

# Approximation Algorithms 2: Traveling Salesman

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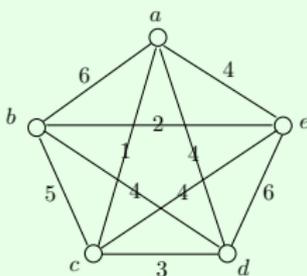
$G = (V, E)$  is a complete undirected graph.

Each edge  $e \in E$  carries a non-negative **weight**  $w(e)$ .

A **Hamiltonian cycle** of  $G$  is a cycle passing every vertex of  $V$  once.

$G$  satisfies **triangle inequality**: for any  $x, y, z \in V$ , it holds that  $w(x, z) \leq w(x, y) + w(y, z)$ .

**The traveling salesman problem:** Find a Hamiltonian cycle with the shortest length.



An optimal solution:  $acdbea$  with length 14.

The problem is NP-hard.

- No one has found an algorithm solving the problem in time polynomial in  $|V|$ .
- Such algorithms cannot exist if  $\mathcal{P} \neq \mathcal{NP}$ .

$\mathcal{A}$  = an algorithm that, given any legal input  $(G, w)$ , returns a Hamiltonian cycle of  $G$ .

Denote by  $OPT_{G,w}$  the shortest length of all Hamiltonian cycles of  $G$  under the weight function  $w$ .

$\mathcal{A}$  is a  **$\rho$ -approximate algorithm** for the traveling salesman problem if, for any legal input  $(G, w)$ ,  $\mathcal{A}$  can return a Hamiltonian cycle with length at most  $\rho \cdot OPT_{G,w}$ .

The value  $\rho$  is the **approximation ratio**.

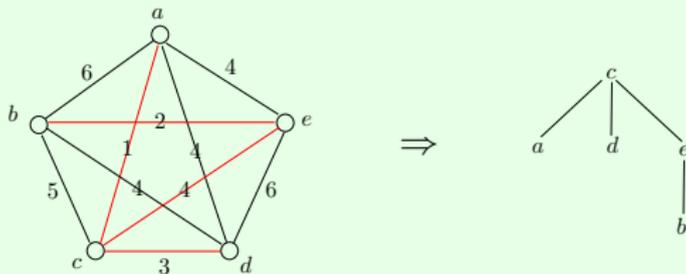
We say that  $\mathcal{A}$  achieves an approximation ratio of  $\rho$ .

## Algorithm

Next, we will describe a 2-approximate algorithm.

**Step 1:** Obtain an MST (minimum spanning tree)  $T$  of  $G$ .

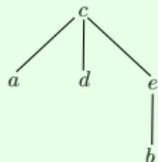
**Example:**



## Algorithm

**Step 2:** Obtain a **closed walk**  $\pi$  where every edge of  $T$  appears on  $\pi$  exactly **twice**.

**Example:**



A possible closed walk:  $\pi = \text{cacdcebec}$

$\pi$  can be obtained in  $O(|V|)$  time (regular exercise).

## Algorithm

**Step 3:** Construct a sequence  $\sigma$  of vertices as follows. First, add the first vertex of  $\pi$  to  $\sigma$ . Then, go through  $\pi$ ; when crossing an edge  $(u, v)$ :

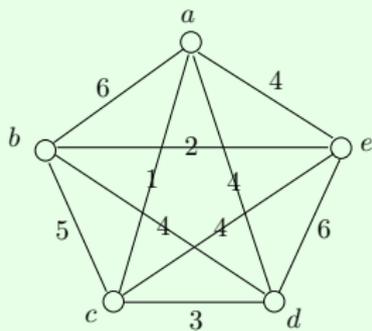
- If  $v$  has not been seen before, append  $v$  to  $\sigma$ .
- Otherwise, do nothing.

Finally, add the last vertex of  $\pi$  to  $\sigma$ .

The sequence  $\sigma$  now gives a Hamiltonian cycle.

Return this cycle.

Example:



$\pi = cacdcebec$

$\sigma = cadebc$

Weight of the Hamiltonian cycle: 18

**Theorem 1:** Our algorithm returns a Hamiltonian cycle with length at most  $2 \cdot OPT_{G,w}$ .

Next, we will prove the theorem.

Let  $w(T)$  be the **weight** of (the MST)  $T$ :

$$w(T) = \sum_{\text{edge } e \text{ in } T} w(e)$$

**Lemma 1:**  $OPT_{G,w} \geq w(T)$ .

**Proof:** Given any Hamiltonian cycle, we can remove an (arbitrary) edge to obtain a spanning tree of  $G$ . The lemma follows from the fact that  $T$  is an MST.  $\square$

Next, we will show that our Hamiltonian cycle  $\sigma$  has length at most  $2 \cdot w(T)$ , which will complete the proof of Theorem 1.

**Lemma 2:** The walk  $\pi$  has length  $2 \cdot w(T)$ .

**Proof:** Every edge of  $T$  appears twice in  $\pi$ . □

**Lemma 3:** The length of our Hamiltonian cycle  $\sigma$  is at most the length of  $\pi$ .

**Proof:** Let the vertex sequence in  $\pi$  be  $u_1 u_2 \dots u_t$  for some  $t \geq 1$ .  
Let  $\sigma$  be the vertex sequence  $u_{i_1} u_{i_2} \dots u_{i_{|V|+1}}$  where

$$i_1 = 1 < i_2 < \dots < i_{|V|} < i_{|V|+1} = t.$$

By triangle inequality, we have for each  $j \in [1, |V|]$ :

$$w(u_{i_j}, u_{i_{j+1}}) \leq \sum_{k=i_j}^{i_{j+1}-1} w(u_k, u_{k+1})$$

Hence:

$$\text{length of } \sigma = \sum_{j=1}^{|V|} w(u_{i_j}, u_{i_{j+1}}) \leq \sum_{k=1}^{t-1} w(u_k, u_{k+1}) = \text{length of } \pi.$$

