

# Tutorial 10

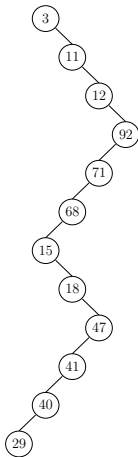
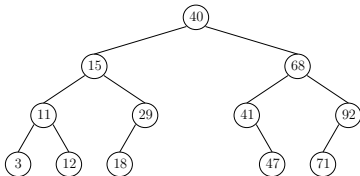
Tutorial 10

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## Binary Search Tree Example

Two possible BSTs on  $S = \{3, 11, 12, 15, 18, 29, 40, 41, 47, 68, 71, 92\}$ :

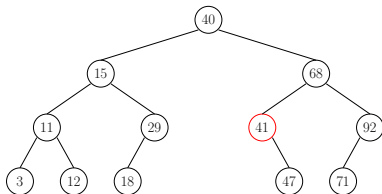


## Predecessor Query

Let  $S$  be a set of integers. A predecessor query for a given integer  $q$  is to find its **predecessor** in  $S$ , which is the largest integer in  $S$  that does not exceed  $q$ .

### Example

Suppose that  $S = \{3, 11, 12, 15, 18, 29, 40, 41, 47, 68, 71, 92\}$  and we have a balanced BST  $T$  on  $S$ :



We want to find the predecessor of  $q = 42$  in  $S$ .

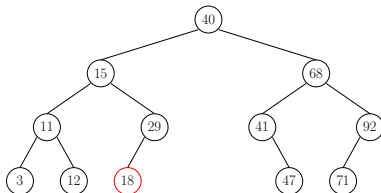
Nodes accessed: 40, 68, 41, and 47.

## Successor Query

Let  $S$  be a set of integers. A successor query for a given integer  $q$  is to find its **successor** in  $S$ , which is the smallest integer in  $S$  that is no smaller than  $q$ .

## Example

We want to find the successor of  $q = 17$  in  $S$ .



Nodes accessed: 40, 15, 29, and 18.

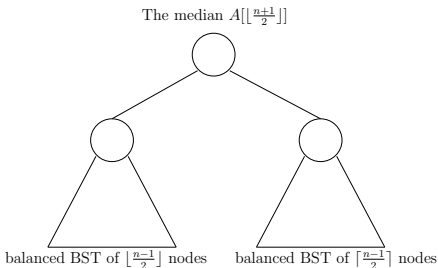
## Construction of a Balanced BST

In the following, we will discuss how to construct a balanced BST  $T$  on a **sorted** set  $S$  of  $n$  integers in  $O(n)$  time.

## Construction of a Balanced BST

Assume that  $S$  is stored in an array  $A$  and  $A$  is sorted.

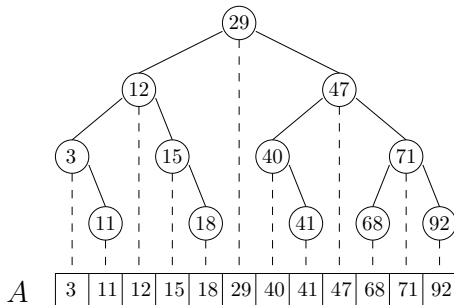
- **Observation:** The subtree of **any** node in a balanced BST is also a balanced BST.
- **Main idea:**





### Example

Let us construct a balanced BST  $T$  on the following sorted array  $A$ .



## Construction of a Balanced BST

Let  $f(n)$  be the maximum running time for constructing a balanced BST from an array of length  $n$ . We have:

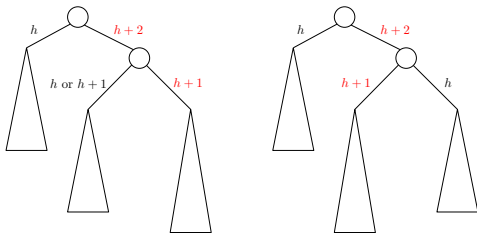
$$f(1) = O(1)$$

$$f(n) = O(1) + 2 \cdot f(\lceil n/2 \rceil)$$

Solving the recurrence gives  $f(n) = O(n)$ .

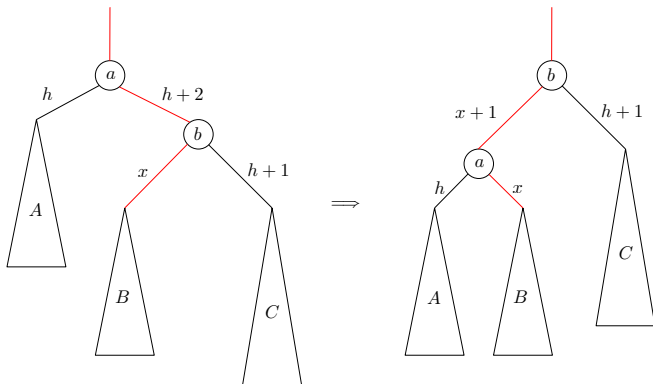
## Rebalancing

In lectures we explored the Left-Left and Left-Right cases in detail, so here we will look at Right-Right and Right-Left:



