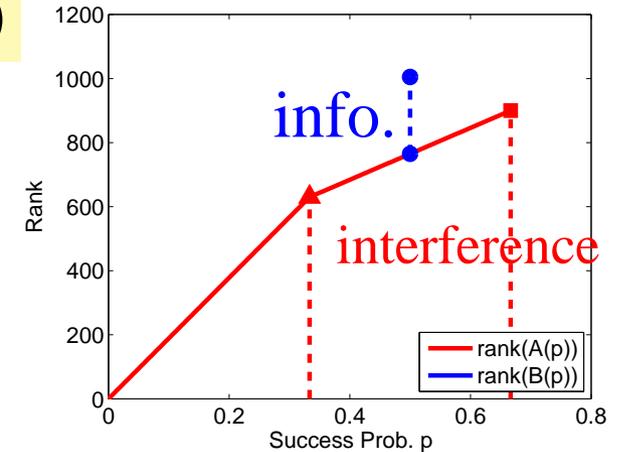
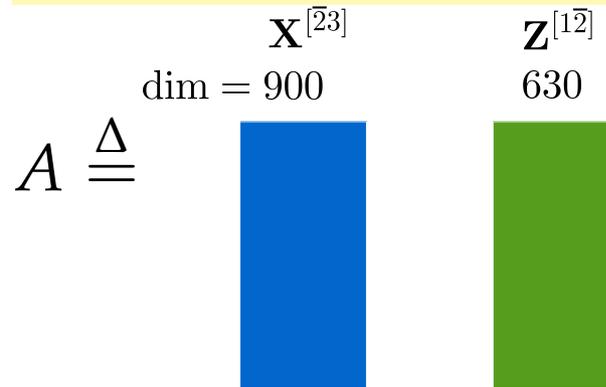
Is The Hybrid Scheme Optimal?



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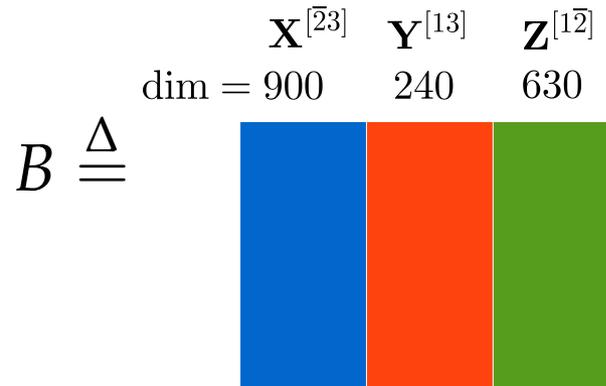
Can we finish tx in <2010



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Concavity of information transmission.

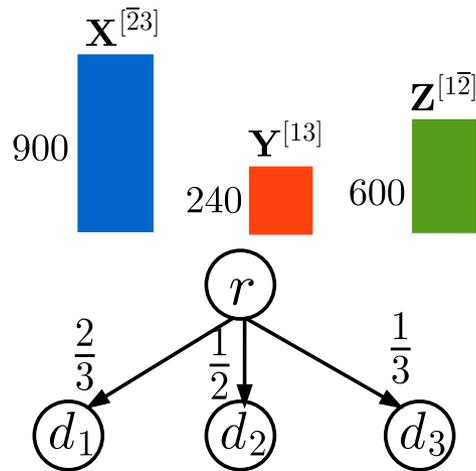


info. interference.

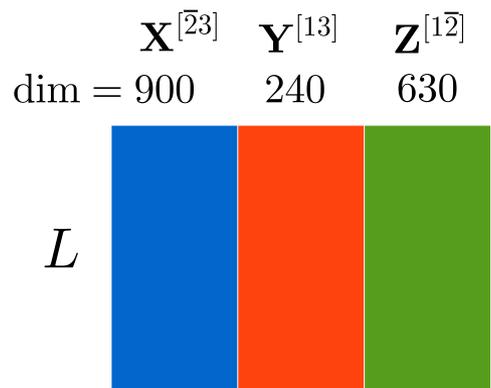
Decodability at $d_2 \Rightarrow \text{Rank}(B(1/2)) \geq 240 + \text{Rank}(A(1/2)) = 1005$.



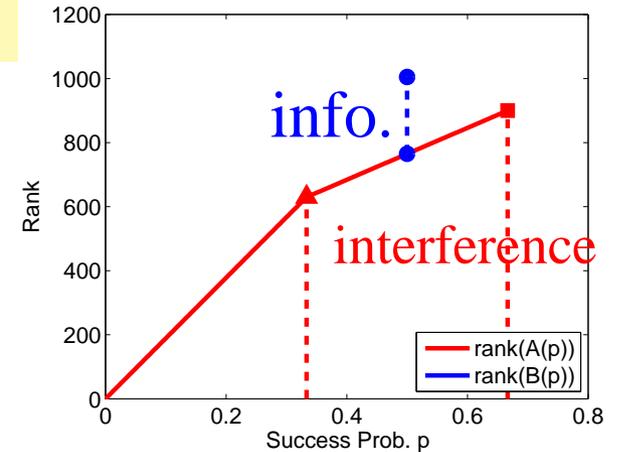
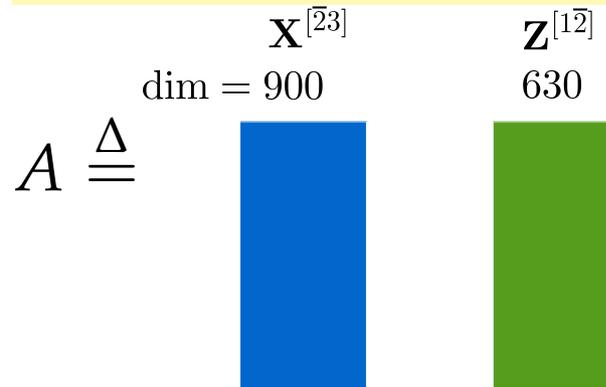
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Any network code.



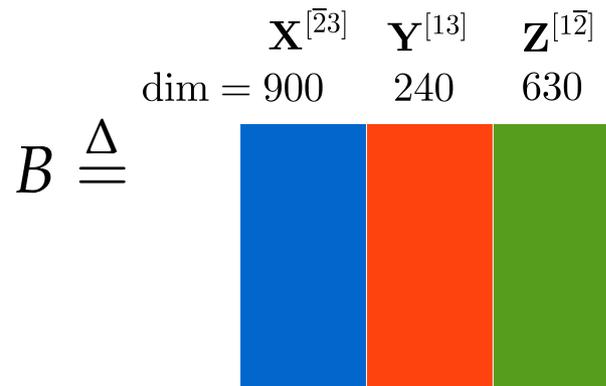
Can we finish tx in <2010



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Concavity of information transmission.



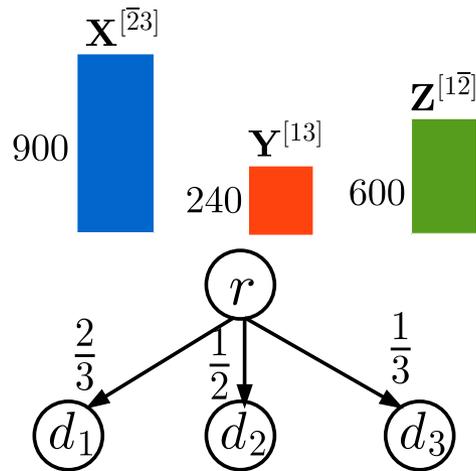
Total time slots at d_2 :

$$L \cdot \frac{1}{2} \geq \text{Rank}(B(1/2)) \geq 1005 \Rightarrow L \geq 2010.$$

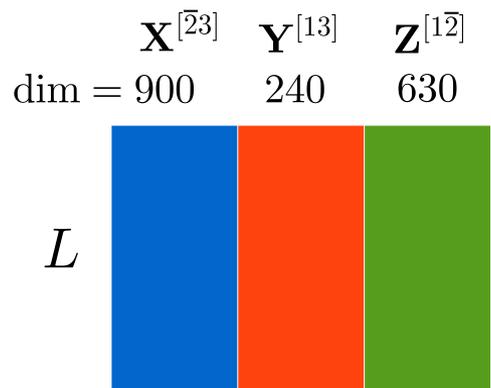
Decodability at $d_2 \Rightarrow \text{Rank}(B(1/2)) \geq 240 + \text{Rank}(A(1/2)) = 1005$.

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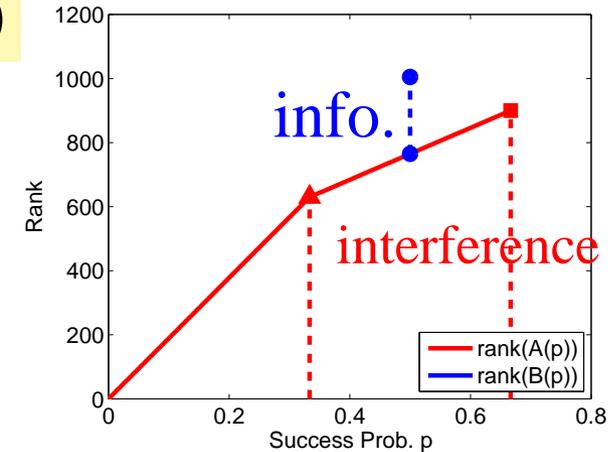
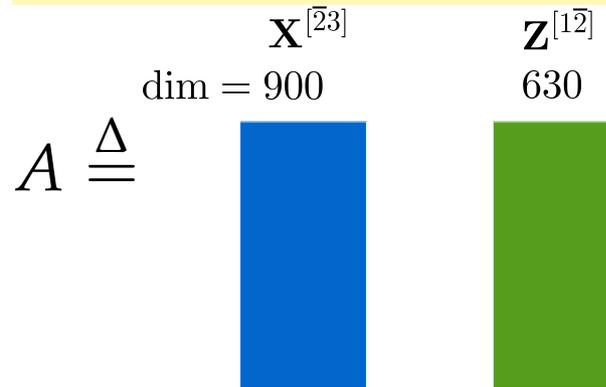
Is The Hybrid Scheme Optimal?



Any network code.



Can we finish tx in <2010

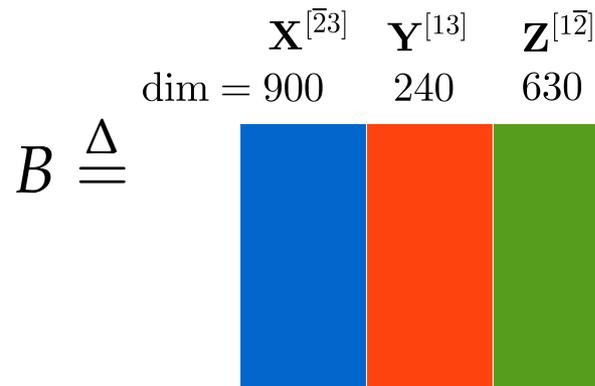


Decodability at $d_1 \Rightarrow \text{Rank}(A(2/3)) \geq 900$

Decodability at $d_3 \Rightarrow \text{Rank}(A(1/3)) \geq 630$.

Concavity of information transmission.

The same arguments hold for non-linear codes as well.



Total time slots at d_2 :

$$L \cdot \frac{1}{2} \geq \text{Rank}(B(1/2)) \geq 1005$$

$$\Rightarrow L \geq 2010.$$

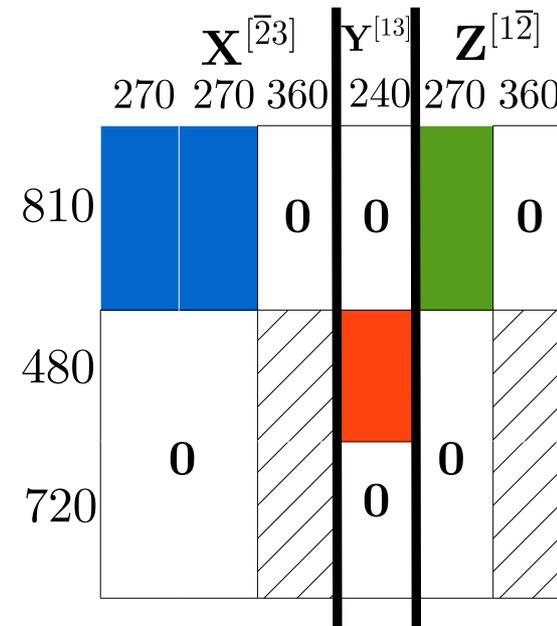
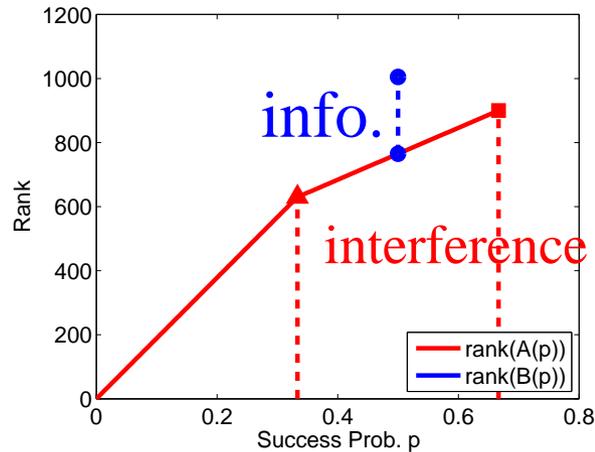
Decodability at $d_2 \Rightarrow \text{Rank}(B(1/2)) \geq 240 + \text{Rank}(A(1/2)) = 1005.$

info. interference.



M-Session Cap. Region

- **Outer bound:** Interference quantification + information concavity
- **Inner bound:** Hybrid schemes with stage-based approaches + code alignment.

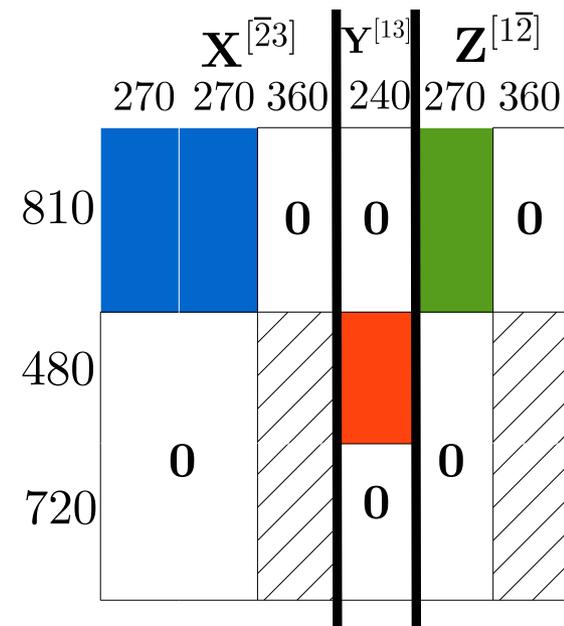
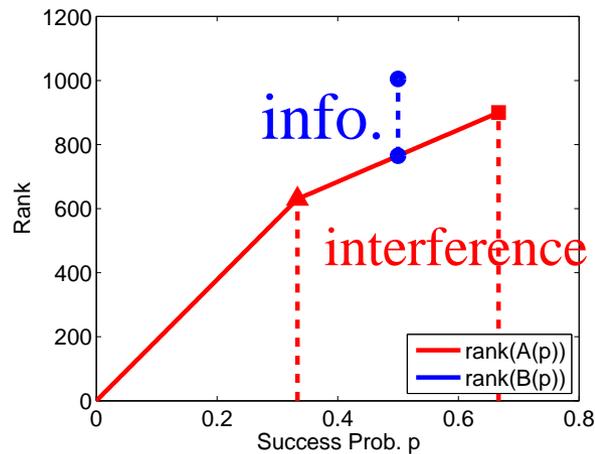


 Code Alignment



M-Session Cap. Region

- **Outer bound:** Interference quantification + information concavity
- **Inner bound:** Hybrid schemes with stage-based approaches + code alignment.
- $M = 3$: It is proven that the outer and inner bounds always meet \Rightarrow capacity.

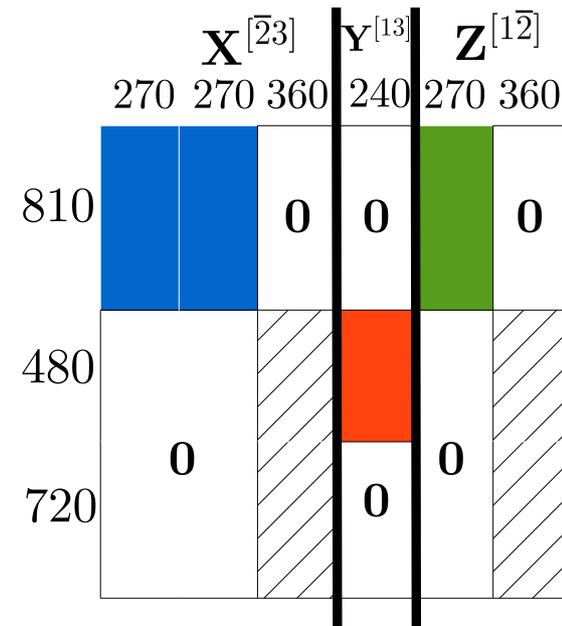
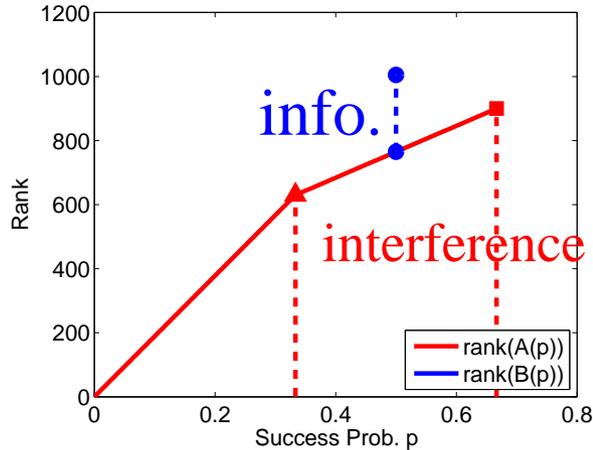


 Code Alignment



M-Session Cap. Region

- **Outer bound:** Interference quantification + information concavity
- **Inner bound:** Hybrid schemes with stage-based approaches + code alignment.
- $M = 3$: It is proven that the outer and inner bounds always meet \Rightarrow capacity.
- $M \geq 4$: Empirically, they meet within 1% for 99.4% of time.



Code Alignment



M-Session Cap. Region

- **Outer bound:** Interference quantification + information concavity
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- $M = 3$: It is proven that the outer and inner bounds always meet \Rightarrow capacity.
- $M \geq 4$: Empirically, they meet within 1% for 99.4% of time.

$$R_1 \leq \min(p_{s_1;r}, p_{r;d_1} - \max((R_2 - p_{s_2;d_1})^+ + (R_3 - p_{s_3;d_1 \cup d_2})^+, (R_2 - p_{s_2;d_1 \cup d_3})^+ + (R_3 - p_{s_3;d_1})^+)),$$

$$R_2 \leq \min(p_{s_2;r}, p_{r;d_2} - \max\left(\frac{p_{r;d_2}}{p_{r;d_1}} ((R_1 - p_{s_1;d_2})^+ + (R_3 - p_{s_3;d_1 \cup d_2})^+), \right. \\ \left. \left(\frac{p_{r;d_2}}{p_{r;d_1}} - \frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}}\right) (R_1 - p_{s_1;d_2 \cup d_3})^+ + \frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}} (R_1 - p_{s_1;d_2})^+ \right. \\ \left. + \left(1 - \frac{p_{r;d_1} - p_{r;d_2}}{p_{r;d_1} - p_{r;d_3}}\right) (R_3 - p_{s_3;d_1 \cup d_2})^+ + \frac{p_{r;d_1} - p_{r;d_2}}{p_{r;d_1} - p_{r;d_3}} (R_3 - p_{s_3;d_2})^+, \right. \\ \left. \frac{p_{r;d_2}}{p_{r;d_1}} (R_1 - p_{s_1;d_2 \cup d_3})^+ + (R_3 - p_{s_3;d_2})^+ \right)),$$

$$R_3 \leq \min(p_{s_3;r}, p_{r;d_3} - \max\left(\frac{p_{r;d_3}}{p_{r;d_1}} ((R_1 - p_{s_1;d_3})^+ + (R_2 - p_{s_2;d_1 \cup d_3})^+), \right. \\ \left. \frac{p_{r;d_3}}{p_{r;d_1}} (R_1 - p_{s_1;d_2 \cup d_3})^+ + \frac{p_{r;d_3}}{p_{r;d_2}} (R_2 - p_{s_2;d_3})^+ \right)).$$



M-Session Cap. Region

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$$R_1 \leq \min(p_{s_1;r}, p_{r;d_1} - \max((R_2 - p_{s_2;d_1})^+ + (R_3 - p_{s_3;d_1 \cup d_2})^+, (R_2 - p_{s_2;d_1 \cup d_3})^+ + (R_3 - p_{s_3;d_1})^+)),$$

$$R_2 \leq \min(p_{s_2;r}, p_{r;d_2} - \max\left(\frac{p_{r;d_2}}{p_{r;d_1}} ((R_1 - p_{s_1;d_2})^+ + (R_3 - p_{s_3;d_1 \cup d_2})^+),$$

$$\left(\frac{p_{r;d_2}}{p_{r;d_1}} - \frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}}\right) (R_1 - p_{s_1;d_2 \cup d_3})^+ + \frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}} (R_1 - p_{s_1;d_2})^+$$

$$\left(\frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}}\right) (R_2 - p_{s_2;d_1 \cup d_3})^+ + \frac{p_{r;d_2} - p_{r;d_3}}{p_{r;d_1} - p_{r;d_3}} (R_2 - p_{s_2;d_1})^+),$$

The capacity region is governed by **linear** inequalities.

