

# CSCI3160: Regular Exercise Set 1

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**Problem 1.** Recall that our RAM model has an atomic operation  $\text{RANDOM}(x, y)$  which, given integers  $x, y$ , returns an integer chosen uniformly at random from  $[x, y]$ . Suppose that you are allowed to call the operation *only* with  $x = 1$  and  $y = 128$ . Describe an algorithm to obtain a uniformly random number between 1 and 100. Your algorithm must finish in  $O(1)$  expected time.

**Solution.** Call  $\text{RANDOM}(1, 128)$  and let  $z$  be its return value. Output  $z$  if it is in  $[1, 100]$ . Otherwise, repeat from the beginning. We need to call the operator twice in expectation because each time  $z$  has probability  $100/128$  to fall in the range we want.

**Problem 2\*.** Suppose that we enforce an even harder constraint that you are allowed to call  $\text{RANDOM}(x, y)$  *only* with  $x = 0$  and  $y = 1$ . Describe an algorithm to generate a uniformly random number in  $[1, n]$  for an arbitrary integer  $n$ . Your algorithm must finish in  $O(\log n)$  expected time.

**Solution.** We first obtain the smallest power of 2 that is at least  $n$ . For this purpose, set  $x = 1$ , and double  $x$  each time until  $x \geq n$ . The final  $x$  is the power of 2 we are looking for. This takes  $O(\log n)$  time.

Next we will generate a uniformly random number  $y$  in  $[1, x]$ . For this purpose, call  $\text{RANDOM}(0, 1)$ , and let  $z$  be its return. If  $z = 0$ , we proceed to generate a random number in  $[1, x/2]$  recursively; otherwise, proceed in  $[(x/2) + 1, x]$  recursively. Note that the range of numbers has shrunk by half. The recursion goes on  $O(\log n)$  steps before the range contains only one number, which is the  $y$  we want.

Return  $y$  if  $y \leq n$ . Otherwise, repeat by generating another  $y$ . Since  $y \geq x/2$ , at most 2 repeats are needed in expectation. The overall time is therefore  $O(\log n)$  in expectation.

**Problem 3.** Consider the following algorithm to find the greatest common divisor of  $n$  and  $m$  where  $n \leq m$ :

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algorithm GCD( $n, m$ )
  if  $n = 0$  then
    return  $m$ 
   $m = m - n$ 
  if  $n \leq m$  then return GCD( $n, m$ )
  else return GCD( $m, n$ )
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Prove:

1. The time complexity of the algorithm is  $O(m)$ .
2. The time complexity of the algorithm is  $\Omega(m)$ .

**Solution.**

*Proof of Statement 1:* Each time a recursive call to the algorithm is made,  $\max\{n, m\}$  decreases by at least 1. Therefore, there can be at most  $m$  calls overall. Each call clearly takes  $O(1)$  time.

*Proof of Statement 2:* Fix  $n = 1$ . It is clear that the algorithm must make  $m$  calls.

**Problem 4.** Consider an input array  $A$  that has  $n = 120$  distinct elements. Suppose that we choose a number  $v$  in  $A$  uniformly at random. What is the probability that the rank of  $v$  (among all the numbers in  $A$ ) fall in the range  $[35, 78]$ ?

**Solution.**  $(78 - 35 + 1)/120 = 44/120$ .

**Problem 5\*\* (A Simpler Randomized Algorithm for  $k$ -Selection, but with a More Tedious Analysis ).** In the  $k$ -selection problem, we have an array  $S$  of  $n$  distinct integers (not necessarily sorted). We would like to find the  $k$ -th smallest integer in  $S$  where  $k \in [1, n]$ . Here is another way of solving it using randomization. If  $n = 1$ , then we simply return the only element in  $S$ . For  $n > 1$ , we proceed as follows:

- Randomly pick an integer  $v$  in  $S$ , and obtain the rank  $r$  of  $v$  in  $S$ .
- If  $r = k$ , return  $v$ .
- If  $r > k$ , produce an array  $S'$  containing the integers of  $S$  that are smaller than  $v$ . Recurse by finding the  $k$ -th smallest in  $S'$ .
- Otherwise, produce an array  $S'$  containing the integers of  $S$  that are larger than  $v$ . Recurse by finding the  $(k - r)$ -th smallest in  $S'$ .

Prove that the above algorithm finishes in  $O(n)$  expected time.

**Solution.** Let  $f(n)$  be the expected time of the above algorithm on an input of size  $n$ . Clearly,  $f(0) = O(1)$  and  $f(1) = O(1)$ .

Consider  $n > 1$ . The rank  $r$  of  $v$  is uniformly distributed in  $[1, n]$ , namely, for each  $i \in [1, n]$ ,  $\Pr[r = i] = 1/n$ . When  $r = i$ , it determines a “left subset” containing the  $i - 1$  integers of  $S$  smaller than  $v$ , and a “right subset” of size  $n - i$ . In the worst case, we recurse into the larger of the two subsets, namely, we would need to solve the problem on an array of size  $\max\{i - 1, n - i\}$ . This gives rise to the following recurrence (for some constant  $\alpha > 0$ ):

$$\begin{aligned} f(n) &\leq \alpha \cdot n + \frac{1}{n} \sum_{i=1}^n f(\max\{i - 1, n - i\}) \\ &\leq \alpha \cdot n + \frac{2}{n} \sum_{i=\lceil n/2 \rceil}^n f(i - 1) \end{aligned}$$

We will prove that the recurrence leads to  $f(n) \leq cn$  for some constant  $c > 0$ . First, this is obviously true for  $n \leq 24$  when  $c$  is at least a certain constant, say  $\beta$  (when  $n = O(1)$ , the algorithm definitely finishes in constant time).

Suppose that  $f(n) \leq cn$  for  $n \leq k - 1$  where  $k \geq 24$ . Set  $t = \lceil k/2 \rceil$ . We have:

$$\begin{aligned} f(k) &\leq \alpha \cdot k + \frac{2}{k} \sum_{i=t}^k c(i - 1) = \alpha \cdot k + \frac{2c}{k} \sum_{i=t-1}^{k-1} i \\ &= \alpha \cdot k + \frac{2c}{k} \frac{(k + t - 2)(k - t + 1)}{2} < \alpha \cdot k + \frac{c(k^2 + 3t - t^2)}{k} \\ &< (\alpha + c)k + 3c - c \frac{t^2}{k} \leq (\alpha + c)k + 3c - c \frac{(k/2)^2}{k} \\ &= (\alpha + c)k + 3c - ck/4 \end{aligned}$$

We need the above to be at most  $ck$ , namely:

$$\begin{aligned}
(\alpha + c)k + 3c - ck/4 &\leq ck \\
&\Leftrightarrow \alpha k + 3c \leq ck/4 \\
&\Leftrightarrow \begin{cases} ck/4 \geq 2\alpha k \\ ck/4 \geq 6c. \end{cases} \\
&\Leftrightarrow \begin{cases} c \geq 8\alpha \\ k \geq 24. \end{cases}
\end{aligned}$$

Hence, setting  $c = \max\{\beta, 8\alpha\}$  completes the proof.