## Right-Right

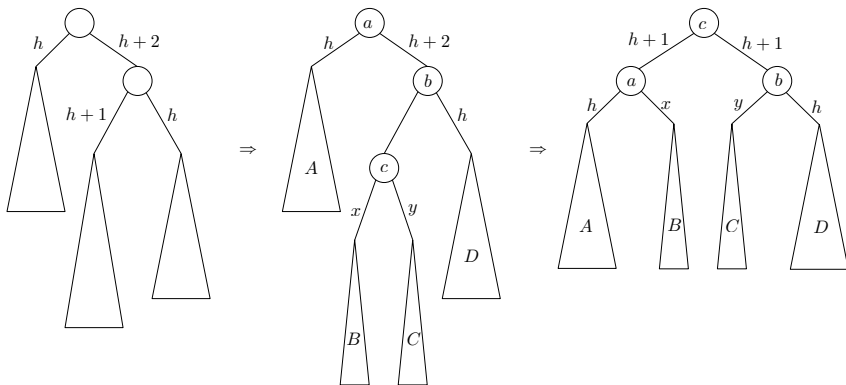
Fix by a **rotation** (symmetric to left-left):



Note that  $x = h$  or  $h + 1$ , and the ordering from left to right of  $A, a, B, b, C$  is preserved after rotation.

## Right-Left

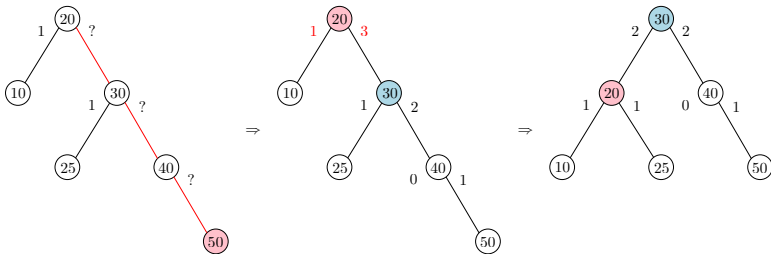
Fix by a **double rotation** (symmetric to left-right):



Note that  $x$  and  $y$  must be  $h$  or  $h - 1$ . Furthermore at least one of them must be  $h$ .

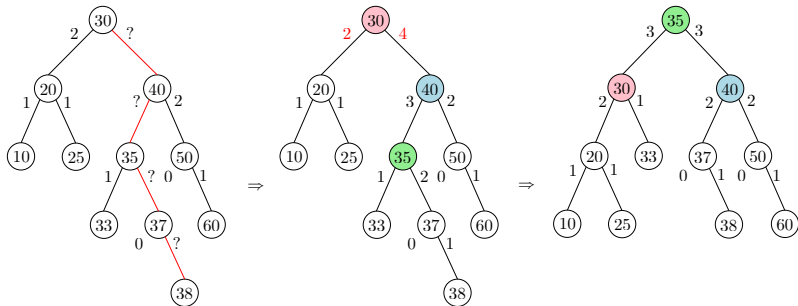
## Right-Right Example

Inserting 50:



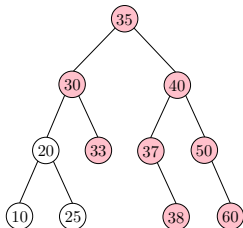
## Right-Left Example

Inserting 38:



## Range Reporting

Let  $S$  be a set of  $n$  integers. Given an interval  $[q, \infty)$ , a **range query** reports all the integers of  $S$  that fall in  $[q, \infty)$ . Describe an algorithm to use a balanced BST on  $S$  to answer a query in  $O(\log n + k)$ , where  $k$  is the number of integers reported.



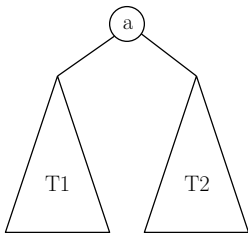
For the query  $[27, +\infty)$ , we need to report the integers in pink.



## Range Reporting

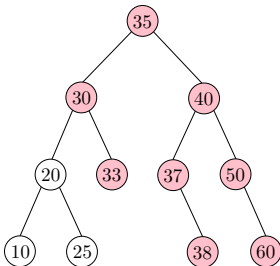
To answer a query  $[q, \infty)$ , we do the following at the root:

- If  $a < q$ , recursively report the integers in  $T_2$  that fall in  $[q, \infty)$ .
- If  $a = q$ , report  $a$  and all the integers in  $T_2$ .
- If  $a > q$ , report  $a$  and all the integers in  $T_2$ . After that, recursively report the integers in  $T_1$  that fall in  $[q, \infty)$ .



## Range Reporting

The tutor will explain the algorithm using  $[27, +\infty)$  as the example query.



In each level of the recursion, we do the following:

- Compare  $q$  to the integer stored in the root, the cost of which is  $O(1)$ .
- (If necessary) report all the integers in the right subtree, the cost of which is proportional to the number of integers in the right subtree.

As the height of the BST is  $O(\log n)$ , the first bullet costs  $O(\log n)$  in total. The second step reports integers from **disjoint** subtrees and, therefore, incurs cost  $O(k)$  in total. The overall cost is  $O(\log n + k)$