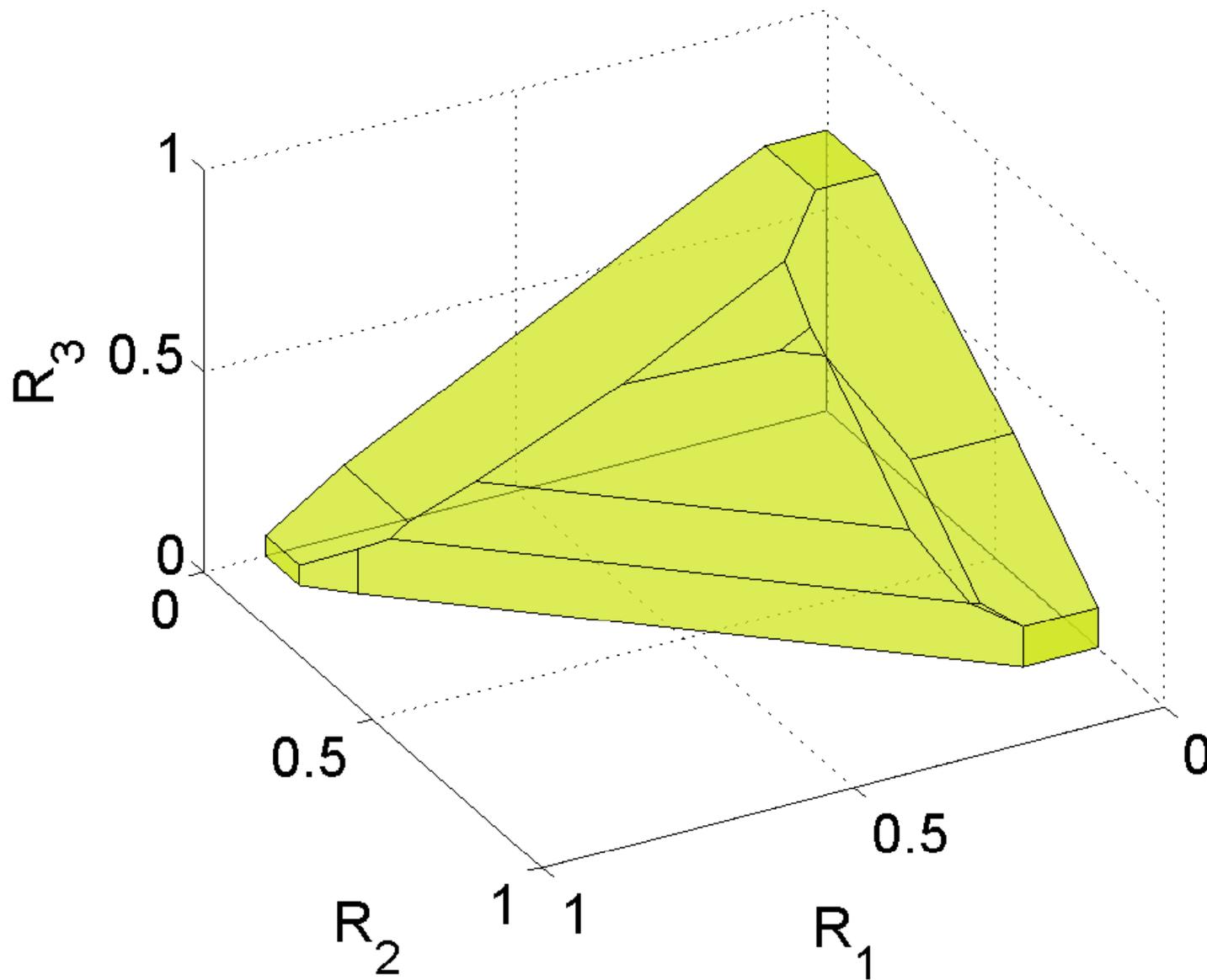
$$\frac{p_{r;d_2}}{p_{r;d_1}} (R_1 - p_{s_1;d_2 \cup d_3})^+ + (R_3 - p_{s_3;d_2})^+),$$

$$R_3 \leq \min(p_{s_3;r}, p_{r;d_3} - \max\left(\frac{p_{r;d_3}}{p_{r;d_1}} ((R_1 - p_{s_1;d_3})^+ + (R_2 - p_{s_2;d_1 \cup d_3})^+),$$

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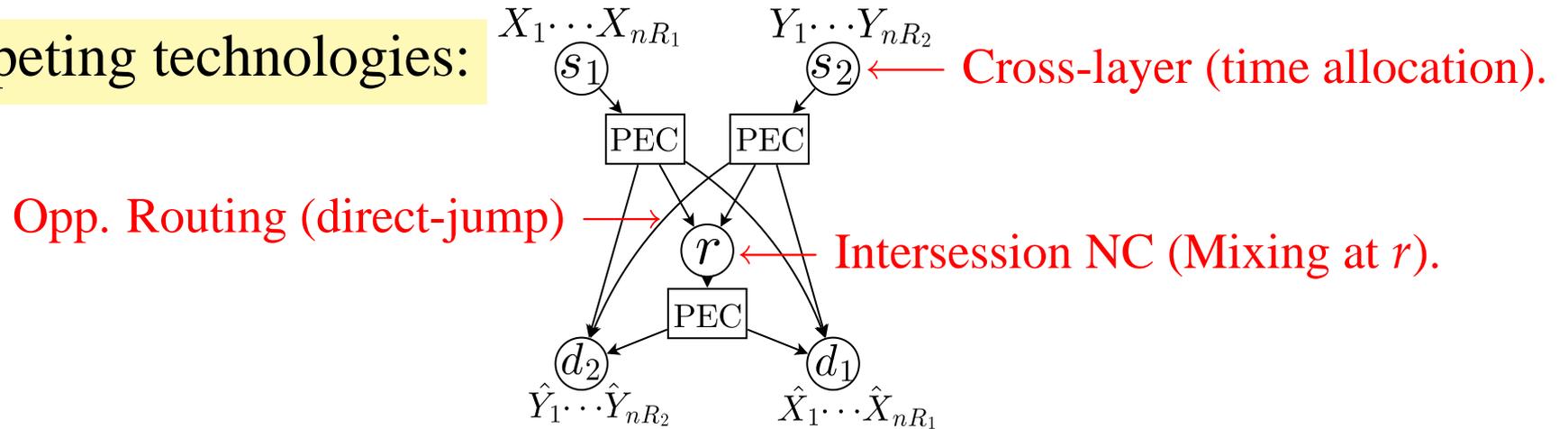


A 3-User Cap. Illustration



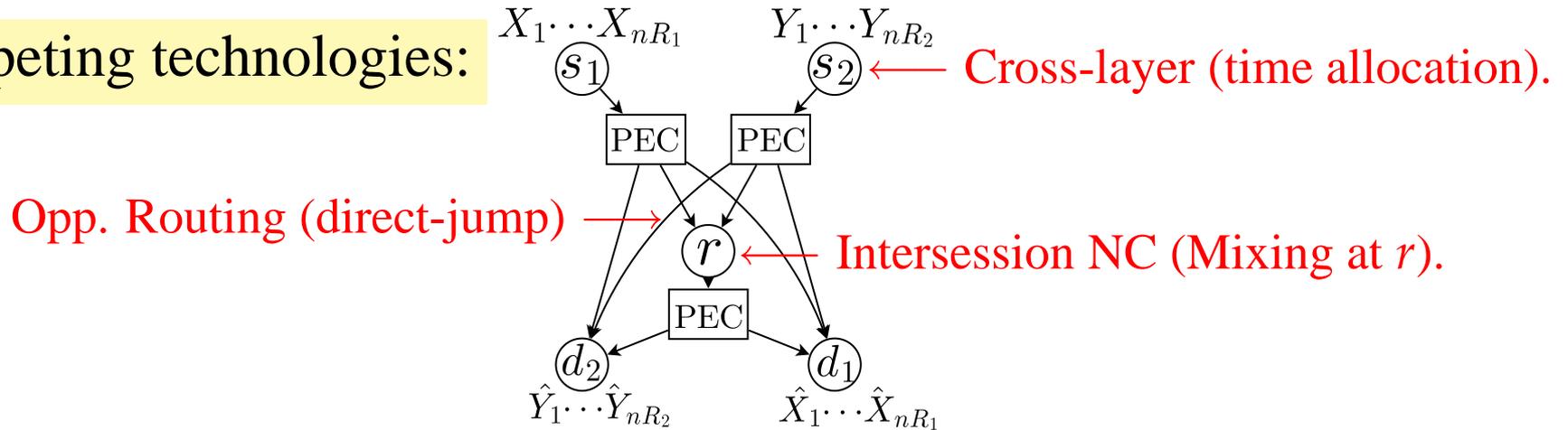
The Throughput Improvements

Competing technologies:

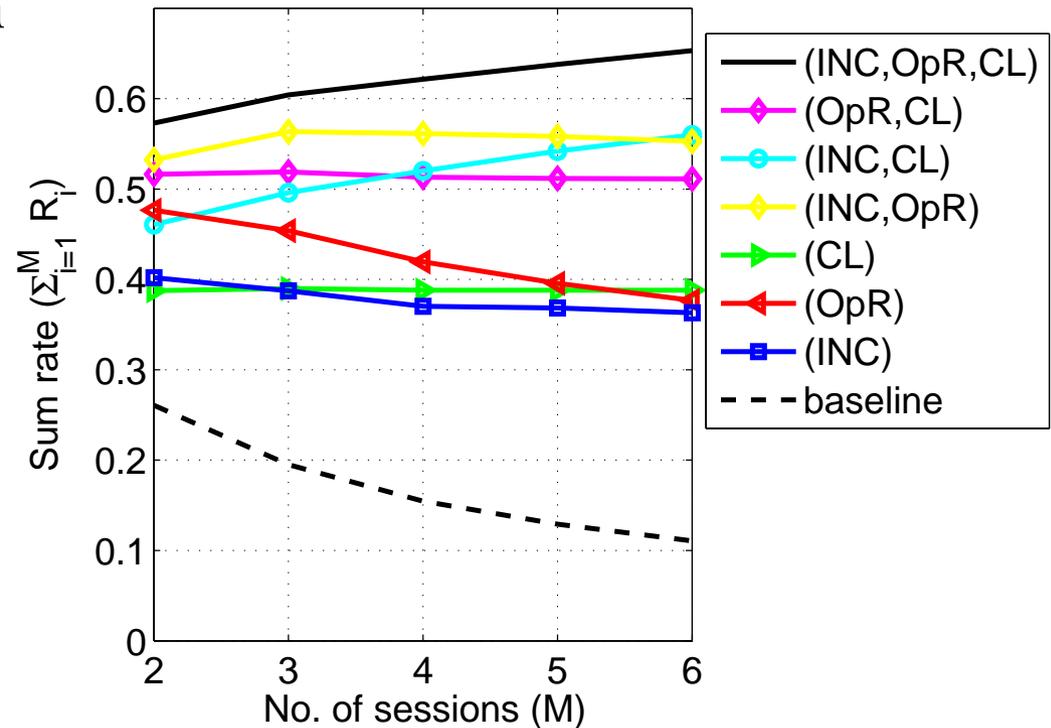
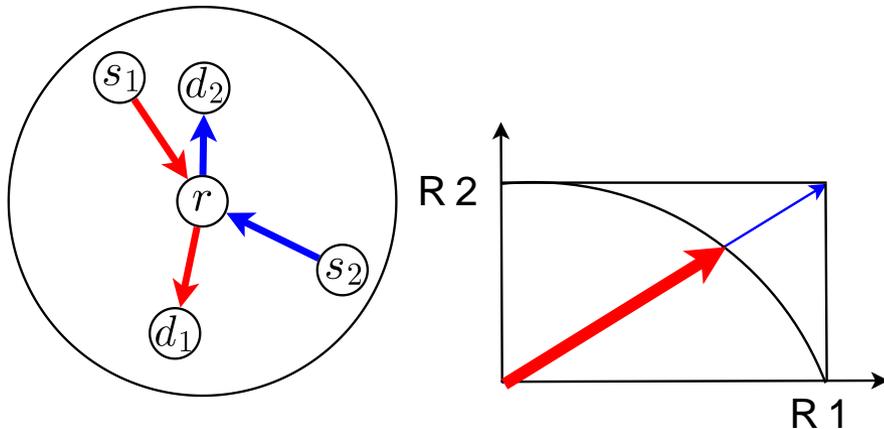


The Throughput Improvements

Competing technologies:



2-hop random networks, Rayleigh fading, proportional fairness.



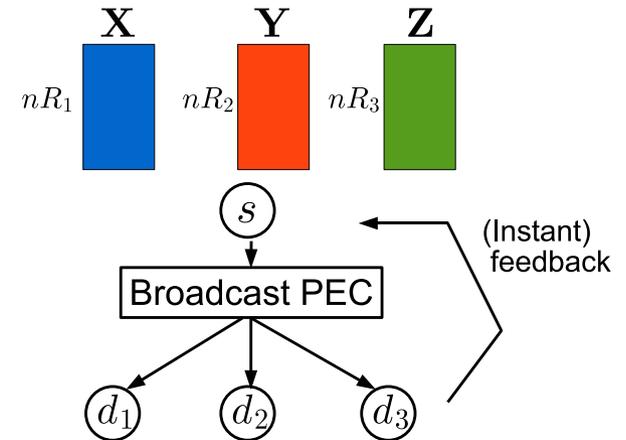
Part I: Quantifying and achieving the capacity of COPE-like protocols

Part II: Quantifying and achieving the capacity of ER-like protocols



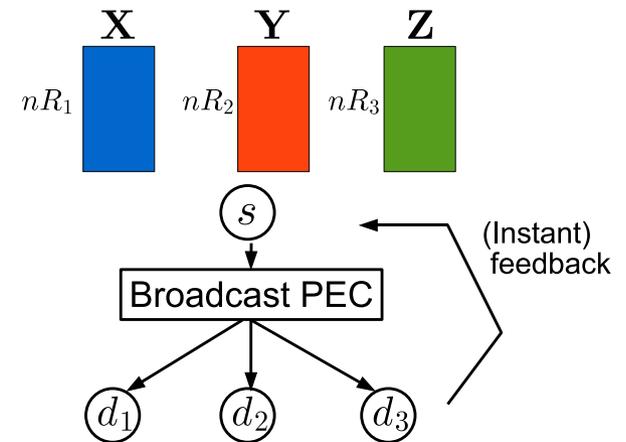
1-Hop Cellular (AP) Networks

- 1-hop access point networks. M dest.
- M can be large, say ≈ 20 .
(For 2-hop relay networks $M \leq 6$).
- Each session has nR_i packets.
- The source s uses the channel n times.



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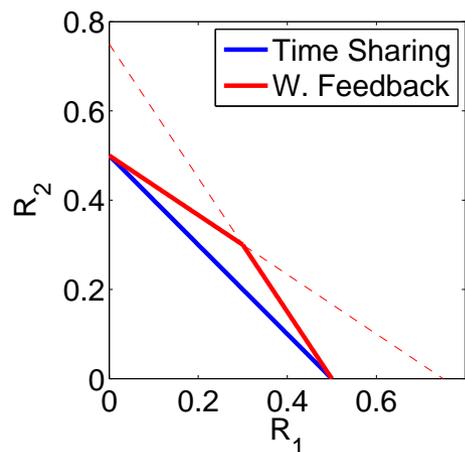
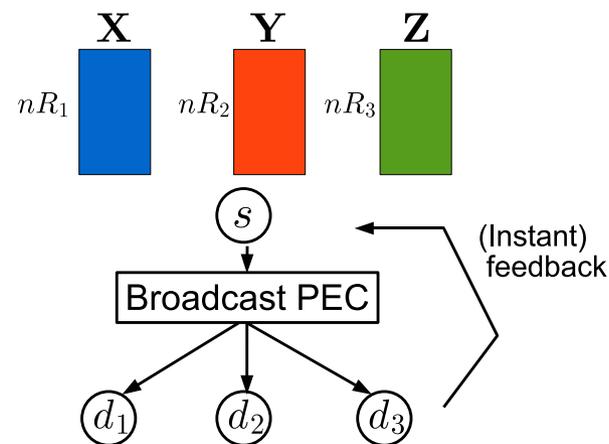
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- For $M = 2$, w. feedback, the capacity is [Georgiadis *et al.* 09].

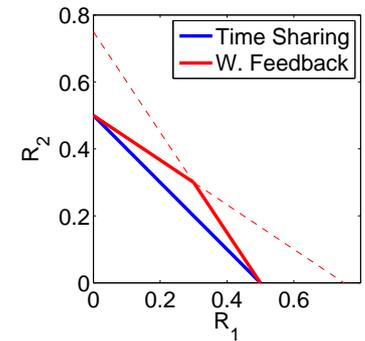
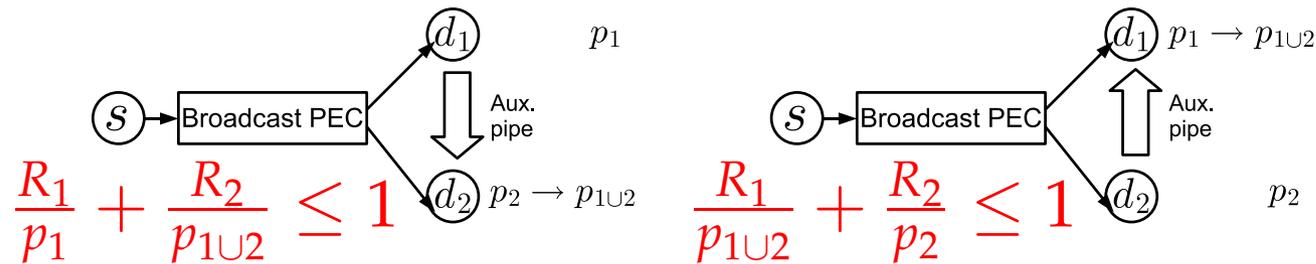


$$\begin{cases} \frac{R_1}{p_{1U2}} + \frac{R_2}{p_2} \leq 1 \\ \frac{R_1}{p_1} + \frac{R_2}{p_{1U2}} \leq 1 \end{cases}$$



Georgiadis' Proof

- **Outer bound** [Ozarow *et al.* 84]: Introduce auxiliary pipes to convert it into *physically degraded channels*, for which feedback does not increase the capacity [El Gamal 78].



The cap. of the original CH with feedback

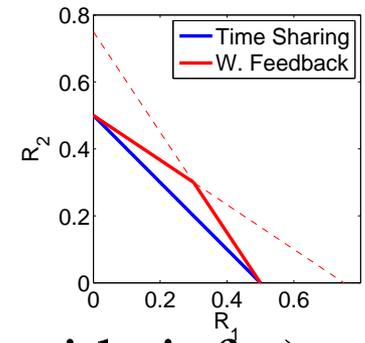
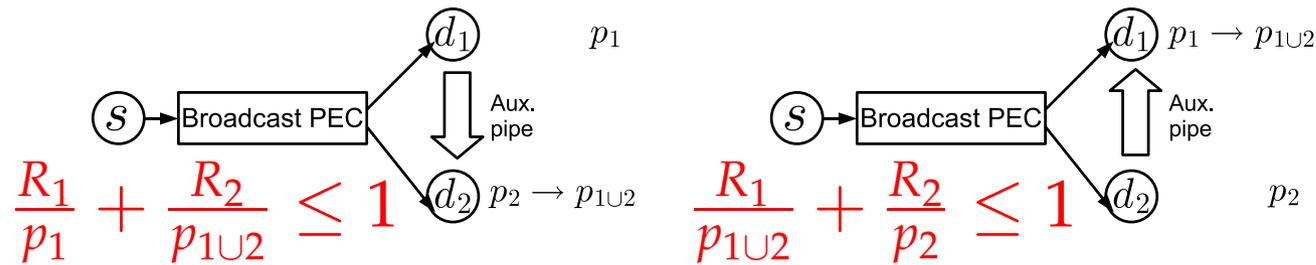
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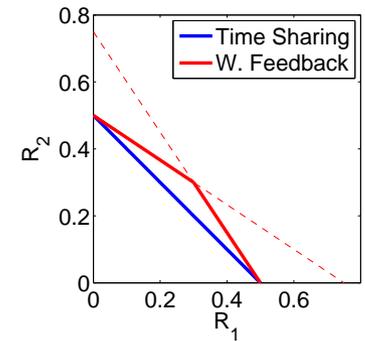
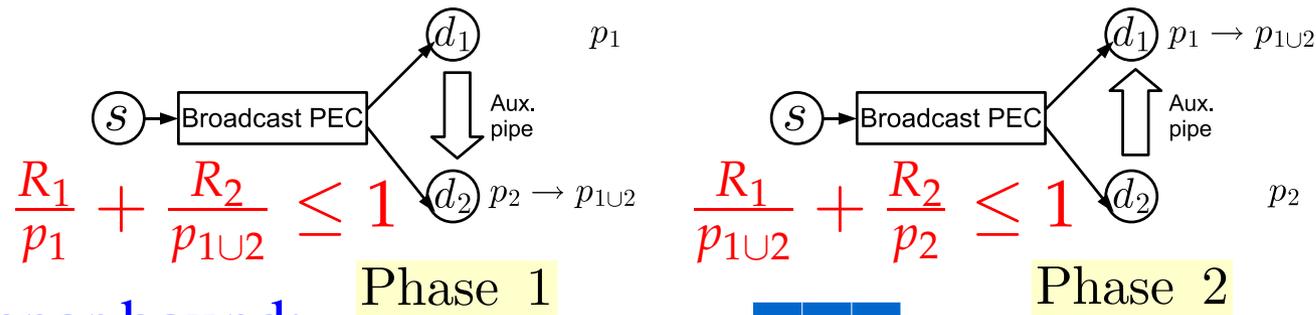


- **Inner bound:** A 2-phase approach. (Creating its own side info.)

