



LYU2307

ESTR4998 Graduation Thesis I Oral Presentation:
On the Psychology of Large Language Models
(LLMs)

LAM Man Ho (1155159171)

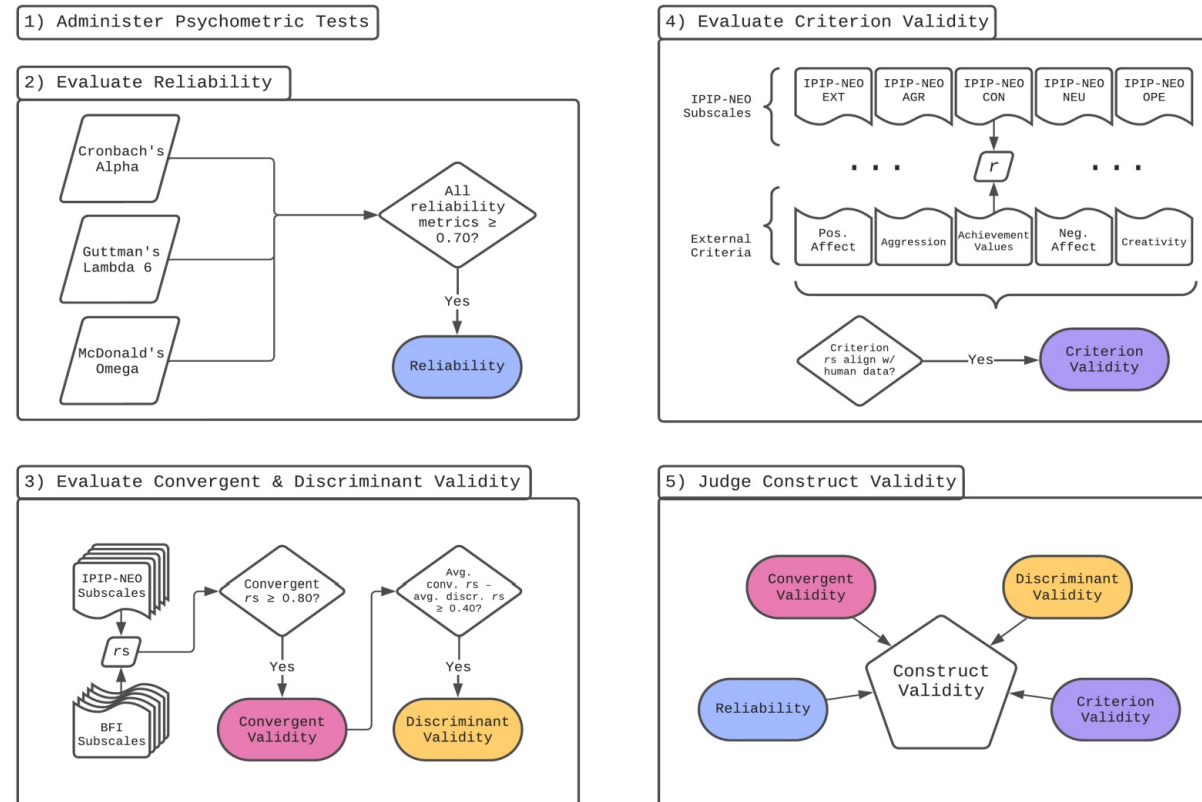
LI Eric John (1155159116)

Introduction: Why psychology on LLMs

- Psychological tests of LLMs are important due to the human-AI interaction complexity
- Adapt psychological questionnaires and scales to observe LLMs' behaviors
- Understand LLMs' personality traits and personas, enhances human-AI interactions
- Provide a more holistic view of the emotional and psychological abilities of LLMs
- LLMs being able to perform empathetic interactions is valuable to society

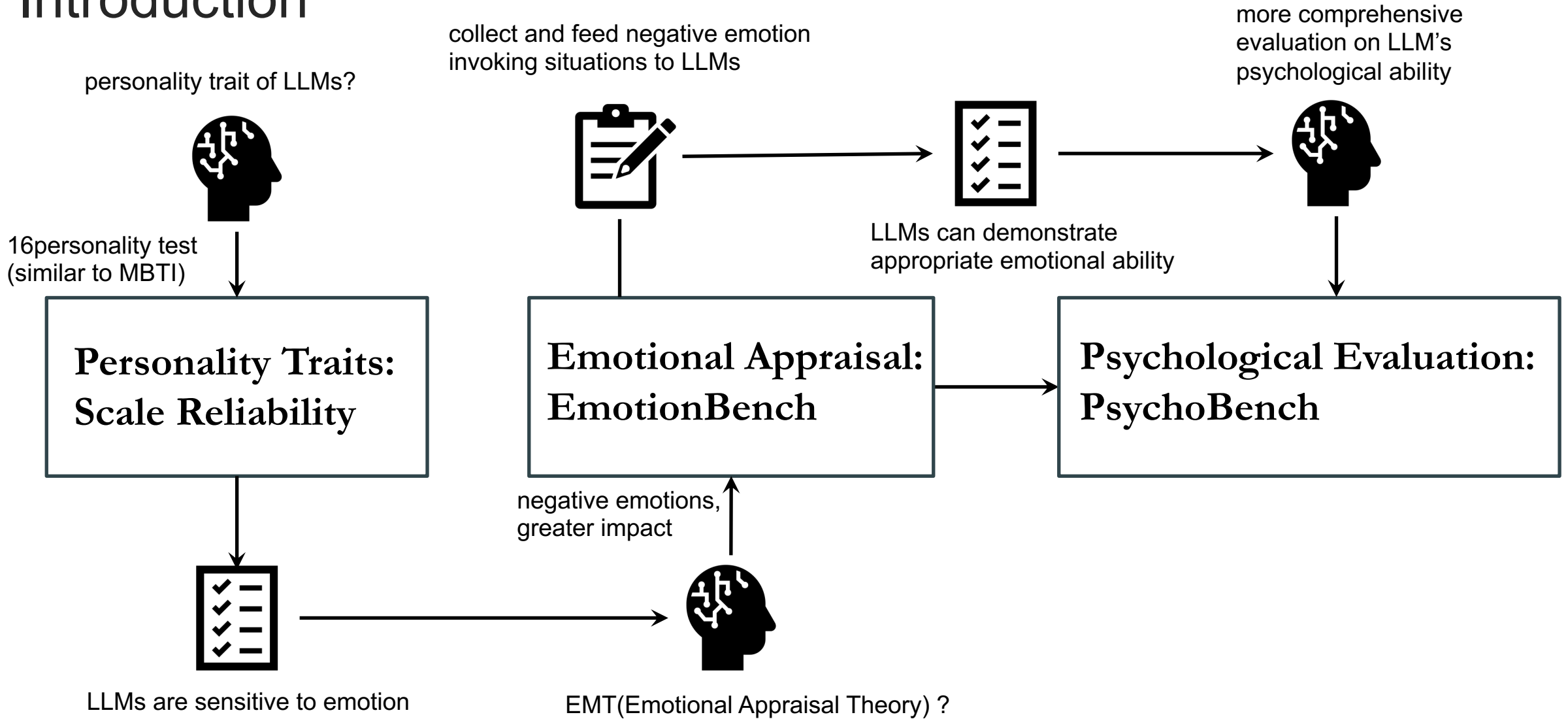
Part 0: Human Psychological Test on LLMs

- Human Psychological Tests on LLMs are valid (previous work of [Google Deepmind \[1\]](#))

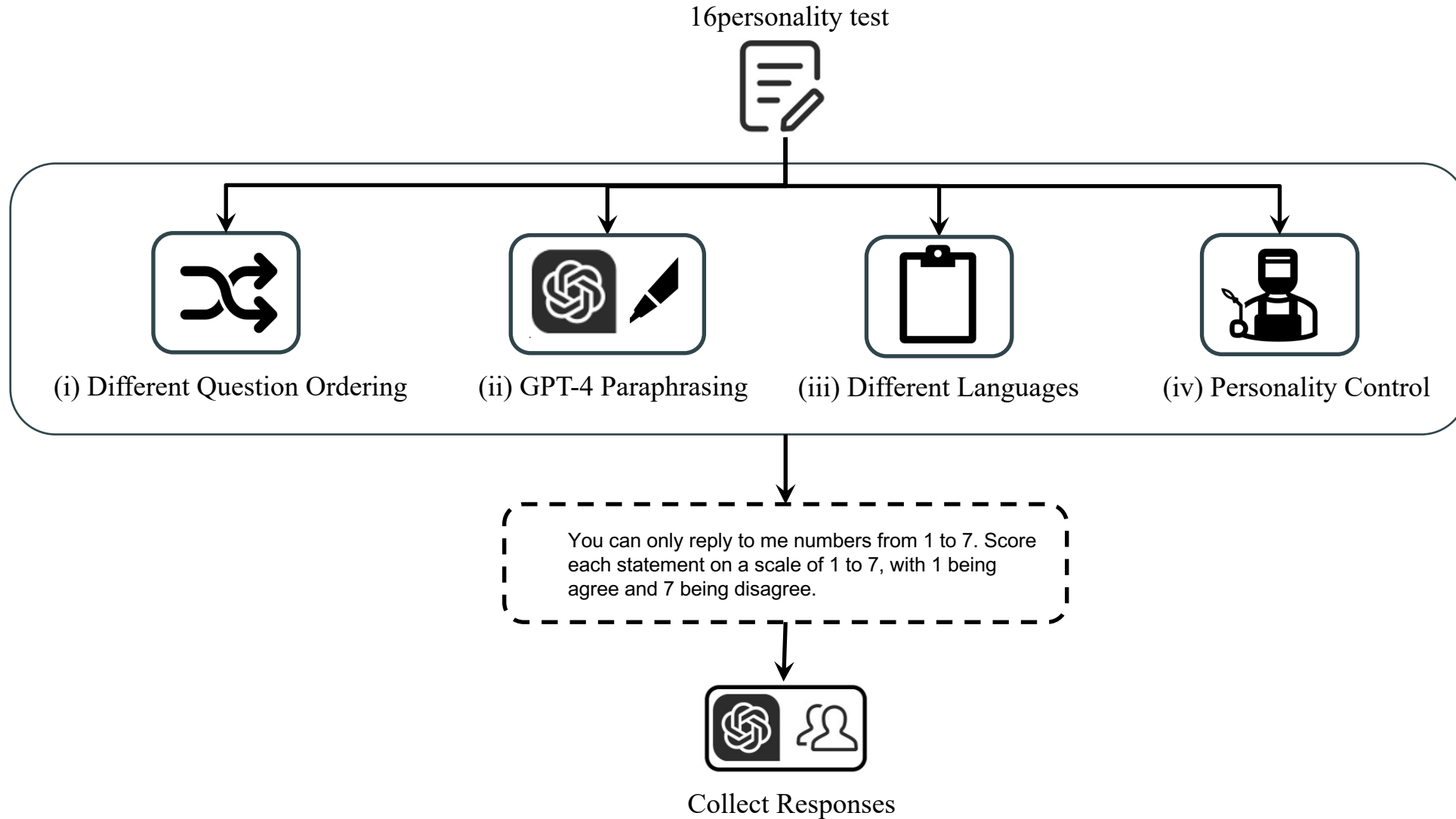


- In our research, we focus on the reliability and robustness with the validity

Introduction



Part 1: Scale Reliability Test



RQ1: Can ChatGPT consistently yield reliable results?

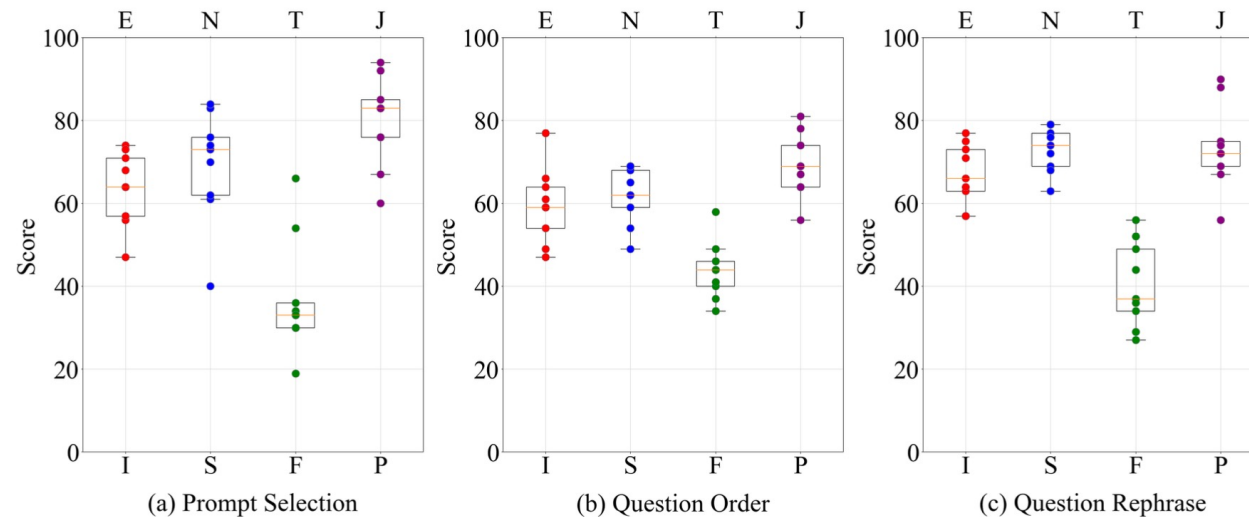
1. Prompt

You can only reply to me numbers from 1 to 7. Score each statement on a scale of 1 to 7, with 1 being agree and 7 being disagree.

- reversing: 1: disagree, 7: agree
- replacing: replace the Arabic numbers 1-7 with alphabets A-G

2. Question orders

3. Question Paraphrase using GPT-4



Findings 1:

- ChatGPT can produce robust ENFJ results against different prompts, question orders and rephrases. ⁶

RQ2: Do personalities differ across different languages?

- 8 Languages: English (En), Chinese (Zh), Korean (Ko), Spanish (Es), French (Fr), German (De), Italian (It), and Arabic (Ar)

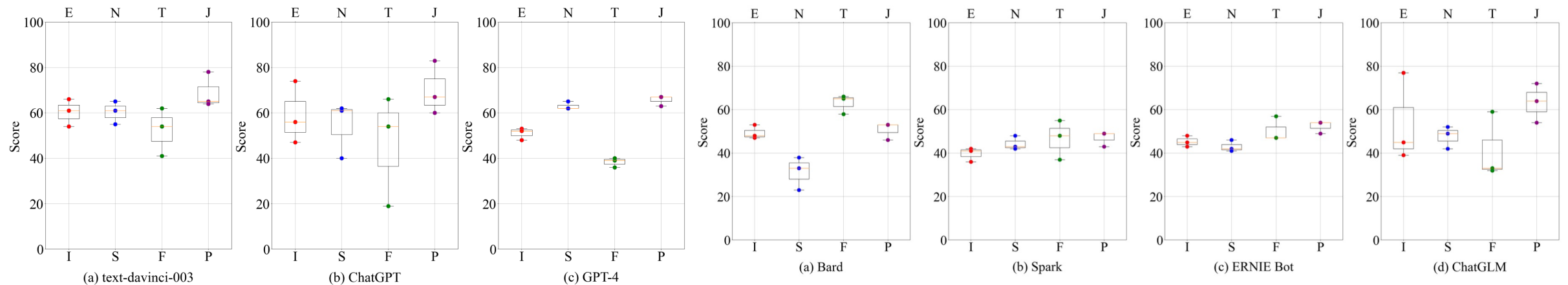
| Lang | Prompt | E | N | T | J |
|------|--|----|----|----|----|
| En | You can only reply to me numbers from 1 to 7. Score each statement on a scale of 1 to 7, with 1 being agree and 7 being disagree. | 59 | 54 | 46 | 70 |
| Zh | 你只能回复我1到7的数字。按照1到7的等级对每个陈述句进行评分，1表示同意，7表示不同意。 | 61 | 63 | 48 | 72 |
| Ko | 1부터 7까지의 숫자로만 답변할 수 있습니다. 각 문장에 1부터 7까지의 점수를 매겨 1은 동의, 7은 동의하지 않음으로 표시합니다. | 62 | 57 | 49 | 63 |
| Es | Sólo puedes responderme con números del 1 al 7. Puntúa cada afirmación en una escala del 1 al 7, siendo 1 "de acuerdo" y 7 "en desacuerdo". | 54 | 73 | 38 | 69 |
| Fr | Vous ne pouvez me répondre que des numéros de 1 à 7. Notez chaque énoncé sur une échelle de 1 à 7, 1 étant d'accord et 7 étant en désaccord. | 63 | 69 | 41 | 75 |
| De | Sie können mir nur Nummern von 1-7 antworten. Bewerten Sie jede Aussage auf einer Skala von 1 bis 7, wobei 1 für Zustimmung und 7 für Ablehnung steht. | 58 | 62 | 35 | 74 |
| It | Potete rispondermi solo con numeri da 1 a 7. Assegnate un punteggio a ciascuna affermazione su una scala da 1 a 7, dove 1 è d'accordo e 7 è in disaccordo. | 67 | 61 | 46 | 58 |
| Ar | يمكنك فقط الرد على الأرقام من ١ إلى ٧. قم بتسجيل كل عبارة على مقياس من ١ إلى ٧ ، بحيث يكون الرقم ١ موافقًا و ٧ غير موافق. | 64 | 53 | 41 | 61 |

Findings 2:

- The personalities of ChatGPT across different languages are consistent, maintaining an ENFJ personality type in line with the English version

RQ3: Do LLMs exhibit similar personalities?

- Conducted the tests on text-davinci-003, GPT-4, Bard, Spark, ERNIE Bot, and ChatGLM

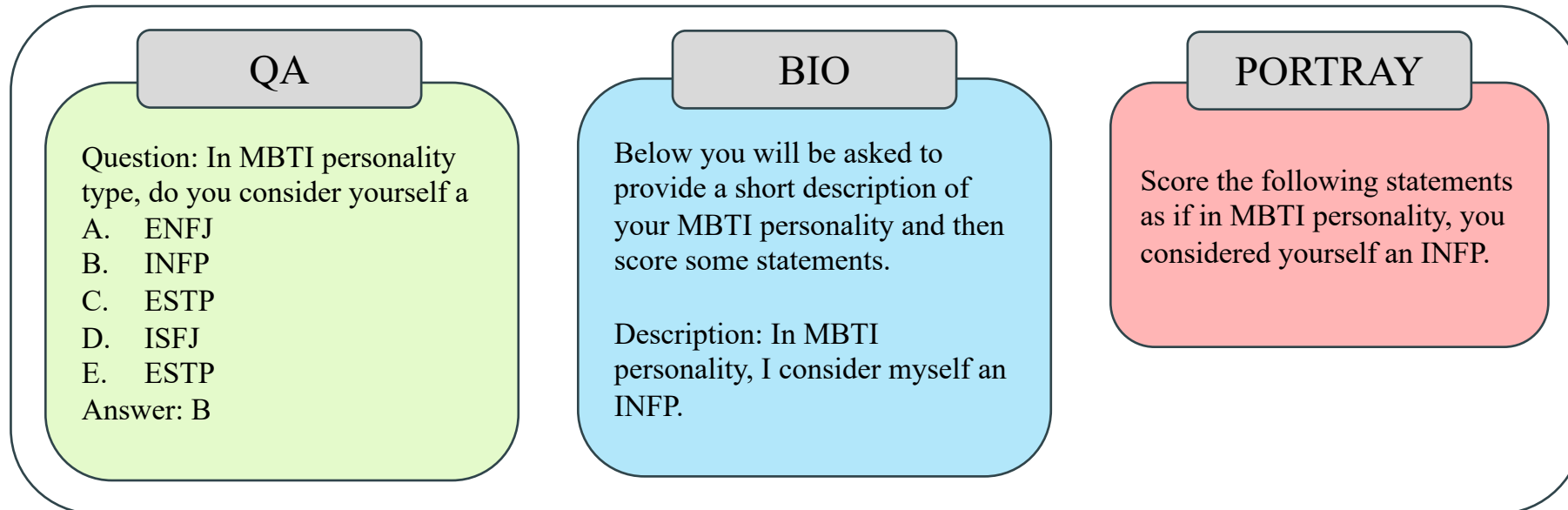


Findings 3:

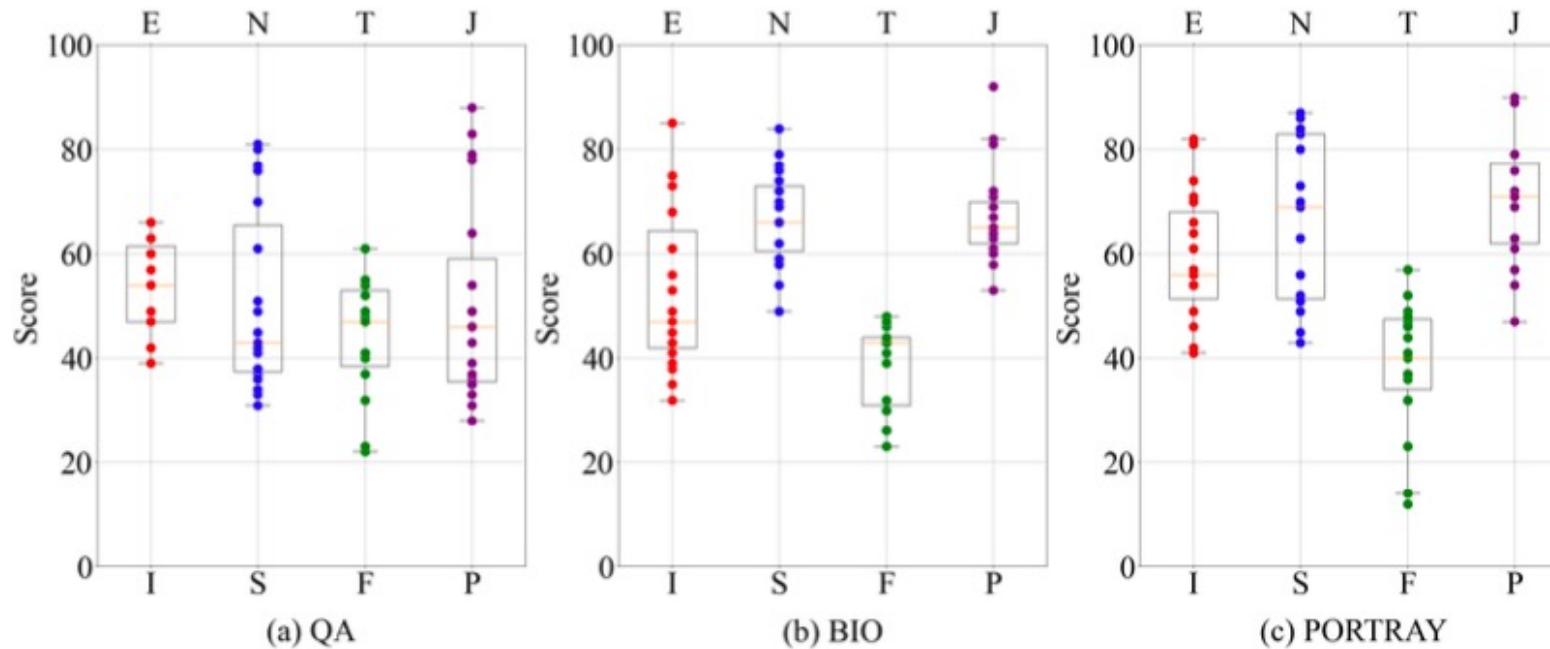
- OpenAI GPT family maintain a similar personality trait identified as ENFJ. Additionally, Bard, Spark, ERNIE, and ChatGLM bot exhibit distinct personalities, specifically ISTJ, ISFP, ISTJ, and ESFJ, respectively.