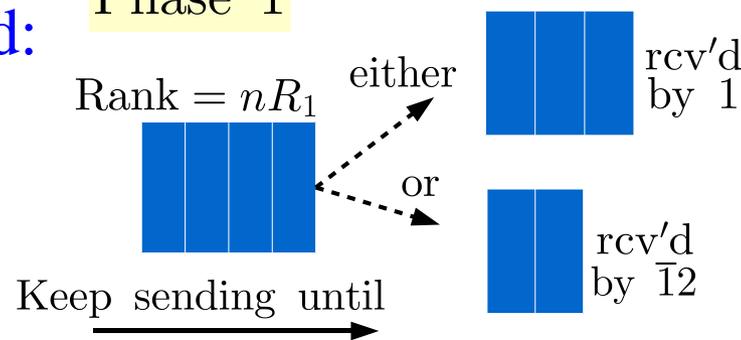


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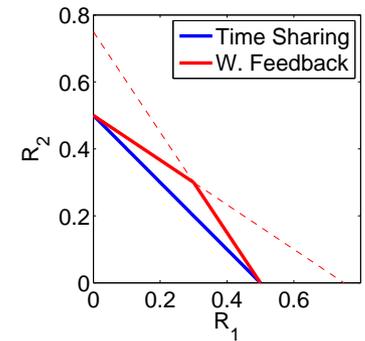
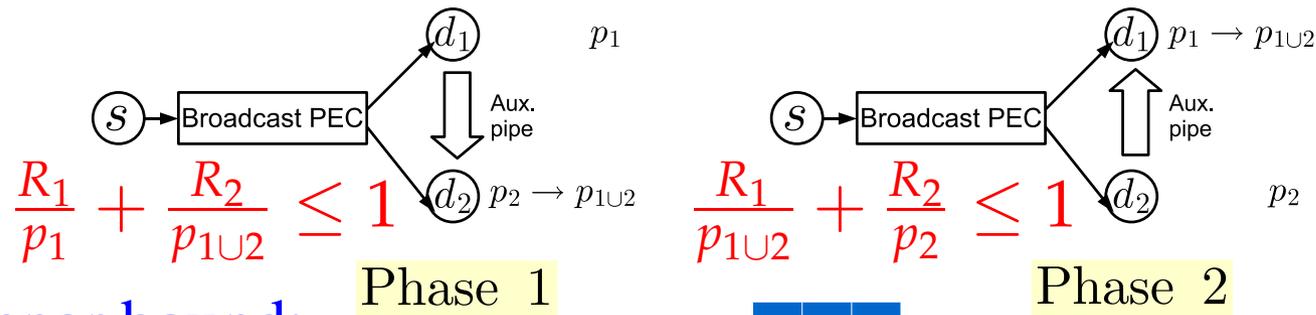


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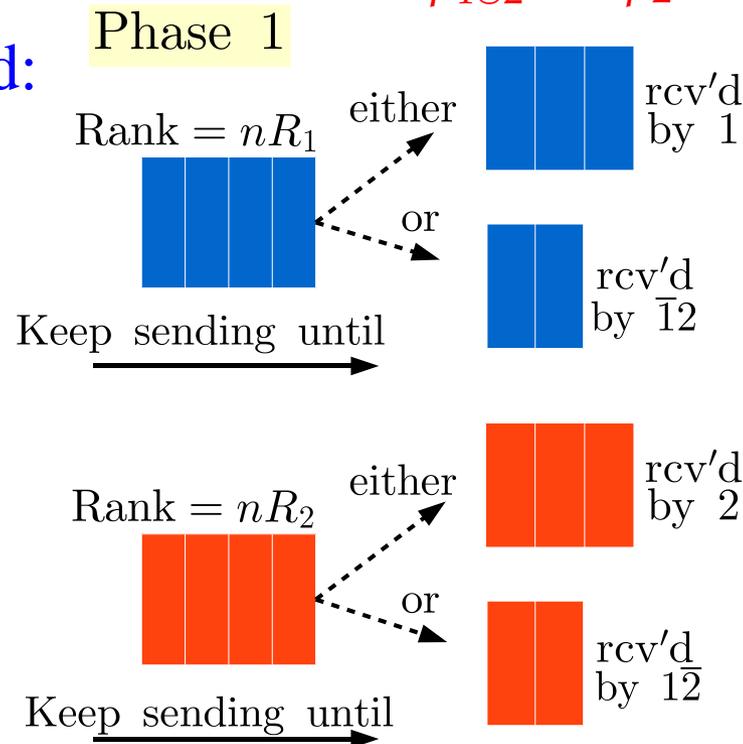


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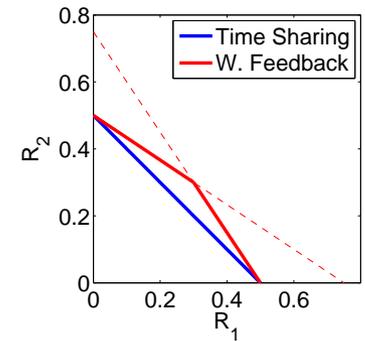
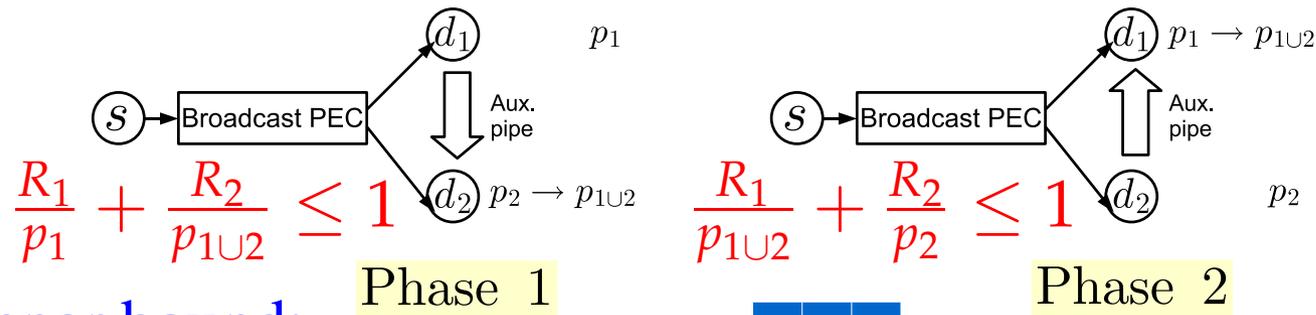


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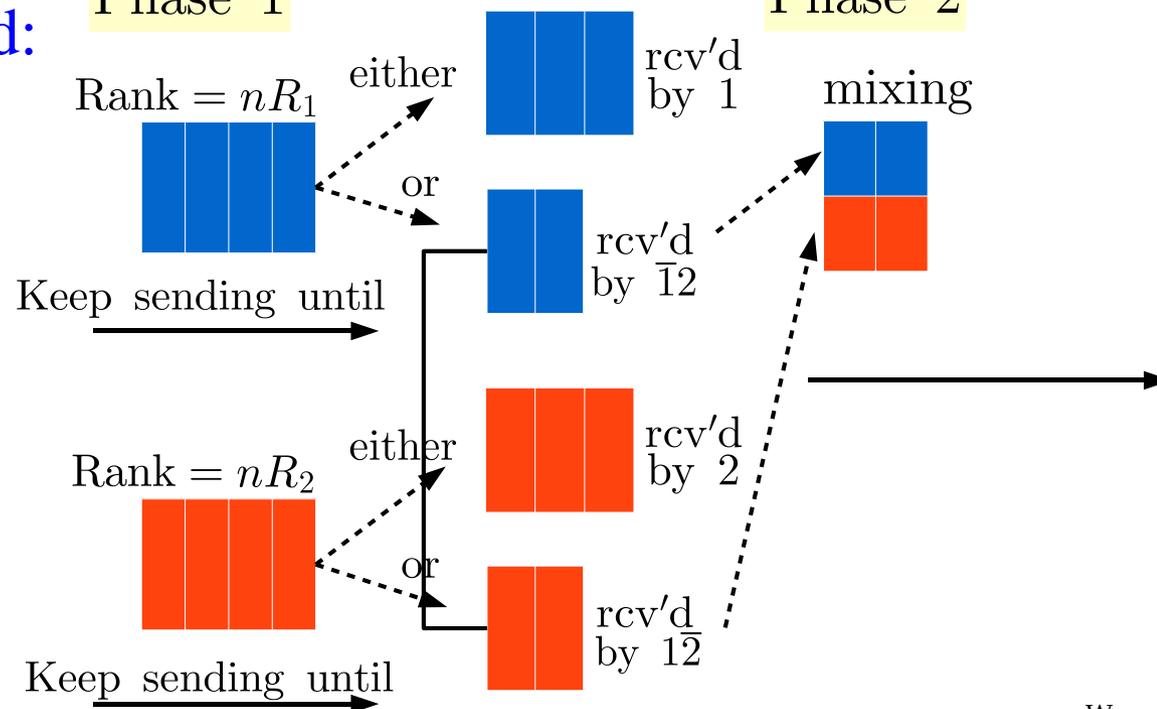


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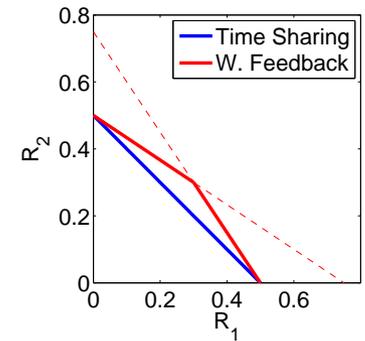
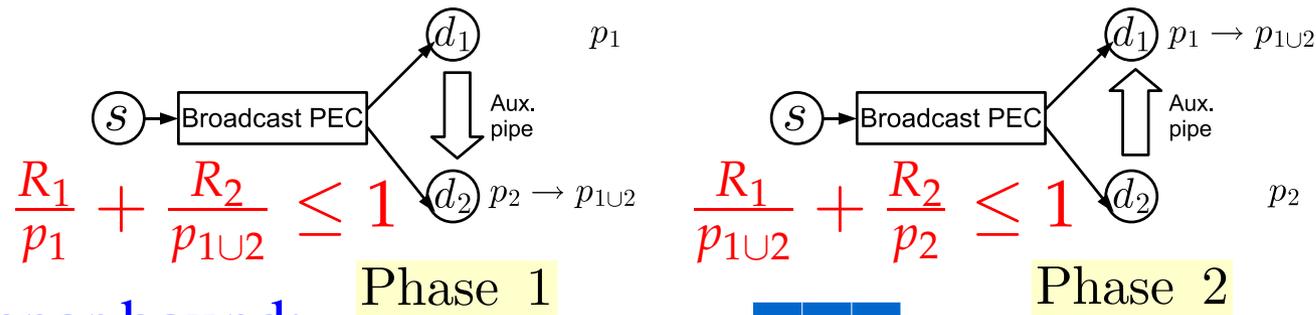


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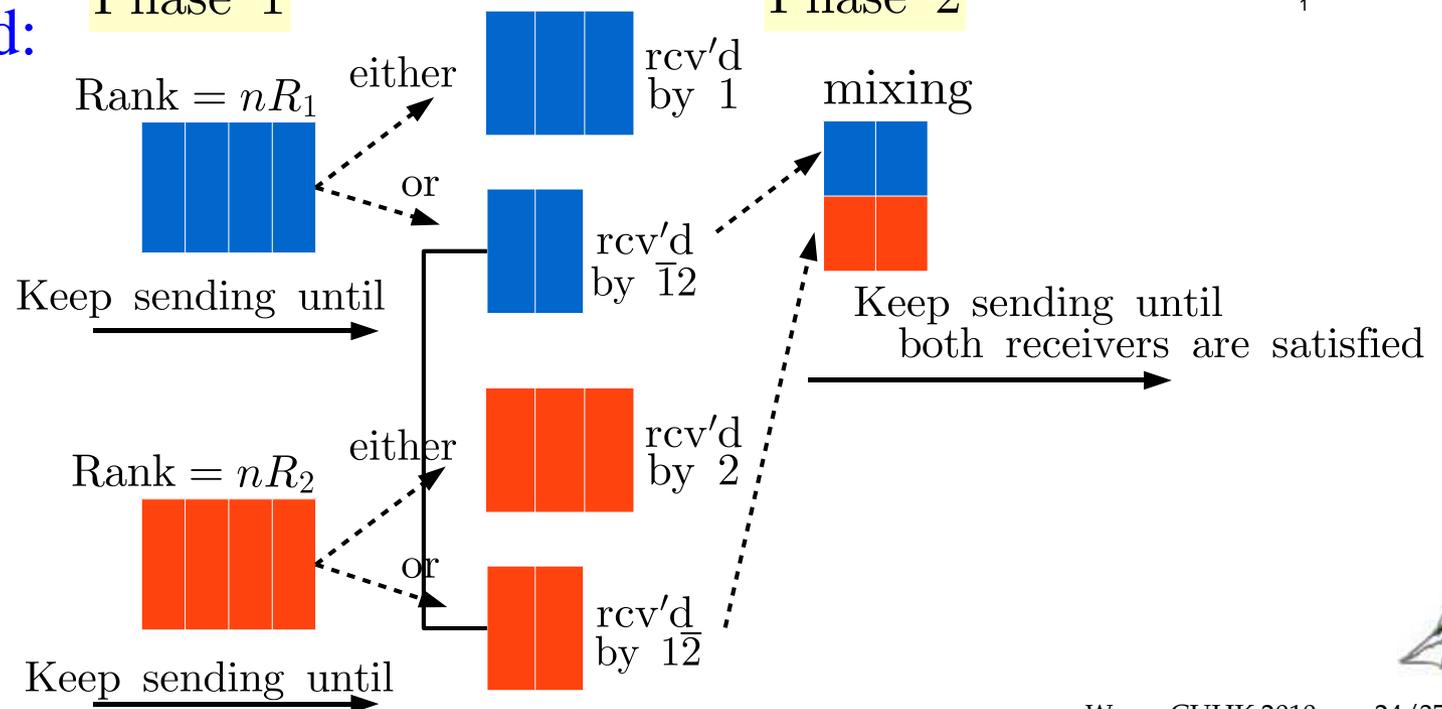


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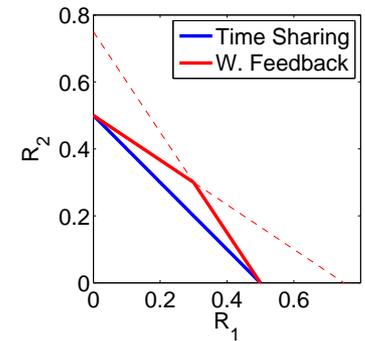
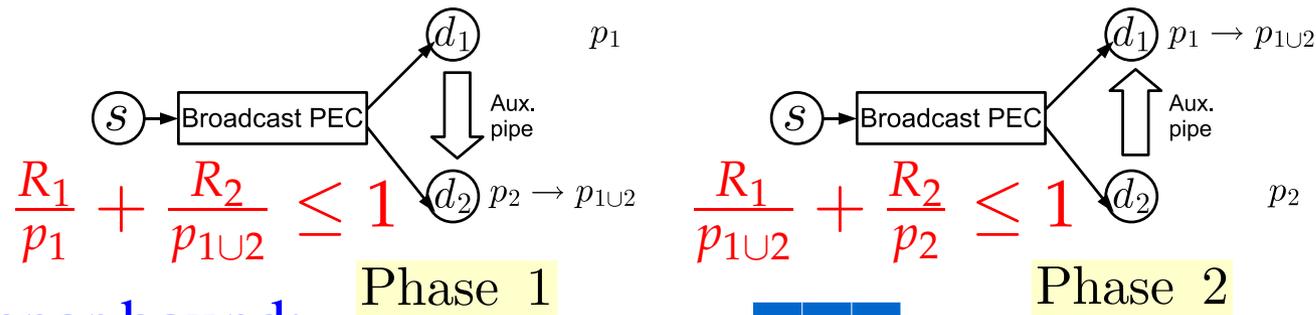


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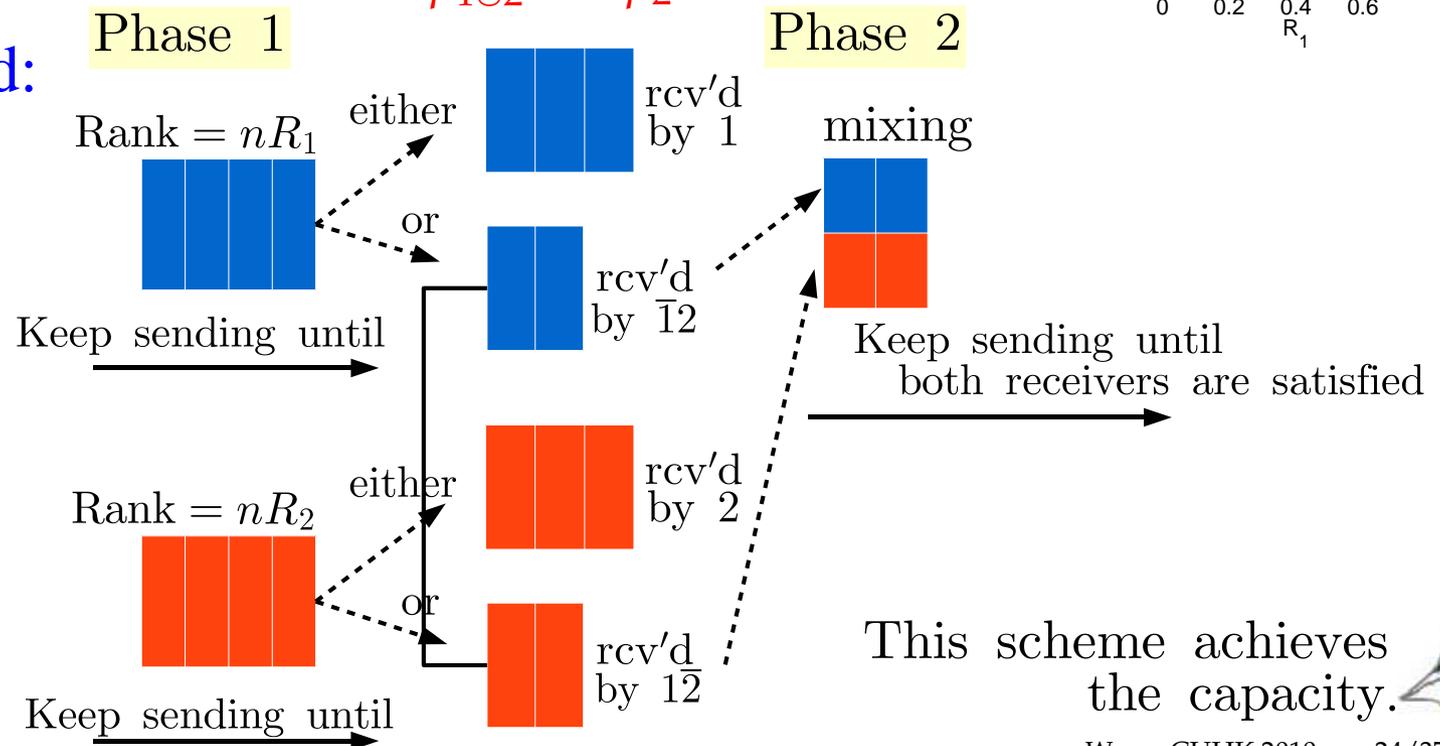


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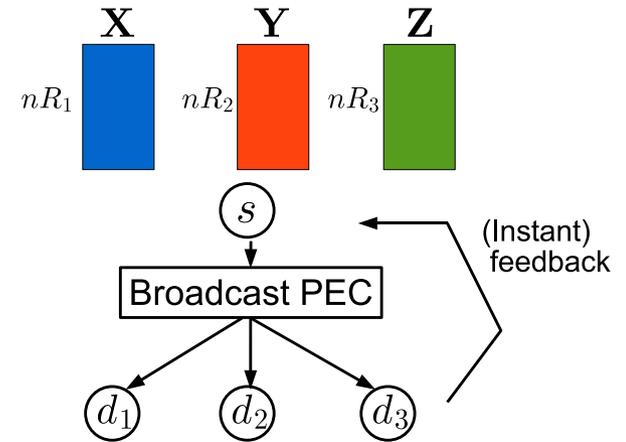
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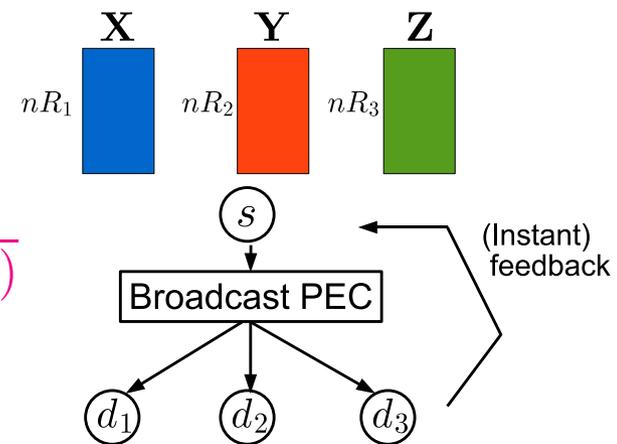


What if $M \geq 3$?



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- The CH. parameters become more involved.
 - $M = 2$: $p_{12}, p_{12^c}, p_{1^c2}, p_{1^c2^c}$.
 - $M \geq 3$: the success probability $p_{S(\overline{[M] \setminus S})}$ that a packet is received *by and only by* $d_i \in S$. We have 2^M such parameters.



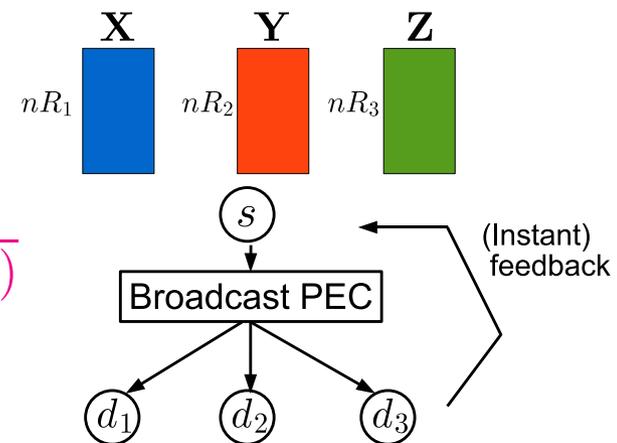
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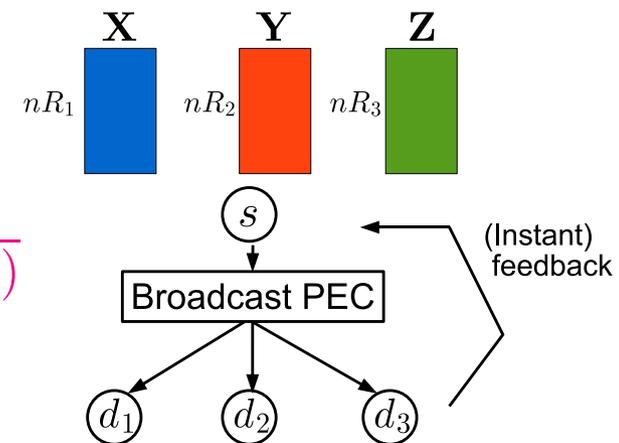
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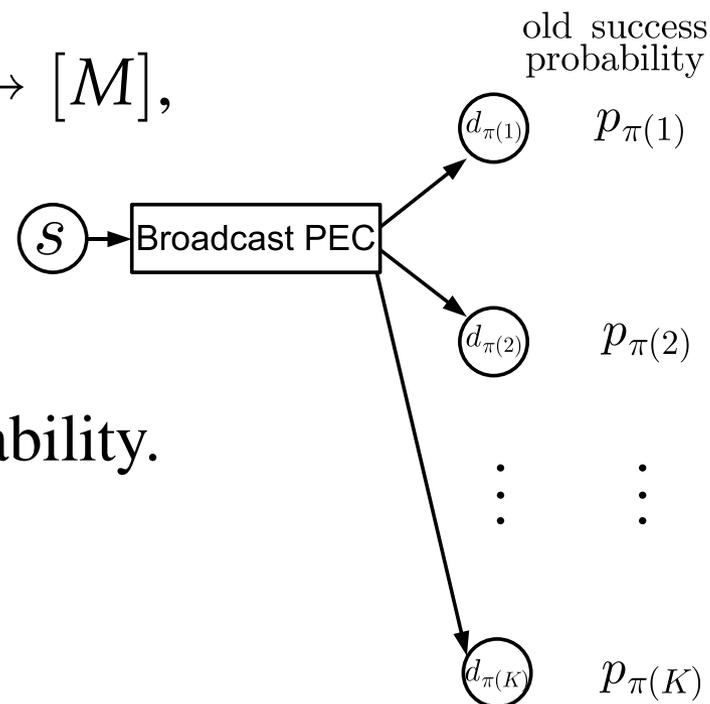
- Generalization of the outer bound is straightforward.

- Generalization of the inner bound is more difficult.



Simple Cap. Outer Bound

- For any permutation $\pi : [M] \mapsto [M]$,

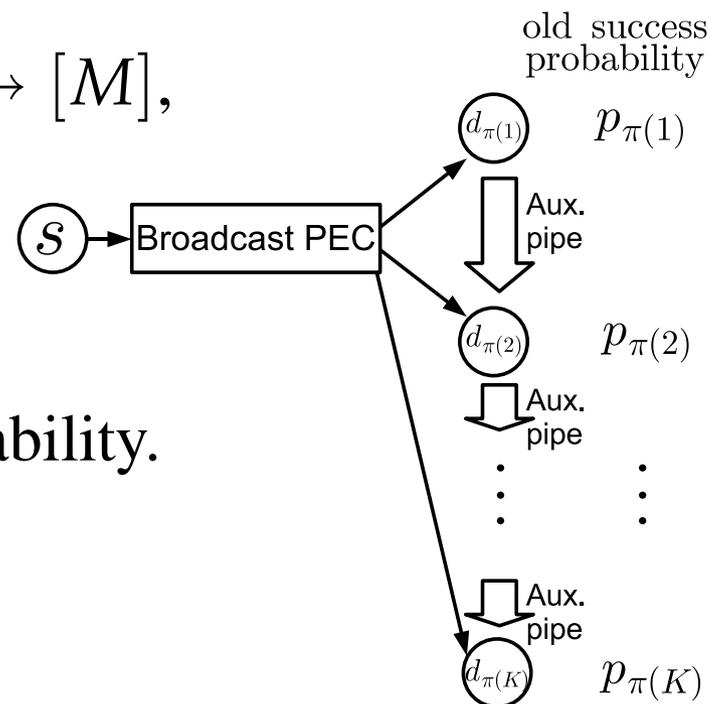


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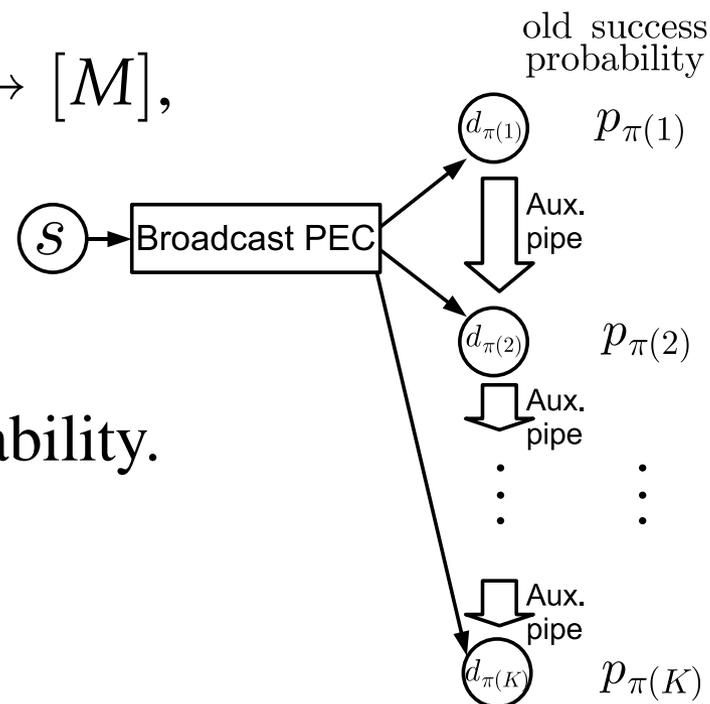
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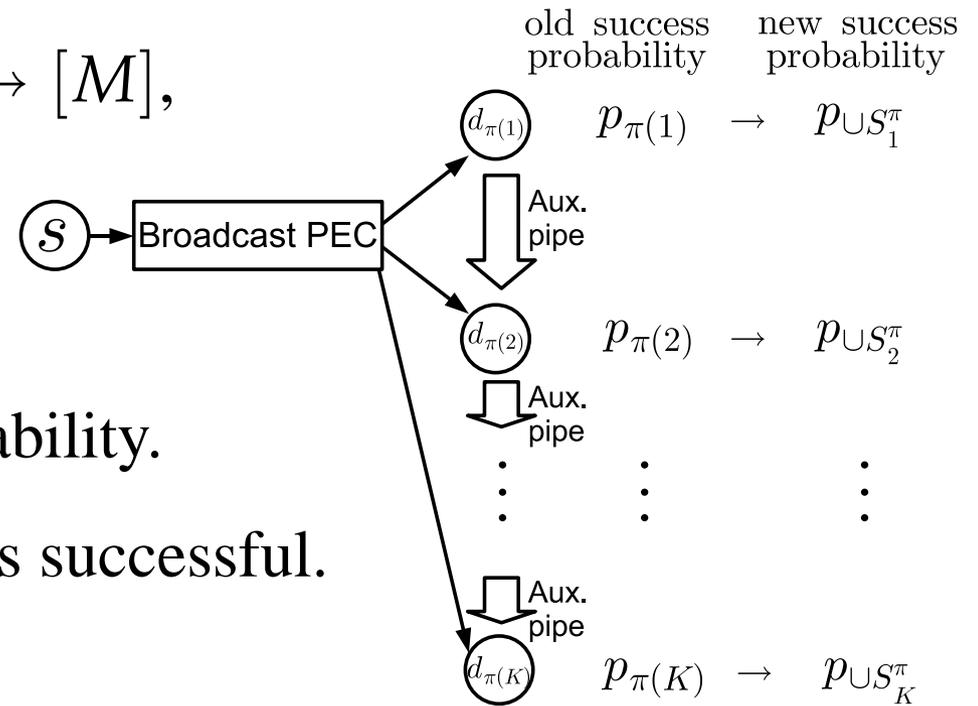
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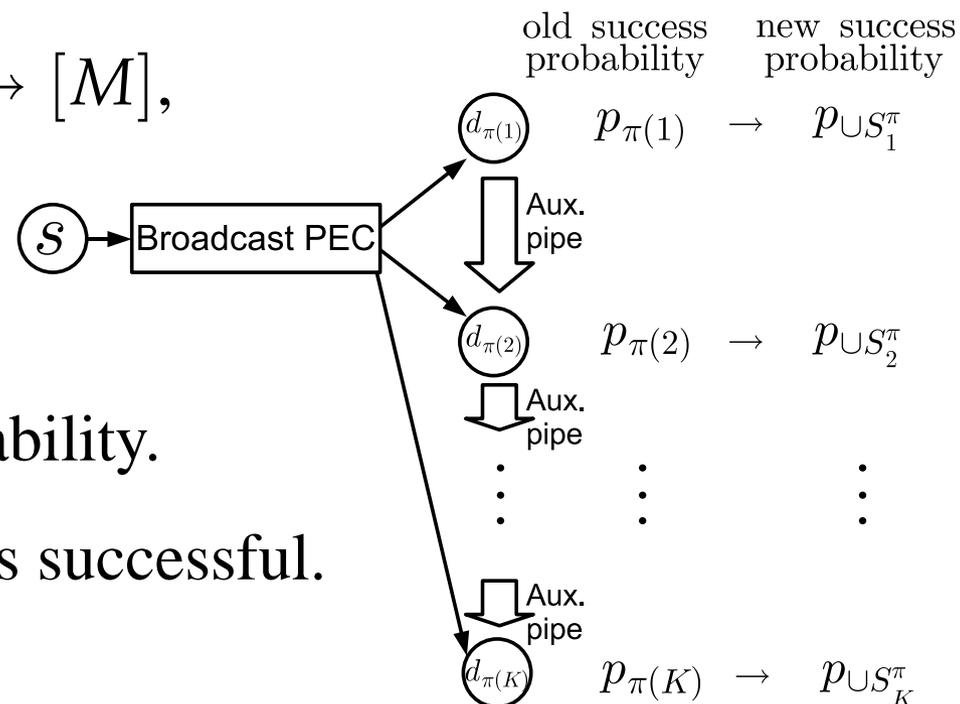
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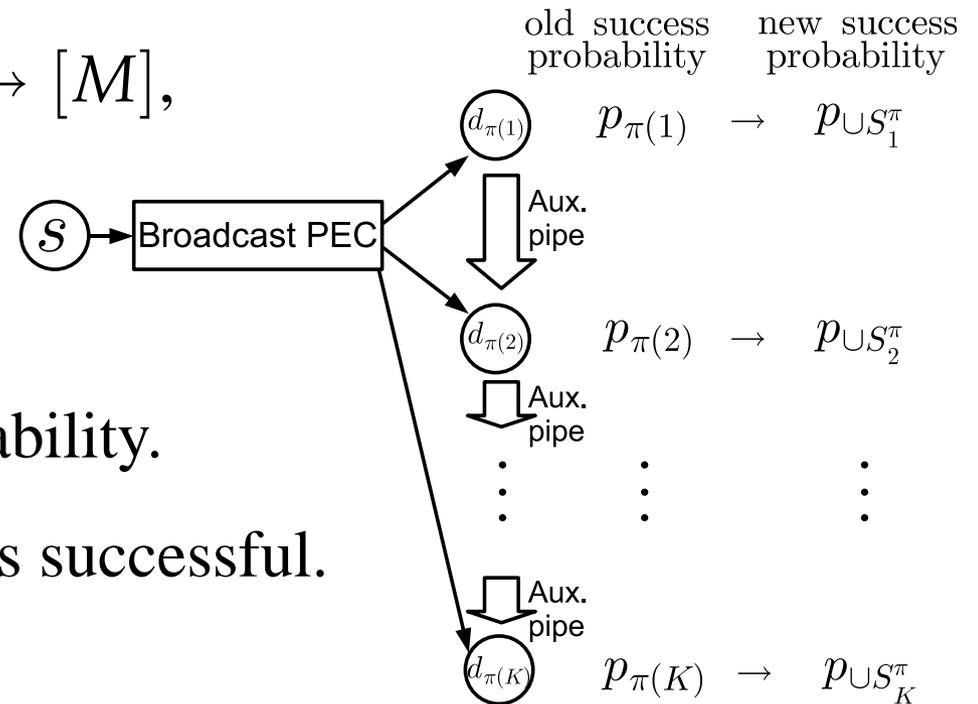


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- A capacity outer bound is thus $\forall \pi, \sum_{k=1}^M \frac{R_{\pi(k)}}{p_{US_k^\pi}} \leq 1.$



Cap. Inner Bound?

How to achieve the outer bound: $\forall \pi, \sum_{k=1}^M \frac{R_{\pi(k)}}{p_{\cup S_k^\pi}} \leq 1$



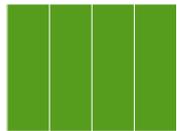
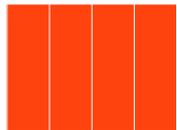
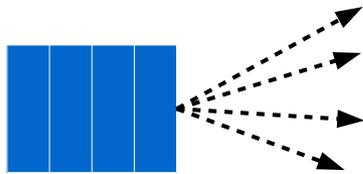
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Phase 1

Creating New Coding Opp.



rcv'd by 1



rcv'd by $\bar{1}2\bar{3}$



rcv'd by $\bar{1}\bar{2}3$



rcv'd by $\bar{1}23$

Phase 2

Exploiting Coding Opp.

Phase 3

Exploiting Coding Opp.



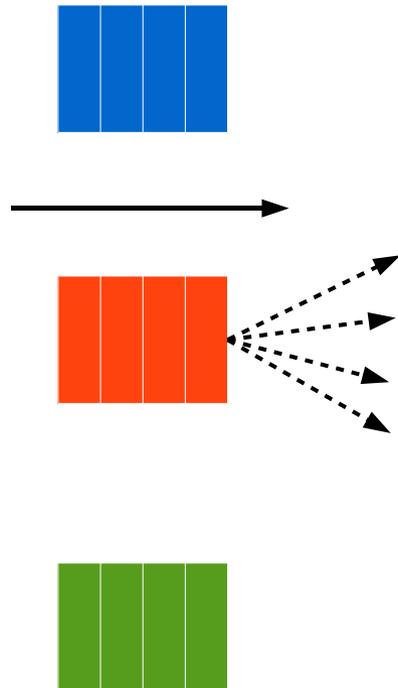
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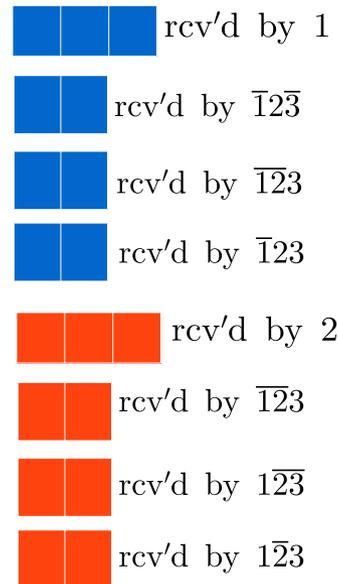
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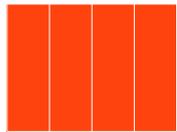
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rcv'd by 2

rcv'd by $\bar{1}\bar{2}3$

rcv'd by $1\bar{2}\bar{3}$

rcv'd by $1\bar{2}3$

rcv'd by 3

rcv'd by $\bar{1}2\bar{3}$

rcv'd by $1\bar{2}\bar{3}$

rcv'd by $12\bar{3}$

Phase 2

Exploiting Coding Opp.

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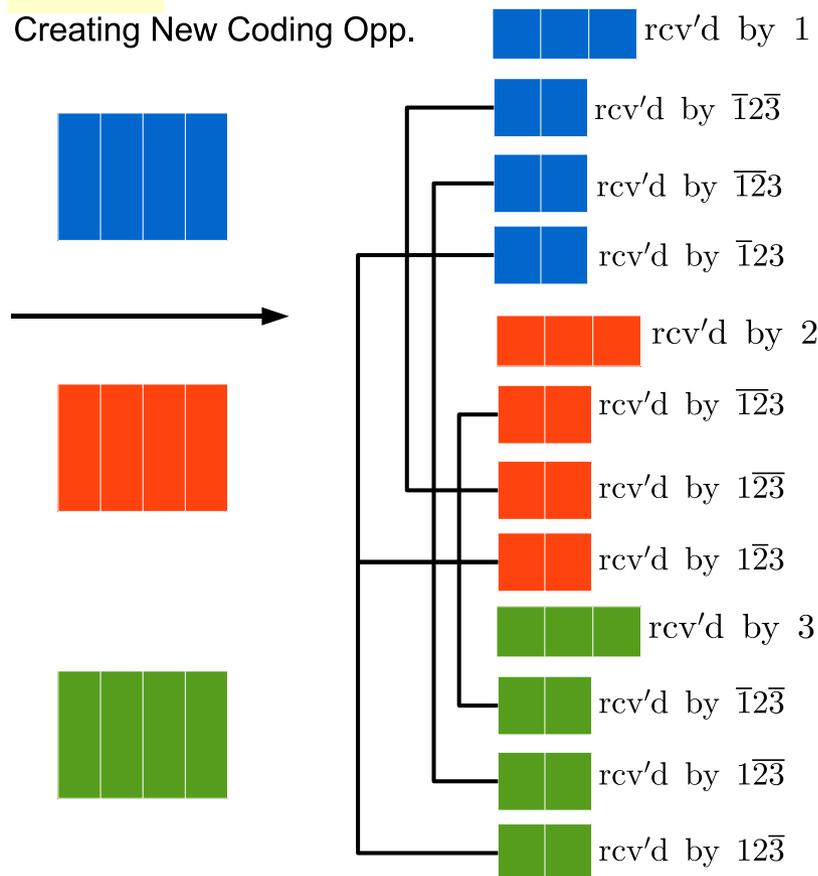
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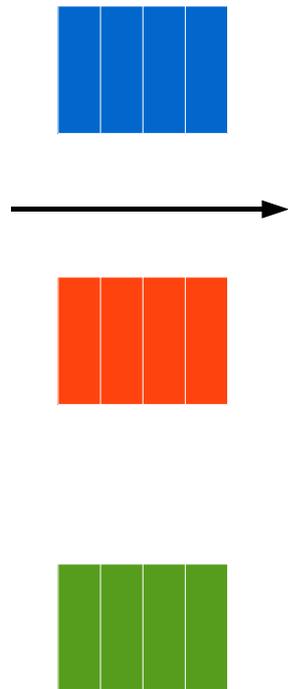
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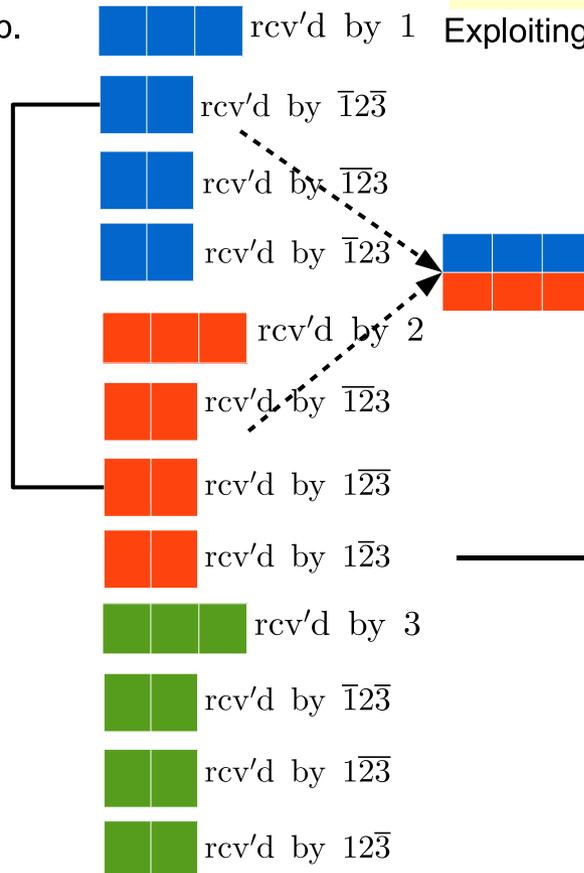
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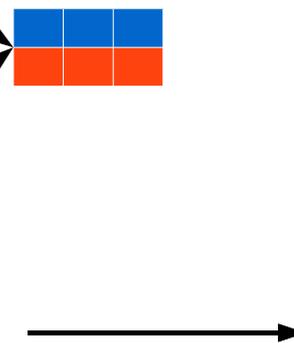
Phase 2

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Phase 3

Exploiting Coding Opp.