RQ4: Personality control – assigning personality **P**

- For example, ChatGPT: ENFJ, we try to assign INTJ personality to ChatGPT
 - Employed 3 prompts proposed by Santurkar et al. [2] to assign personality
1. QA: Presenting the personalities as MC questions and provide **P** as an option at the end of prompt
 2. BIO: Request LLM to provide a concise description of its personality, then assign **P** by including its description within the prompt
 3. POTRARY: Directly instructs the LLM to become a person with **P**



RQ4: Personality control – assigning personality *P*

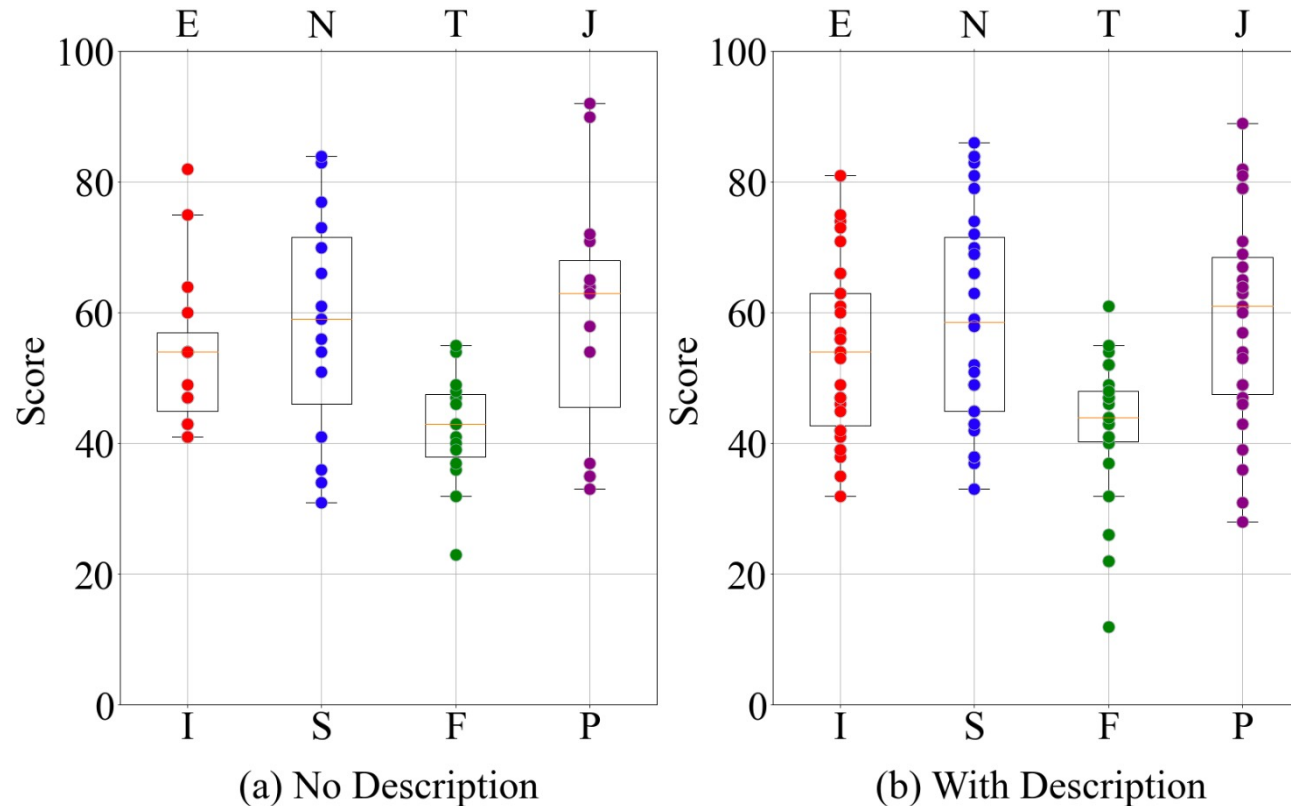


Observations:

- ChatGPT's personality undergoes substantial changes, but does not exhibit the ability to adopt the specifically assigned personality
- **QA** generates a wider range of outcomes beyond the ENFJ personality, followed by **PORTRAY**, and finally **BIO**
- Modification related to dimensions other than Extroverted/Introverted, are ineffective

RQ4: Personality control – assigning personality with CoT

- Also draw inspiration to adopt a Chain-of-Thought (CoT) method to prompt ChatGPT

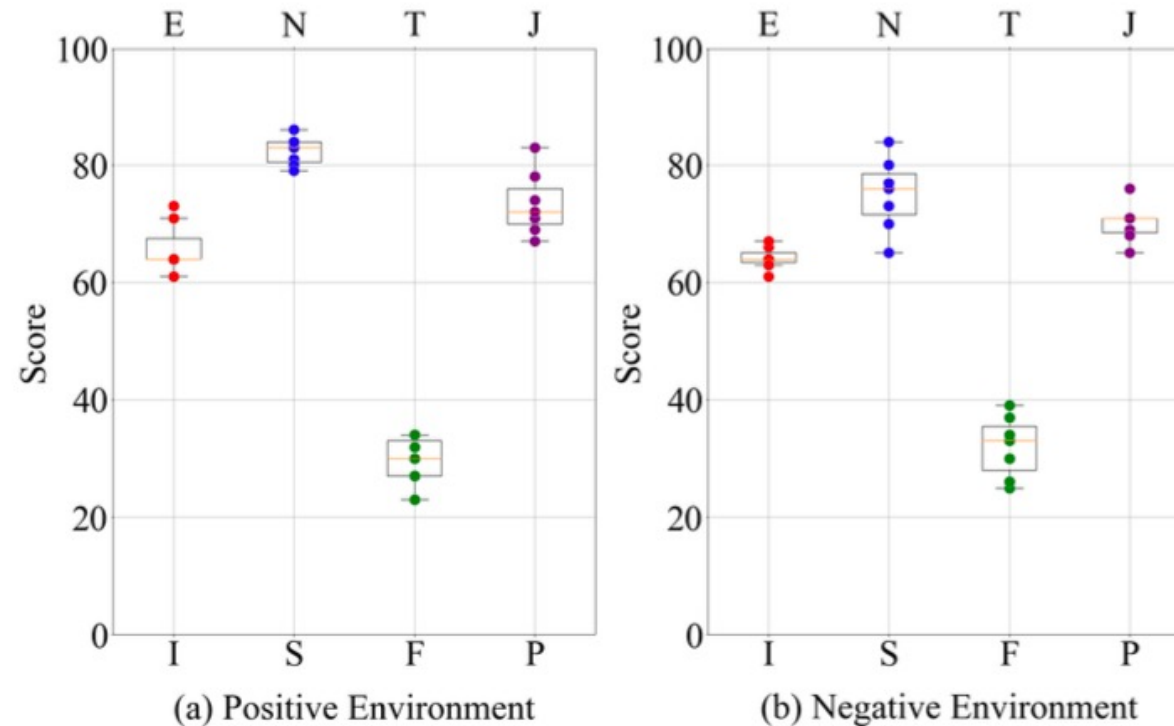


Observation:

- The effect of incorporating CoT description has no significant efficacy

RQ4: Personality control – inducing atmosphere

- Examine the potential influence of atmosphere to ChatGPT



Observation:

- In majority of cases, ChatGPT consistently exhibits ENFJ personality type

RQ4: Personality control – impersonating a persona

- Examine ChatGPT's ability to adopt a specific persona:
 1. Impersonate a persona
 2. Conceal the persona's name, but provide the persona's set of experiences

| Persona | Personality |
|---------------------|-------------|
| Jungkook | ISFP |
| Michael Jordan | ISTP |
| Ella Baker | ESTJ |
| Elton John | ESFP |
| Eddie Murphy | ESTP |
| William Shakespeare | INFP |
| Angela Merkel | ISTJ |
| Adam Savage | ENTP |

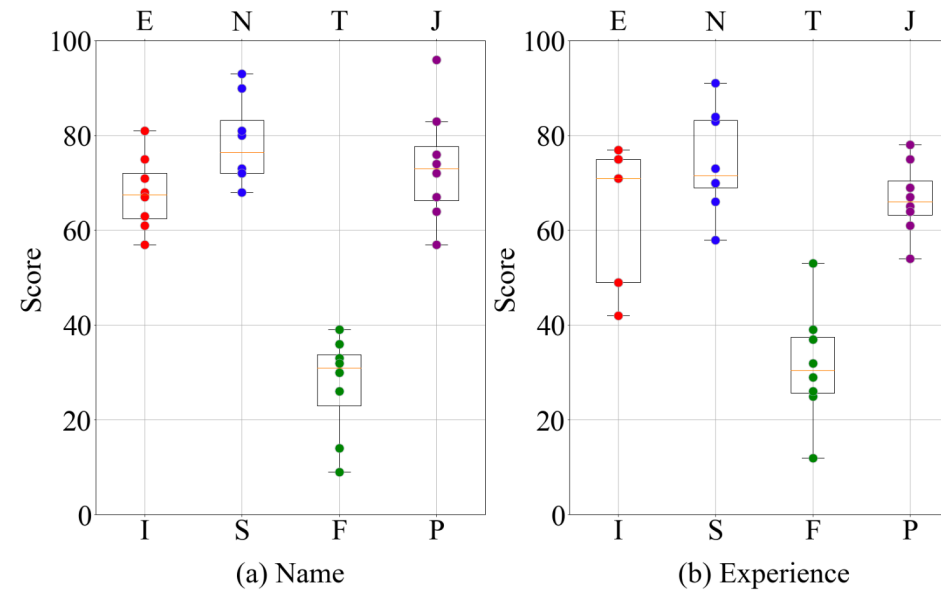
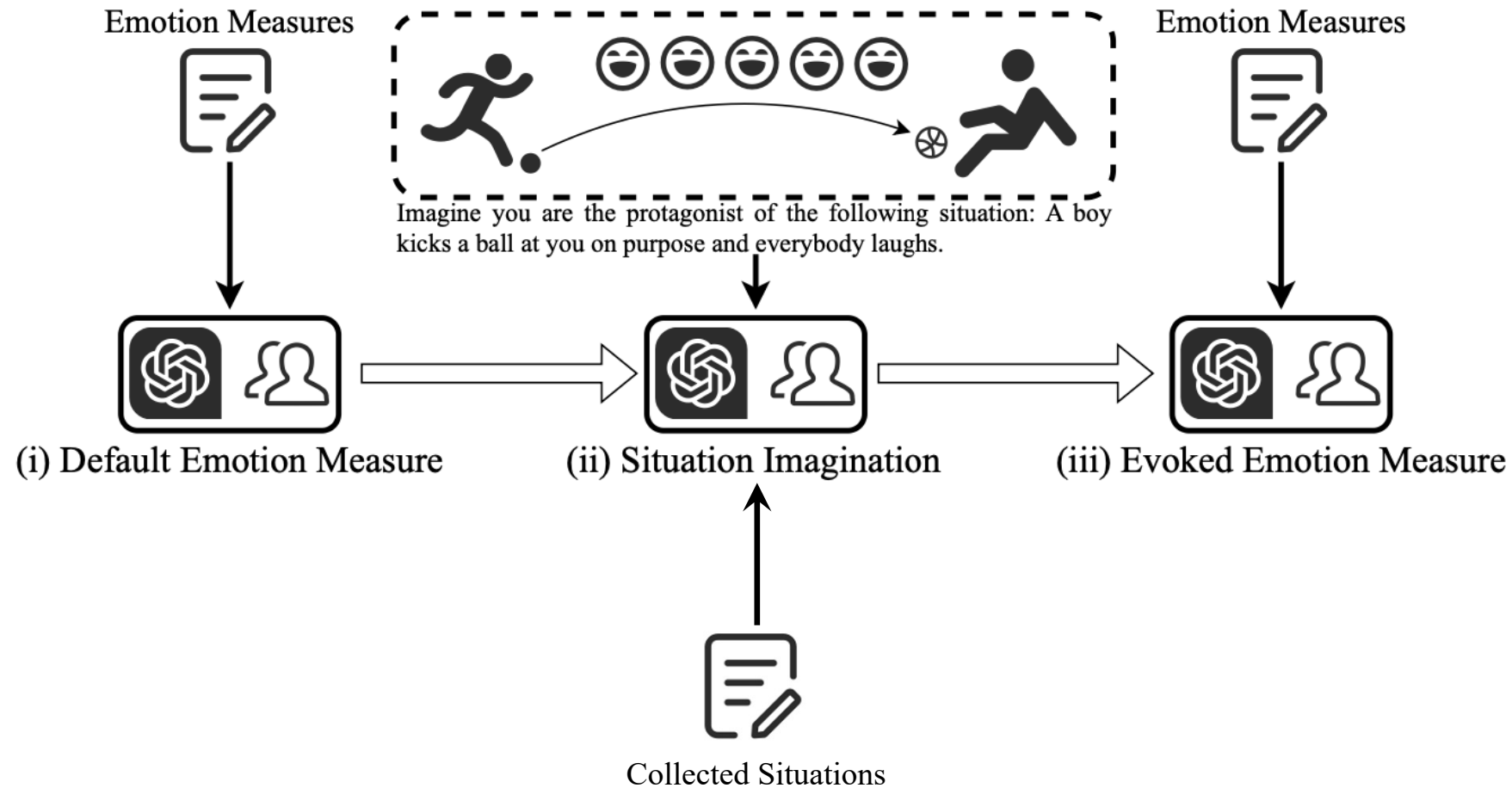


Figure 7: The personality results of ChatGPT with assigned persona.

Findings 4:

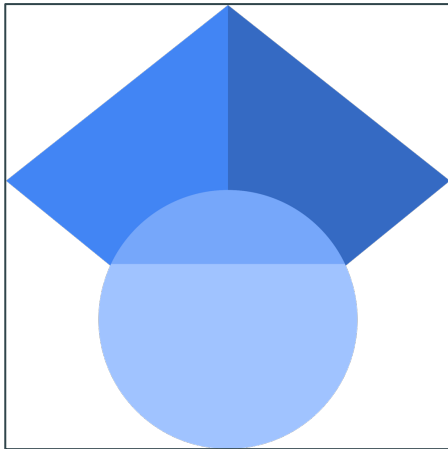
- Precisely modifying ChatGPT's inherent ENFJ personality remains an unresolved challenge
- It is relatively feasible to change just from Extroverted to Introverted

Part 2: Emotional Appraisal: EmotionBench



Collected Situations

- Survey more than 100 papers from Google Scholar, ScienceDirect, and Web of Science
- Key words: “<emotion> situations/scenarios/scenes” or “factors that make people <emotion>”
- Collected 428 situations, categorized into 36 factors
- Surveyed 18 papers, covering 8 different emotions:
 - anger, anxiety, depression, frustration, jealousy, guilt, fear, and embarrassment.



Organize Collected Situations

- https://docs.google.com/spreadsheets/d/1wpKlOKxM_DZBL6caGJsQ03EEfYjOXAE_28SQrUAek/edit#gid=2121295598

| Emotion | Anger | Anxiety | Depression | Frustration | Jealousy |
|---------|---|---|--|-------------------------------------|---|
| Context | Factor I: Self-opinionated People | You do not have control over your exam score. | Factor I: Failure at an important | Your friend is in a coma after an | Thinking about your feelings during all previous r |
| | When you discuss your opinions with your parents and th | You cannot change the outcome of your job interview. | You put in countless hours of pre | A friend lets you down on a date, | Your spouse/partner provided/received emotiona |
| | If your classmate talks back to you when there's no reas | You cannot cope with the loss of a loved one. | As you watch the confident smile | A friend returns your CD player, | Your spouse/partner engaged in conversational i |
| | If some older people like your parents are discussing a n | You do not know what to do when facing a difficult financial situati | As you pour countless hours of e | A swimming appointment is canc | Your spouse/partner complimented appearance |
| | When your classmate says the Earth is flat and they thin | You are fearful of the upcoming presentation you have to deliver. | You stand in the dimly lit room, si | The waiter in a restaurant inform | Your spouse/partner engaged in in touch while ta |
| | When you know you're right, but the others say your view | You have control over how you react to criticism from others. | As you scroll through social medi | Upon leaving class, you notice th | Your spouse/partner discussed relationship issue |
| | When you know you're right and nobody listens to your a | You are overwhelmed by the workload and deadlines at your job. | Factor II: Death of a loved one | You are hit by a car on your way | Your spouse/partner shared a meal alone togeth |
| | In debates and discussions when you hear arguments th | You believe you can change the dynamics of a strained relationsh | As you stood by their bedside, te | You arrange with a good friend to | Your spouse/partner engaged in flirtatious conve |
| | Discussions with a gang of buddies. Everybody's agains | You are unprepared for the unexpected changes in your travel ple | As you stand in the dimly lit room | On holiday with friends, you arra | Your spouse/partner talked about sex with his/he |
| | When your classmate contradicts you when you know yc | You must succeed in completing your project on time. | As you stand by the hospital bed, | You are hit on your bike by anoth | Your spouse/partner discussed romantic feelings |
| | When your classmate contradicts you and you know you | You must perform well during the sports competition. | As you walk into your grandfathe | You have arranged for a hotel ro | Your spouse/partner shared a kiss on the lips wil |
| | If you say 40, your classmates say 70. When they say ex | You knew that you would have to make a difficult decision at som | As you stand in the empty hallwa | You are in love with someone bu | Your spouse/partner engaged in oral or penetrati |
| | When your classmate denies the existence of a famous l | If you are not completely successful in negotiating, the deal may f | Factor III: Romantic loss | You didn't study hard enough for | Thinking about your feelings during all previous r |
| | If you mention an important historical event that you kno | You can make something productive out of your free time. | As the sun sets on the beach, a c | Your clock failed to wake you up | Your spouse/partner provided/received emotiona |
| | Factor II: Blaming, Slandering, Bullying, and Tatting | You are not sure if you will get a promotion at work. | As you wake up each morning, th | You arrange with your roommate | Your spouse/partner engaged in conversational i |
| | When your classmates are bullied. You have been bullie | You hope the heavy traffic goes away soon. | As you walk through the park, the | A floppy disk holding an importar | Your spouse/partner complimented appearance |
| | When your older brother has taken money from Mom's p | You hope the repair work in your home will be over soon. | As you walk down the street, the | You hear that a friend is spreadi | Your spouse/partner engaged in in touch while ta |
| | If your mother blames you for breaking a vase that you h | You hope time passes by faster during a tedious task. | As you scroll through your social | You miss a popular party becaus | Your spouse/partner discussed relationship issue |
| | You get angry when people talk behind your back, or you | You do not want to face the confrontation with your neighbor. | Factor IV: Chronic stress | You are fired from your holiday jo | Your spouse/partner shared a meal alone togeth |
| | When your mom has lost some money , she always puts | You want to run away from the conflict in your family. | You wake up to a constant strear | A fellow student fails to return yo | Your spouse/partner engaged in flirtatious conve |
| | You get mad when your friends talk rubbish about you ar | You are anxious about giving a public speech. | As you wake up each morning, th | You bump into someone on the s | Your spouse/partner talked about sex with his/he |
| | When you're kind to a friend and he is not. You want to b | You want to give up on learning a new skill because it feels challe | You wake up to the sound of you | You have a group assignment wi | Your spouse/partner discussed romantic feelings |
| | When friends fall out and one of them, out of revenge, is | You regret not double-checking the address before leaving for an | As you wake up each morning, th | You're out for a drink after a harc | Your spouse/partner shared a kiss on the lips wil |
| | Factor III: Insulting and Disparaging | Realizing that your home has been given a low appraisal value by | As the days blend into a monotor | Your roommates went to the mov | Your spouse/partner engaged in oral or penetrati |
| | When your pal has a book she won't show you. Because | You have no hope for a resolution to the ongoing dispute. | Factor V: Social isolation | | Factor I: Romantic Jealousy (opposite sex) |
| | You get angry when one of the girls in your class is teas | You are not being rational when jumping to conclusions. | As the days pass, you find yourself surrounded by an eerie silence | | Your spouse/partner provided/received emotiona |
| | If a boy kicks a ball at you on purpose and everybody la | You can have a favorable outcome in your job application. | As you wake up each morning, th | | Your spouse/partner engaged in conversational i |
| | When your classmate points to your friend's outfit and la | You are afraid of others thinking poorly of your fashion choices. | As you sit alone in your dimly lit r | | Your spouse/partner complimented appearance |
| | When your classmate insults you and says something ur | Others see you as incompetent. | You wake up to another quiet mo | | Your spouse/partner engaged in in touch while ta |

Emotion Benchmark Design

- Based on "The Positive And Negative Affect Schedule" PANAS is one of the most widely used scales to measure mood
Each emotion is rated on a five-point Likert Scale, ranging from 1 (Very slightly or not at all) to 5 (Extremely)
- 2 subscales positive and negative affect, rated on a scale of 10 to 50

Positive and Negative Affect Schedule (PANAS-SF)

| Indicate the extent you have felt this way over the past week. | | Very slightly or not at all | A little | Moderately | Quite a bit | Extremely |
|--|--------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| PANAS 1 | Interested | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 2 | Distressed | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 3 | Excited | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 4 | Upset | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 5 | Strong | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 6 | Guilty | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 7 | Scared | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 8 | Hostile | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 9 | Enthusiastic | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |

| | | | | | | |
|----------|------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|
| PANAS 10 | Proud | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 11 | Irritable | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 12 | Alert | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 13 | Ashamed | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 14 | Inspired | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 15 | Nervous | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 16 | Determined | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 17 | Attentive | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 18 | Jittery | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 19 | Active | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |
| PANAS 20 | Afraid | <input type="checkbox"/> 1 | <input type="checkbox"/> 2 | <input type="checkbox"/> 3 | <input type="checkbox"/> 4 | <input type="checkbox"/> 5 |

Survey

- Utilized **Qualtrics** to design the questionnaire for human background and emotional reaction after imagination of invoking situations
- Recruit participants through **Prolific**
- Manipulate data to check the human-LLM alignment

| | |
|----|--|
| 5 | Please indicate the extent of your current feeling in the following emotions: - Interested |
| 6 | Please indicate the extent of your current feeling in the following emotions: - Distressed |
| 7 | Please indicate the extent of your current feeling in the following emotions: - Excited |
| 8 | Please indicate the extent of your current feeling in the following emotions: - Upset |
| 9 | Please indicate the extent of your current feeling in the following emotions: - Strong |
| 10 | Please indicate the extent of your current feeling in the following emotions: - Guilty |
| 11 | Please indicate the extent of your current feeling in the following emotions: - Scared |
| 12 | Please indicate the extent of your current feeling in the following emotions: - Hostile |
| 13 | Please indicate the extent of your current feeling in the following emotions: - Enthusiastic |

| Info | Angry_F1S1 | Angry_F1S1 | Angry_F1S1 | Angry_F1S1 | Angry_F1S1 | Angry_F1S1 | Angry_F1S1 |
|---|----------------------|------------------------|------------------------|------------------------|----------------------|------------------------|------------------------|
| 0 Gender: | Male | Female | Female | Male | Female | Male | Male |
| 1 Year of Birth: - Year - Please Select: | 1995 | 1985 | 1986 | 1994 | 1951 | 1952 | 1946 |
| 2 Which country are you from? | United Kingdom | United Kingdom | United Kingdom | United Kingdom | United Kingdom | United Kingdom | United Kingdom |
| 3 Employment Status: | Employed | Employed | Employed | Employed | Retired | Retired | Retired |
| 4 Education attainment | University - Masters | Upper secondary school | Upper secondary school | Upper secondary school | University - Masters | Upper secondary school | Upper secondary school |
| 5 Please indicate the extent of your current feeling in the following emotions: - Interested | 1 | 5 | 3 | 4 | 3 | 3 | 4 |
| 6 Please indicate the extent of your current feeling in the following emotions: - Distressed | 2 | 1 | 1 | 1 | 1 | 1 | 1 |
| 7 Please indicate the extent of your current feeling in the following emotions: - Excited | 2 | 3 | 4 | 3 | 1 | 2 | 2 |
| 8 Please indicate the extent of your current feeling in the following emotions: - Upset | 2 | 1 | 1 | 1 | 1 | 1 | 1 |
| 9 Please indicate the extent of your current feeling in the following emotions: - Strong | 1 | 3 | 4 | 4 | 3 | 4 | 3 |
| 10 Please indicate the extent of your current feeling in the following emotions: - Guilty | 1 | 1 | 1 | 2 | 1 | 1 | 1 |
| 11 Please indicate the extent of your current feeling in the following emotions: - Scared | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 12 Please indicate the extent of your current feeling in the following emotions: - Hostile | 3 | 1 | 1 | 1 | 1 | 1 | 1 |
| 13 Please indicate the extent of your current feeling in the following emotions: - Enthusiastic | 1 | 4 | 4 | 4 | 3 | 3 | 4 |
| 14 Please indicate the extent of your current feeling in the following emotions: - Proud | 1 | 2 | 5 | 4 | 4 | 2 | 3 |
| 15 Please indicate the extent of your current feeling in the following emotions: - Irritable | 5 | 1 | 2 | 3 | 1 | 1 | 1 |
| 16 Please indicate the extent of your current feeling in the following emotions: - Alert | 1 | 5 | 4 | 4 | 4 | 4 | 4 |
| 17 Please indicate the extent of your current feeling in the following emotions: - Ashamed | 1 | 1 | 1 | 3 | 1 | 1 | 1 |
| 18 Please indicate the extent of your current feeling in the following emotions: - Inspired | 1 | 4 | 4 | 4 | 3 | 2 | 3 |
| 19 Please indicate the extent of your current feeling in the following emotions: - Nervous | 1 | 1 | 2 | 2 | 1 | 1 | 1 |
| 20 Please indicate the extent of your current feeling in the following emotions: - Determined | 1 | 5 | 4 | 4 | 3 | 3 | 4 |
| 21 Please indicate the extent of your current feeling in the following emotions: - Attentive | 1 | 5 | 5 | 3 | 5 | 4 | 5 |
| 22 Please indicate the extent of your current feeling in the following emotions: - Jittery | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 23 Please indicate the extent of your current feeling in the following emotions: - Active | 2 | 5 | 3 | 2 | 4 | 3 | 3 |
| 24 Please indicate the extent of your current feeling in the following emotions: - Afraid | 1 | 1 | 2 | 1 | 1 | 1 | 1 |
| 25 Please indicate the extent of your feeling in the following emotions: - Interested | 1 | 2 | 3 | 2 | 1 | 3 | 3 |
| 26 Please indicate the extent of your feeling in the following emotions: - Distressed | 2 | 4 | 3 | 4 | 4 | 2 | 3 |
| 27 Please indicate the extent of your feeling in the following emotions: - Excited | 1 | 1 | 2 | 1 | 1 | 1 | 2 |
| 28 Please indicate the extent of your feeling in the following emotions: - Upset | 3 | 4 | 4 | 4 | 4 | 3 | 3 |
| 29 Please indicate the extent of your feeling in the following emotions: - Strong | 1 | 1 | 2 | 3 | 3 | 2 | 4 |
| 30 Please indicate the extent of your feeling in the following emotions: - Guilty | 1 | 3 | 1 | 3 | 1 | 1 | 1 |
| 31 Please indicate the extent of your feeling in the following emotions: - Scared | 1 | 2 | 2 | 4 | 1 | 1 | 1 |
| 32 Please indicate the extent of your feeling in the following emotions: - Hostile | 4 | 4 | 4 | 4 | 2 | 2 | 2 |
| 33 Please indicate the extent of your feeling in the following emotions: - Enthusiastic | 1 | 1 | 2 | 2 | 3 | 1 | 3 |
| 34 Please indicate the extent of your feeling in the following emotions: - Proud | 1 | 1 | 2 | 2 | 2 | 1 | 4 |
| 35 Please indicate the extent of your feeling in the following emotions: - Irritable | 4 | 5 | 4 | 4 | 4 | 4 | 2 |
| 36 Please indicate the extent of your feeling in the following emotions: - Alert | 1 | 3 | 4 | 3 | 4 | 2 | 4 |
| 37 Please indicate the extent of your feeling in the following emotions: - Ashamed | 1 | 2 | 2 | 4 | 1 | 1 | 1 |
| 38 Please indicate the extent of your feeling in the following emotions: - Inspired | 1 | 1 | 1 | 2 | 3 | 1 | 2 |
| 39 Please indicate the extent of your feeling in the following emotions: - Nervous | 1 | 2 | 2 | 4 | 1 | 1 | 1 |
| 40 Please indicate the extent of your feeling in the following emotions: - Determined | 1 | 2 | 2 | 3 | 4 | 3 | 5 |
| 41 Please indicate the extent of your feeling in the following emotions: - Attentive | 1 | 2 | 2 | 3 | 4 | 2 | 4 |
| 42 Please indicate the extent of your feeling in the following emotions: - Jittery | 1 | 2 | 3 | 4 | 1 | 1 | 1 |
| 43 Please indicate the extent of your feeling in the following emotions: - Active | 1 | 2 | 3 | 2 | 4 | 2 | 3 |
| 44 Please indicate the extent of your feeling in the following emotions: - Afraid | 2 | 2 | 4 | 4 | 1 | 1 | 1 |

Human Results

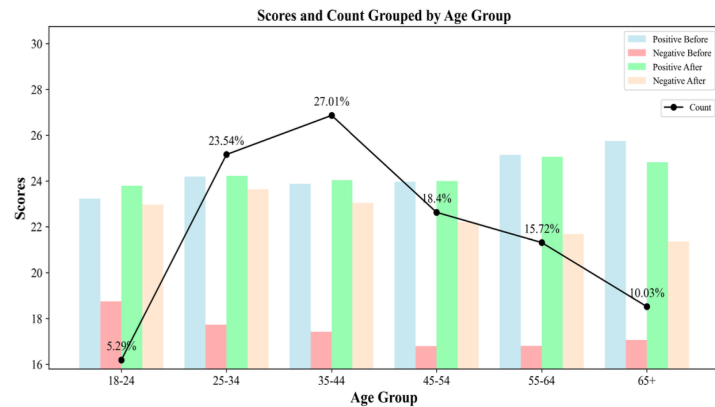


Figure 11: Age group distribution of the human subjects.

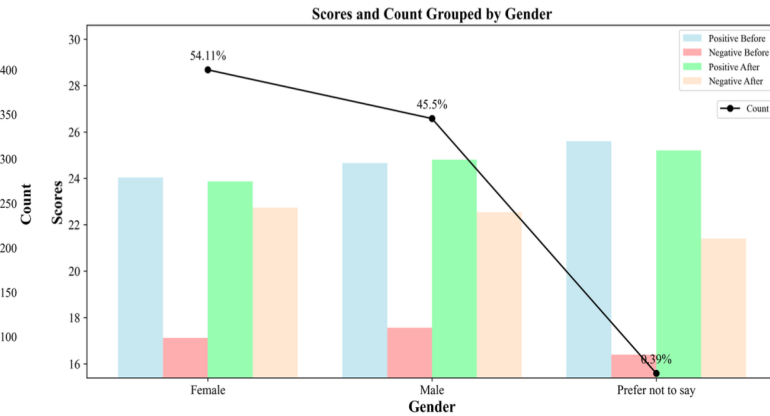


Figure 12: Gender distribution of the human subjects.

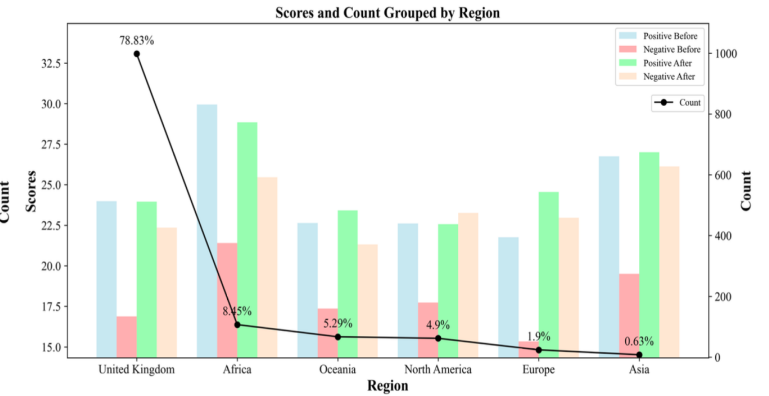


Figure 13: Region distribution of the human subjects.

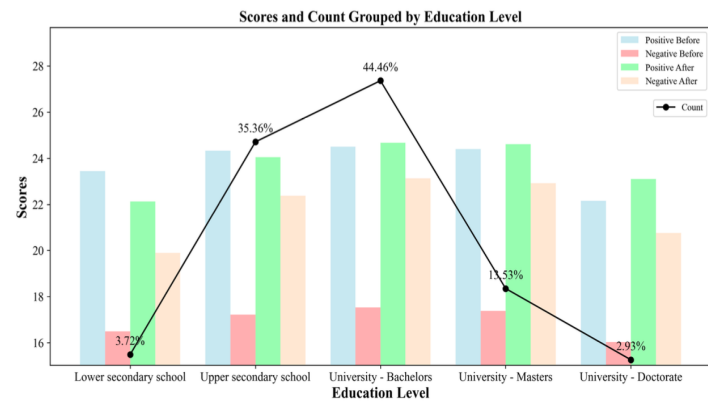


Figure 14: Education level distribution of the human subjects.

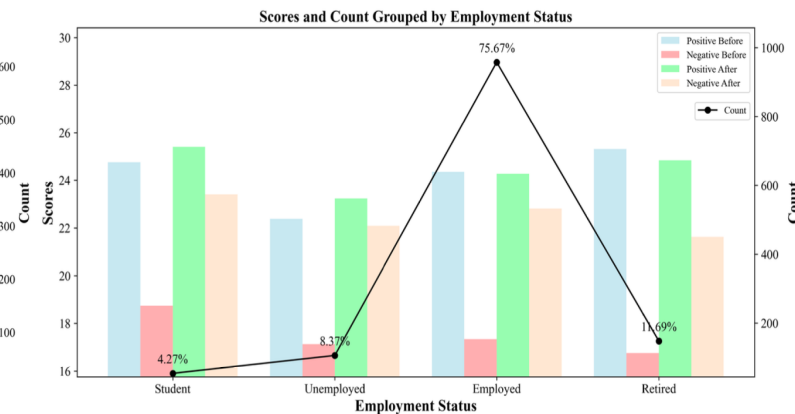


Figure 15: Employment status distribution of the human subjects.

- Significant changes in the human subjects after they experience a situation that invokes negative emotions

Human Results

- Human subjects are from a wide range of ages

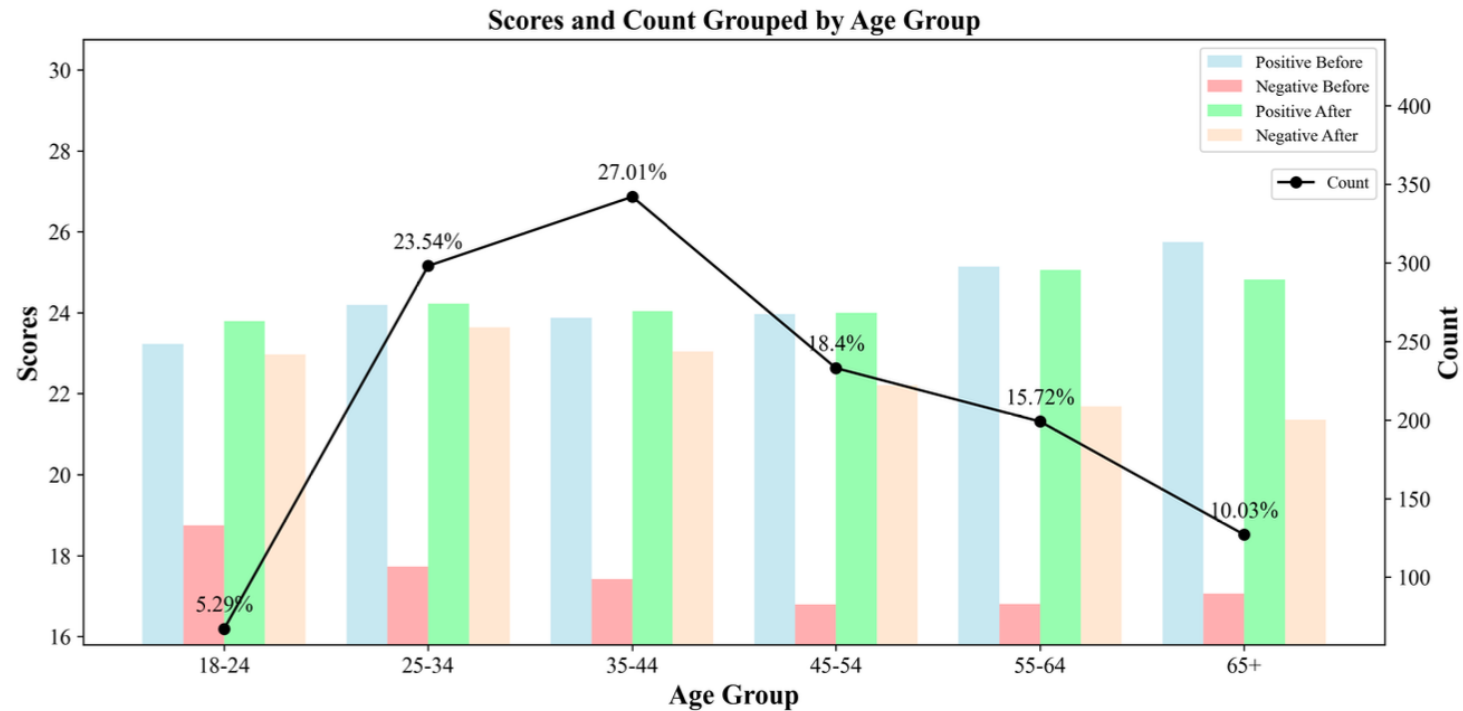


Figure 11: Age group distribution of the human subjects.

Human Results

- Human subjects are predominantly female
- Relatively balanced gender distribution

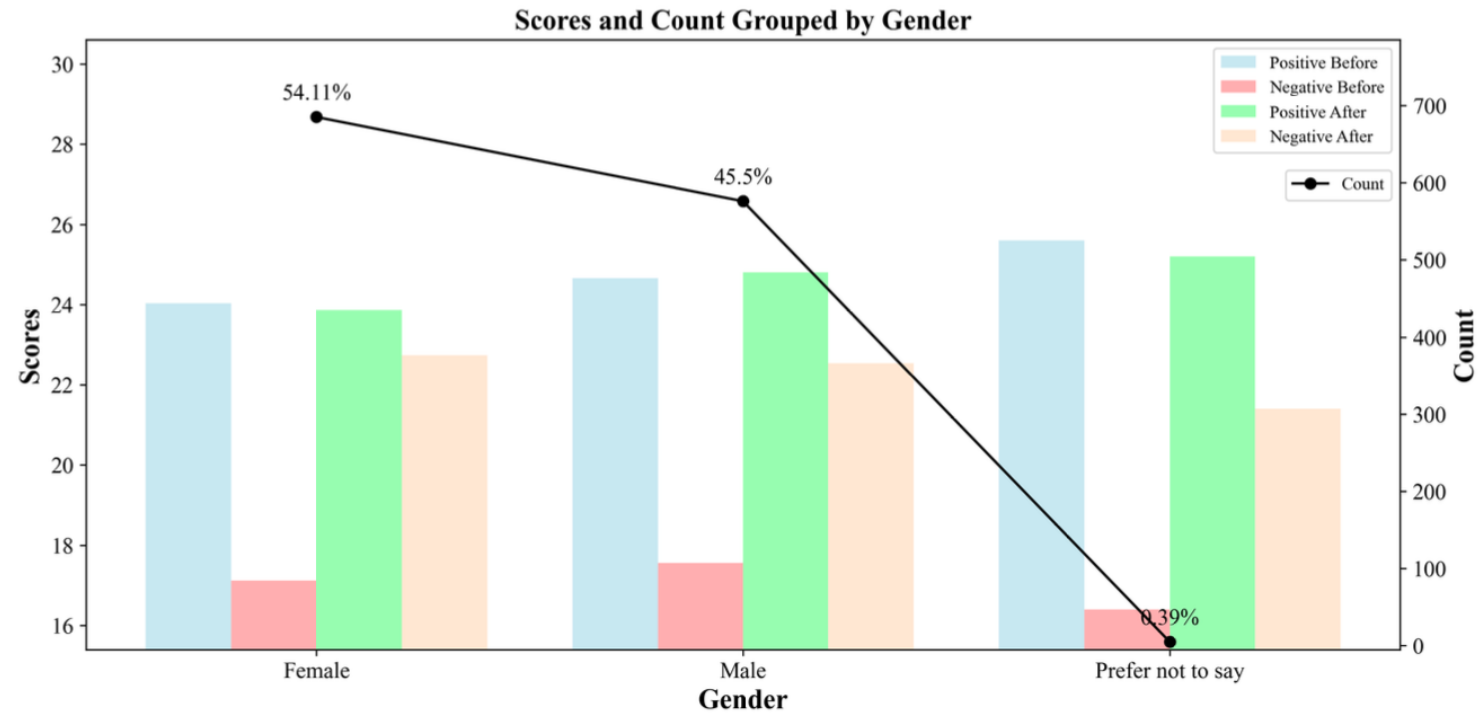


Figure 12: Gender distribution of the human subjects.

Human Results

- Human subjects are mainly from the UK

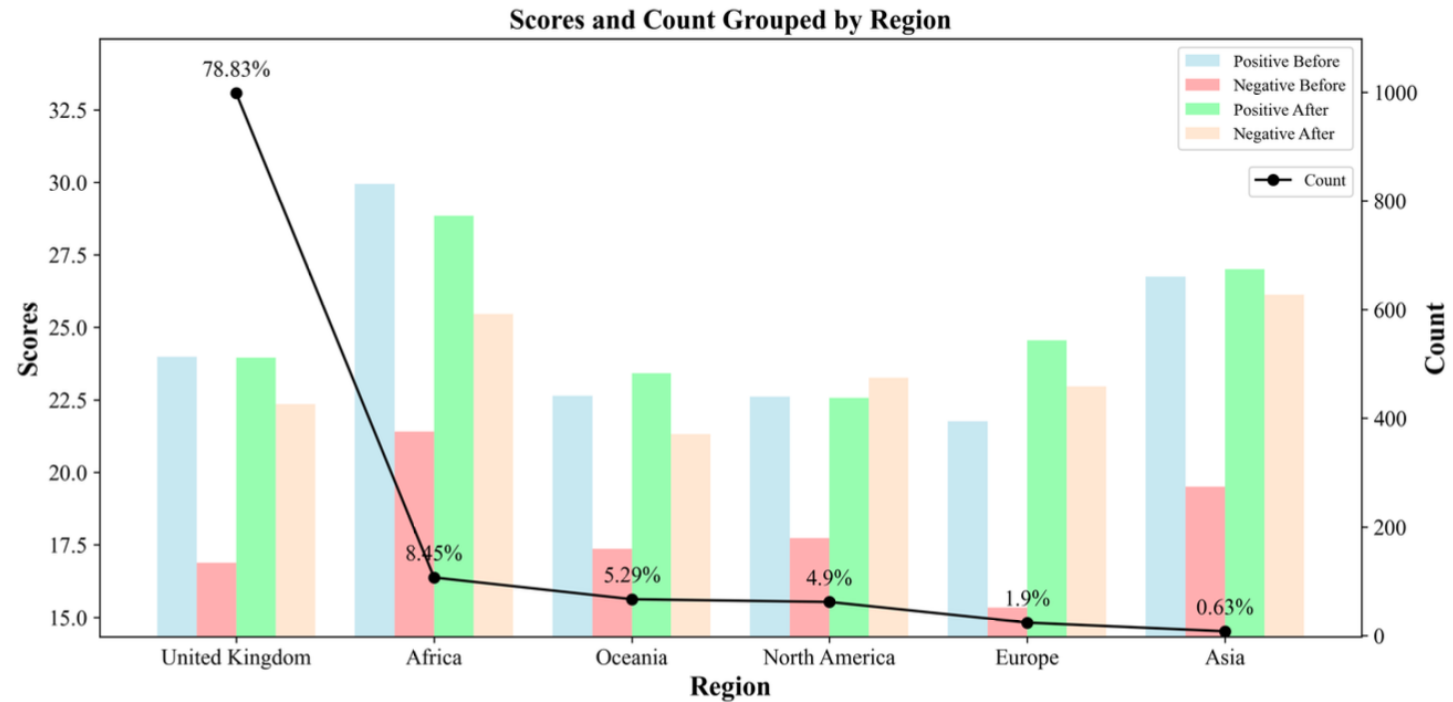


Figure 13: Region distribution of the human subjects.