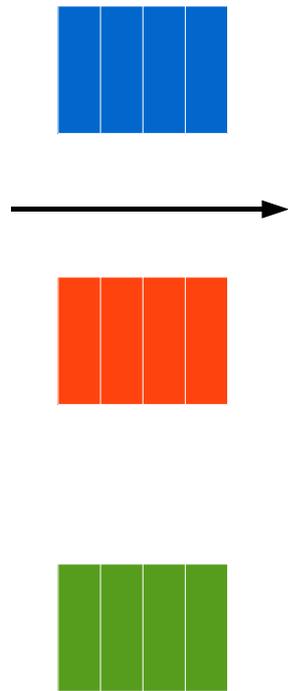
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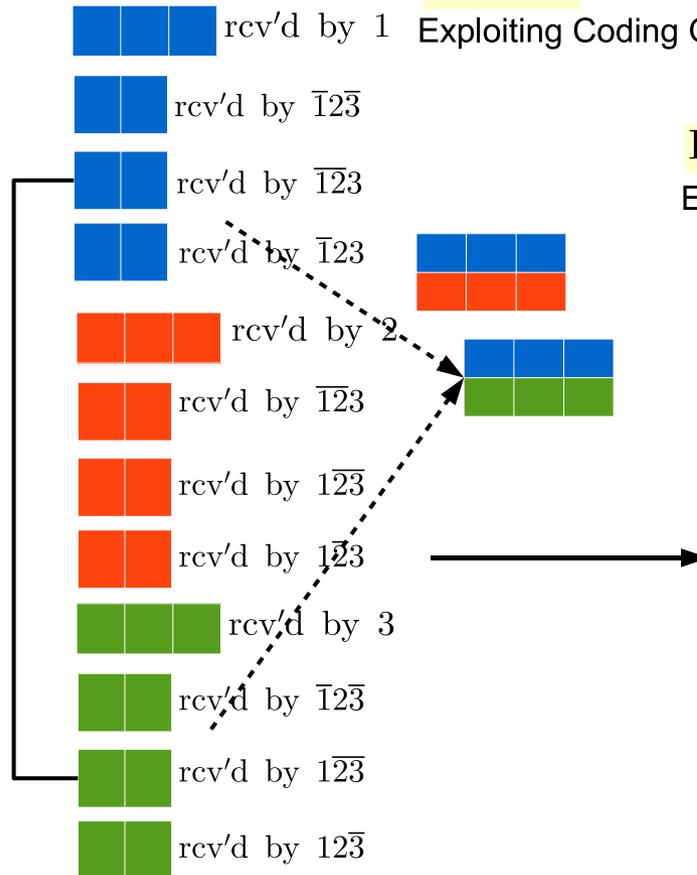
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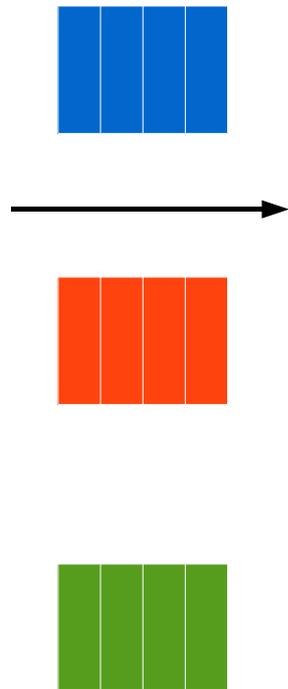
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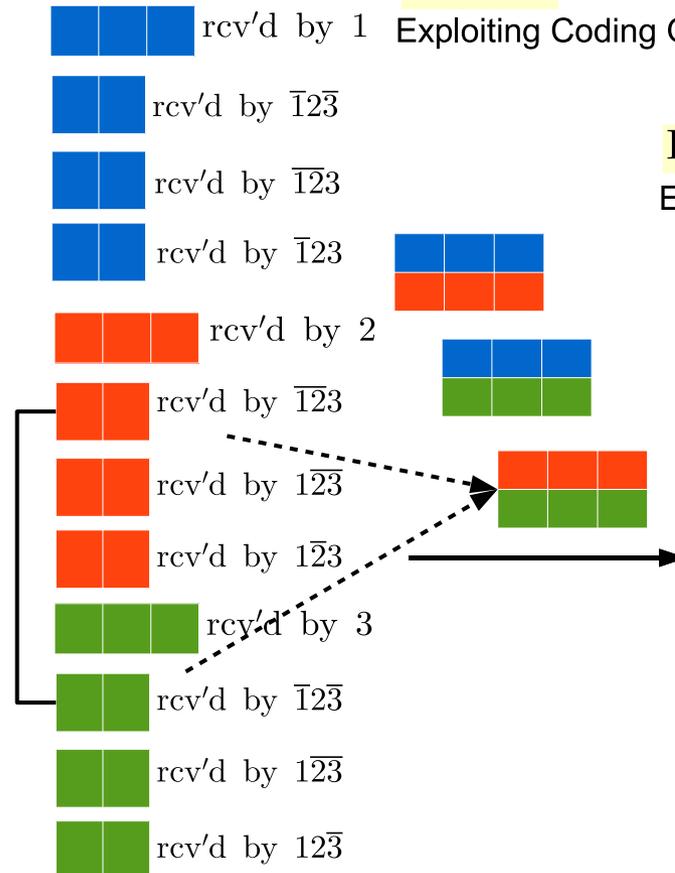
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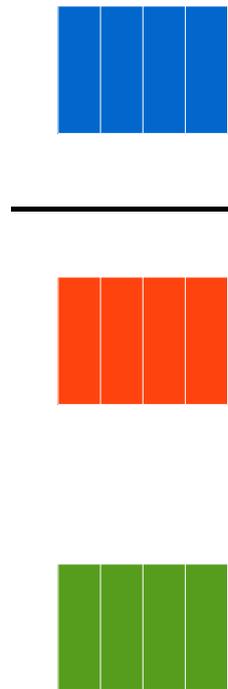
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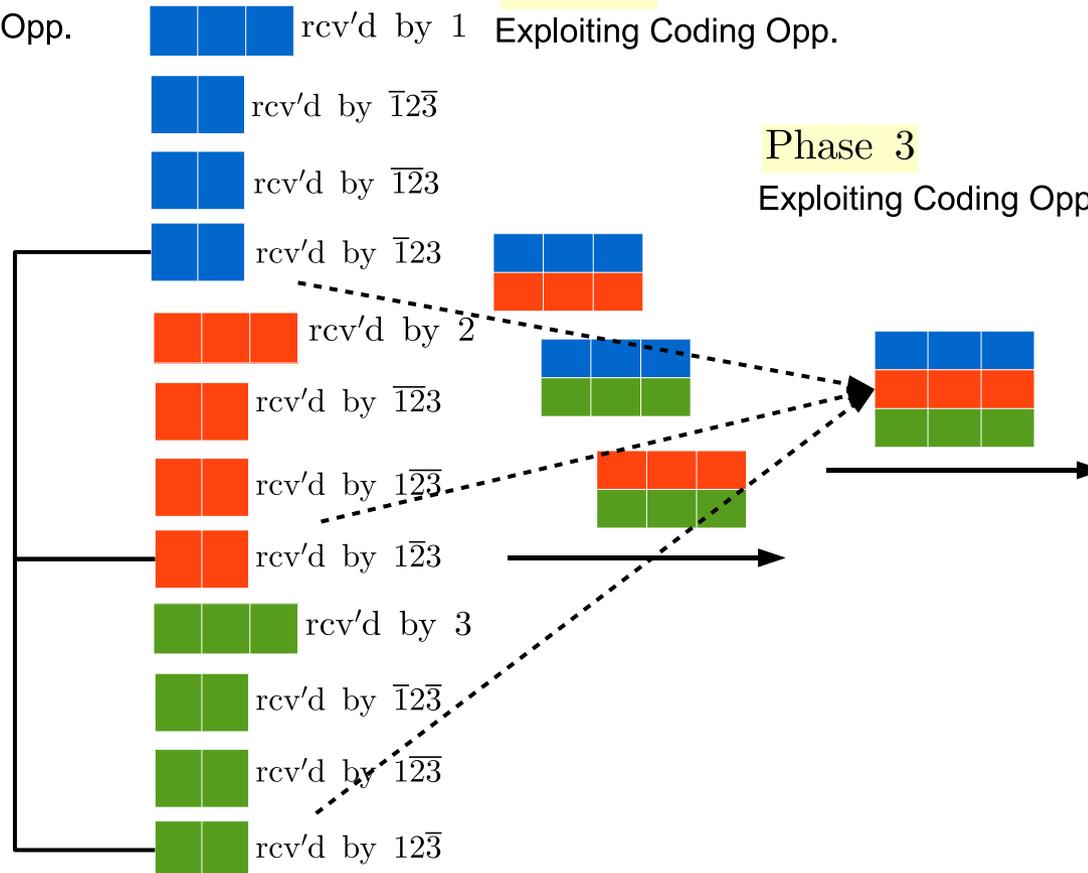
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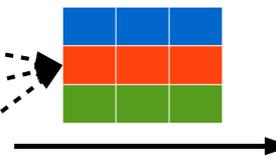
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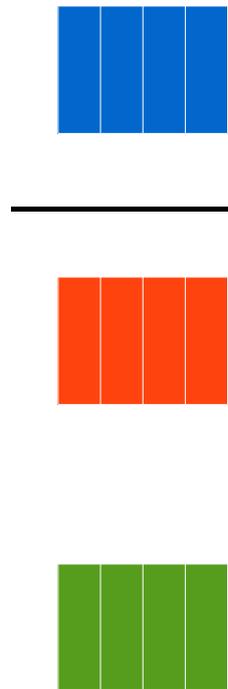
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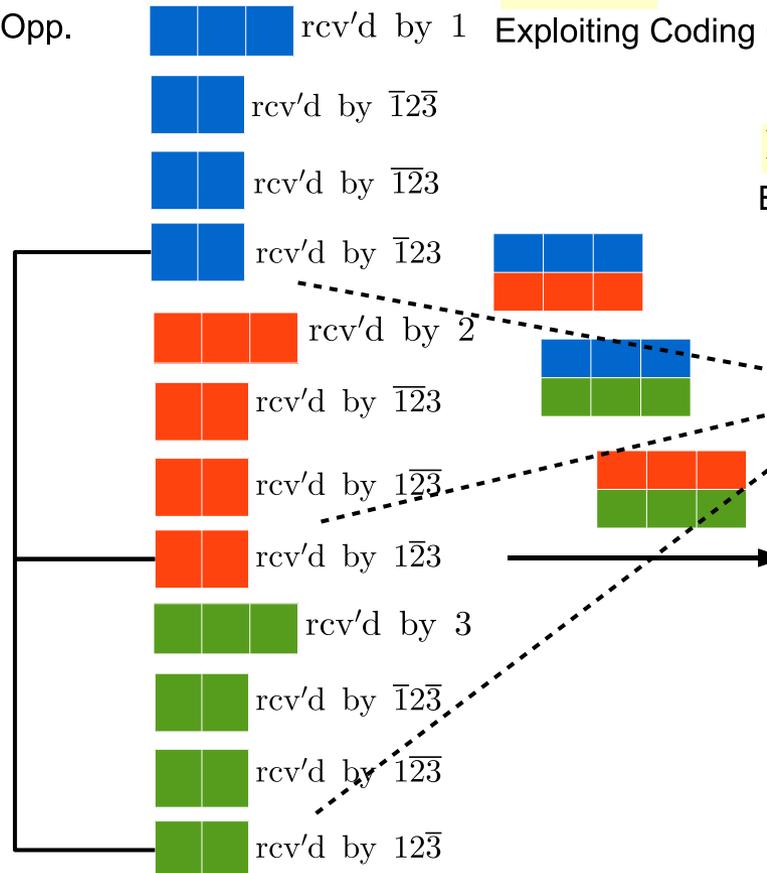
Phase 1

Creating New Coding Opp.



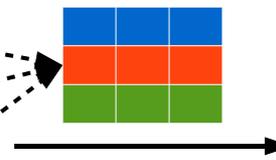
Phase 2

Exploiting Coding Opp.



Phase 3

Exploiting Coding Opp.



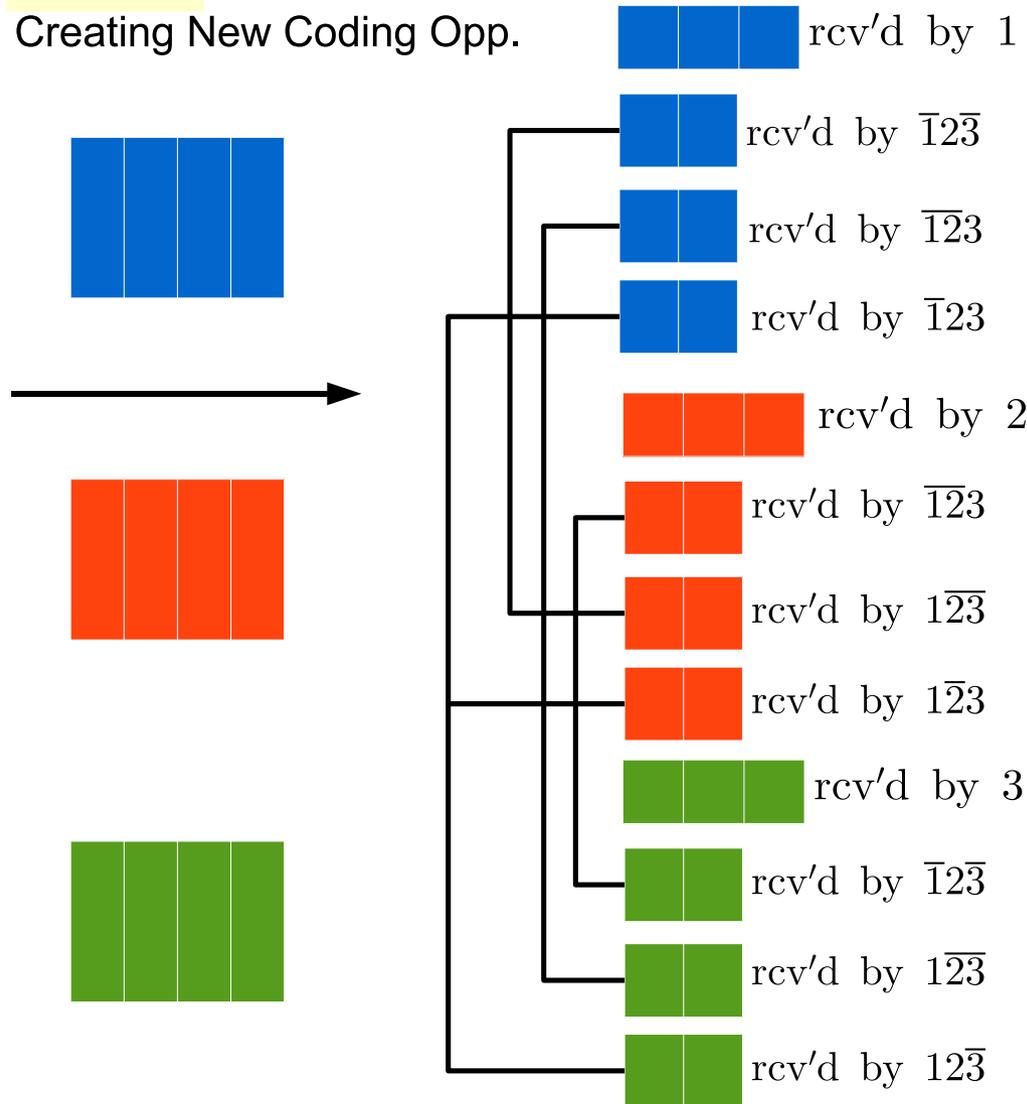
Its performance is strictly bounded away from the outer bound.



What Went Wrong?

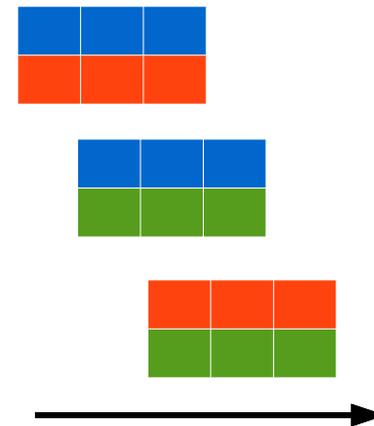
Phase 1

Creating New Coding Opp.



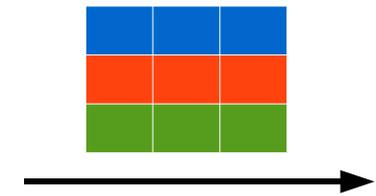
Phase 2

Exploiting Coding Opp.



Phase 3

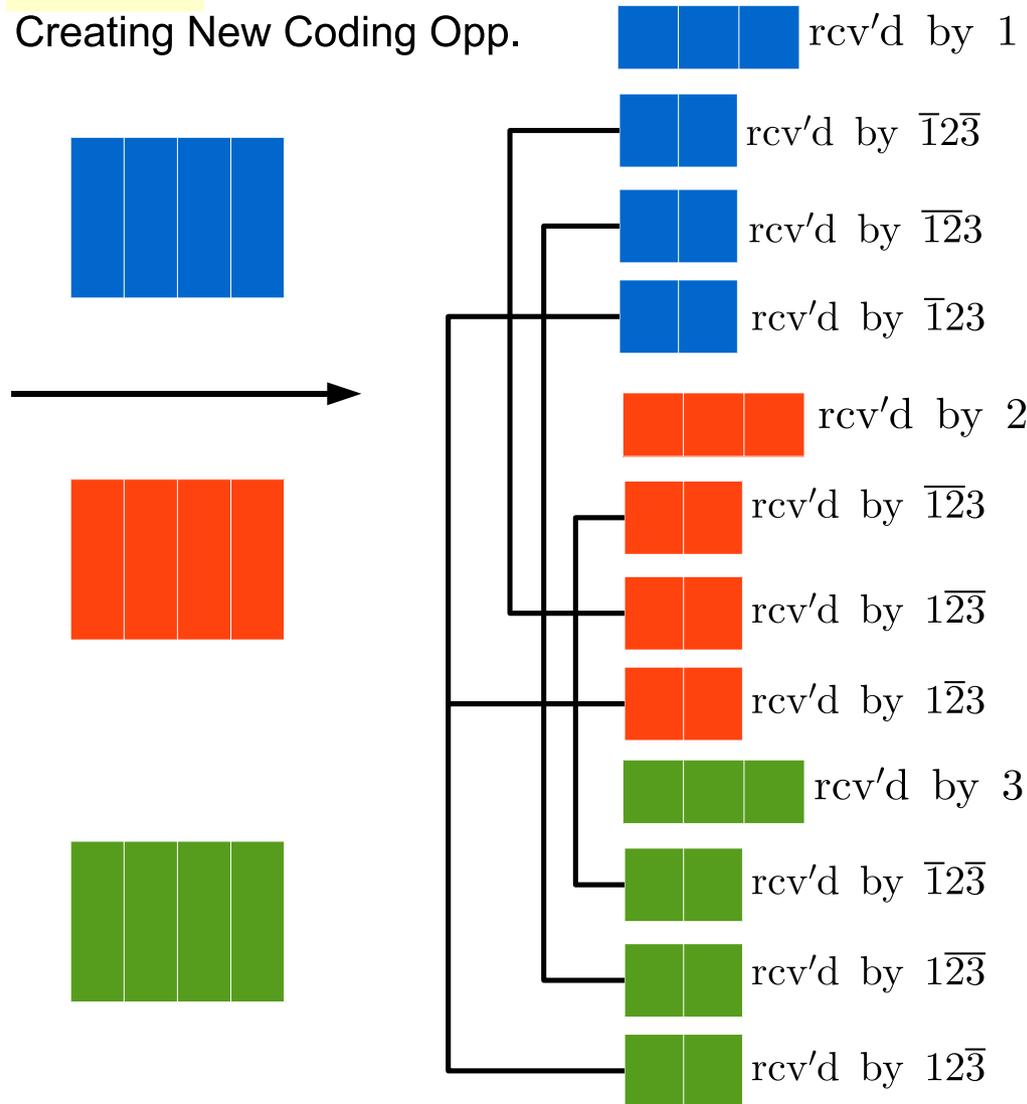
Exploiting Coding Opp.



What Went Wrong?

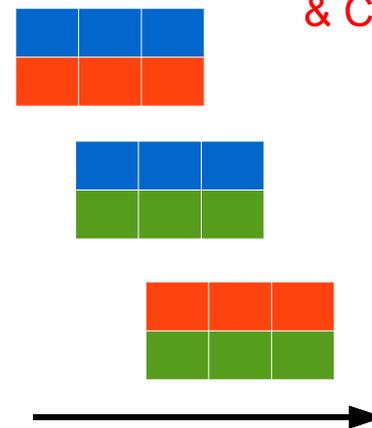
Phase 1

Creating New Coding Opp.



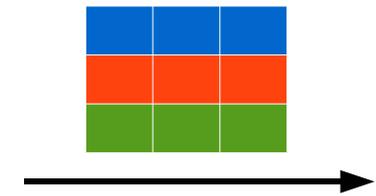
Phase 2

Exploiting Coding Opp.
& Creating New Coding Opp.



Phase 3

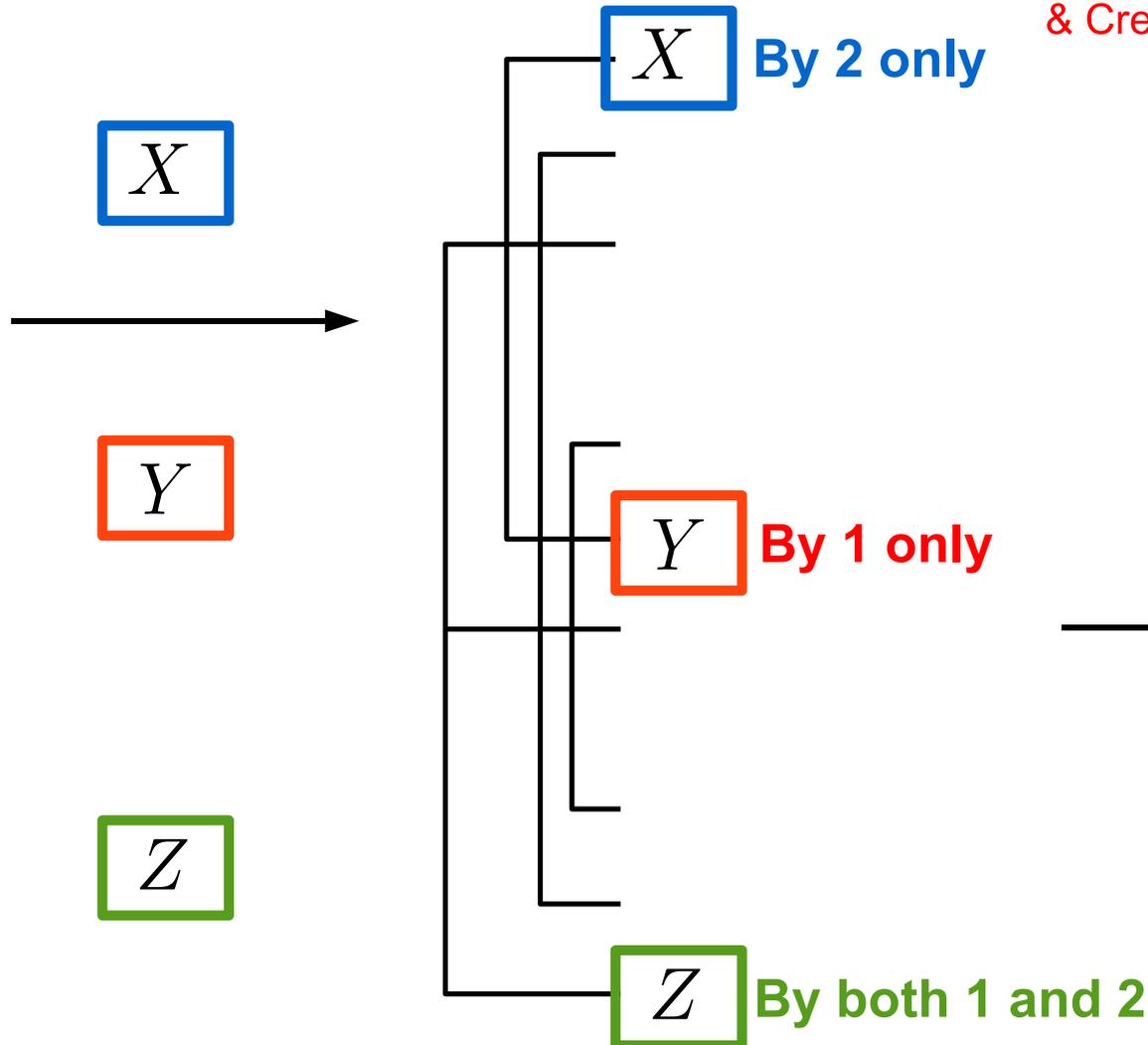
Exploiting Coding Opp.
& Creating New Coding Opp.



What Went Wrong?

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

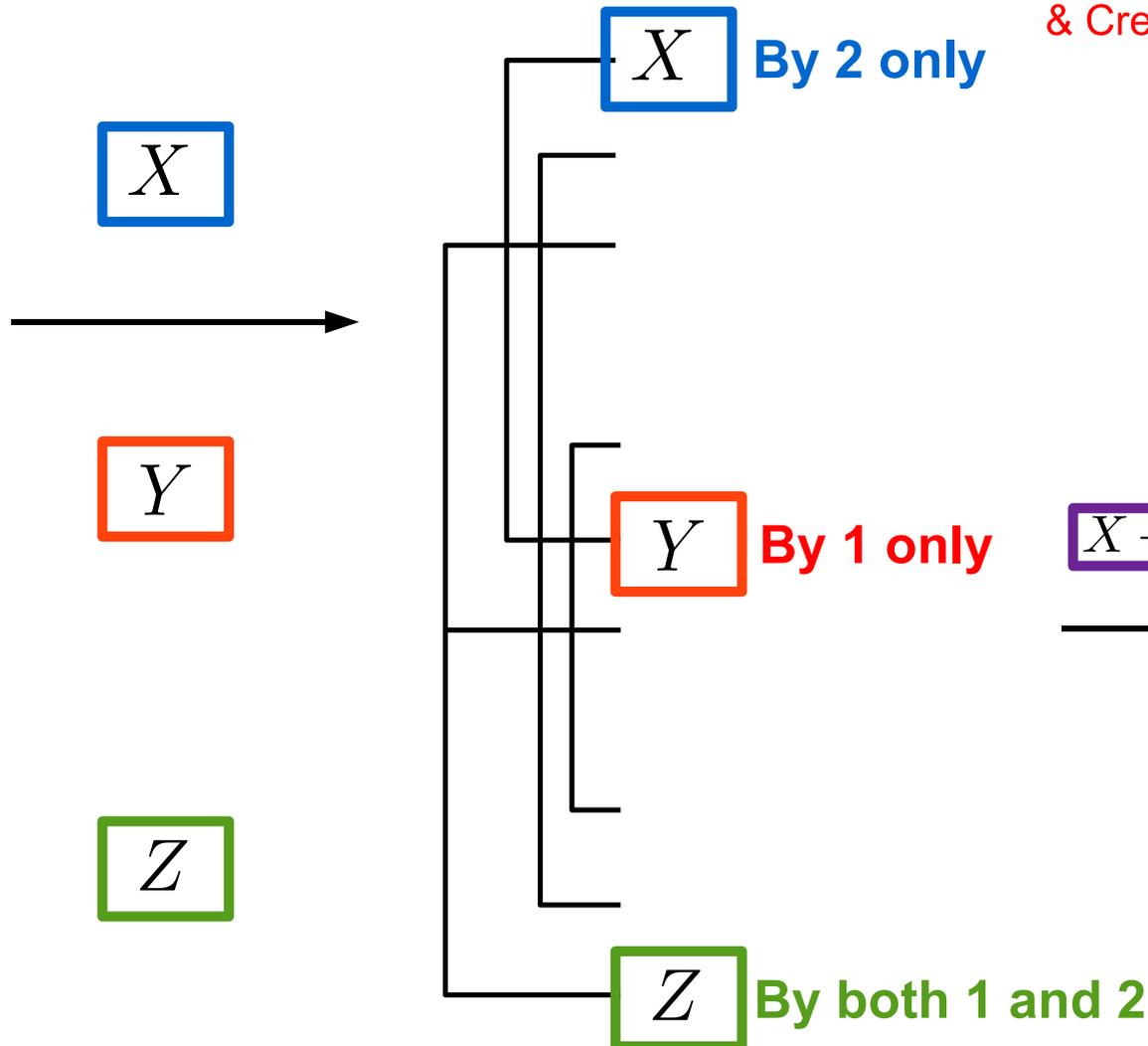
d_1 has Y, Z
 d_2 has X, Z



What Went Wrong?

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y$

rcv'd by $\overline{123}$

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

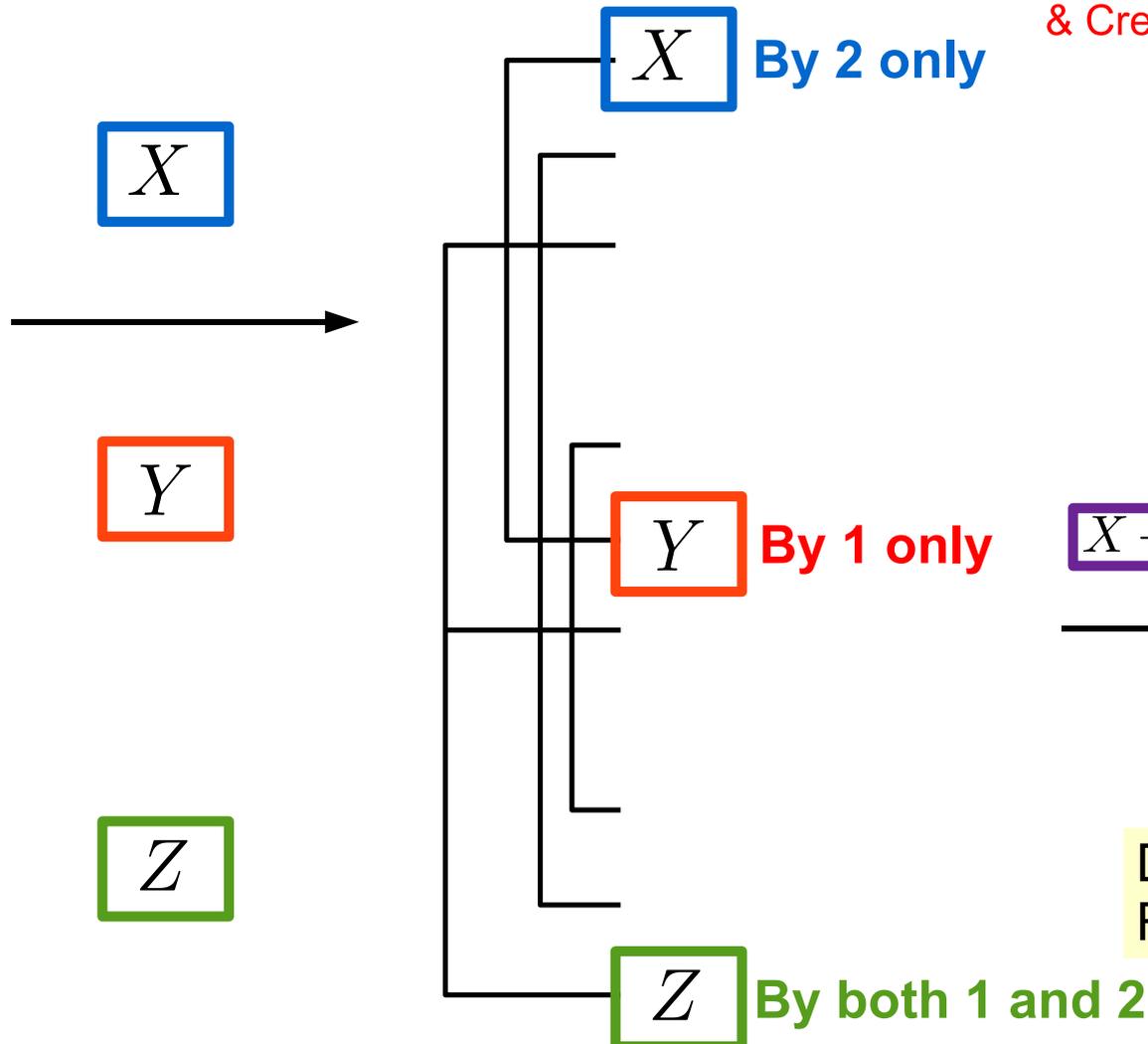
d_1 has Y, Z
 d_2 has X, Z
 d_3 has $X + Y$



What Went Wrong?

Phase 1

Creating New Coding Opp.



Phase 2

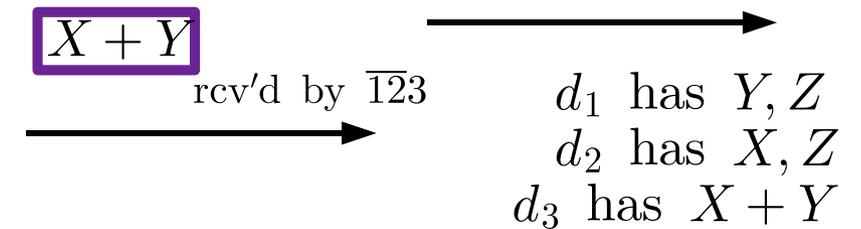
Exploiting Coding Opp.

& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.



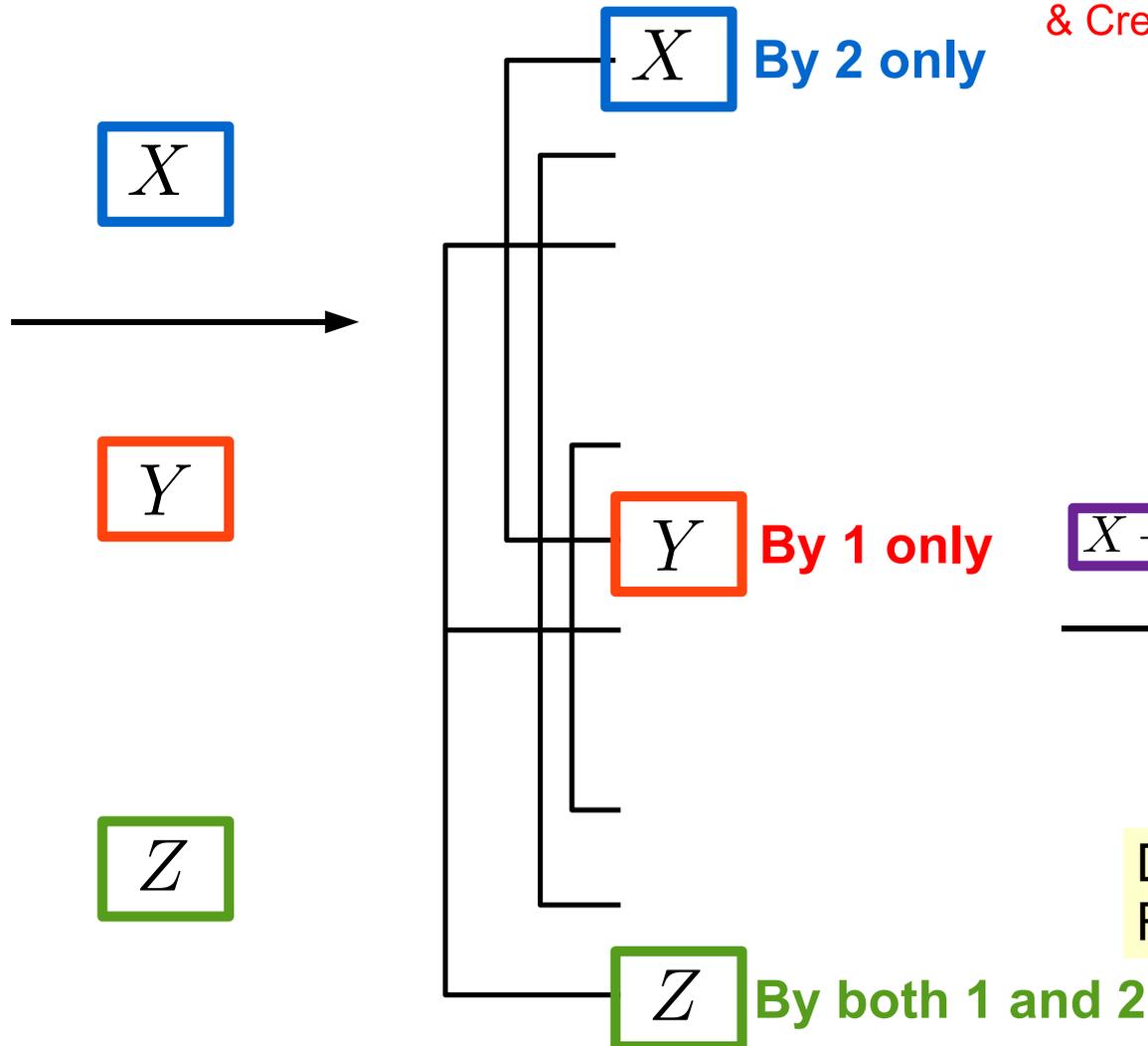
Discard it => Suboptimal
Recoup it for new coding opp.



What Went Wrong?

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y$

rcv'd by $\bar{1}23$

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y + Z$

rcv'd by 123

d_1 has Y, Z
 d_2 has X, Z
 d_3 has $X + Y$

Discard it => Suboptimal
 Recoup it for new coding opp.



New Cap. Inner Bound

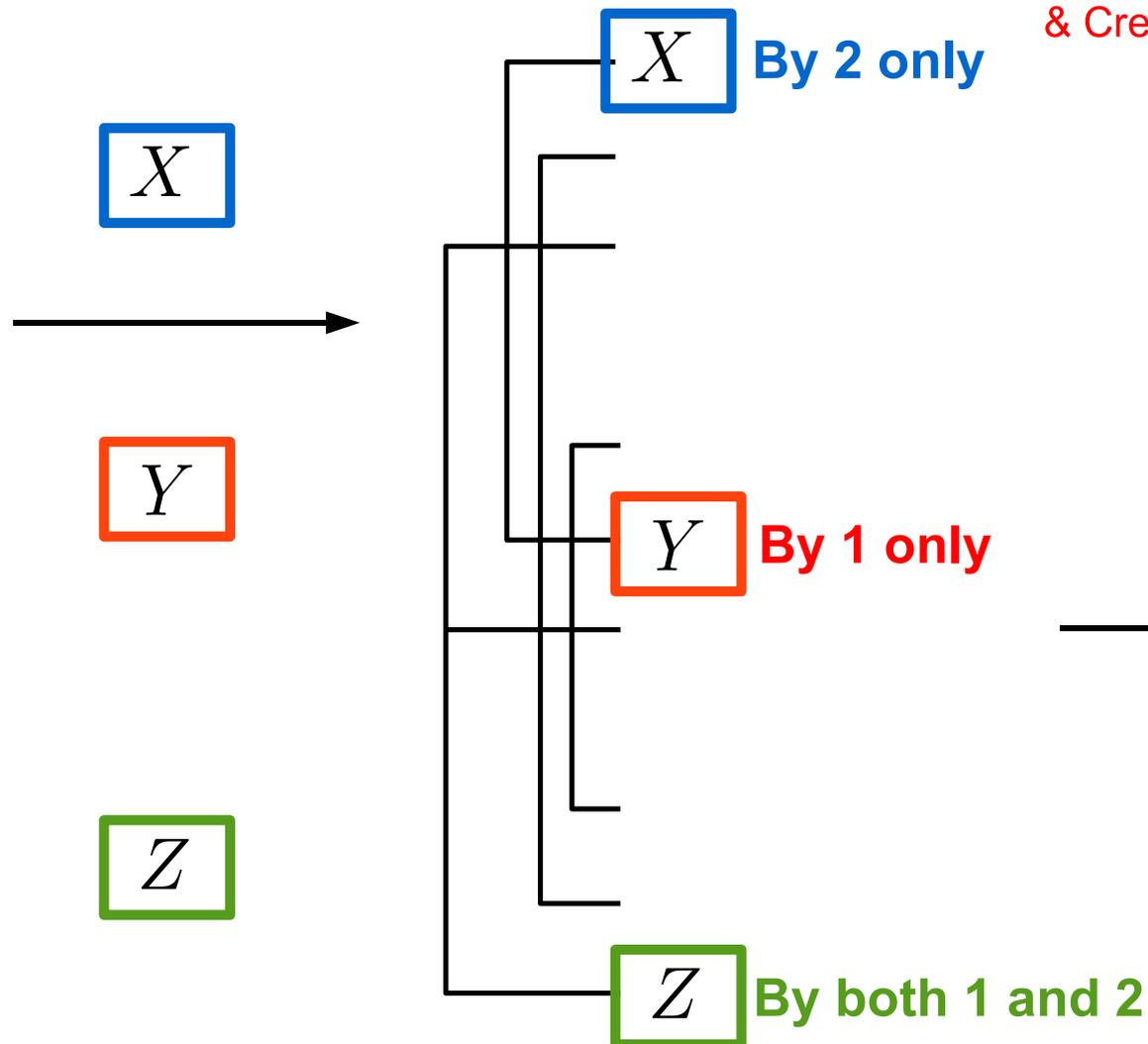
- Again, we need **code alignment** in order to recoup the overheard coding opportunities during Phases 2 to M .
- That is, the overheard coding vectors $[X + Y]$ has to remain **aligned** in the subsequent mixing stages.
- We propose a new **Packet Evolution** scheme.
- For each packet,
 - The **overhearing status** keeps evolving to create more coding opportunities.
 - The **representative coding vector** keeps evolving to ensure code alignment.



The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.



Phase 2

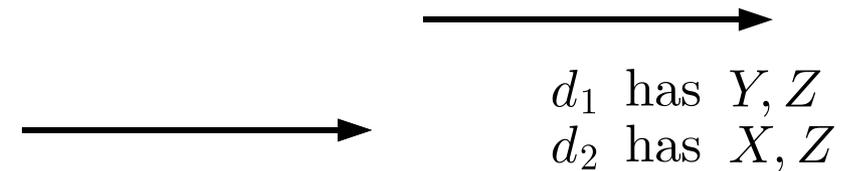
Exploiting Coding Opp.

& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.

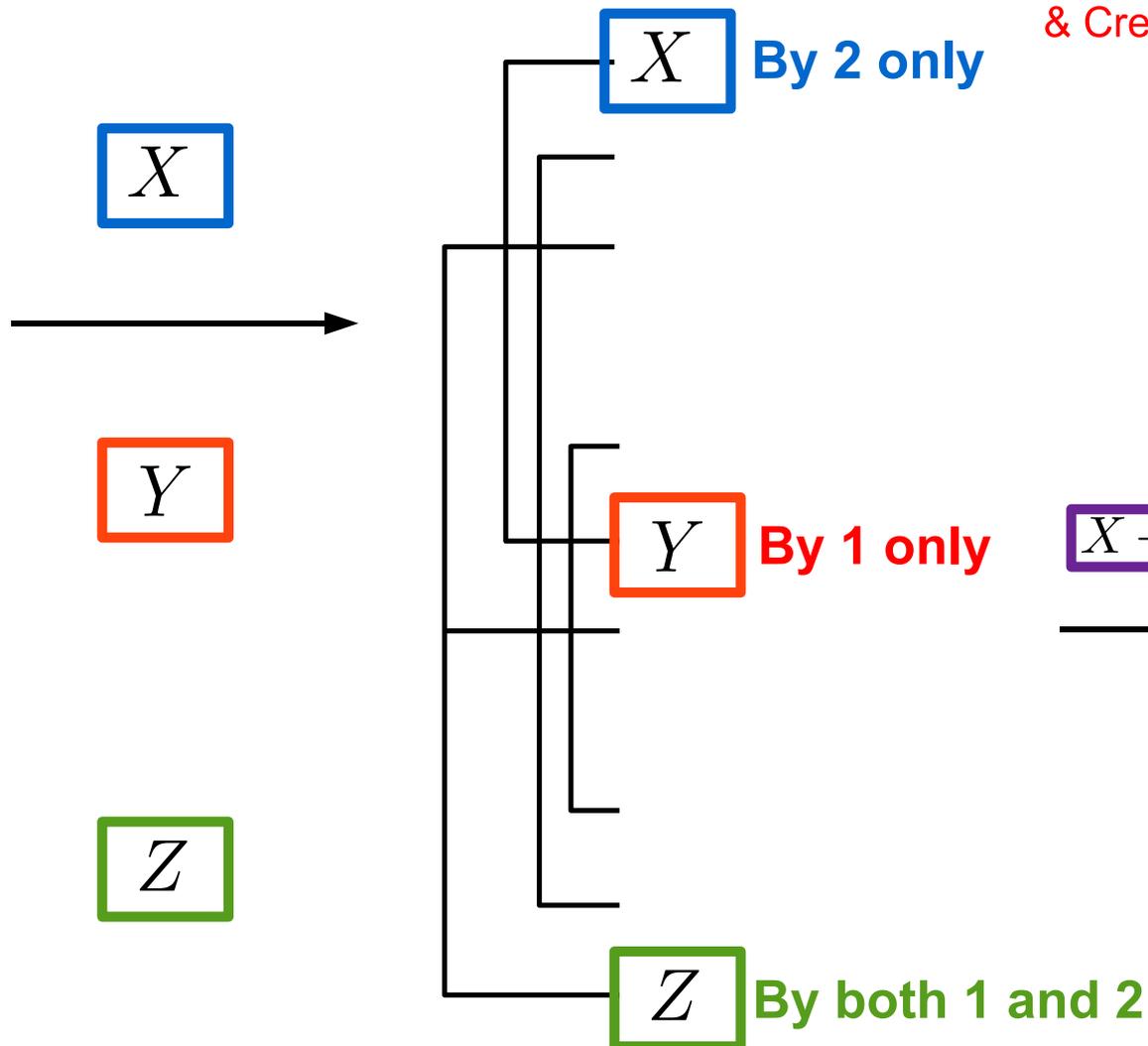
& Creating New Coding Opp.



The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y$ By 3 only

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

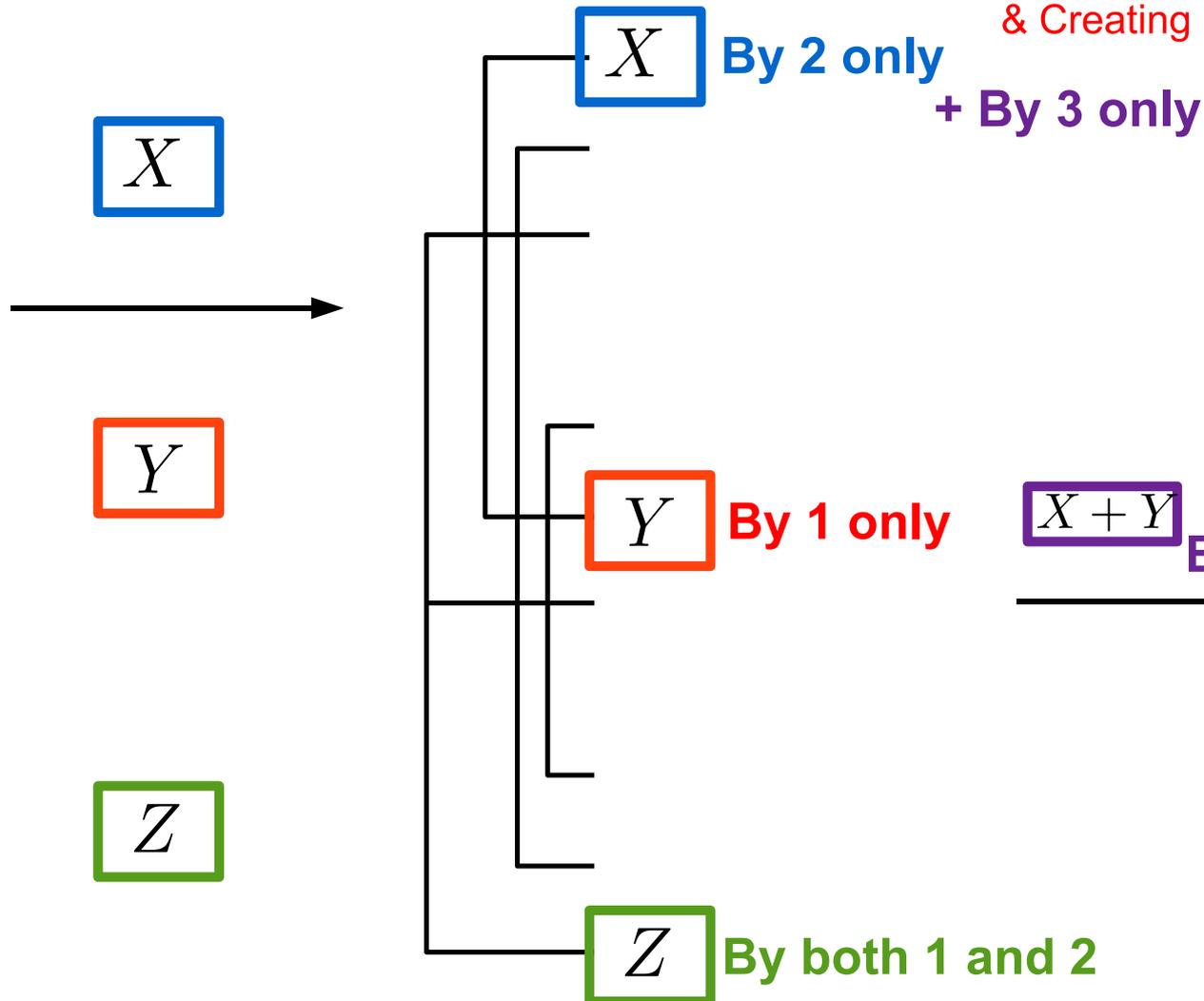
d_1 has Y, Z
 d_2 has X, Z
 d_3 has $X + Y$



The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.
& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.
& Creating New Coding Opp.