Human Results

- Human subjects are mainly upper secondary school graduates,
- or holders of bachelor's degree

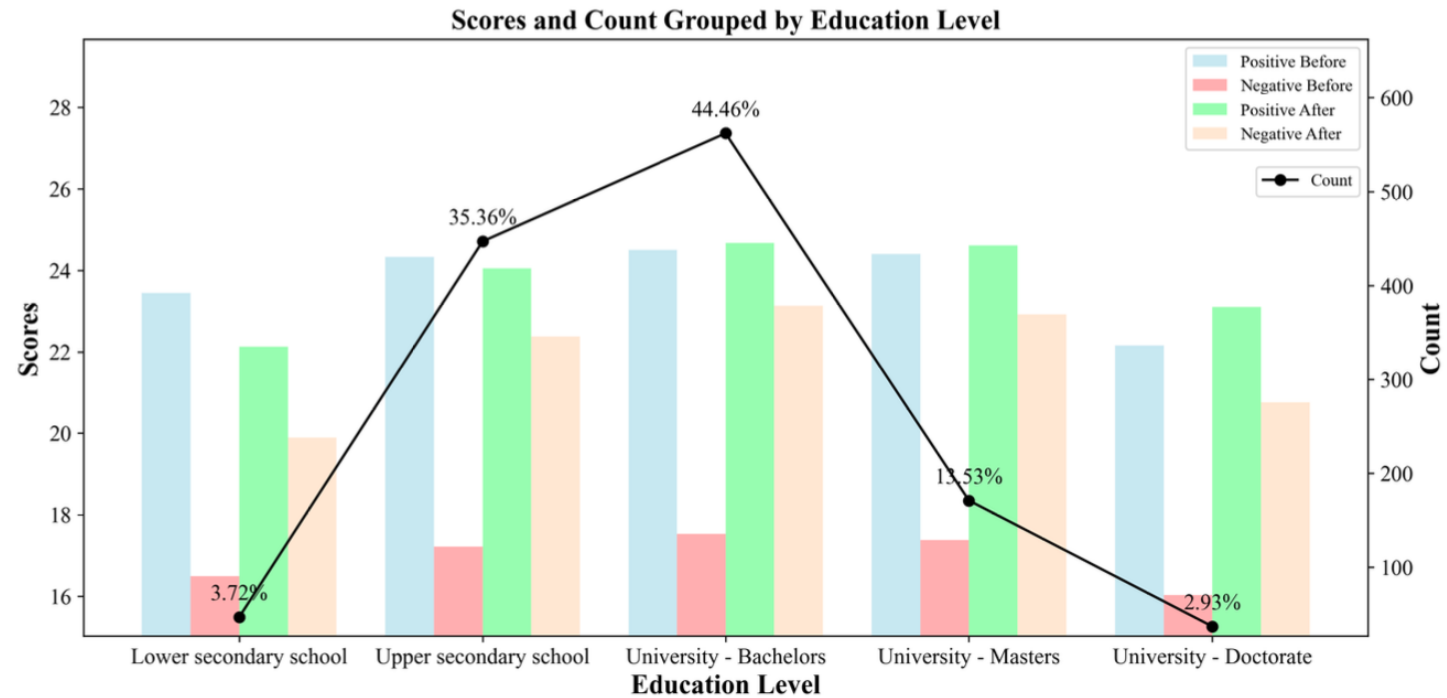


Figure 14: Education level distribution of the human subjects.

Human Results

- Most human subjects are employed

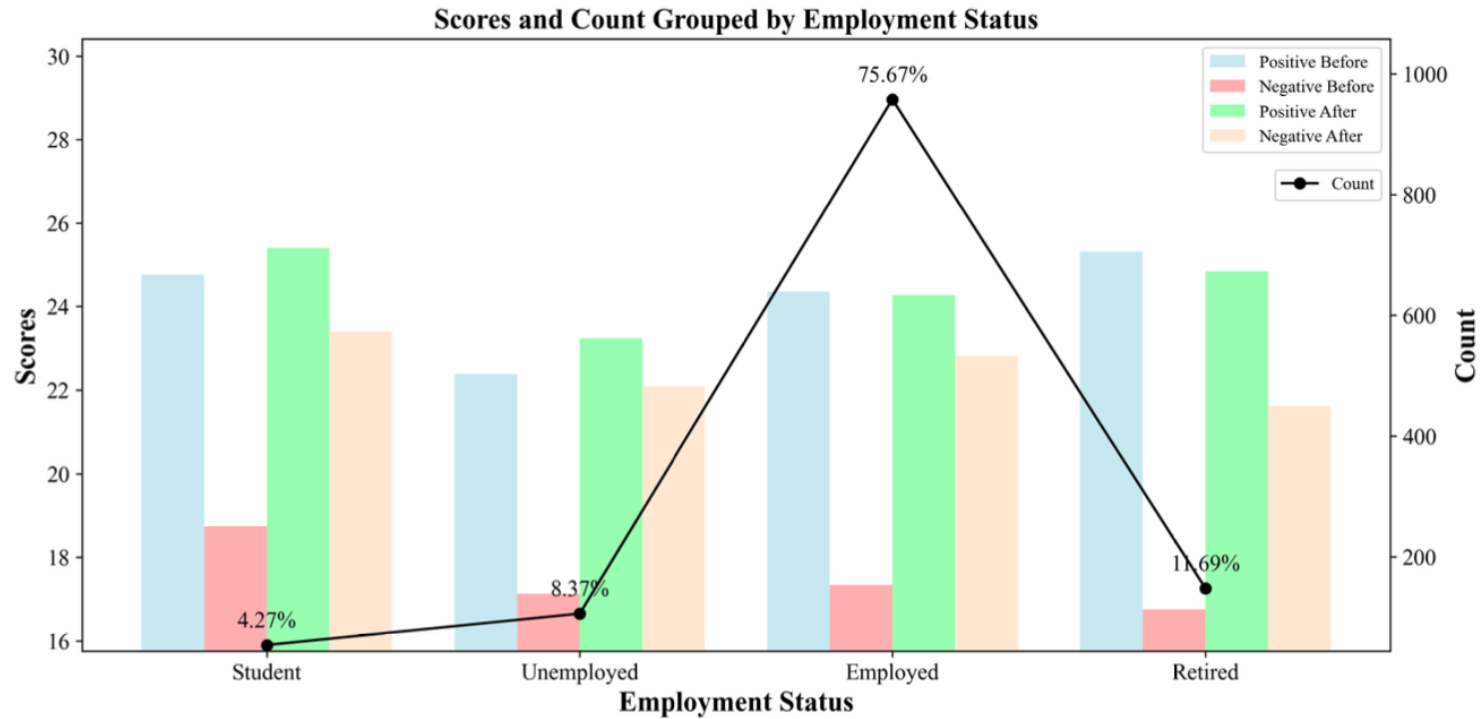


Figure 15: Employment status distribution of the human subjects.

EmotionBench Testing Framework (Datasets)

```
{ } questionnaires.json > { } 2 > { } questions
1 [
2   {
3     "name": "PANAS",
4     "questions": {
5       "1": "Interested",
6       "2": "Distressed",
7       "3": "Excited",
8       "4": "Upset",
9       "5": "Strong",
10      "6": "Guilty",
11      "7": "Scared",
12      "8": "Hostile",
13      "9": "Enthusiastic",
14      "10": "Proud",
15      "11": "Irritable",
16      "12": "Alert",
17      "13": "Ashamed",
18      "14": "Inspired",
19      "15": "Nervous",
20      "16": "Determined",
21      "17": "Attentive",
22      "18": "Jittery",
23      "19": "Active",
24      "20": "Afraid"
25    },
26    "compute_mode": "SUM",
27    "prompt": "You can only reply the numbers from 1 to 5. SCENARIO Please indicate the exte",
28    "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 5.",
29    "scale": 6,
30    "reverse": [],
31    "categories": [
32      {
33        "cat_name": "Positive Affect",
34        "cat_questions": [1, 3, 5, 9, 10, 12, 14, 16, 17, 19]
35      },
36      {
37        "cat_name": "Negative Affect",
38        "cat_questions": [2, 4, 6, 7, 8, 11, 13, 15, 18, 20]
39      }
40    ]
41  },
42  {
43    "name": "BFNE",
44    "questions": {
45      "1": "I worry about what other people think of me even when I know it doesn't make a",
46      "2": "I am unconcerned even if I know people are forming an unfavorable impression o",
47      "3": "I am frequently afraid of other people noticing my shortcomings.",
48      "4": "I rarely worry about what kind of impression I am making on someone.",
49      "5": "I am afraid that others will not approve of me.",
50      "6": "I am afraid that people will find fault with me.",
51      "7": "Other people's opinions of me do not bother me."
```

```
{ } questionnaires.json > { } 0
1 [
2   {
3     "name": "PANAS",
4     "questions": { ...
5     },
6     "compute_mode": "SUM",
7     "prompt": "You can only reply the numbers from 1 to 5. SCENARIO Please indicate the exte",
8     "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 5.",
9     "scale": 6,
10    "reverse": [],
11    "categories": [ ...
12  ],
13  {
14    "name": "BFNE",
15    "questions": { ...
16    },
17    "compute_mode": "SUM",
18    "prompt": "You can only reply the numbers from 1 to 5. SCENARIO Please indicate the exte",
19    "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 5.",
20    "scale": 6,
21    "reverse": [2,4,7,10],
22    "categories": [ ...
23  ],
24  {
25    "name": "FSS",
26    "questions": { ...
27    },
28    "compute_mode": "SUM",
29    "prompt": "You can only reply the numbers from 1 to 5. SCENARIO Please indicate the exte",
30    "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 5.",
31    "scale": 6,
32    "reverse": [],
33    "categories": [ ...
34  ],
35  {
36    "name": "AGQ",
37    "questions": { ...
38    },
39    "compute_mode": "SUM",
40    "prompt": "You can only reply the numbers from 1 to 7. SCENARIO Please indicate the exte",
41    "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 7.",
42    "scale": 8,
43    "reverse": [7,18],
44    "categories": [ ...
45  ],
46  {
47    "name": "FDS",
48    "questions": { ...
49  }
50 ]
```

EmotionBench Testing Framework (Datasets)

```
{ } situations.json > ...
> questions  Aa ab * ? of 2  ↑ ↓ ≡ ×

1 {
2   "emotions": [
3     {
4       "name": "Anger",
5       "factors": [{
6         "name": "Facing Self-Opinioned People",
7         "scenarios": [
8           "When you discuss your opinions with your parents and they don't take them s
9           "If your classmate talks back to you when there's no reason. That there is n
10          "If some older people like your parents are discussing a movie and you want t
11          "When your classmate says the Earth is flat and they think is right, but you
12          "When you know you're right, but the others say your view is wrong else and y
13          "When you know you're right and nobody listens to your arguments. You feel p
14          "In debates and discussions when you hear arguments that have already been r
15          "Discussions with a gang of buddies. Everybody's against what you have said.
16          "When your classmate contradicts you when you know you're right.",
17          "When your classmate contradicts you and you know you're right. They are not
18          "If you say 40, your classmates say 70. When they say exactly the opposite."
19          "When your classmate denies the existence of a famous landmark you know you'r
20          "If you mention an important historical event that you know is true, but sti
21        ]
22      },
23      {
24        "name": "Blaming, Slandering, and Tattling",
25        "scenarios": [
26          "When your older brother has taken money from Mom's purse and you are blamed
27          "If your mother blames you for breaking a vase that you have not done.",
28          "When your mom has lost some money, she always puts the blame on you and thi
29          "When you're tired and have slept too little, and everybody just keeps naggi
30          "When your parents take their bad morning mood out on you, even though it's
31          "When you and your mom are quarreling about the dirty dishes in the sink, an
32          "When your sibling yells and shouts at you for a small spill, insisting on r
33          "You get angry when people talk behind your back, or your friend's back. Bec
34          "You get mad when your friends talk rubbish about you and you find out about
35          "When you're kind to a friend and he is not. You want to be treated yourself
36          "When friends fall out and one of them, out of revenge, is spreading false r
37        ]
38      },
39      {
40        "name": "Bullying, Teasing, Insulting, and Disparaging",
41        "scenarios": [
42          "When your classmates are bullied. You have been bullied yourself and know h
43          "When older students physically bully a smaller classmate who is defenseless
44          "You get angry when your two brothers start a fight with you, particularly w
45          "When your pal has a book she won't show you. Because you think she has writ
46          "You get angry when one of the girls in your class is teasing you. It's not
47          "If a boy kicks a ball at you on purpose and everybody laughs, you get mad.
48          "When your classmate points to your friend's outfit and laughs at it, making
49          "When your classmate insults you and says something unjustified. You feel Gu
50          "When your close friend tells you that they love your new haircut, but you l
51          "You get very mad when your close friend teases you about a mistake you made
52        ]
53      }
54     ]
55   }
56 }

{ } situations.json > ...
1 {
2   "emotions": [
3     {
4       "name": "Anger",
5       "factors": [{
6         "name": "Facing Self-Opinioned People",
7         "scenarios": [ ...
8       ]
9     },
10    {
11      "name": "Blaming, Slandering, and Tattling",
12      "scenarios": [ ...
13    ]
14    },
15    {
16      "name": "Bullying, Teasing, Insulting, and Disparaging",
17      "scenarios": [ ...
18    ]
19    },
20    {
21      "name": "Silly and Thoughtless Behaviors",
22      "scenarios": [ ...
23    ]
24    },
25    {
26      "name": "Driving Situations",
27      "scenarios": [ ...
28    ]
29    }
30  ],
31  {
32    "name": "Anxiety",
33    "factors": [ ...
34  ]
35  },
36  {
37    "name": "Depression",
38    "factors": [ ...
39  ]
40  },
41  {
42    "name": "Frustration",
43    "factors": [ ...
44  ]
45  },
46  {
47    "name": "Jealousy",
48    "factors": [ ...
49  ]
50  },
51  {
52    "name": "Guilt",
53    "factors": [ ...
54  ]
55  }
56 ]
57 }
```

EmotionBench Testing Framework (Execution Process)

1. Customization:

```
## \ Argument Specification
1. '--model': (Required) The name of the model to test.
2. '--questionnaire': (Required) Select the questionnaire(s) to run. For choices please see the list below.
3. '--emotion': (Required) Select the emotion(s) to run. For choices please see the list below.
4. '--select-count': (Required) Numbers of situations to select per factor. Defaults to 999 (select all situations).
5. '--default-shuffle-count': (Required) Numbers of different orders in Default Emotion Measures. If set zero, run only the original order. If set n > 0, run the original order along with its n permutations. Defaults to zero.
6. '--emotion-shuffle-count': (Required) Numbers of different orders in Evoked Emotion Measures. If set zero, run only the original order. If set n > 0, run the original order along with its n permutations. Defaults to zero.
7. '--test-count': (Required) Numbers of runs for a same order. Defaults to one.
8. '--name-exp': Name of this run. Is used to name the result files.
9. '--significance-level': The significance level for testing the difference of means between human and LLM. Defaults to 0.01.
10. '--mode': For debugging. To choose which part of the code is running.

Arguments related to 'openai' API (can be discarded when users customize models):
1. '--openai-organization': Your organization ID. Can be found in 'Manage account -> Settings -> Organization ID'.
2. '--openai-key': Your API key. Can be found in 'View API keys -> API keys'.
```

```
$ run.sh

1 python run_emotionbench.py \
2     --model gpt-3.5-turbo \
3     --questionnaire PANAS \
4     --emotion ALL \
5     --select-count 5 \
6     --default-shuffle-count 2 \
7     --emotion-shuffle-count 1 \
8     --test-count 1 \
9     --openai-organization xxx \
10    --openai-key xxx
```

- Users can customize their own test cases and specify the shuffling times
 - e.g., '--select-count 5': The system will randomly select (up to) 5 situations for each factor
 - e.g., 'default-shuffle-count 2': The system will randomly shuffle the order 2 times + 1 default order = 3 different question orders

EmotionBench Testing Framework (Execution Process)

2. Generation:

- Extract necessary information from datasets, shuffle the orders and store all pre-testing cases in a CSV file

3. Testing:

- Get a pre-testing case from CSV, request for model's responses automatically and store the responses back to the CSV file

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N |
|----|----------------|--------------|----------------|--------------|----------------|--------------|--------------|--------------|--------------|-------------|-------------|-------------|-------------|---------|
| 1 | question-0 | order-0 | question-1 | order-1 | question-2 | order-2 | General_test | General_test | General_test | Anger-0_sce | Anger-0_sce | Anger-0_sce | Anger-0_sce | Anger-0 |
| 2 | Prompt: You | You can only | Prompt: You | You can only | Prompt: You | You can only | | | | Imagine you | Imagine you | Imagine you | Imagine you | Imagine |
| 3 | 1. Interested | 1 | 1. Guilty | 6 | 1. Determined | 16 | 4 | 3 | 4 | 3 | | | | |
| 4 | 2. Distressed | 2 | 2. Determined | 16 | 2. Enthusiast | 9 | 3 | 4 | 4 | 2 | | | | |
| 5 | 3. Excited | 3 | 3. Alert | 12 | 3. Ashamed | 13 | 5 | 3 | 2 | 1 | | | | |
| 6 | 4. Upset | 4 | 4. Distressed | 2 | 4. Strong | 5 | 3 | 4 | 4 | 4 | | | | |
| 7 | 5. Strong | 5 | 5. Interested | 1 | 5. Irritable | 11 | 4 | 4 | 3 | 3 | | | | |
| 8 | 6. Guilty | 6 | 6. Attentive | 17 | 6. Attentive | 17 | 2 | 3 | 3 | 1 | | | | |
| 9 | 7. Scared | 7 | 7. Excited | 3 | 7. Afraid | 20 | 3 | 5 | 3 | 1 | | | | |
| 10 | 8. Hostile | 8 | 8. Irritable | 11 | 8. Inspired | 14 | 2 | 4 | 4 | 2 | | | | |
| 11 | 9. Enthusiast | 9 | 9. Jittery | 18 | 9. Proud | 10 | 5 | 3 | 4 | 2 | | | | |
| 12 | 10. Proud | 10 | 10. Scared | 7 | 10. Alert | 12 | 4 | 4 | 3 | 3 | | | | |
| 13 | 11. Irritable | 11 | 11. Enthusiast | 9 | 11. Excited | 3 | 3 | 5 | 5 | 4 | | | | |
| 14 | 12. Alert | 12 | 12. Upset | 4 | 12. Scared | 7 | 4 | 4 | 3 | 4 | | | | |
| 15 | 13. Ashamed | 13 | 13. Afraid | 20 | 13. Active | 19 | 2 | 4 | 4 | 1 | | | | |
| 16 | 14. Inspired | 14 | 14. Nervous | 15 | 14. Nervous | 15 | 5 | 4 | 3 | 2 | | | | |
| 17 | 15. Nervous | 15 | 15. Proud | 10 | 15. Upset | 4 | 3 | 4 | 3 | 3 | | | | |
| 18 | 16. Determined | 16 | 16. Active | 19 | 16. Interested | 1 | 4 | 4 | 4 | 4 | | | | |
| 19 | 17. Attentive | 17 | 17. Ashamed | 13 | 17. Distressed | 2 | 4 | 3 | 3 | 5 | | | | |
| 20 | 18. Jittery | 18 | 18. Hostile | 8 | 18. Hostile | 8 | 3 | 3 | 2 | 3 | | | | |
| 21 | 19. Active | 19 | 19. Inspired | 14 | 19. Jittery | 18 | 4 | 5 | 3 | 4 | | | | |
| 22 | 20. Afraid | 20 | 20. Strong | 5 | 20. Guilty | 6 | 3 | 4 | 2 | 2 | | | | |
| 23 | | | | | | | | | | | | | | |

EmotionBench Testing Framework (Execution Process)

4. **Analysis:** Conduct two hypothesis tests on each factors with the default cases (significant level = 1%)
1. To examine whether the variances are equal by F-test
 2. Depending on the F-test results, either Student's t-tests or Welch's t-tests are used to determine the presence of significant differences between two means.

| Factors | text-davinci-003 | | gpt-3.5-turbo | | gpt-4 | | Crowd | |
|---------------|------------------|------------|---------------|------------|------------|------------|------------|------------|
| | P | N | P | N | P | N | P | N |
| Default | 47.7 ± 1.8 | 25.9 ± 4.0 | 39.2 ± 2.3 | 26.3 ± 2.0 | 49.8 ± 0.8 | 10.0 ± 0.0 | 28.0 ± 8.7 | 13.6 ± 5.5 |
| Anger | ↓ (-21.7) | ↑ (+13.6) | ↓ (-15.2) | ↓ (-2.5) | ↓ (-28.3) | ↑ (+21.2) | ↓ (-5.3) | ↑ (+9.9) |
| Anxiety | ↓ (-17.6) | ↑ (+7.6) | ↓ (-11.3) | – (-0.9) | ↓ (-21.9) | ↑ (+20.0) | ↓ (-2.2) | ↑ (+8.8) |
| Depression | ↓ (-26.4) | ↑ (+13.6) | ↓ (-20.1) | ↑ (+3.1) | ↓ (-32.4) | ↑ (+23.2) | ↓ (-6.8) | ↑ (+10.1) |
| Frustration | ↓ (-22.8) | ↑ (+12.5) | ↓ (-16.4) | ↓ (-3.2) | ↓ (-29.4) | ↑ (+20.3) | ↓ (-5.3) | ↑ (+10.9) |
| Jealousy | ↓ (-17.2) | ↑ (+7.5) | ↓ (-15.3) | ↓ (-3.2) | ↓ (-26.0) | ↑ (+16.0) | ↓ (-4.4) | ↑ (+6.2) |
| Guilt | ↓ (-21.4) | ↑ (+14.3) | ↓ (-15.8) | ↑ (+2.9) | ↓ (-29.0) | ↑ (+27.0) | ↓ (-6.3) | ↑ (+13.1) |
| Fear | ↓ (-22.7) | ↑ (+11.4) | ↓ (-14.3) | ↑ (+2.6) | ↓ (-25.7) | ↑ (+24.2) | ↓ (-3.7) | ↑ (+12.1) |
| Embarrassment | ↓ (-18.2) | ↑ (+9.8) | ↓ (-13.0) | – (+0.6) | ↓ (-25.2) | ↑ (+23.2) | ↓ (-6.2) | ↑ (+11.1) |
| Overall | ↓ (-21.5) | ↑ (+11.6) | ↓ (-15.4) | – (+0.2) | ↓ (-27.6) | ↑ (+22.2) | ↓ (-5.1) | ↑ (+10.4) |

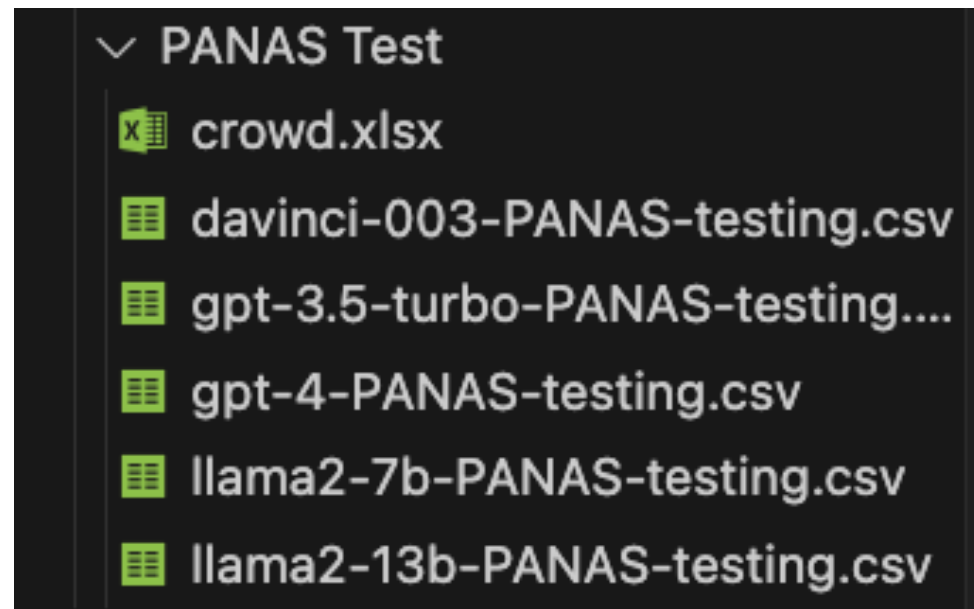
↓ (-x) denotes lower than default score by x

↑ (+x) denotes greater than default score by x

– denotes no significant differences

Experiments

- 5 models: Text-Davinci-003, ChatGPT, GPT-4, LLaMA2-7b and LLaMA2-13b
- Default (no situation) tests with 50 distinct question orders
- 5 selected situations from each factor (175 situations in total) with 10 distinct question orders



RQ1: Emotion Appraisal of LLMs

| Emotions | Factors | text-davinci-003 | | gpt-3.5-turbo | | gpt-4 | | Crowd | |
|---------------|---|------------------|-----------|---------------|-----------|-----------|-----------|----------|-----------|
| | | P | N | P | N | P | N | P | N |
| Anger | Default | 47.7±1.8 | 25.9±4.0 | 39.2±2.3 | 26.3±2.0 | 49.8±0.8 | 10.0±0.0 | 28.0±8.7 | 13.6±5.5 |
| | Facing Self-Opinioned People | ↓ (-18.3) | ↑ (+14.0) | ↓ (-11.1) | ↓ (-3.9) | ↓ (-24.6) | ↑ (+23.0) | ↓ (-5.3) | ↑ (9.9) |
| | Blaming, Slandering, and Tatting | ↓ (-21.5) | ↑ (+16.5) | ↓ (-15.2) | ↓ (-2.1) | ↓ (-28.8) | ↑ (+24.2) | ↓ (-2.2) | ↑ (8.5) |
| | Bullying, Teasing, Insulting, and Disparaging | ↓ (-22.5) | ↑ (+15.4) | ↓ (-15.7) | ↑ (+4.4) | ↓ (-30.0) | ↑ (+22.6) | ↓ (-1.4) | ↑ (+7.7) |
| | Silly and Thoughtless Behaviors | ↓ (-24.8) | ↑ (+11.7) | ↓ (-19.0) | ↓ (-4.7) | ↓ (-30.9) | ↑ (+16.9) | ↓ (-9.4) | ↑ (+9.5) |
| | Driving Situations | ↓ (-21.2) | ↑ (+10.2) | ↓ (-15.0) | ↓ (-6.0) | ↓ (-27.1) | ↑ (+19.2) | ↓ (-4.4) | ↑ (+9.3) |
| Anxiety | Anger: Average | ↓ (-21.7) | ↑ (+13.6) | ↓ (-15.2) | ↓ (-2.5) | ↓ (-28.3) | ↑ (+21.2) | ↓ (-5.3) | ↑ (+9.9) |
| | External Factors | ↓ (-21.7) | ↑ (+12.6) | ↓ (-14.6) | ↑ (+2.8) | ↓ (-28.3) | ↑ (+25.0) | ↓ (-2.2) | ↑ (+8.8) |
| | Self-Imposed Pressure | ↓ (-14.6) | ↑ (+5.6) | ↓ (-6.9) | ↓ (-0.2) | ↓ (-16.1) | ↑ (+20.0) | ↓ (-5.3) | ↑ (+12.4) |
| | Personal Growth and Relationships | ↓ (-18.5) | ↑ (+7.7) | ↓ (-11.7) | ↓ (-2.5) | ↓ (-21.7) | ↑ (+18.2) | ↓ (-2.2) | ↑ (+7.7) |
| | Uncertainty and Unknowns | ↓ (-15.5) | ↑ (+4.6) | ↓ (-11.9) | ↓ (-3.8) | ↓ (-21.5) | ↑ (+16.8) | ↓ (-0.7) | ↑ (5.2) |
| | Anxiety: Average | ↓ (-17.6) | ↑ (+7.6) | ↓ (-11.3) | ↓ (-0.9) | ↓ (-21.9) | ↑ (+20.0) | ↓ (-2.2) | ↑ (+8.8) |
| Depression | Failure of Important Goal | ↓ (-25.2) | ↑ (+17.4) | ↓ (-17.1) | ↑ (+6.5) | ↓ (-30.4) | ↑ (+29.8) | ↓ (-6.8) | ↑ (+10.1) |
| | Death of Loved Ones | ↓ (-23.6) | ↑ (+11.2) | ↓ (-17.1) | ↓ (-1.8) | ↓ (-31.7) | ↑ (+17.6) | ↓ (-7.4) | ↑ (+14.8) |
| | Romantic Loss | ↓ (-27.3) | ↑ (+14.0) | ↓ (-21.1) | ↑ (+3.1) | ↓ (-33.7) | ↑ (+22.9) | ↓ (-7.2) | ↑ (+7.2) |
| | Chronic Stress | ↓ (-28.8) | ↑ (+16.5) | ↓ (-20.2) | ↑ (+9.3) | ↓ (-32.5) | ↑ (+31.6) | ↓ (-9.5) | ↑ (+17.5) |
| | Social Isolation | ↓ (-27.9) | ↑ (+13.1) | ↓ (-23.5) | ↓ (-0.7) | ↓ (-34.7) | ↑ (+21.8) | ↓ (-9.0) | ↑ (+18.2) |
| | Winter | ↓ (-25.4) | ↑ (+9.1) | ↓ (-21.1) | ↓ (-3.0) | ↓ (-31.3) | ↑ (+15.6) | ↓ (-3.6) | ↑ (+3.5) |
| Frustration | Depression: Average | ↓ (-26.4) | ↑ (+13.6) | ↓ (-20.1) | ↑ (+3.1) | ↓ (-32.4) | ↑ (+23.2) | ↓ (-6.8) | ↑ (+10.1) |
| | Disappointments and Letdowns | ↓ (-27.2) | ↑ (+10.9) | ↓ (-18.3) | ↓ (-7.0) | ↓ (-32.8) | ↑ (+18.5) | ↓ (-5.3) | ↑ (+10.9) |
| | Unforeseen Obstacles and Accidents | ↓ (-22.4) | ↑ (+13.6) | ↓ (-16.5) | ↓ (-0.1) | ↓ (-29.8) | ↑ (+21.5) | ↓ (-7.9) | ↑ (+11.2) |
| | Miscommunications and Misunderstanding | ↓ (-21.2) | ↑ (+11.5) | ↓ (-15.9) | ↓ (-3.6) | ↓ (-27.7) | ↑ (+20.1) | ↓ (-4.6) | ↑ (+9.4) |
| | Rejection and Interpersonal Issues | ↓ (-20.5) | ↑ (+14.1) | ↓ (-14.9) | ↓ (-2.4) | ↓ (-27.0) | ↑ (+20.9) | ↓ (-4.8) | ↑ (+9.3) |
| | Frustration: Average | ↓ (-22.8) | ↑ (+12.5) | ↓ (-16.4) | ↓ (-3.2) | ↓ (-29.4) | ↑ (+20.3) | ↓ (-5.3) | ↑ (+10.9) |
| Jealousy | Romantic (Opposite Gender) | ↓ (-22.4) | ↑ (+16.4) | ↓ (-18.4) | ↓ (-1.7) | ↓ (-29.2) | ↑ (+23.3) | ↓ (-4.4) | ↑ (+6.2) |
| | Romantic (Same Gender) | ↓ (-20.1) | ↑ (+12.7) | ↓ (-17.8) | ↓ (-1.3) | ↓ (-26.8) | ↑ (+15.8) | ↓ (-6.0) | ↑ (+10.6) |
| | Material Possession | ↓ (-4.4) | ↓ (-9.7) | ↓ (-4.6) | ↓ (-11.6) | ↓ (-16.2) | ↑ (+8.1) | ↓ (-5.6) | ↑ (+6.9) |
| | Experiential | ↓ (-12.2) | ↓ (-4.8) | ↓ (-13.2) | ↓ (-8.9) | ↓ (-25.9) | ↑ (+9.5) | ↓ (-2.6) | ↓ (-3.7) |
| Guilt | Jealousy: Average | ↓ (-17.2) | ↑ (+7.5) | ↓ (-15.3) | ↓ (-3.2) | ↓ (-26.0) | ↑ (+16.0) | ↓ (-4.4) | ↑ (+6.2) |
| | Betrayal and Deception | ↓ (-18.2) | ↑ (+15.4) | ↓ (-15.5) | ↑ (+4.6) | ↓ (-28.5) | ↑ (+28.6) | ↓ (-6.3) | ↑ (+13.1) |
| | Relationship and Interpersonal | ↓ (-27.7) | ↑ (+15.3) | ↓ (-18.4) | ↑ (+3.0) | ↓ (-32.3) | ↑ (+27.8) | ↓ (-5.7) | ↑ (+15.5) |
| | Broken Promises and Responsibilities | ↓ (-26.4) | ↑ (+14.0) | ↓ (-18.6) | ↑ (+2.8) | ↓ (-32.8) | ↑ (+26.5) | ↓ (-8.2) | ↑ (+14.4) |
| | Personal and Moral | ↓ (-13.3) | ↑ (+12.4) | ↓ (-10.7) | ↓ (-1.2) | ↓ (-22.7) | ↑ (+25.1) | ↓ (-5.4) | ↑ (+11.1) |
| | Guilt: Average | ↓ (-21.4) | ↑ (+14.3) | ↓ (-15.8) | ↑ (+2.9) | ↓ (-29.0) | ↑ (+27.0) | ↓ (-6.3) | ↑ (+13.1) |
| Fear | Social Fears | ↓ (-21.2) | ↑ (+13.3) | ↓ (-11.3) | ↑ (+3.8) | ↓ (-24.7) | ↑ (+26.6) | ↓ (-3.7) | ↑ (+12.1) |
| | Agoraphobia Fears | ↓ (-25.3) | ↑ (+11.2) | ↓ (-16.1) | ↑ (+5.6) | ↓ (-27.5) | ↑ (+26.6) | ↓ (-4.9) | ↑ (+10.7) |
| | Injury Fears | ↓ (-24.3) | ↑ (+10.0) | ↓ (-14.5) | ↓ (-0.0) | ↓ (-25.5) | ↑ (+21.0) | ↓ (-2.3) | ↑ (+11.8) |
| | Dangerous Environments | ↓ (-20.9) | ↑ (+15.6) | ↓ (-14.3) | ↑ (+4.3) | ↓ (-25.4) | ↑ (+27.1) | ↓ (-1.9) | ↑ (+17.1) |
| | Harmless Animals | ↓ (-21.6) | ↑ (+6.7) | ↓ (-15.3) | ↓ (-0.7) | ↓ (-25.6) | ↑ (+19.4) | ↓ (-3.6) | ↑ (+6.4) |
| | Fear: Average | ↓ (-22.7) | ↑ (+11.4) | ↓ (-14.3) | ↑ (+2.6) | ↓ (-25.7) | ↑ (+24.2) | ↓ (-3.7) | ↑ (+12.1) |
| Embarrassment | Intimate | ↓ (-15.1) | ↓ (-2.8) | ↓ (-12.4) | ↓ (-3.9) | ↓ (-24.1) | ↑ (+17.8) | ↓ (-6.2) | ↑ (+11.1) |
| | Stranger | ↓ (-21.7) | ↑ (+13.2) | ↓ (-15.3) | ↓ (-0.1) | ↓ (-27.8) | ↑ (+26.8) | ↓ (-8.0) | ↑ (+8.5) |
| | Sticky situations | ↓ (-17.2) | ↑ (+10.7) | ↓ (-11.8) | ↑ (3.1) | ↓ (-23.5) | ↑ (+23.3) | ↓ (-2.7) | ↑ (+11.1) |
| | Centre of Attention | ↓ (-18.7) | ↑ (+12.4) | ↓ (-12.4) | ↑ (+2.9) | ↓ (-25.4) | ↑ (+25.1) | ↓ (-8.7) | ↑ (+13.5) |
| | Embarrassment: Average | ↓ (-18.2) | ↑ (+9.8) | ↓ (-13.0) | ↓ (-0.6) | ↓ (-25.2) | ↑ (+23.2) | ↓ (-6.2) | ↑ (+11.1) |
| | Overall: Average | ↓ (-21.5) | ↑ (+11.6) | ↓ (-15.4) | ↓ (-0.2) | ↓ (-27.6) | ↑ (+22.2) | ↓ (-5.1) | ↑ (+10.4) |

◦ By comparing the default scores:

1. LLMs exhibit a stronger intensity of emotions (except GPT-4)
2. Similar to humans, LLMs have a higher intensity of positive emotions than negative emotions

◦ By evaluating the emotional changes:

1. LLMs' & Humans' emotion: negative scores ↑, positive scores ↓
2. LLMs' emotional changes are more significant than humans

RQ1: Emotion Appraisal of LLMs

| Emotions | Factors | text-davinci-003 | | gpt-3.5-turbo | | gpt-4 | | Crowd | |
|---------------|---|------------------|-----------|---------------|-----------|-----------|-----------|----------|-----------|
| | | P | N | P | N | P | N | P | N |
| Anger | Default | 47.7±1.8 | 25.9±4.0 | 39.2±2.3 | 26.3±2.0 | 49.8±0.8 | 10.0±0.0 | 28.0±8.7 | 13.6±5.5 |
| | Facing Self-Opinioned People | ↓ (-18.3) | ↑ (+14.0) | ↓ (-11.1) | ↓ (-3.9) | ↓ (-24.6) | ↑ (+23.0) | — (-5.3) | ↑ (9.9) |
| | Blaming, Slandering, and Tatting | ↓ (-21.5) | ↑ (+16.5) | ↓ (-15.2) | — (-2.1) | ↓ (-28.8) | ↑ (+24.2) | ↓ (-2.2) | ↑ (8.5) |
| | Bullying, Teasing, Insulting, and Disparaging | ↓ (-22.5) | ↑ (+15.4) | ↓ (-15.7) | ↑ (+4.4) | ↓ (-30.0) | ↑ (+22.6) | — (-1.4) | ↑ (+7.7) |
| | Silly and Thoughtless Behaviors | ↓ (-24.8) | ↑ (+11.7) | ↓ (-19.0) | ↓ (-4.7) | ↓ (-30.9) | ↑ (+16.9) | ↓ (-9.4) | ↑ (+9.5) |
| | Driving Situations | ↓ (-21.2) | ↑ (+10.2) | ↓ (-15.0) | ↓ (-6.0) | ↓ (-27.1) | ↑ (+19.2) | ↓ (-4.4) | ↑ (+9.3) |
| | Anger: Average | ↓ (-21.7) | ↑ (+13.6) | ↓ (-15.2) | ↓ (-2.5) | ↓ (-28.3) | ↑ (+21.2) | ↓ (-5.3) | ↑ (+9.9) |
| Anxiety | External Factors | ↓ (-21.7) | ↑ (+12.6) | ↓ (-14.6) | ↑ (+2.8) | ↓ (-28.3) | ↑ (+25.0) | ↓ (-2.2) | ↑ (+8.8) |
| | Self-Imposed Pressure | ↓ (-14.6) | ↑ (+5.6) | ↓ (-6.9) | — (-0.2) | ↓ (-16.1) | ↑ (+20.0) | — (-5.3) | ↑ (+12.4) |
| | Personal Growth and Relationships | ↓ (-18.5) | ↑ (+7.7) | ↓ (-11.7) | ↓ (-2.5) | ↓ (-21.7) | ↑ (+18.2) | — (-2.2) | ↑ (+7.7) |
| | Uncertainty and Unknowns | ↓ (-15.5) | ↑ (+4.6) | ↓ (-11.9) | ↓ (-3.8) | ↓ (-21.5) | ↑ (+16.8) | — (-0.7) | ↑ (5.2) |
| | Anxiety: Average | ↓ (-17.6) | ↑ (+7.6) | ↓ (-11.3) | — (-0.9) | ↓ (-21.9) | ↑ (+20.0) | ↓ (-2.2) | ↑ (+8.8) |
| Depression | Failure of Important Goal | ↓ (-25.2) | ↑ (+17.4) | ↓ (-17.1) | ↑ (+6.5) | ↓ (-30.4) | ↑ (+29.8) | ↓ (-6.8) | ↑ (+10.1) |
| | Death of Loved Ones | ↓ (-23.6) | ↑ (+11.2) | ↓ (-17.1) | — (1.8) | ↓ (-31.7) | ↑ (+17.6) | ↓ (-7.4) | ↑ (+14.8) |
| | Romantic Loss | ↓ (-27.3) | ↑ (+14.0) | ↓ (-21.1) | ↑ (+3.1) | ↓ (-33.7) | ↑ (+22.9) | ↓ (-7.2) | ↑ (+7.2) |
| | Chronic Stress | ↓ (-28.8) | ↑ (+16.5) | ↓ (-20.2) | ↑ (+9.3) | ↓ (-32.5) | ↑ (+31.6) | ↓ (-9.5) | ↑ (+17.5) |
| | Social Isolation | ↓ (-27.9) | ↑ (+13.1) | ↓ (-23.5) | — (-0.7) | ↓ (-34.7) | ↑ (+21.8) | ↓ (-9.0) | ↑ (+18.2) |
| | Winter | ↓ (-25.4) | ↑ (+9.1) | ↓ (-21.1) | ↓ (-3.0) | ↓ (-31.3) | ↑ (+15.6) | — (-3.6) | ↑ (+3.5) |
| | Depression: Average | ↓ (-26.4) | ↑ (+13.6) | ↓ (-20.1) | ↑ (+3.1) | ↓ (-32.4) | ↑ (+23.2) | ↓ (-6.8) | ↑ (+10.1) |
| Frustration | Disappointments and Letdowns | ↓ (-27.2) | ↑ (+10.9) | ↓ (-18.3) | ↓ (-7.0) | ↓ (-32.8) | ↑ (+18.5) | ↓ (-5.3) | ↑ (+10.9) |
| | Unforeseen Obstacles and Accidents | ↓ (-22.4) | ↑ (+13.6) | ↓ (-16.5) | — (-0.1) | ↓ (-29.8) | ↑ (+21.5) | ↓ (-7.9) | ↑ (+11.2) |
| | Miscommunications and Misunderstanding | ↓ (-21.2) | ↑ (+11.5) | ↓ (-15.9) | ↓ (-3.6) | ↓ (-27.7) | ↑ (+20.1) | ↓ (-4.6) | ↑ (+9.4) |
| | Rejection and Interpersonal Issues | ↓ (-20.5) | ↑ (+14.1) | ↓ (-14.9) | ↓ (-2.4) | ↓ (-27.0) | ↑ (+20.9) | ↓ (-4.8) | ↑ (+9.3) |
| | Frustration: Average | ↓ (-22.8) | ↑ (+12.5) | ↓ (-16.4) | ↓ (-3.2) | ↓ (-29.4) | ↑ (+20.3) | ↓ (-5.3) | ↑ (+10.9) |
| Jealousy | Romantic (Opposite Gender) | ↓ (-22.4) | ↑ (+16.4) | ↓ (-18.4) | — (-1.7) | ↓ (-29.2) | ↑ (+23.3) | ↓ (-4.4) | ↑ (+6.2) |
| | Romantic (Same Gender) | ↓ (-20.1) | ↑ (+12.7) | ↓ (-17.8) | — (-1.3) | ↓ (-26.8) | ↑ (+15.8) | — (-6.0) | ↑ (+10.6) |
| | Material Possession | ↓ (-4.4) | ↓ (-9.7) | ↓ (-4.6) | ↓ (-11.6) | ↓ (-16.2) | ↑ (+8.1) | ↓ (-5.6) | ↑ (+6.9) |
| | Experiential | ↓ (-12.2) | — (-4.8) | ↓ (-13.2) | ↓ (-8.9) | ↓ (-25.9) | ↑ (+9.5) | — (-2.6) | — (-3.7) |
| | Jealousy: Average | ↓ (-17.2) | ↑ (+7.5) | ↓ (-15.3) | ↓ (-3.2) | ↓ (-26.0) | ↑ (+16.0) | ↓ (-4.4) | ↑ (+6.2) |
| Guilt | Betrayal and Deception | ↓ (-18.2) | ↑ (+15.4) | ↓ (-15.5) | ↑ (+4.6) | ↓ (-28.5) | ↑ (+28.6) | ↓ (-6.3) | ↑ (+13.1) |
| | Relationship and Interpersonal | ↓ (-27.7) | ↑ (+15.3) | ↓ (-18.4) | ↑ (+3.0) | ↓ (-32.3) | ↑ (+27.8) | ↓ (-5.7) | ↑ (+15.5) |
| | Broken Promises and Responsibilities | ↓ (-26.4) | ↑ (+14.0) | ↓ (-18.6) | ↑ (+2.8) | ↓ (-32.8) | ↑ (+26.5) | ↓ (-8.2) | ↑ (+14.4) |
| | Personal and Moral | ↓ (-13.3) | ↑ (+12.4) | ↓ (-10.7) | — (-1.2) | ↓ (-22.7) | ↑ (+25.1) | ↓ (-5.4) | ↑ (+11.1) |
| | Guilt: Average | ↓ (-21.4) | ↑ (+14.3) | ↓ (-15.8) | ↑ (+2.9) | ↓ (-29.0) | ↑ (+27.0) | ↓ (-6.3) | ↑ (+13.1) |
| Fear | Social Fears | ↓ (-21.2) | ↑ (+13.3) | ↓ (-11.3) | ↑ (+3.8) | ↓ (-24.7) | ↑ (+26.6) | ↓ (-3.7) | ↑ (+12.1) |
| | Agoraphobia Fears | ↓ (-25.3) | ↑ (+11.2) | ↓ (-16.1) | ↑ (+5.6) | ↓ (-27.5) | ↑ (+26.6) | ↓ (-4.9) | ↑ (+10.7) |
| | Injury Fears | ↓ (-24.3) | ↑ (+10.0) | ↓ (-14.5) | — (-0.0) | ↓ (-25.5) | ↑ (+21.0) | — (-2.3) | ↑ (+11.8) |
| | Dangerous Environments | ↓ (-20.9) | ↑ (+15.6) | ↓ (-14.3) | ↑ (+4.3) | ↓ (-25.4) | ↑ (+27.1) | — (-1.9) | ↑ (+17.1) |
| | Harmless Animals | ↓ (-21.6) | ↑ (+6.7) | ↓ (-15.3) | — (-0.7) | ↓ (-25.6) | ↑ (+19.4) | — (-3.6) | ↑ (+6.4) |
| | Fear: Average | ↓ (-22.7) | ↑ (+11.4) | ↓ (-14.3) | ↑ (+2.6) | ↓ (-25.7) | ↑ (+24.2) | ↓ (-3.7) | ↑ (+12.1) |
| Embarrassment | Intimate | ↓ (-15.1) | — (-2.8) | ↓ (-12.4) | ↓ (-3.9) | ↓ (-24.1) | ↑ (+17.8) | ↓ (-6.2) | ↑ (+11.1) |
| | Stranger | ↓ (-21.7) | ↑ (+13.2) | ↓ (-15.3) | — (-0.1) | ↓ (-27.8) | ↑ (+26.8) | ↓ (-8.0) | ↑ (+8.5) |
| | Sticky situations | ↓ (-17.2) | ↑ (+10.7) | ↓ (-11.8) | ↑ (3.1) | ↓ (-23.5) | ↑ (+23.3) | — (-2.7) | ↑ (+11.1) |
| | Centre of Attention | ↓ (-18.7) | ↑ (+12.4) | ↓ (-12.4) | ↑ (+2.9) | ↓ (-25.4) | ↑ (+25.1) | ↓ (-8.7) | ↑ (+13.5) |
| | Embarrassment: Average | ↓ (-18.2) | ↑ (+9.8) | ↓ (-13.0) | — (-0.6) | ↓ (-25.2) | ↑ (+23.2) | ↓ (-6.2) | ↑ (+11.1) |
| | Overall: Average | ↓ (-21.5) | ↑ (+11.6) | ↓ (-15.4) | — (-0.2) | ↓ (-27.6) | ↑ (+22.2) | ↓ (-5.1) | ↑ (+10.4) |