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The Packet Evolution Scheme

Phase 1

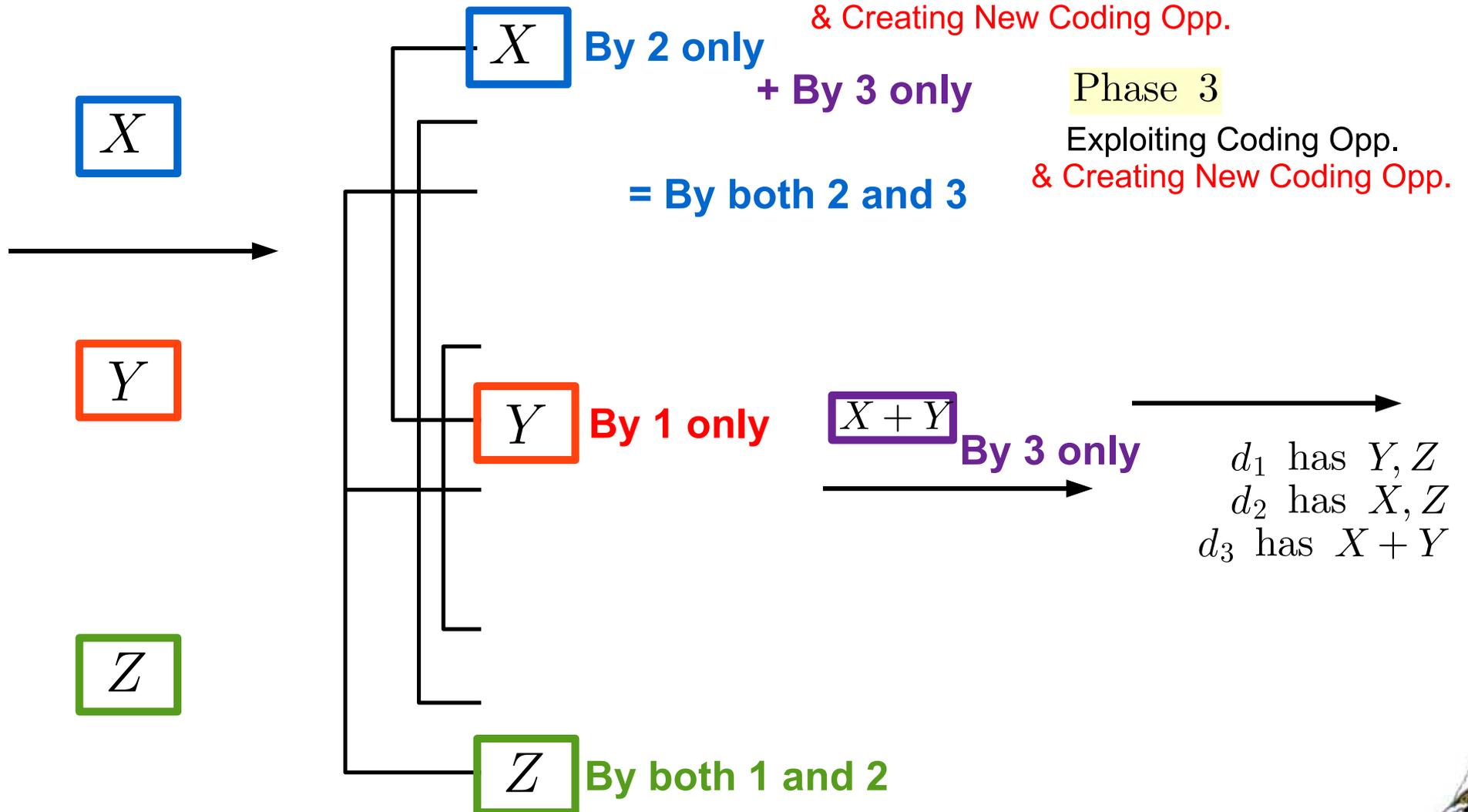
Creating New Coding Opp.

Phase 2

Exploiting Coding Opp.
& Creating New Coding Opp.

Phase 3

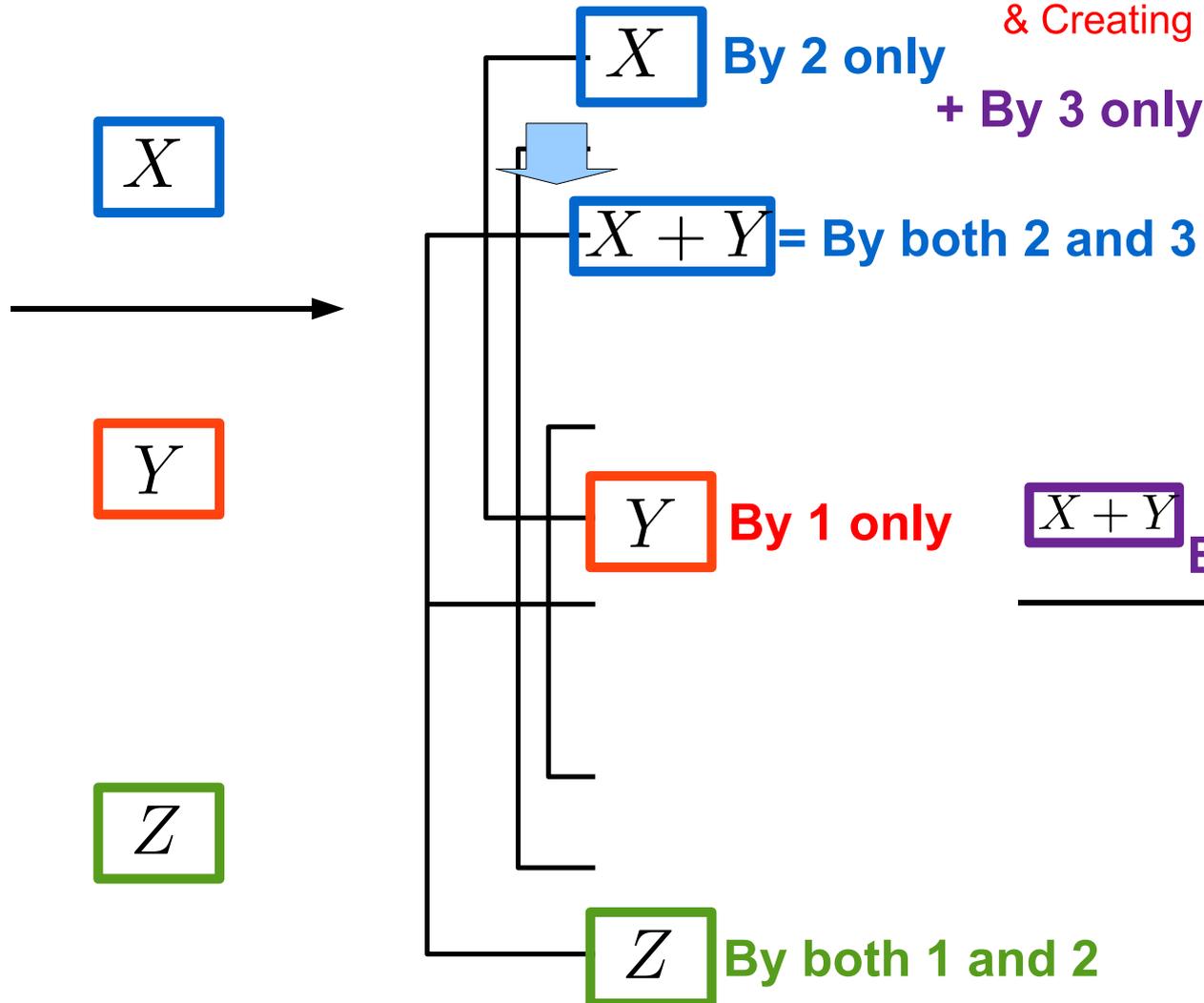
Exploiting Coding Opp.
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The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.



Phase 2

Exploiting Coding Opp.
& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.
& Creating New Coding Opp.

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The Packet Evolution Scheme

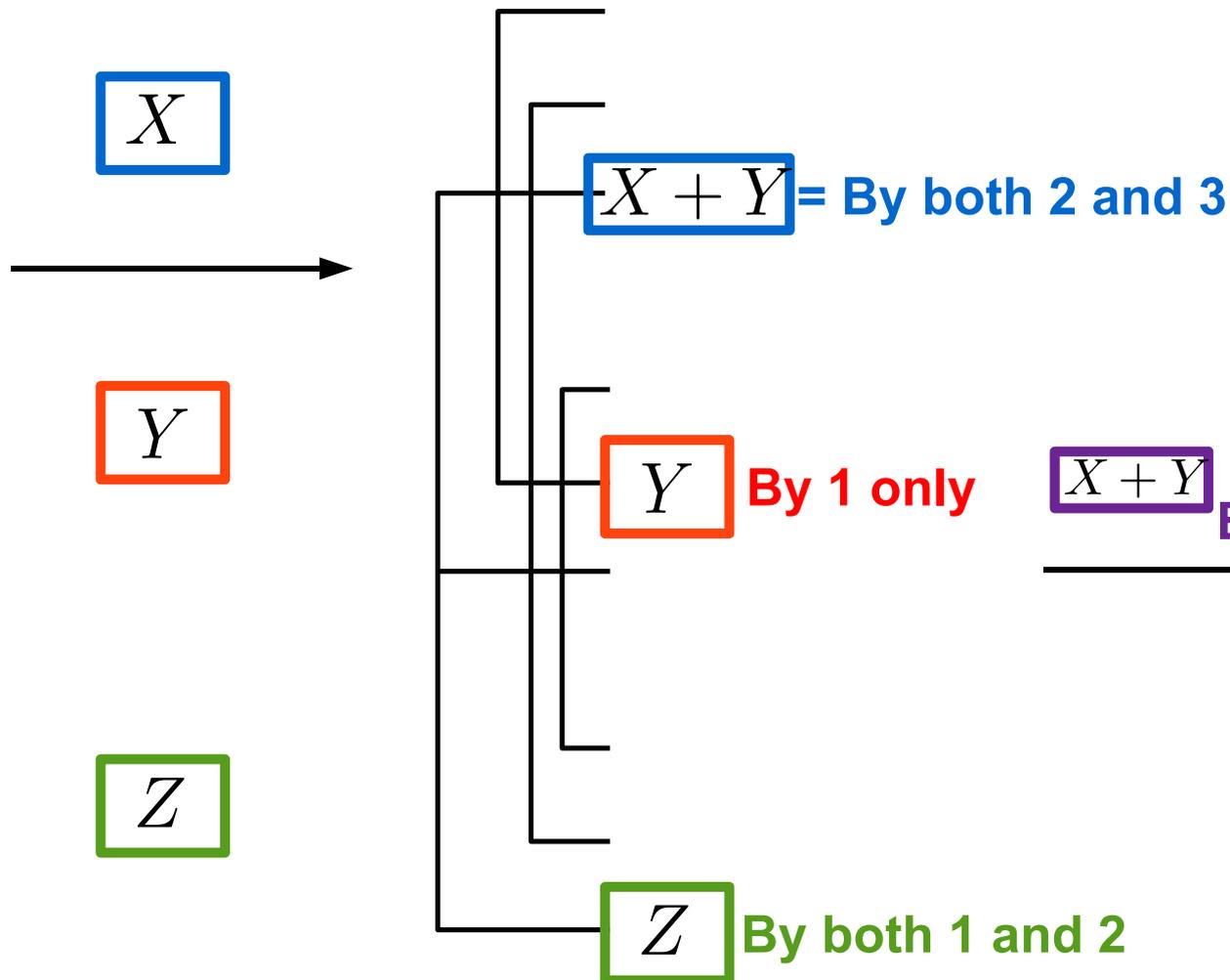
Phase 1

Creating New Coding Opp.

X

Y

Z



Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y$

By 3 only

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

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 d_2 has X, Z
 d_3 has $X + Y$



The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.

Phase 2

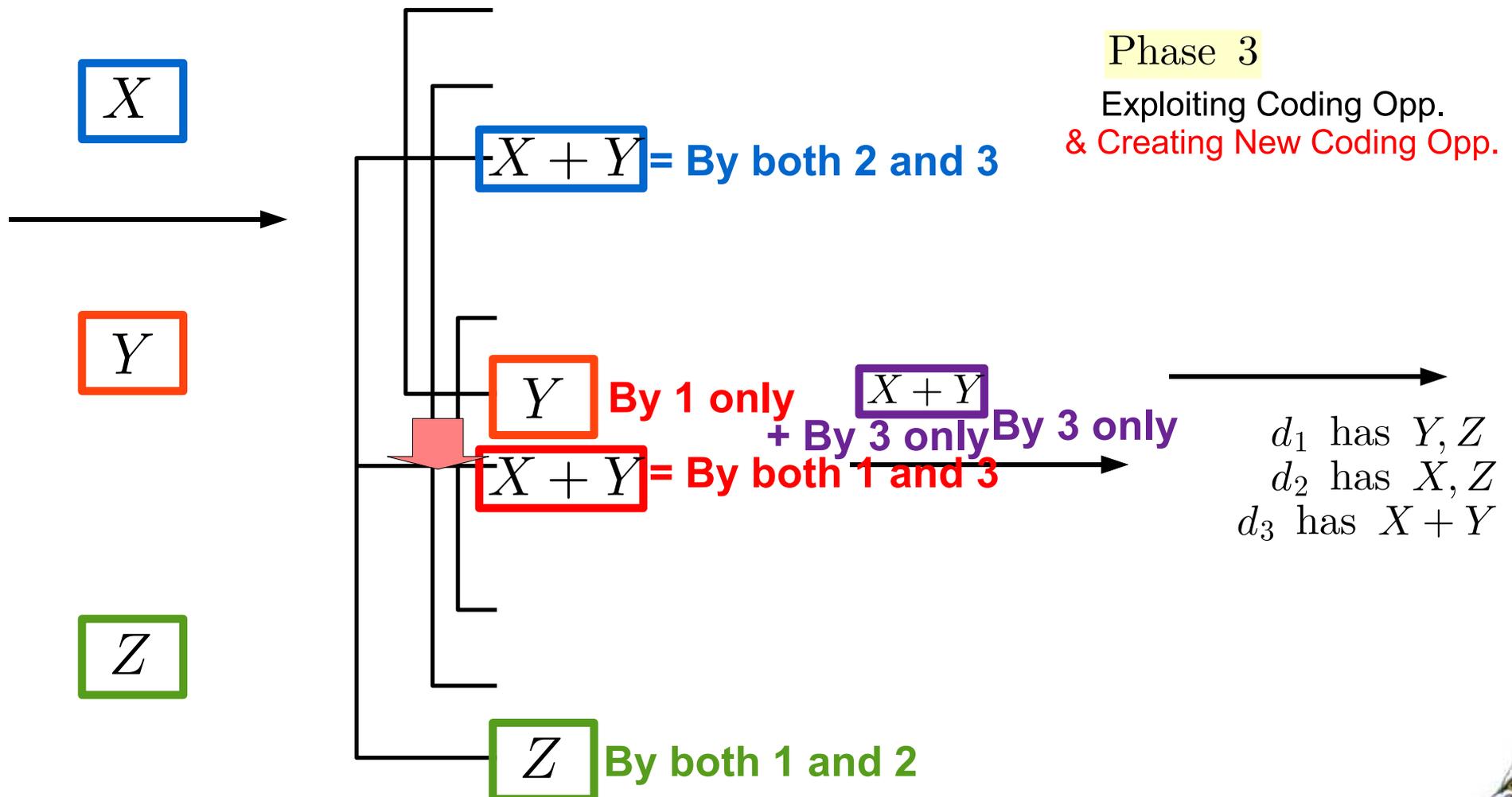
Exploiting Coding Opp.

& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.



The Packet Evolution Scheme

Phase 1

Creating New Coding Opp.

X

Y

Z

Phase 2

Exploiting Coding Opp.

& Creating New Coding Opp.

$X + Y = \text{By both 2 and 3}$

$X + Y = \text{By both 1 and 3}$

$Z = \text{By both 1 and 2}$

Phase 3

Exploiting Coding Opp.

& Creating New Coding Opp.

d_1 has Y, Z
 d_2 has X, Z
 d_3 has $X + Y$



The Packet Evolution Scheme

Phase 1

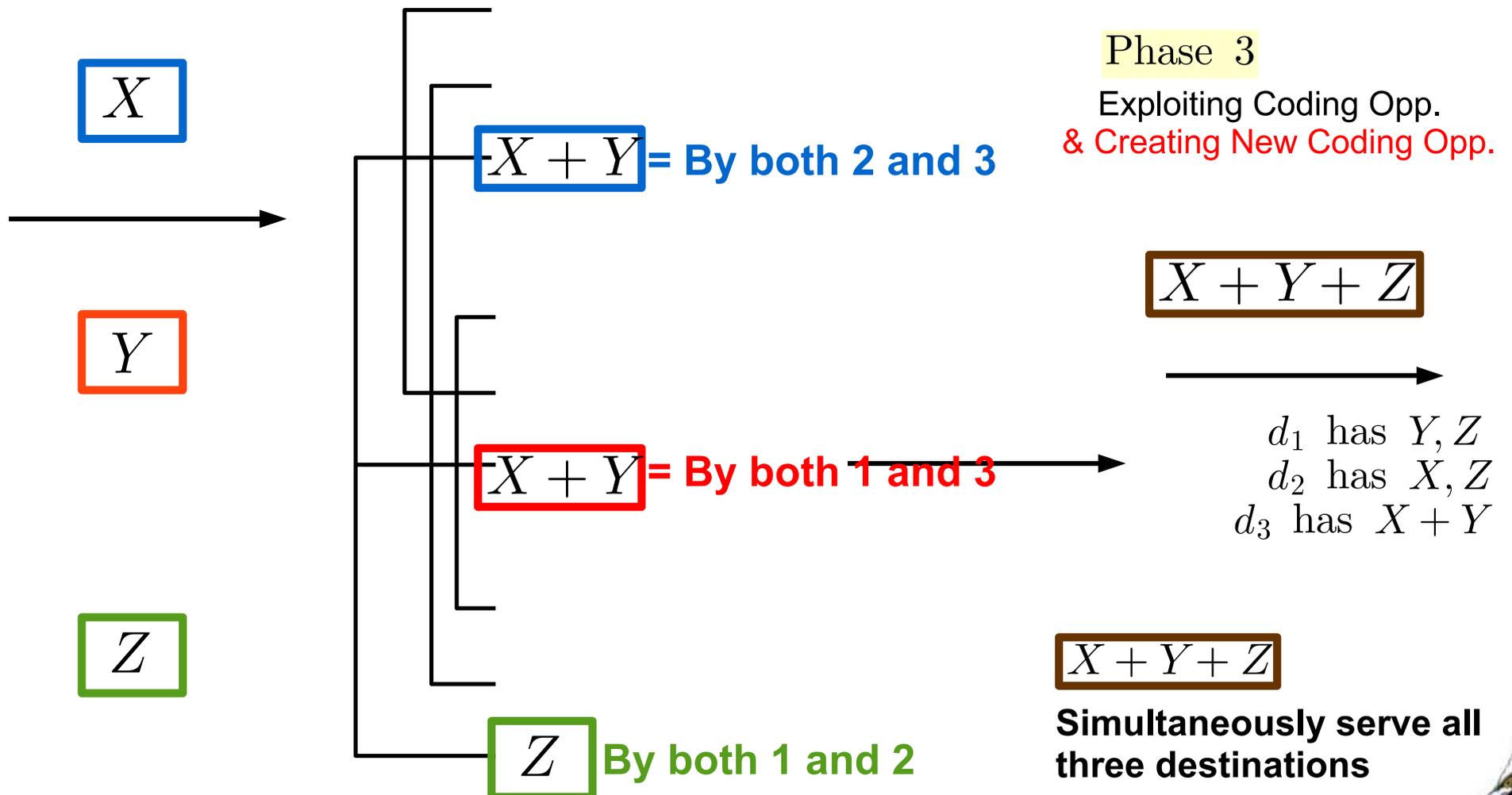
Creating New Coding Opp.

Phase 2

Exploiting Coding Opp.
& Creating New Coding Opp.

Phase 3

Exploiting Coding Opp.
& Creating New Coding Opp.



Packet Evolution (Cont'd)

- When we have a transmission opportunity:
 - Use the overhearing status to decide which packets to be mixed
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 - Update the representative coding vector to stay aligned in the code space. — Code Alignment
- The overhearing status and the coding vector of each packet keep evolving.



Capacity Results

- Capacity outer bound: $\forall \pi, \sum_{k=1}^M \frac{R_{\pi(k)}}{p_{\cup S_k}^{\pi}} \leq 1.$
- By analyzing the throughput of the **packet evolution** scheme, we obtain new inner bounds for 1-to- M broadcast PECs with arbitrary $p_{S(\overline{[M] \setminus S})}$ parameters.



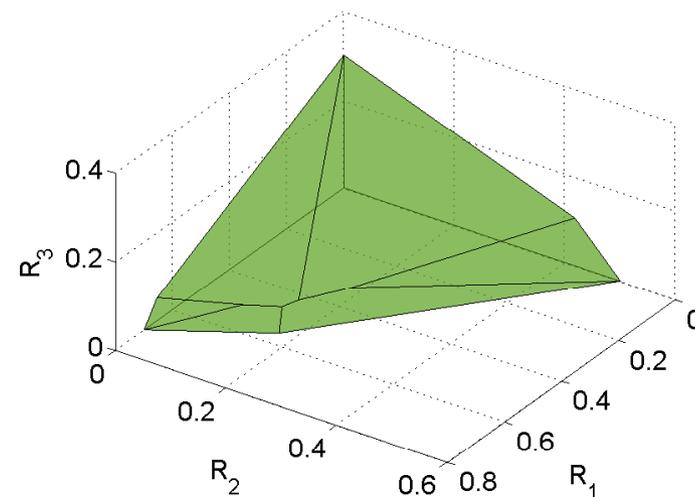
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- Provably the outer bound is indeed the capacity of:
 - Arbitrary 1-to-3 PECs,



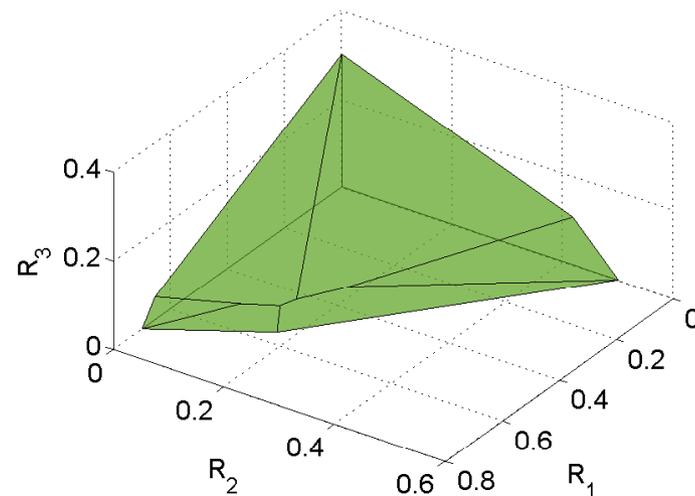
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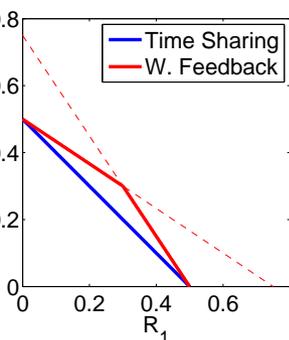
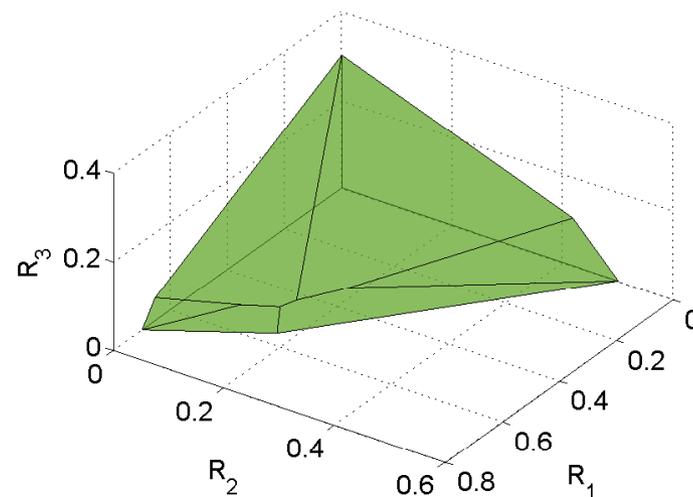
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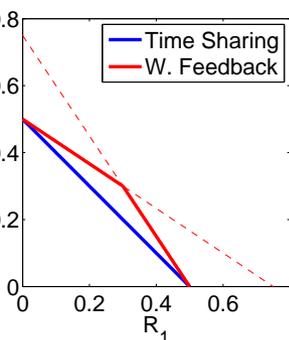
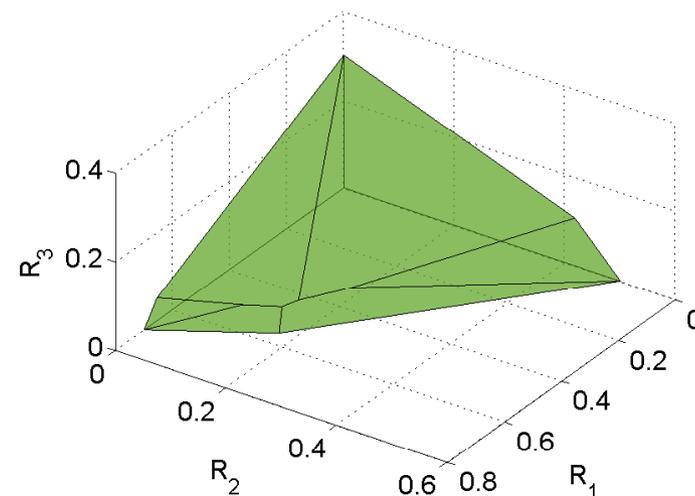
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● For all our experiments, the outer/inner bounds always meet.

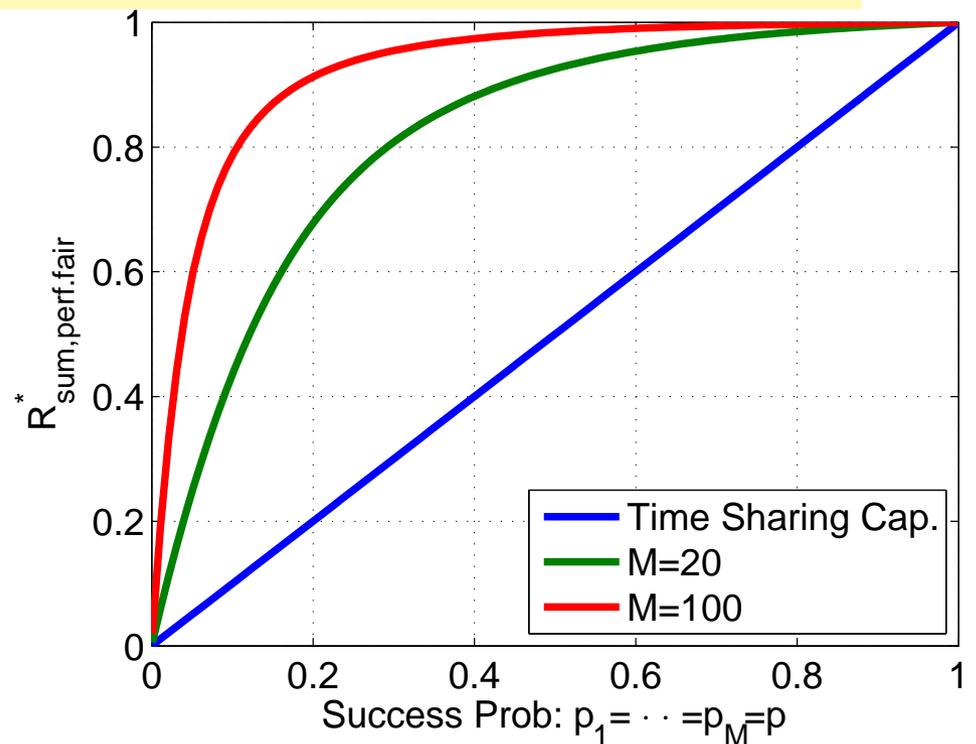
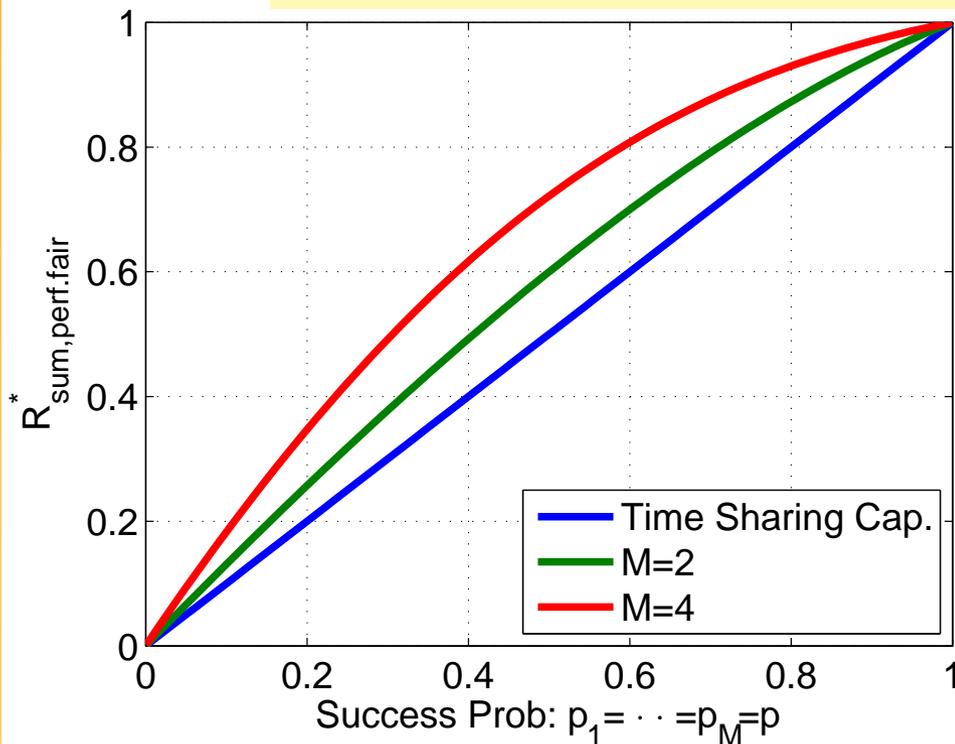


Numerical Evaluation

$$\forall \pi, \sum_{k=1}^M \frac{R_{\pi(k)}}{p_{\cup S_k^\pi}} \leq 1.$$

Symmetric spatially independent PECs: $p_1 = p_2 = \dots = p_M = p$
 Perfectly fair systems: $R_1 = R_2 = \dots = R_M$

Sum rate capacity $\sum_{k=1}^M R_k$ vs. marginal success prob. p .

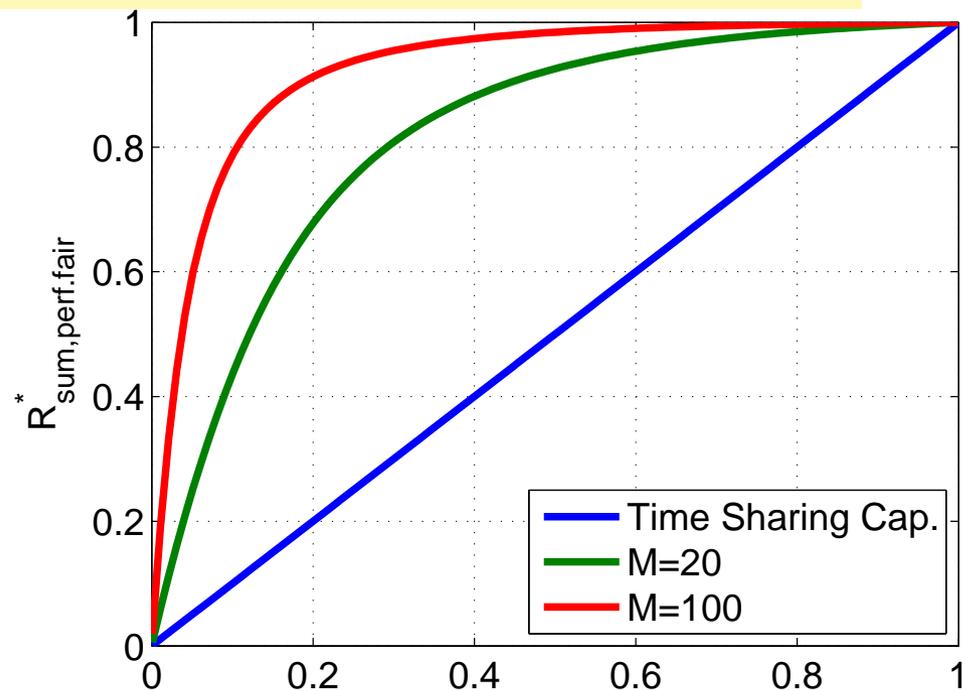
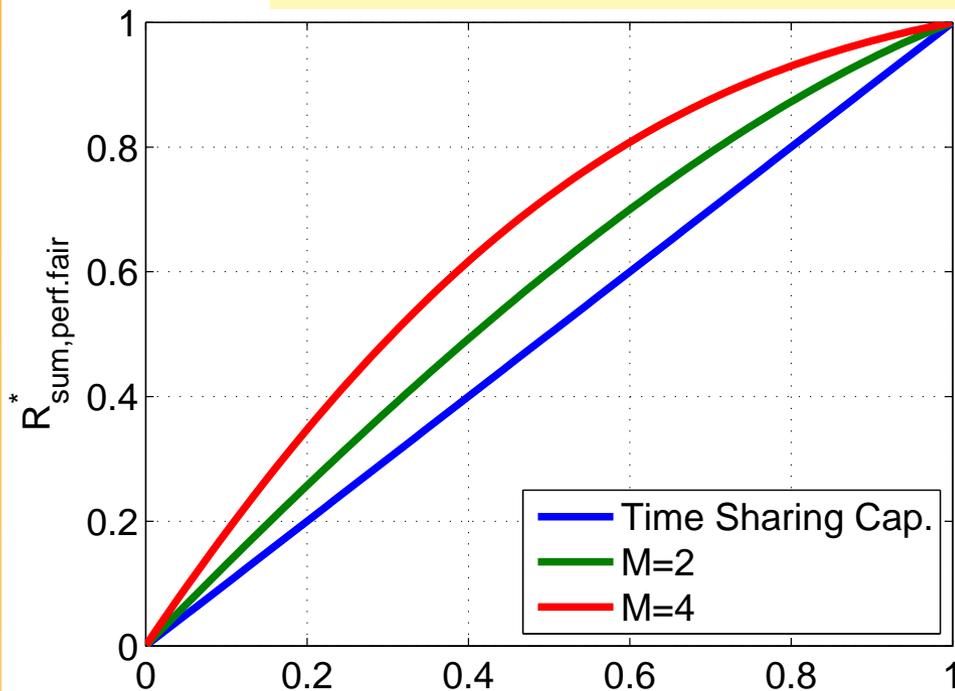


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Corollary : When $M \rightarrow \infty$, the channel becomes effectively noiseless. [Larsson *et al.* 06]

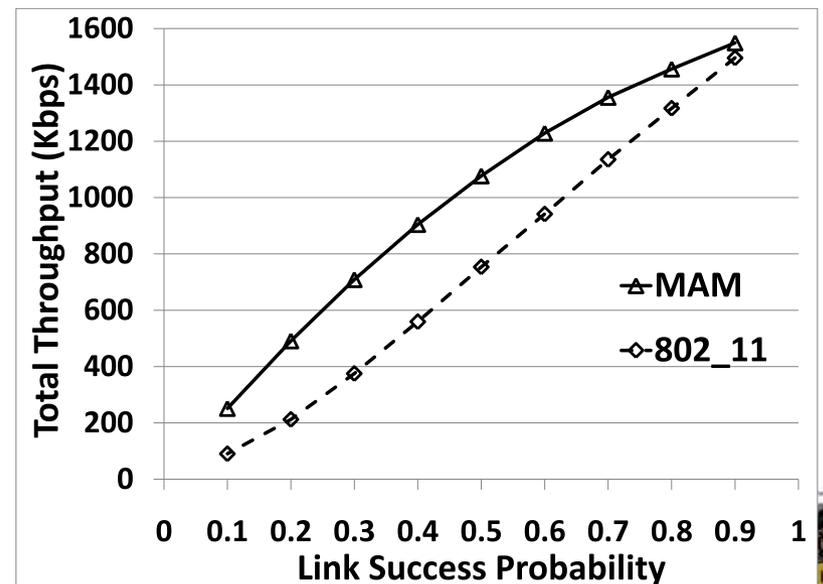
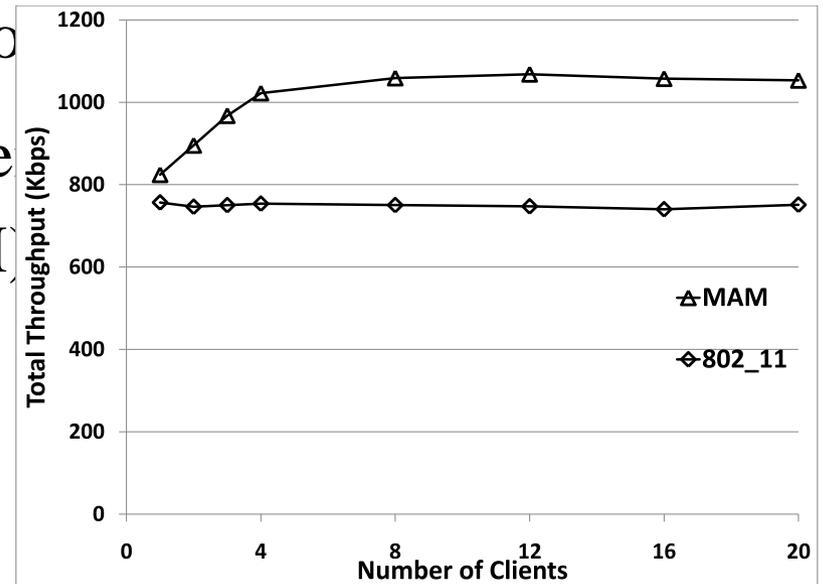
The Coding Gain Is Real.

- In practice, per-packet feedback is costly.
- We modify the **packet evolution** scheme and develop a **Mixing-reAlignment-Mixing** (MAM) scheme that requires only infrequent periodic feedback.



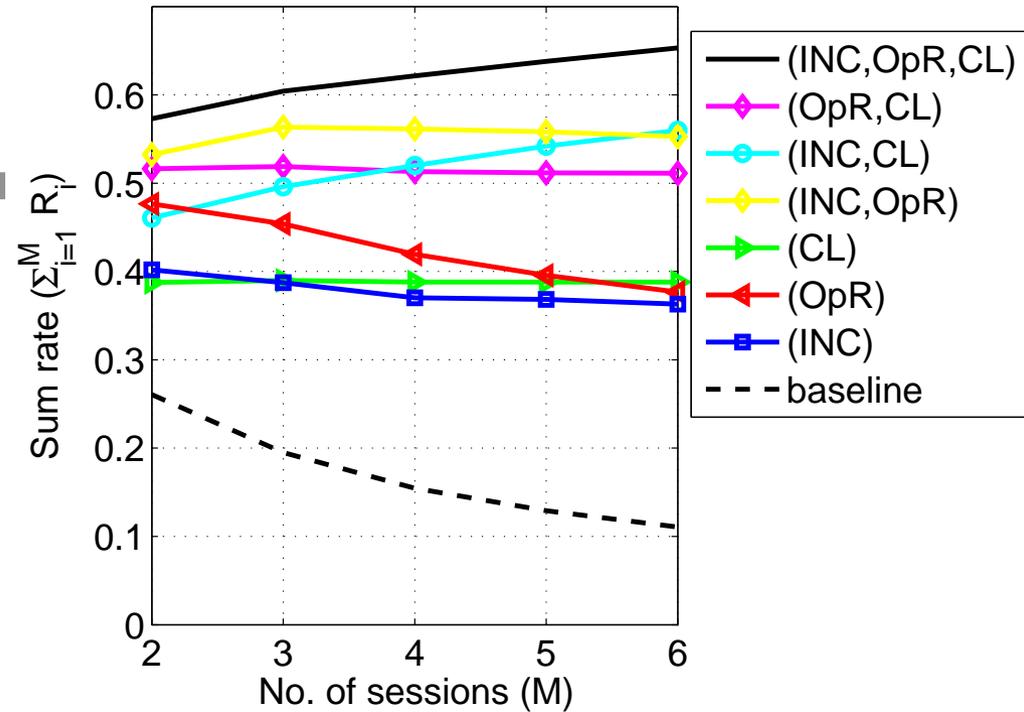
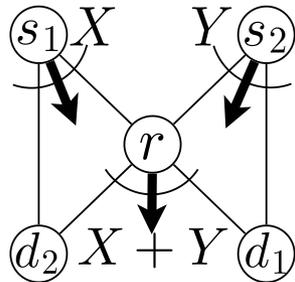
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- In practice, per-packet feedback is costly.
- We modify the packet evolution scheme to **Mixing-reAlignment-Mixing** (MAM) to support infrequent periodic feedback.
- We have implemented practical MAM in Glomosim simulator. Group sessions into groups of $M = 4$ sessions and perform MAM within each group. Rayleigh fading model with 802.11 CSMA-CD. Packet loss rate: 0.5.

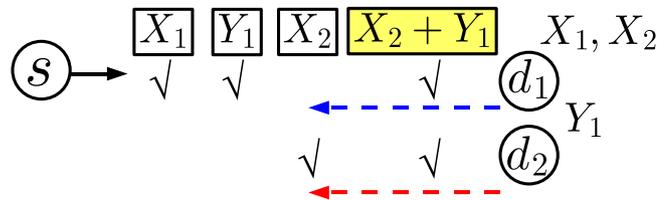


Summary

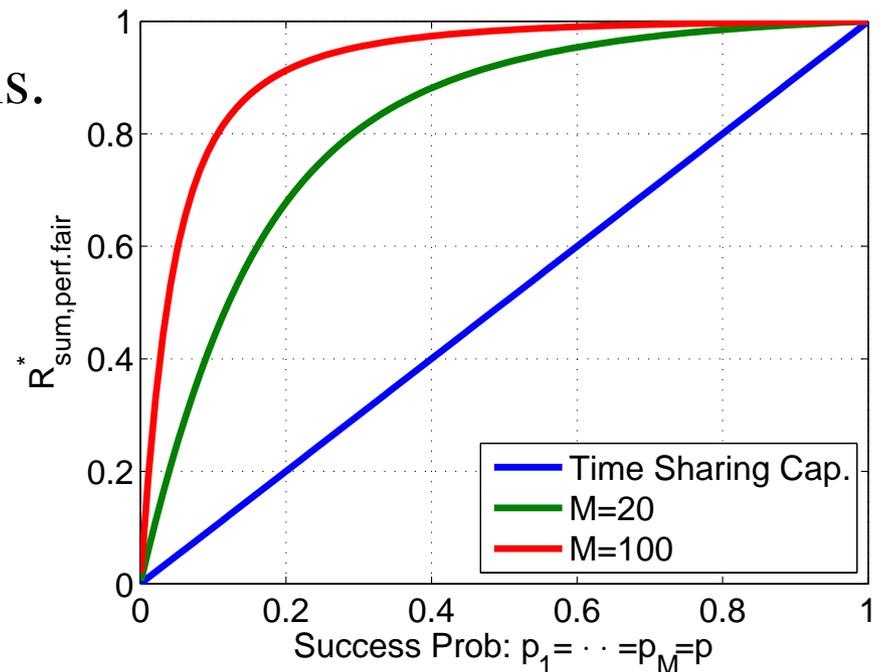
- The capacity of COPE-like protocols.



- The capacity of ER-like protocols.



- Provably tight for $M = 3$;
Empirically tight for all M .



Conclusion

- Wireless network coding — From practice (ex: COPE, ER, and MORE protocols) back to theory.



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 - Side information brings larger gains but is harder to exploit.
 - Feedback is natural; It is common to see $M \approx 4\text{--}20$ clients.



Conclusion

- Wireless network coding — From practice (ex: COPE, ER, and MORE protocols) back to theory.
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- Message side information vs. channel output feedback:
 - Side information brings larger gains but is harder to exploit.
 - Feedback is natural; It is common to see $M \approx 4\text{--}20$ clients.
- From theory back to practice: Combining the **information-theoretic** and **algorithmic studies**.
 - Ex: **How to guarantee termination** in a noisy environment?
 - Ex: The linear independence guaranteed by $\text{GF}(q)$, $q \rightarrow \infty$ does not hold with prob. 1 for the practical choice $\text{GF}(2^8)$.
How to guarantee decodability?



Questions?