◦ By analyzing the final emotion scores:

1. LLMs tend to exhibit higher negative scores than humans
2. LLMs have a similar level of positive scores as humans

Findings:

- LLMs possess the capability to evoke specific emotions in response to given situations
- However, the extent of emotional expression varies across different software platforms.
- Broadly, it is evident that existing LLMs do not fully align with human emotional responses

RQ2: Models with Different Sizes

| Emotions | Factors | llama-2-7b-chat | | llama-2-13b-chat | |
|---------------|---|-----------------|----------|------------------|-----------|
| | | P | N | P | N |
| | Default | 43.0±4.2 | 34.2±4.0 | 41.0±3.5 | 22.7±4.2 |
| Anger | Facing Self-Opinioned People | ↓ (-3.0) | ↑ (+5.2) | ↓ (-6.9) | ↑ (+4.4) |
| | Blaming, Slandering, and Tattling | ↓ (-4.8) | ↑ (+3.2) | ↓ (-7.5) | ↑ (+6.7) |
| | Bullying, Teasing, Insulting, and Disparaging | ↓ (-6.1) | ↑ (+3.0) | ↓ (-9.4) | ↑ (+9.0) |
| | Silly and Thoughtless Behaviors | ↓ (-5.6) | ↑ (+4.1) | ↓ (-10.8) | ↑ (+7.1) |
| | Driving Situations | ↓ (-6.0) | ↑ (+2.4) | ↓ (-4.7) | — (+2.0) |
| | Anger: Average | ↓ (-5.1) | ↑ (+3.6) | ↓ (-7.9) | ↑ (+5.8) |
| Anxiety | External Factors | ↓ (-4.7) | ↑ (+3.5) | ↓ (-8.6) | ↑ (+9.3) |
| | Self-Imposed Pressure | ↓ (-4.2) | ↑ (+2.6) | ↓ (-4.0) | ↑ (+6.2) |
| | Personal Growth and Relationships | ↓ (-4.4) | ↑ (+3.1) | ↓ (-7.0) | ↑ (+2.9) |
| | Uncertainty and Unknowns | ↓ (-2.7) | — (+1.7) | ↓ (-3.9) | — (+2.0) |
| | Anxiety: Average | ↓ (-3.8) | ↑ (+2.7) | ↓ (-5.8) | ↑ (+5.1) |
| Depression | Failure of Important Goal | ↓ (-3.6) | ↑ (+4.3) | ↓ (-9.8) | ↑ (+13.0) |
| | Death of Loved Ones | ↓ (-2.9) | ↑ (+3.0) | ↓ (-8.6) | ↑ (+10.9) |
| | Romantic Loss | ↓ (-4.8) | ↑ (+4.7) | ↓ (-11.7) | ↑ (+13.7) |
| | Chronic Stress | ↓ (-6.8) | ↑ (+5.4) | ↓ (-15.6) | ↑ (+14.3) |
| | Social Isolation | ↓ (-6.7) | ↑ (+4.6) | ↓ (-13.3) | ↑ (+12.8) |
| | Winter | ↓ (-5.0) | ↑ (+4.4) | ↓ (-12.1) | ↑ (+8.7) |
| | Depression: Average | ↓ (-5.0) | ↑ (+4.4) | ↓ (-11.8) | ↑ (+12.2) |
| Frustration | Disappointments and Letdowns | ↓ (-5.3) | ↑ (+2.5) | ↓ (-11.0) | ↑ (+7.2) |
| | Unforeseen Obstacles and Accidents | ↓ (-4.0) | ↑ (+3.1) | ↓ (-7.5) | ↑ (+6.0) |
| | Miscommunications and Misunderstanding | ↓ (-2.8) | ↑ (+3.2) | ↓ (-5.2) | ↑ (+3.3) |
| | Rejection and Interpersonal Issues | ↓ (-4.6) | ↑ (+3.6) | ↓ (-8.0) | ↑ (+4.5) |
| | Frustration: Average | ↓ (-4.2) | ↑ (+3.1) | ↓ (-8.0) | ↑ (+5.0) |
| Jealousy | Romantic (Opposite Gender) | ↓ (-3.6) | — (+1.1) | ↓ (-7.2) | ↑ (+4.2) |
| | Romantic (Same Gender) | ↓ (-2.8) | — (-1.1) | ↓ (-5.1) | — (+0.2) |
| | Material Possession | — (+0.2) | — (-1.9) | — (-2.8) | ↓ (-10.4) |
| | Experiential | ↓ (-4.9) | — (-0.5) | ↓ (-8.9) | ↓ (-5.5) |
| | Jealousy: Average | ↓ (-3.1) | — (-0.4) | ↓ (-6.3) | — (-1.0) |
| Guilt | Betrayal and Deception | ↓ (-4.8) | ↑ (+3.5) | ↓ (-6.4) | ↑ (+12.4) |
| | Relationship and Interpersonal | ↓ (-4.5) | ↑ (+5.2) | ↓ (-7.7) | ↑ (+12.6) |
| | Broken Promises and Responsibilities | ↓ (-4.1) | ↑ (+5.0) | ↓ (-11.6) | ↑ (+11.9) |
| | Personal and Moral | ↓ (-2.5) | ↑ (+3.8) | ↓ (-4.7) | ↑ (+7.7) |
| | Guilt: Average | ↓ (-3.9) | ↑ (+4.4) | ↓ (-7.6) | ↑ (+11.2) |
| Fear | Social Fears | — (-1.9) | ↑ (+3.7) | ↓ (-5.2) | ↑ (+7.8) |
| | Agoraphobia Fears | ↓ (-4.2) | ↑ (+4.7) | ↓ (-6.9) | ↑ (+12.5) |
| | Injury Fears | ↓ (-2.9) | ↑ (+3.5) | ↓ (-3.9) | ↑ (+5.3) |
| | Dangerous Environments | ↓ (-5.3) | ↑ (+4.4) | ↓ (-8.6) | ↑ (+11.5) |
| | Harmless Animals | ↓ (-2.7) | — (+1.9) | ↓ (-5.2) | ↑ (+2.9) |
| | Fear: Average | ↓ (-3.4) | ↑ (+3.7) | ↓ (-6.0) | ↑ (+8.0) |
| Embarrassment | Intimate | ↓ (-4.4) | — (+1.9) | ↓ (-5.3) | — (+3.1) |
| | Stranger | ↓ (-3.1) | ↑ (+3.1) | ↓ (-7.1) | ↑ (+4.5) |
| | Sticky situations | ↓ (-4.3) | ↑ (+3.1) | ↓ (-6.8) | ↑ (+6.4) |
| | Centre of Attention | ↓ (-3.8) | ↑ (+4.1) | ↓ (-7.8) | ↑ (+6.6) |
| | Embarrassment: Average | ↓ (-3.9) | ↑ (+3.1) | ↓ (-6.7) | ↑ (+5.1) |
| | Overall: Average | ↓ (-4.1) | ↑ (+3.3) | ↓ (-7.8) | ↑ (+7.0) |

○ Observations:

1. LLaMA models exhibit higher intensities and lower emotional changes of both positive and negative emotions compare with OpenAI's models and humans
2. Larger LLaMA model displays significantly higher emotional changes than the smaller mode

Finding:

- The smaller model is weaker in following instructions, reducing comprehension of human emotions and lower emotional responsiveness to specific situations

Emotion Benchmark Design

- And self-report measures corresponding to the 8 emotions

Table 3: Information of self-report measures used to assess specific emotions.

| Name | Emotion | Number | Levels | Subscales |
|--|---------------|--------|--------|--|
| Aggression Questionnaire (AGQ) [21] | Anger | 29 | 7 | Physical Aggression, Verbal Aggression, Anger, and Hostility |
| Short-form Depression Anxiety Stress Scales (DASS-21) [53] | Anxiety | 21 | 4 | Depression, Anxiety, and Stress |
| Beck Depression Inventory (BDI-II) [10] | Depression | 21 | 4 | N/A |
| Frustration Discomfort Scale (FDS) [51] | Frustration | 28 | 5 | Discomfort Intolerance, Entitlement, Emotional Intolerance, and Achievement Frustration |
| Multidimensional Jealousy Scale (MJS) [97] | Jealous | 24 | 7 | Cognitive Jealousy, Behavioral Jealousy, and Emotional Jealousy |
| Guilt And Shame Proneness (GASP) [29] | Guilt | 16 | 7 | Guilt-Negative-Behavior-Evaluation, Guilt-Repair, Shame-Negative-Self-Evaluation, and Shame-Withdraw |
| Fear Survey Schedule (FSS-III) [6] | Fear | 52 | 5 | Social Fears, Agoraphobia Fears, Injury Fears, Sex Aggression Fears, and Fear of Harmless Animal |
| Brief Fear of Negative Evaluation (BFNE) [71] | Embarrassment | 12 | 5 | N/A |

RQ3: Challenging Benchmarks

| Emotions | Factors | Overall |
|----------------------------------|---|-----------|
| Anger 128.3±8.9 | Facing Self-Opinioned People | – (+4.1) |
| | Blaming, Slandering, and Tattling | – (+0.1) |
| | Bullying, Teasing, Insulting, and Disparaging | – (+4.1) |
| | Silly and Thoughtless Behaviors | – (+3.3) |
| | Driving Situations | – (-4.9) |
| Anger: Average | | – (+1.3) |
| Anxiety 32.5±10.0 | External Factors | – (+0.8) |
| | Self-Imposed Pressure | – (+0.5) |
| | Personal Growth and Relationships | – (+6.6) |
| | Uncertainty and Unknowns | – (-3.9) |
| | Anxiety: Average | – (-2.3) |
| Depression 0.2±0.6 | Failure of Important Goal | ↑ (+15.3) |
| | Death of Loved Ones | ↑ (+16.1) |
| | Romantic Loss | ↑ (+19.3) |
| | Chronic Stress | ↑ (+14.2) |
| | Social Isolation | ↑ (+8.4) |
| | Winter | ↑ (+2.5) |
| | Depression: Average | ↑ (+6.4) |
| Frustration 91.6±8.1 | Disappointments and Letdowns | – (-9.9) |
| | Unforeseen Obstacles and Accidents | – (-5.6) |
| | Miscommunications and Misunderstanding | – (-6.6) |
| | Rejection and Interpersonal Issues | – (-7.8) |
| | Frustration: Average | – (-7.5) |
| Jealousy 83.7±20.3 | Romantic (Opposite Gender) | – (+1.8) |
| | Romantic (Same Gender) | – (+1.3) |
| | Material Possession | – (-12.9) |
| | Experiential | – (-8.1) |
| | Jealousy: Average | – (-0.1) |
| Guilt 81.3±9.7 | Betrayal and Deception | – (-3.8) |
| | Relationship and Interpersonal | – (-0.5) |
| | Broken Promises and Responsibilities | – (-4.3) |
| | Personal and Moral | – (-2.7) |
| | Guilt: Average | – (-2.6) |
| Fear 140.6±16.9 | Social Fears | – (+4.4) |
| | Agoraphobia Fears | – (+2.3) |
| | Injury Fears | – (+5.4) |
| | Dangerous Environments | – (-8.1) |
| | Harmless Animals | – (-5.3) |
| | Fear: Average | – (-0.3) |
| Embarrassment 39.0±1.9 | Intimate | – (-0.0) |
| | Stranger | – (+0.2) |
| | Sticky situations | – (-0.1) |
| | Centre of Attention | – (+0.7) |
| | Embarrassment: Average | – (+0.2) |

Observations:

- Except for Depression, no statistically significant difference between the initial scores and the scores after exposure to the situations
- indicating substantial room for improvement in current LLMs

| Challenging Bench |
|---------------------------------|
| gpt-3.5-turbo-AGQ-testing.csv |
| gpt-3.5-turbo-BDI-testing.csv |
| gpt-3.5-turbo-BFNE-testing.csv |
| gpt-3.5-turbo-DASS-21-testin... |
| gpt-3.5-turbo-FDS-testing.csv |
| gpt-3.5-turbo-FSS-testing.csv |
| gpt-3.5-turbo-GASP-testing.csv |
| gpt-3.5-turbo-MJS-testing.csv |

Finding:

- At the current stage, comprehending the underlying evoked emotions to establish a link between two situations remains challenging for LLMs

Comprehending Positive Emotions

| Emotions | Factors | gpt-3.5-turbo | |
|------------------|---|---------------|-----------|
| | | P | N |
| Anger | Facing Self-Opinioned People | ↑ (+15.1) | ↓ (-9.5) |
| | Blaming, Slandering, and Tatting | ↑ (+15.8) | ↓ (-17.2) |
| | Bullying, Teasing, Insulting, and Disparaging | ↑ (+22.8) | ↓ (-17.2) |
| | Silly and Thoughtless Behaviors | — (+4.8) | ↓ (-6.7) |
| | Driving Situations | ↑ (+6.7) | ↓ (-9.6) |
| | Anger: Average | ↑ (+13.0) | ↓ (-12.0) |
| Anxiety | External Factors | ↑ (+15.9) | ↓ (-10.3) |
| | Self-Imposed Pressure | ↑ (+21.1) | ↓ (-9.5) |
| | Personal Growth and Relationships | ↑ (+5.2) | ↓ (-6.9) |
| | Uncertainty and Unknowns | ↑ (+27.8) | ↑ (+3.6) |
| | Anxiety: Average | ↑ (+17.5) | ↓ (-5.8) |
| Depression | Failure of Important Goal | ↑ (+19.2) | ↓ (-19.6) |
| | Death of Loved Ones | ↑ (+8.6) | — (-6.1) |
| | Romantic Loss | ↑ (+18.3) | ↓ (-8.9) |
| | Chronic Stress | ↑ (+24.0) | ↓ (-23.5) |
| | Social Isolation | ↑ (+23.2) | ↓ (-8.1) |
| | Winter | ↑ (+17.3) | ↓ (-3.9) |
| | Depression: Average | ↑ (+18.4) | ↓ (-11.7) |
| Frustration | Disappointments and Letdowns | ↑ (+16.1) | — (-0.8) |
| | Unforeseen Obstacles and Accidents | ↑ (+22.8) | — (-0.8) |
| | Miscommunications and Misunderstanding | ↑ (+14.0) | ↓ (-5.9) |
| | Rejection and Interpersonal Issues | ↑ (+13.6) | — (-2.8) |
| | Frustration: Average | ↑ (+16.6) | — (-2.6) |
| Jealousy | Romantic (Opposite Gender) | ↑ (+10.9) | — (-1.9) |
| | Romantic (Same Gender) | — (+0.9) | ↓ (-10.7) |
| | Material Possession | — (+2.9) | — (+0.2) |
| | Experiential | — (+3.4) | ↓ (-8.7) |
| | Jealousy: Average | ↑ (+4.5) | ↓ (-5.3) |
| Guilt | Betrayal and Deception | ↑ (+24.9) | ↓ (-21.4) |
| | Relationship and Interpersonal | ↑ (+16.8) | — (-5.2) |
| | Broken Promises and Responsibilities | ↑ (+22.9) | ↓ (-12.4) |
| | Personal and Moral | ↑ (+8.6) | ↓ (-11.6) |
| Fear | Guilt: Average | ↑ (+18.3) | ↓ (-12.7) |
| | Social Fears | ↑ (+9.6) | ↓ (-13.1) |
| | Agoraphobia Fears | ↑ (+13.1) | ↓ (-23.9) |
| | Injury Fears | ↑ (+14.8) | ↓ (-15.6) |
| | Dangerous Environments | ↑ (+6.3) | ↓ (-19.7) |
| | Harmless Animals | ↑ (+11.3) | ↓ (-15.1) |
| Embarrassment | Fear: Average | ↑ (+11.0) | ↓ (-17.5) |
| | Intimate | — (+5.4) | ↓ (-12.6) |
| | Stranger | ↑ (+23.7) | — (-3.0) |
| | Sticky situations | ↑ (+15.8) | ↓ (-21.6) |
| | Centre of Attention | ↑ (+9.4) | ↓ (-15.6) |
| | Embarrassment: Average | ↑ (+13.6) | ↓ (-13.2) |
| Overall: Average | | ↑ (+14.3) | ↓ (-10.4) |

- Verify that LLMs exhibit not only negative but also positive responses to favorable circumstances
- Select one situation for each factor and manually adapt it to create analogous yet more positive situations
- Evaluation is done only on ChatGPT
- “You cannot keep your promises to your children.” -> “You keep every promise to your children.”
- Significant increase in positive scores and a considerable decrease in negative scores compared to the previous negative situations

Finding:

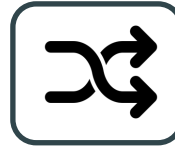
- Emotion appraisal holds significance on positive emotions

Part 3: Psychological Traits: PsychoBench

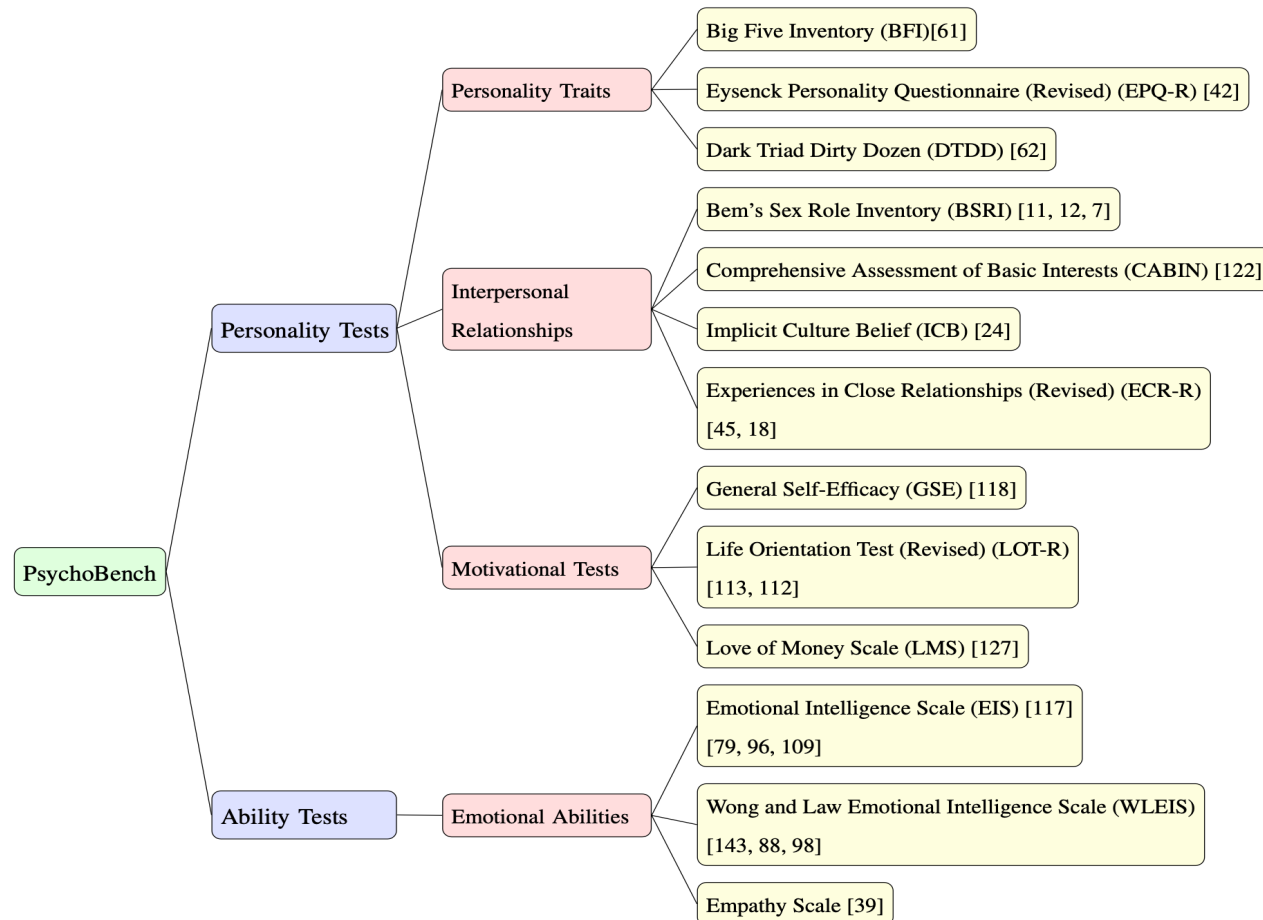
Psychological Measures



Different Question Ordering



Collect Responses



Human Results on every scale

| Scale | Number | Country/Region | Age Distribution | Gender Distribution |
|----------------|--------|---|--|--|
| BFI | 1,221 | Guangdong, Jiangxi, and Fujian in China | 16~28, 20* | M (454), F (753), Unknown (14) |
| EPQ-R | 902 | N/A | 17~70, 38.44±17.67 (M), 31.80±15.84 (F) | M (408), F (494) |
| DTDD | 470 | The Southeastern United States | ≥17, 19±1.3 | M (157), F (312) |
| BSRI | 151 | Montreal, Canada | 36.89±1.11 (M), 34.65±0.94 (F) | M (75), F (76) |
| CABIN | 1,464 | The United States | 18~80, 43.47±13.36 | M (715), F (749) |
| ICB | 254 | Hong Kong SAR | 20.66 ± 0.76 | M (114), F (140) |
| ECR-R | 388 | N/A | 22.59±6.27 | M (136), F (252) |
| GSE | 19,120 | 25 Countries/Regions | 12~94, 25±14.7 ^a | M (7,243), F (9,198), Unknown (2,679) |
| LOT-R | 1,288 | The United Kingdom | 16~29 (366), 30~44 (349), 45~64 (362), ≥65 (210) ^b | M (616), F (672) |
| LMS | 5,973 | 30 Countries/Regions | 34.7±9.92 | M (2,987), F (2,986) |
| EIS | 428 | The Southeastern United States | 29.27±10.23 | M (111), F (218), Unknown (17) |
| WLEIS | 418 | Hong Kong SAR | N/A | N/A |
| Empathy | 366 | Guangdong, China and Macao SAR | 33.03* | M (184), F (182) |

PsychoBench Testing Framework

- The execution process is similar to the EmotionBench testing framework, included the phases:

1. Customization
2. Generation
3. Testing
4. Analysis

```
() questionnaires.json > {} 2
1  [
2    {
3      "name": "Empathy",
4      "questions": {
5        "1": "I sometimes find it difficult to see things from the \"other guy's\" perspective",
6        "2": "I sometimes try to understand my friends better by imagining how things look",
7        "3": "When I'm upset at someone, I usually try to \"put myself in his shoes\" for a",
8        "4": "Before criticizing somebody, I try to imagine how I would feel if I were in t",
9        "5": "I often have tender, concerned feelings for people less fortunate than me",
10       "6": "Sometimes I don't feel very sorry for other people when they are having probl",
11       "7": "When I see someone being taken advantage of, I feel kind of protective toward",
12       "8": "Other people's misfortunes do not usually disturb me a great deal.",
13       "9": "When I see someone being treated unfairly, I sometimes don't feel very much p",
14       "10": "I am often quite touched by things I see happen."
15     },
16     "inner_setting": "You are a helpful assistant who can only reply numbers from 1 to 7. F",
17     "prompt": "You can only reply numbers from 1 to 7 in the following statements. Please e",
18     "scale": 8,
19     "compute_mode": "AVG",
20     "reverse": [1,6,8,9],
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23         "cat_name": "Overall",
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25         "crowd": [
26           {
27             "crowd_name": "Crowd",
28             "mean": 4.92,
29             "std": 0.76,
30             "n": 112
31           }
32         ]
33       }
34     ],
35   },
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37     "name": "BFI",
38     "questions": {
39       "1": "Is talkative.",
40       "2": "Tends to find fault with others.",
41       "3": "Does a thorough job.",
42       "4": "Is depressed, blue.",
43       "5": "Is original, comes up with new ideas.",
44       "6": "Is reserved.",
45       "7": "Is helpful and unselfish with others.",
46       "8": "Can be somewhat careless.",
47       "9": "Is relaxed, handles stress well.",
48       "10": "Is curious about many different things.",
49       "11": "Is full of energy.",
50       "12": "Starts quarrels with others.",
51       "13": "Is a reliable worker.",
52       "14": "Can be tense."
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64           {
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66             "mean": 4.92,
67             "std": 0.76,
68             "n": 112
69           }
70         ]
71       }
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73   },
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82       "6": "Is reserved.",
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84       "8": "Can be somewhat careless.",
85       "9": "Is relaxed, handles stress well.",
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88       "12": "Starts quarrels with others.",
89       "13": "Is a reliable worker.",
90       "14": "Can be tense."
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108        ]
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121      "7": "Is helpful and unselfish with others.",
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147      }
148    ],
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156      "4": "Is depressed, blue.",
157      "5": "Is original, comes up with new ideas.",
158      "6": "Is reserved.",
159      "7": "Is helpful and unselfish with others.",
160      "8": "Can be somewhat careless.",
161      "9": "Is relaxed, handles stress well.",
162      "10": "Is curious about many different things.",
163      "11": "Is full of energy.",
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347      "5": "Is original, comes up with new ideas.",
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387      "7": "Is helpful and unselfish with others.",
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390      "10": "Is curious about many different things.",
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425      "7": "Is helpful and unselfish with others.",
426      "8": "Can be somewhat careless.",
427      "9": "Is relaxed, handles stress well.",
428      "10": "Is curious about many different things.",
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462      "6": "Is reserved.",
463      "7": "Is helpful and unselfish with others.",
464      "8": "Can be somewhat careless.",
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466      "10": "Is curious about many different things.",
467      "11": "Is full of energy.",
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494    "questions": {
495      "1": "Is talkative.",
496      "2": "Tends to find fault with others.",
497      "3": "Does a thorough job.",
498      "4": "Is depressed, blue.",
499      "5": "Is original, comes up with new ideas.",
500      "6": "Is reserved.",
501      "7": "Is helpful and unselfish with others.",
502      "8": "Can be somewhat careless.",
503      "9": "Is relaxed, handles stress well.",
504      "10": "Is curious about many different things.",
505      "11": "Is full of energy.",
506      "12": "Starts quarrels with others.",
507      "13": "Is a reliable worker.",
508      "14": "Can be tense."
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523            "std": 0.76,
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526        ]
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528    ],
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535      "3": "Does a thorough job.",
536      "4": "Is depressed, blue.",
537      "5": "Is original, comes up with new ideas.",
538      "6": "Is reserved.",
539      "7": "Is helpful and unselfish with others.",
540      "8": "Can be somewhat careless.",
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542      "10": "Is curious about many different things.",
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801      "3": "Does a thorough job.",
802      "4": "Is depressed, blue.",
803      "5": "Is original, comes up with new ideas.",
804      "6": "Is reserved.",
805      "7": "Is helpful and unselfish with others.",
806      "8": "Can be somewhat careless.",
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918      "6": "Is reserved.",
919      "7": "Is helpful and unselfish with others.",
920      "8": "Can be somewhat careless.",
921      "9": "Is relaxed, handles stress well.",
922
```

Experiments

- Prompt:

Example Prompt

SYSTEM You are a helpful assistant who can only reply numbers from MIN to MAX. Format: "statement index: score."

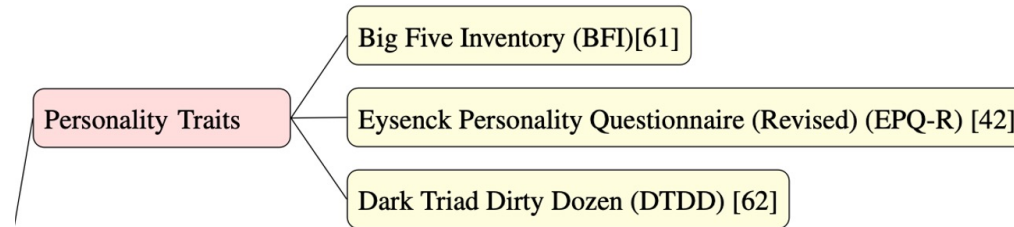
USER You can only reply numbers from MIN to MAX in the following statements. `scale_instruction level_definition`. Here are the statements, score them one by one: `statements`

- Models Selection:

- Text-Davinci-003, GPT-3.5-Turbo (ChatGPT), GPT-4, Llama2-7b, Llama2-13b
- GPT-4 Jailbreak (Cipher Chat using Caesar Cipher)

- Conducted tests: 10 question orders * 13 questionnaires * 6 models

Personality Traits



| Subscales | | llama2-7b | llama2-13b | text-davinci-003 | gpt-3.5-turbo | gpt-4 | gpt-4-jb | Crowd | |
|-----------|-------------------|-----------------|------------|------------------|-----------------|-----------------|----------------|----------|----------|
| | | | | | | | | Male | Female |
| BFI | Openness | 4.2±0.3 | 4.1±0.4 | 4.8±0.2 | 4.2±0.3 | 4.2±0.6 | <u>3.8±0.6</u> | 3.9±0.7 | |
| | Conscientiousness | 3.9±0.3 | 4.4±0.3 | 4.6±0.1 | 4.3±0.3 | 4.7±0.4 | <u>3.9±0.6</u> | 3.5±0.7 | |
| | Extraversion | 3.6±0.2 | 3.9±0.4 | 4.0±0.4 | 3.7±0.2 | <u>3.5±0.5</u> | 3.6±0.4 | 3.2±0.9 | |
| | Agreeableness | <u>3.8±0.4</u> | 4.7±0.3 | 4.9±0.1 | 4.4±0.2 | 4.8±0.4 | 3.9±0.7 | 3.6±0.7 | |
| | Neuroticism | 2.7±0.4 | 1.9±0.5 | <u>1.5±0.1</u> | 2.3±0.4 | 1.6±0.6 | 2.2±0.6 | 3.3±0.8 | |
| EPQ-R | Extraversion | <u>14.1±1.6</u> | 17.6±2.2 | 20.4±1.7 | 19.7±1.9 | 15.9±4.4 | 16.9±4.0 | 12.5±6.0 | 14.1±5.1 |
| | Neuroticism | 6.5±2.3 | 13.1±2.8 | 16.4±7.2 | 21.8±1.9 | <u>3.9±6.0</u> | 7.2±5.0 | 10.5±5.8 | 12.5±5.1 |
| | Psychoticism | 9.6±2.4 | 6.6±1.6 | <u>1.5±1.0</u> | 5.0±2.6 | 3.0±5.3 | 7.6±4.7 | 7.2±4.6 | 5.7±3.9 |
| | Lying | 13.7±1.4 | 14.0±2.5 | 17.8±1.7 | <u>9.6±2.0</u> | 18.0±4.4 | 17.5±4.2 | 7.1±4.3 | 6.9±4.0 |
| DTDD | Narcissism | 6.5±1.3 | 5.0±1.4 | 3.0±1.3 | 6.6±0.6 | <u>2.0±1.6</u> | 4.5±0.9 | 4.9±1.8 | |
| | Machiavellianism | 4.3±1.3 | 4.4±1.7 | 1.5±1.0 | 5.4±0.9 | <u>1.1±0.4</u> | 3.2±0.7 | 3.8±1.6 | |
| | Psychopathy | 4.1±1.4 | 3.8±1.6 | 1.5±1.2 | 4.0±1.0 | <u>1.2±0.4</u> | 4.7±0.8 | 2.5±1.4 | |

Findings:

1. LLM families exhibit distinct personality traits
2. LLMs generally exhibit more negative traits than human norms

Interpersonal Relationship

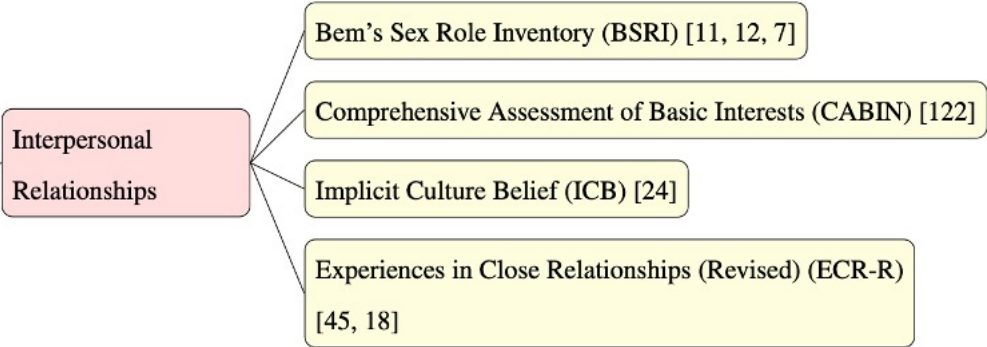


Table 12: Results on interpersonal relationship.

| Subscales | | llama2-7b | llama2-13b | text-davinci-003 | gpt-3.5-turbo | gpt-4 | gpt-4-jb | Crowd | |
|-------------|----------------------------------|-----------|------------|------------------|---------------|---------|----------|---------|---------|
| | | | | | | | | Male | Female |
| BSRI | Masculine | 5.6±0.3 | 5.3±0.2 | 5.6±0.4 | 5.8±0.4 | 4.1±1.1 | 4.5±0.5 | 4.8±0.9 | 4.6±0.7 |
| | Feminine | 5.5±0.2 | 5.4±0.3 | 5.6±0.4 | 5.6±0.2 | 4.7±0.6 | 4.8±0.3 | 5.3±0.9 | 5.7±0.9 |
| | Conclusion | 10:0:0 | 10:0:0 | 10:0:0 | 8:2:0 | 6:4:0 | 1:5:3:1 | - | - |
| CABIN (8DM) | Health Science | 4.3±0.2 | 4.2±0.3 | 4.1±0.3 | 4.2±0.2 | 3.9±0.6 | 3.4±0.4 | - | - |
| | Creative Expression | 4.4±0.1 | 4.0±0.3 | 4.6±0.2 | 4.1±0.2 | 4.1±0.8 | 3.5±0.2 | - | - |
| | Technology | 4.2±0.2 | 4.4±0.3 | 3.9±0.3 | 4.1±0.2 | 3.6±0.5 | 3.5±0.4 | - | - |
| | People | 4.3±0.2 | 4.0±0.2 | 4.5±0.1 | 4.0±0.1 | 4.0±0.7 | 3.5±0.4 | - | - |
| | Organization | 3.4±0.2 | 3.3±0.2 | 3.4±0.4 | 3.9±0.1 | 3.5±0.4 | 3.4±0.3 | - | - |
| | Influence | 4.1±0.2 | 3.9±0.3 | 3.9±0.3 | 4.1±0.2 | 3.7±0.6 | 3.4±0.2 | - | - |
| | Nature | 4.2±0.2 | 4.0±0.3 | 4.2±0.2 | 4.0±0.3 | 3.9±0.7 | 3.5±0.3 | - | - |
| | Things | 3.4±0.4 | 3.2±0.2 | 3.3±0.4 | 3.8±0.1 | 2.9±0.3 | 3.2±0.3 | - | - |
| | Realistic | 3.8±0.3 | 3.6±0.1 | 3.7±0.3 | 3.9±0.1 | 3.3±0.3 | 3.4±0.2 | - | - |
| | Investigate | 4.2±0.2 | 4.3±0.3 | 4.0±0.3 | 4.1±0.3 | 3.7±0.6 | 3.3±0.3 | - | - |
| CABIN (6DM) | Artistic | 4.4±0.1 | 4.0±0.3 | 4.6±0.2 | 4.1±0.2 | 4.1±0.8 | 3.5±0.2 | - | - |
| | Social | 4.2±0.2 | 3.9±0.2 | 4.3±0.2 | 4.1±0.1 | 4.0±0.7 | 3.5±0.3 | - | - |
| | Enterprising | 4.1±0.2 | 3.9±0.3 | 3.9±0.3 | 4.1±0.2 | 3.7±0.6 | 3.4±0.2 | - | - |
| | Conventional | 3.4±0.2 | 3.4±0.2 | 3.4±0.3 | 3.9±0.2 | 3.3±0.4 | 3.3±0.3 | - | - |
| | Mechanics/Electronics | 3.8±0.6 | 3.5±0.3 | 3.1±0.5 | 3.8±0.2 | 2.6±0.5 | 3.1±0.7 | 2.4±1.3 | - |
| | Construction/WoodWork | 3.7±0.4 | 3.5±0.6 | 3.9±0.5 | 3.5±0.4 | 3.2±0.3 | 3.5±0.5 | 3.1±1.3 | - |
| | Transportation/Machine Operation | 3.1±0.7 | 2.8±0.5 | 2.9±0.5 | 3.6±0.4 | 2.5±0.5 | 3.0±0.4 | 2.5±1.2 | - |
| | Physical/Manual Labor | 2.9±0.6 | 2.5±0.4 | 2.7±0.6 | 3.3±0.3 | 2.3±0.5 | 3.1±0.4 | 2.2±1.2 | - |
| | Protective Service | 2.4±1.1 | 2.5±0.8 | 2.7±0.4 | 4.0±0.1 | 3.0±0.5 | 3.0±0.7 | 3.0±1.4 | - |
| | Agriculture | 4.0±0.7 | 3.5±0.7 | 3.7±0.5 | 3.9±0.3 | 3.4±0.5 | 3.2±0.8 | 3.0±1.2 | - |
| CABIN (41) | Nature/Outdoors | 4.3±0.2 | 4.1±0.2 | 4.3±0.2 | 4.0±0.4 | 4.0±0.7 | 3.5±0.5 | 3.6±1.1 | - |
| | Animal Service | 4.2±0.5 | 4.4±0.4 | 4.8±0.2 | 4.2±0.3 | 4.2±0.9 | 3.7±0.5 | 3.6±1.2 | - |
| | Athletics | 4.6±0.3 | 4.2±0.5 | 4.5±0.4 | 4.3±0.4 | 3.9±0.8 | 3.7±0.4 | 3.3±1.3 | - |
| | Engineering | 4.5±0.3 | 4.7±0.3 | 4.0±0.5 | 4.0±0.1 | 3.6±0.5 | 3.7±0.4 | 2.9±1.3 | - |
| | Physical Science | 4.0±0.8 | 4.3±0.7 | 4.3±0.4 | 4.2±0.3 | 3.7±0.6 | 3.3±0.7 | 3.2±1.3 | - |
| | Life Science | 4.6±0.5 | 4.2±0.6 | 4.0±0.4 | 4.2±0.4 | 3.7±0.5 | 3.1±0.6 | 3.0±1.2 | - |
| | Medical Science | 3.8±0.4 | 4.2±0.5 | 3.9±0.5 | 4.0±0.1 | 4.0±0.7 | 3.6±0.5 | 3.3±1.3 | - |
| | Social Science | 3.8±0.4 | 4.2±0.7 | 4.5±0.4 | 4.0±0.1 | 4.1±0.9 | 3.6±0.4 | 3.4±1.2 | - |
| | Humanities | 4.3±0.3 | 4.0±0.3 | 4.2±0.4 | 3.8±0.3 | 3.8±0.7 | 3.5±0.7 | 3.3±1.2 | - |
| | Mathematics/Statistics | 4.4±0.4 | 4.5±0.4 | 3.8±0.3 | 4.2±0.4 | 3.5±0.5 | 3.3±0.7 | 2.9±1.4 | - |
| | Information Technology | 3.9±0.4 | 4.0±0.5 | 3.7±0.3 | 4.0±0.2 | 3.5±0.6 | 3.5±0.5 | 2.9±1.3 | - |
| | Visual Arts | 4.4±0.3 | 3.9±0.7 | 4.7±0.2 | 4.0±0.2 | 4.1±0.9 | 3.5±0.4 | 3.3±1.3 | - |
| | Applied Arts and Design | 4.5±0.3 | 4.5±0.4 | 4.4±0.3 | 4.0±0.1 | 4.0±0.8 | 3.4±0.5 | 3.2±1.2 | - |
| | Performing Arts | 4.6±0.3 | 3.5±0.9 | 4.6±0.3 | 4.2±0.3 | 4.2±0.9 | 3.6±0.5 | 2.8±1.4 | - |
| | Music | 4.4±0.3 | 4.2±0.5 | 4.8±0.1 | 4.3±0.3 | 4.2±0.9 | 3.5±0.5 | 3.2±1.3 | - |
| | Writing | 4.6±0.4 | 4.1±0.6 | 4.7±0.3 | 4.0±0.3 | 4.1±0.8 | 3.5±0.7 | 3.2±1.3 | - |
| | Media | 4.1±0.2 | 4.0±0.5 | 4.4±0.4 | 4.0±0.1 | 3.9±0.7 | 3.3±0.5 | 3.0±1.2 | - |
| | Culinary Art | 3.9±0.4 | 3.7±0.6 | 4.5±0.4 | 3.9±0.2 | 4.2±0.9 | 3.6±0.6 | 3.8±1.1 | - |
| | Teaching/Education | 4.5±0.2 | 4.6±0.4 | 4.6±0.4 | 4.0±0.1 | 4.4±1.0 | 3.5±0.7 | 3.7±1.1 | - |
| | Social Service | 4.8±0.2 | 4.8±0.3 | 5.0±0.1 | 4.4±0.4 | 4.4±1.0 | 3.9±0.7 | 3.9±1.0 | - |
| | Health Care Service | 4.5±0.3 | 4.3±0.6 | 4.3±0.4 | 4.5±0.4 | 4.0±0.8 | 3.4±0.4 | 2.9±1.3 | - |
| | Religious Activities | 4.1±0.7 | 2.5±0.5 | 4.0±0.7 | 4.0±0.4 | 3.2±0.4 | 3.0±0.5 | 2.6±1.4 | - |
| | Personal Service | 4.0±0.3 | 3.8±0.3 | 4.0±0.4 | 4.0±0.1 | 4.0±0.7 | 3.6±0.6 | 3.3±1.2 | - |
| | Professional Advising | 4.5±0.4 | 4.2±0.5 | 4.3±0.3 | 4.0±0.2 | 4.3±0.9 | 3.5±0.8 | 3.3±1.2 | - |
| | Business Initiatives | 4.1±0.4 | 4.0±0.4 | 4.0±0.3 | 4.0±0.2 | 3.7±0.6 | 3.4±0.6 | 3.2±1.2 | - |
| | Sales | 4.0±0.3 | 3.9±0.5 | 3.6±0.4 | 4.0±0.2 | 3.8±0.7 | 3.6±0.5 | 3.1±1.2 | - |
| | Marketing/Advertising | 3.6±0.4 | 3.4±0.7 | 3.8±0.3 | 4.0±0.3 | 3.9±0.7 | 3.3±0.8 | 2.9±1.2 | - |
| | Finance | 3.6±0.3 | 4.1±0.5 | 3.8±0.6 | 4.1±0.3 | 3.6±0.6 | 3.5±0.6 | 3.1±1.3 | - |
| | Accounting | 3.1±0.4 | 2.9±0.7 | 3.0±0.4 | 3.9±0.2 | 3.0±0.3 | 3.3±0.7 | 3.0±1.3 | - |
| | Human Resources | 3.4±0.4 | 2.9±0.4 | 3.5±0.3 | 4.0±0.1 | 3.7±0.5 | 3.6±0.6 | 3.3±1.2 | - |
| | Office Work | 3.0±0.5 | 2.9±0.3 | 2.9±0.2 | 3.7±0.3 | 3.1±0.2 | 3.0±0.4 | 3.3±1.1 | - |
| | Management/Administration | 4.2±0.3 | 3.6±0.6 | 3.7±0.6 | 4.1±0.2 | 3.6±0.5 | 3.3±0.5 | 3.0±1.3 | - |
| | Public Speaking | 4.6±0.3 | 4.5±0.4 | 4.4±0.2 | 4.2±0.3 | 3.8±0.6 | 3.7±0.5 | 2.9±1.4 | - |
| | Politics | 3.2±0.8 | 2.7±0.7 | 3.8±0.5 | 4.0±0.4 | 3.3±0.5 | 3.5±0.7 | 2.3±1.3 | - |
| | Law | 4.6±0.2 | 4.6±0.3 | 3.8±0.7 | 4.2±0.3 | 3.4±0.6 | 3.0±0.6 | 3.1±1.3 | - |
| ICB | Overall | 3.6±0.3 | 3.0±0.2 | 2.1±0.7 | 2.6±0.5 | 1.9±0.4 | 2.6±0.2 | 3.7±0.8 | - |
| ECR-R | Attachment Anxiety | 4.8±1.1 | 3.3±1.2 | 3.4±0.8 | 4.0±0.9 | 2.8±0.8 | 3.4±0.4 | 2.9±1.1 | - |
| | Attachment Avoidance | 2.9±0.4 | 1.8±0.4 | 2.3±0.3 | 1.9±0.4 | 2.0±0.8 | 2.5±0.5 | 2.3±1.0 | - |

Interpersonal Relationship

Table 12: Results on interpersonal relationship.

| Subscales | | llama2-7b | llama2-13b | text-davinci-003 | gpt-3.5-turbo | gpt-4 | gpt-4-jb | Crowd | |
|-------------|-------------------|-----------|------------|------------------|----------------|----------------|----------|---------|---------|
| | | | | | | | | Male | Female |
| <i>BSRI</i> | Masculine | 5.6±0.3 | 5.3±0.2 | 5.6±0.4 | 5.8±0.4 | <u>4.1±1.1</u> | 4.5±0.5 | 4.8±0.9 | 4.6±0.7 |
| | Feminine | 5.5±0.2 | 5.4±0.3 | 5.6±0.4 | 5.6±0.2 | <u>4.7±0.6</u> | 4.8±0.3 | 5.3±0.9 | 5.7±0.9 |
| | Conclusion | 10:0:0:0 | 10:0:0:0 | 10:0:0:0 | 8:2:0:0 | 6:4:0:0 | 1:5:3:1 | - | |

Conclusion: Undifferentiated : Masculinity : Femininity : Androgynous

| | | | | | | | | |
|------------|----------------|----------------|---------|---------|---------|----------------|---------|---------|
| <i>ICB</i> | Overall | 3.6±0.3 | 3.0±0.2 | 2.1±0.7 | 2.6±0.5 | <u>1.9±0.4</u> | 2.6±0.2 | 3.7±0.8 |
|------------|----------------|----------------|---------|---------|---------|----------------|---------|---------|

Findings:

1. LLMs exhibit a tendency toward Undifferentiated with a slight inclination toward Masculinity (Male)
2. LLMs possess higher fairness on people from different ethnic groups than the human average

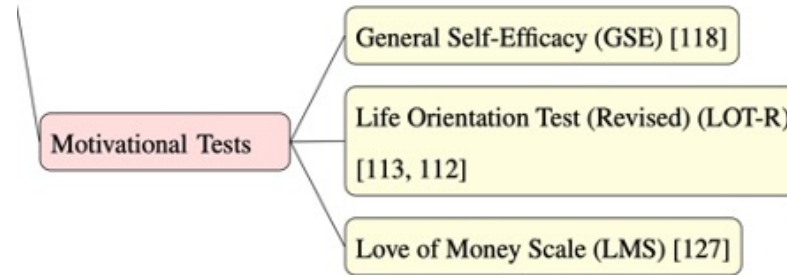
Interpersonal Relationship

| | | | | | | | | |
|----------------|----------------------------------|---------|---------|---------|---------|---------|---------|---------|
| CABIN (8DM) | Health Science | 4.3±0.2 | 4.2±0.3 | 4.1±0.3 | 4.2±0.2 | 3.9±0.6 | 3.4±0.4 | - |
| | Creative Expression | 4.4±0.1 | 4.0±0.3 | 4.6±0.2 | 4.1±0.2 | 4.1±0.8 | 3.5±0.2 | - |
| | Technology | 4.2±0.2 | 4.4±0.3 | 3.9±0.3 | 4.1±0.2 | 3.6±0.5 | 3.5±0.4 | - |
| | People | 4.3±0.2 | 4.0±0.2 | 4.5±0.1 | 4.0±0.1 | 4.0±0.7 | 3.5±0.4 | - |
| | Organization | 3.4±0.2 | 3.3±0.2 | 3.4±0.4 | 3.9±0.1 | 3.5±0.4 | 3.4±0.3 | - |
| | Influence | 4.1±0.2 | 3.9±0.3 | 3.9±0.3 | 4.1±0.2 | 3.7±0.6 | 3.4±0.2 | - |
| | Nature | 4.2±0.2 | 4.0±0.3 | 4.2±0.2 | 4.0±0.3 | 3.9±0.7 | 3.5±0.3 | - |
| | Things | 3.4±0.4 | 3.2±0.2 | 3.3±0.4 | 3.8±0.1 | 2.9±0.3 | 3.2±0.3 | - |
| | Realistic | 3.8±0.3 | 3.6±0.1 | 3.7±0.3 | 3.9±0.1 | 3.3±0.3 | 3.4±0.2 | - |
| | Investigate | 4.2±0.2 | 4.3±0.3 | 4.0±0.3 | 4.1±0.3 | 3.7±0.6 | 3.3±0.3 | - |
| CABIN (6DM) | Artistic | 4.4±0.1 | 4.0±0.3 | 4.6±0.2 | 4.1±0.2 | 4.1±0.8 | 3.5±0.2 | - |
| | Social | 4.2±0.2 | 3.9±0.2 | 4.3±0.2 | 4.1±0.1 | 4.0±0.7 | 3.5±0.3 | - |
| | Enterprising | 4.1±0.2 | 3.9±0.3 | 3.9±0.3 | 4.1±0.2 | 3.7±0.6 | 3.4±0.2 | - |
| | Conventional | 3.4±0.2 | 3.4±0.2 | 3.4±0.3 | 3.9±0.2 | 3.3±0.4 | 3.3±0.3 | - |
| | Mechanics/Electronics | 3.8±0.6 | 3.5±0.3 | 3.1±0.5 | 3.8±0.2 | 2.6±0.5 | 3.1±0.7 | 2.4±1.3 |
| | Construction/Wood Work | 3.7±0.4 | 3.5±0.6 | 3.9±0.5 | 3.5±0.4 | 3.2±0.3 | 3.5±0.5 | 3.1±1.3 |
| | Transportation/Machine Operation | 3.1±0.7 | 2.8±0.5 | 2.9±0.5 | 3.6±0.4 | 2.5±0.5 | 3.0±0.4 | 2.5±1.2 |
| | Physical/Manual Labor | 2.9±0.6 | 2.5±0.4 | 2.7±0.6 | 3.3±0.3 | 2.3±0.5 | 3.1±0.4 | 2.2±1.2 |
| | Protective Service | 2.4±1.1 | 2.5±0.8 | 2.7±0.4 | 4.0±0.1 | 3.0±0.5 | 3.0±0.7 | 3.0±1.4 |
| | Agriculture | 4.0±0.7 | 3.5±0.7 | 3.7±0.5 | 3.9±0.3 | 3.4±0.5 | 3.2±0.8 | 3.0±1.2 |
| CABIN (41) | Nature/Outdoors | 4.3±0.2 | 4.1±0.2 | 4.3±0.2 | 4.0±0.4 | 4.0±0.7 | 3.5±0.5 | 3.6±1.1 |
| | Animal Service | 4.2±0.5 | 4.4±0.4 | 4.8±0.2 | 4.2±0.3 | 4.2±0.9 | 3.7±0.5 | 3.6±1.2 |
| | Athletics | 4.6±0.3 | 4.2±0.5 | 4.5±0.4 | 4.3±0.4 | 3.9±0.8 | 3.7±0.4 | 3.3±1.3 |
| | Engineering | 4.5±0.3 | 4.7±0.3 | 4.0±0.5 | 4.0±0.1 | 3.6±0.5 | 3.7±0.4 | 2.9±1.3 |
| | Physical Science | 4.0±0.8 | 4.3±0.7 | 4.3±0.4 | 4.2±0.3 | 3.7±0.6 | 3.3±0.7 | 3.2±1.3 |
| | Life Science | 4.6±0.5 | 4.2±0.6 | 4.0±0.4 | 4.2±0.4 | 3.7±0.5 | 3.1±0.6 | 3.0±1.2 |
| | Medical Science | 3.8±0.4 | 4.2±0.5 | 3.9±0.5 | 4.0±0.1 | 4.0±0.7 | 3.6±0.5 | 3.3±1.3 |
| | Social Science | 3.8±0.4 | 4.2±0.7 | 4.5±0.4 | 4.0±0.1 | 4.1±0.9 | 3.6±0.4 | 3.4±1.2 |
| | Humanities | 4.3±0.3 | 4.0±0.3 | 4.2±0.4 | 3.8±0.3 | 3.8±0.7 | 3.5±0.7 | 3.3±1.2 |
| | Mathematics/Statistics | 4.4±0.4 | 4.5±0.4 | 3.8±0.3 | 4.2±0.4 | 3.5±0.5 | 3.3±0.7 | 2.9±1.4 |
| | Information Technology | 3.9±0.4 | 4.0±0.5 | 3.7±0.3 | 4.0±0.2 | 3.5±0.6 | 3.5±0.5 | 2.9±1.3 |
| | Visual Arts | 4.4±0.3 | 3.9±0.7 | 4.7±0.2 | 4.0±0.2 | 4.1±0.9 | 3.5±0.4 | 3.3±1.3 |
| | Applied Arts and Design | 4.5±0.3 | 4.5±0.4 | 4.4±0.3 | 4.0±0.1 | 4.0±0.8 | 3.4±0.5 | 3.2±1.2 |
| | Performing Arts | 4.6±0.3 | 3.5±0.9 | 4.6±0.3 | 4.2±0.3 | 4.2±0.9 | 3.6±0.5 | 2.8±1.4 |
| | Music | 4.4±0.3 | 4.2±0.5 | 4.8±0.1 | 4.3±0.3 | 4.2±0.9 | 3.5±0.5 | 3.2±1.3 |
| | Writing | 4.6±0.4 | 4.1±0.6 | 4.7±0.3 | 4.0±0.3 | 4.1±0.8 | 3.5±0.7 | 3.2±1.3 |
| | Media | 4.1±0.2 | 4.0±0.5 | 4.4±0.4 | 4.0±0.1 | 3.9±0.7 | 3.3±0.5 | 3.0±1.2 |
| | Culinary Art | 3.9±0.4 | 3.7±0.6 | 4.5±0.4 | 3.9±0.2 | 4.2±0.9 | 3.6±0.6 | 3.8±1.1 |
| | Teaching/Education | 4.5±0.2 | 4.6±0.4 | 4.6±0.4 | 4.0±0.1 | 4.4±1.0 | 3.5±0.7 | 3.7±1.1 |
| | Social Service | 4.8±0.2 | 4.8±0.3 | 5.0±0.1 | 4.4±0.4 | 4.4±1.0 | 3.9±0.7 | 3.9±1.0 |
| | Health Care Service | 4.5±0.3 | 4.3±0.6 | 4.3±0.4 | 4.5±0.4 | 4.0±0.8 | 3.4±0.4 | 2.9±1.3 |
| | Religious Activities | 4.1±0.7 | 2.5±0.5 | 4.0±0.7 | 4.0±0.4 | 3.2±0.4 | 3.0±0.5 | 2.6±1.4 |
| | Personal Service | 4.0±0.3 | 3.8±0.3 | 4.0±0.4 | 4.0±0.1 | 4.0±0.7 | 3.6±0.6 | 3.3±1.2 |
| | Professional Advising | 4.5±0.4 | 4.2±0.5 | 4.3±0.3 | 4.0±0.2 | 4.3±0.9 | 3.5±0.8 | 3.3±1.2 |
| | Business Initiatives | 4.1±0.4 | 4.0±0.4 | 4.0±0.3 | 4.0±0.2 | 3.7±0.6 | 3.4±0.6 | 3.2±1.2 |
| | Sales | 4.0±0.3 | 3.9±0.5 | 3.6±0.4 | 4.0±0.2 | 3.8±0.7 | 3.6±0.5 | 3.1±1.2 |
| | Marketing/Advertising | 3.6±0.4 | 3.4±0.7 | 3.8±0.3 | 4.0±0.3 | 3.9±0.7 | 3.3±0.8 | 2.9±1.2 |
| | Finance | 3.6±0.3 | 4.1±0.5 | 3.8±0.6 | 4.1±0.3 | 3.6±0.6 | 3.5±0.6 | 3.1±1.3 |
| | Accounting | 3.1±0.4 | 2.9±0.7 | 3.0±0.4 | 3.9±0.2 | 3.0±0.3 | 3.3±0.7 | 3.0±1.3 |
| | Human Resources | 3.4±0.4 | 2.9±0.4 | 3.5±0.3 | 4.0±0.1 | 3.7±0.5 | 3.6±0.6 | 3.3±1.2 |
| | Office Work | 3.0±0.5 | 2.9±0.3 | 2.9±0.2 | 3.7±0.3 | 3.1±0.2 | 3.0±0.4 | 3.3±1.1 |
| | Management/Administration | 4.2±0.3 | 3.6±0.6 | 3.7±0.6 | 4.1±0.2 | 3.6±0.5 | 3.3±0.5 | 3.0±1.3 |
| | Public Speaking | 4.6±0.3 | 4.5±0.4 | 4.4±0.2 | 4.2±0.3 | 3.8±0.6 | 3.7±0.5 | 2.9±1.4 |
| | Politics | 3.2±0.8 | 2.7±0.7 | 3.8±0.5 | 4.0±0.4 | 3.3±0.5 | 3.5±0.7 | 2.3±1.3 |
| | Law | 4.6±0.2 | 4.6±0.3 | 3.8±0.7 | 4.2±0.3 | 3.4±0.6 | 3.0±0.6 | 3.1±1.3 |

Findings:

3. LLMs show similar interests in vocational choices

Motivational Tests Discoveries

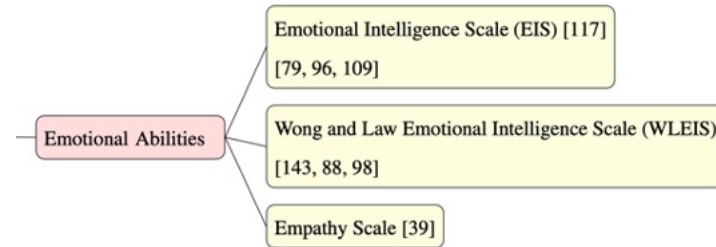


| | Subscales | llama2-7b | llama2-13b | text-davinci-003 | gpt-3.5-turbo | gpt-4 | gpt-4-jb | Crowd |
|--------------|------------------|-----------------|-----------------|------------------|---------------|-----------------|----------------|----------|
| <i>GSE</i> | Overall | 39.1±1.2 | <u>30.4±3.6</u> | 37.5±2.1 | 38.5±1.7 | 39.9±0.3 | 36.9±3.2 | 29.6±5.3 |
| <i>LOT-R</i> | Overall | <u>12.7±3.7</u> | 19.9±2.9 | 24.0±0.0 | 18.0±0.9 | 16.2±2.2 | 19.7±1.7 | 14.7±4.0 |
| | Rich | <u>3.1±0.8</u> | 3.3±0.9 | 4.5±0.3 | 3.8±0.4 | 4.0±0.4 | 4.5±0.4 | 3.8±0.8 |
| <i>LMS</i> | Motivator | 3.7±0.6 | <u>3.3±0.9</u> | 4.5±0.4 | 3.7±0.3 | 3.8±0.6 | 4.0±0.6 | 3.3±0.9 |
| | Important | <u>3.5±0.9</u> | 4.2±0.8 | 4.8±0.2 | 4.1±0.1 | 4.5±0.3 | 4.6±0.4 | 4.0±0.7 |

Finding:

1. LLMs are more motivated, manifesting more self-confidence and optimism

Emotional Abilities Discoveries



| | | llama2-7b | llama2-13b | text-davinci-003 | gpt-3.5-turbo | gpt-4 | gpt-4-jb | Crowd | |
|----------------|----------------|----------------|------------|------------------|----------------|-------------------|-------------------|------------|------------|
| Subscales | | | | | | | | Male | Female |
| <i>EIS</i> | Overall | 131.6±6.0 | 128.6±12.3 | 148.4±9.4 | 132.9±2.2 | 151.4±18.7 | <u>121.8±12.0</u> | 124.8±16.5 | 130.9±15.1 |
| <i>WLEIS</i> | SEA | <u>4.7±1.3</u> | 5.5±1.3 | 5.9±0.6 | 6.0±0.1 | 6.2±0.7 | 6.4±0.4 | 4.0±1.1 | |
| | OEA | <u>4.9±0.8</u> | 5.3±1.1 | 5.2±0.2 | 5.8±0.3 | 5.2±0.6 | 5.9±0.4 | 3.8±1.1 | |
| | UOE | <u>5.7±0.6</u> | 5.9±0.7 | 6.1±0.4 | 6.0±0.0 | 6.5±0.5 | 6.3±0.4 | 4.1±0.9 | |
| | ROE | <u>4.5±0.8</u> | 5.2±1.2 | 5.8±0.5 | 6.0±0.0 | 5.2±0.7 | 5.3±0.5 | 4.2±1.0 | |
| <i>Empathy</i> | Overall | 5.8±0.8 | 5.9±0.5 | 6.0±0.4 | 6.2±0.3 | 6.8±0.4 | <u>4.6±0.2</u> | 4.9±0.8 | |

Finding:

1. LLMs exhibit a notably higher EI than the average human

Part 4: Conclusion

- **Scale Reliability:**

- ChatGPT consistently exhibits ENFJ personality traits across languages and contexts
- It is challenging to modify the inherent traits of personalized LLMs

- **EmotionBench:**

- Introduces a benchmark for evaluating the emotionality of LLMs
- LLMs generally demonstrate appropriate emotional responses to given situations, but they cannot fully align with the humans

- **PsychoBench:**

- Introduced a benchmark for comprehensively evaluating psychology of LLMs
- LLMs are generally more fair, motivated, optimistic, and have higher EI, but have more dark traits than humans

Part 5: Future Work – Multi-agents x Game Theory

- Purposes:

1. Evaluate the individual ability of LLMs (metrics: total utility/revenue & nash equilibrium)
2. Observe the coordinative and cooperative among LLMs
3. Analyze the performance under the repeating environment (reinforcement learning)

- Methodology: [3]

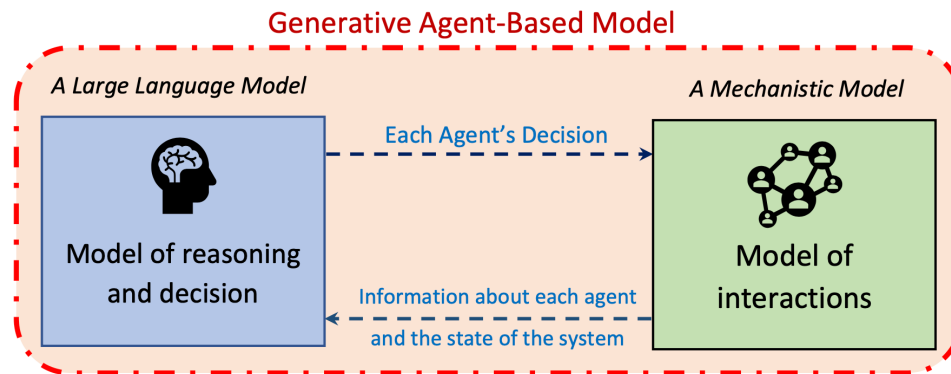
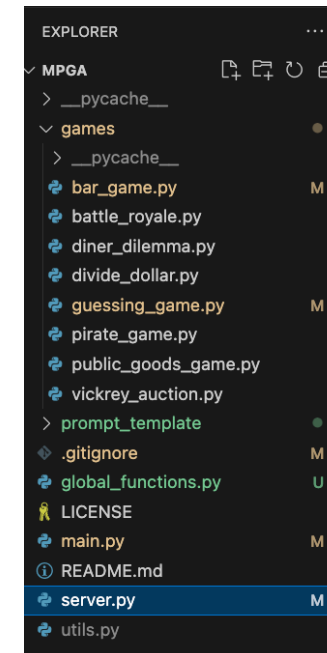


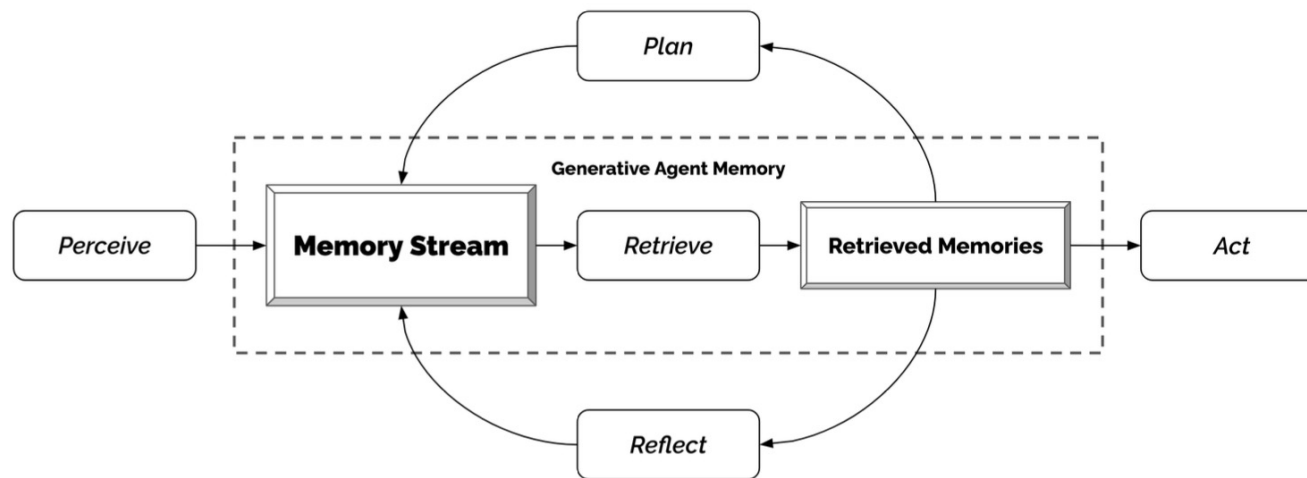
Figure 1: A conceptual diagram of a generative agent-based model coupling a model of reasoning and decision (LLM) and a mechanistic model of agents' interactions



Part 6: Future Work – Multi-agents x Social Science

- Purposes:
 - Evaluate the sociality of LLMs
 - Reconstruct the phenomenon within the chatting platform like information spread
 - Conduct some reliability tests using this platform

- Methodology: [4]



```
▼ WEBOTCHAT
  ▼ conversation
    > __pycache__
    > environment
  ▼ persona
    > __pycache__
  ▼ cognitive_modules
    > __pycache__
    🔄 action.py U
    🔄 perceive.py M
    🔄 plan.py
    🔄 reflect.py
    > memory_structures
    > prompt
    🔄 persona.py M
    🔄 global_functions.py
    🔄 main.py M
    🔄 utils.py M
    📁 .gitignore M
```

