

Denote  $B = \{f \in C[a,b] : -f \in A\}$

Then  $f$  crosses some lines

$$\Leftrightarrow f \in A \cup B$$

Hence  $C[a,b] \setminus \mathbb{Z} = A \cup B$

So we only need to show that  $A_n$  is nowhere dense,  $\forall n$ ,

by proving

(1)  $A_n$  is closed  $\forall n$ , and

(2)  $C[a,b] \setminus A_n$  is dense.

Pf of (1) Let  $\{f_k\}$  be a seq. in  $A_n$  and

$$f_k \rightarrow f \text{ in } (C[a,b], d_\infty)$$

Since  $f_k \in A_n$ ,  $\exists \alpha_k \in [-n, n]$  and

$$x_k \in [a, b]$$

$$\text{st. } \begin{cases} (f_k)_{-\alpha_k}(t) \leq (f_k)_{-\alpha_k}(x_k), & \forall t \in (x_k - \frac{1}{n}, x_k) \\ (f_k)_{-\alpha_k}(t) \geq (f_k)_{-\alpha_k}(x_k), & \forall t \in (x_k, x_k + \frac{1}{n}) \end{cases} \\ (t \in [a, b])$$

By passing to subseq., we may assume

$$x_k \rightarrow x_0 \in [a, b]$$

$$\alpha_k \rightarrow \alpha_0 \in [-n, n]$$

$$\text{Then } (f_k)_{-\alpha_k}(t) \leq (f_k)_{-\alpha_k}(x_k), \quad \forall t \in (x_k - \frac{1}{n}, x_k)$$

$$\Leftrightarrow f_k(t) - \alpha_k t \leq f_k(x_k) - \alpha_k x_k, \quad \forall t \in (x_k - \frac{1}{n}, x_k)$$

Now  $\forall t \in (x_0 - \frac{1}{n}, x_0)$ ,  $\exists k_0 \geq 0$  st.

$$t \in (x_k - \frac{1}{n}, x_k), \quad \forall k \geq k_0 \text{ (since } x_k \rightarrow x_0)$$

Then  $f_k \rightarrow f$  in  $(C[a,b], d_{\infty})$ ,  $\alpha_k \rightarrow \alpha_0$ ,  $x_k \rightarrow x_0$

we have  $f(x) - \alpha_0 x \leq f(x_0) - \alpha_0 x_0$  (by letting  $k \rightarrow +\infty$ )

Since  $x \in (x_0 - \frac{1}{n}, x_0)$  is arbitrary, we've proved

$$f_{-\alpha_0}(x) \leq f_{-\alpha_0}(x_0), \quad \forall x \in (x_0 - \frac{1}{n}, x_0)$$

Similarly, we can prove

$$f_{-\alpha_0}(x) \geq f_{-\alpha_0}(x_0), \quad \forall x \in (x_0, x_0 + \frac{1}{n})$$

Hence  $f \in A_n$ ,  $\therefore A_n$  is closed.

Pf of (2) Let  $B_{\epsilon}^{\infty}(f) \subset C[a,b]$  be a metric ball.

If  $f \notin A_n$ , then  $B_{\epsilon}^{\infty}(f) \cap (C[a,b] \setminus A_n) \neq \emptyset$ .

If  $f \in A_n$ , by Weierstrass Approximation Theorem,

$\exists$  polynomial  $p$  s.t.  $\|p - f\|_{\infty} < \frac{\epsilon}{3}$ .

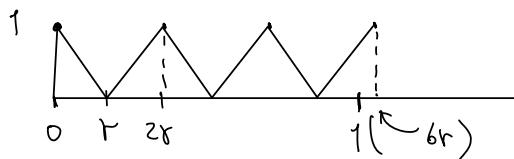
Define  $g(x) = p(x) + \frac{\epsilon}{3} \varphi(x) \in C[a,b]$

where  $\varphi$  is the restriction to  $[a,b]$  of the zig-saw function

of period  $2r$  satisfying  $0 \leq \varphi \leq 1$ , and

slope of the graph of  $\varphi$  is  $\pm \frac{1}{r}$  ( $r > 0$ , to be determined)

(except the finitely many non-differentiable points)



Then  $\|g - f\|_{\infty} \leq \|g - p\|_{\infty} + \|p - f\|_{\infty} \leq \frac{\epsilon}{3} + \frac{\epsilon}{3} < \epsilon$

$\Rightarrow \varphi \in \mathbb{R}^{\infty} \perp \perp$

--  $J \cup \varepsilon(J)$ .

Suppose that  $g \in A_n$

then  $\exists x \in [a, b], \alpha \in [-n, n]$  s.t

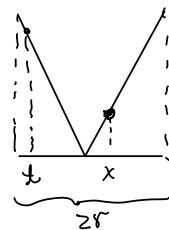
$$\begin{cases} g_{-\alpha}(t) \leq g_{-\alpha}(x), & t \in (x - \frac{1}{n}, x) \\ g_{-\alpha}(t) \geq g_{-\alpha}(x), & t \in (x, x + \frac{1}{n}) \end{cases}$$

If  $\varphi(x) \in [0, \frac{1}{2}]$ , then consider  $\forall t \in (x - \frac{1}{n}, x)$

$$p(t) + \frac{\varepsilon}{3} \varphi(t) - \alpha t \leq p(x) + \frac{\varepsilon}{3} \varphi(x) - \alpha x$$

$$\Rightarrow \varphi(x) - \varphi(t) \geq \frac{3\alpha}{\varepsilon}(x-t) - \frac{2}{\varepsilon}(p(x) - p(t))$$

By the property of  $\varphi$ ,  $\exists t$  with  $0 < x-t < 2t$  s.t.  $\varphi(x) - \varphi(t) \leq -\frac{1}{2}$



Consider  $r < \min\{\frac{1}{n}, \frac{\varepsilon}{12(L+n)}\}$ , where  $L = \text{lip. const. of } \varphi$ .

By  $r < \frac{1}{n}$ ,  $t \in (x - \frac{1}{n}, x)$  s.t.

$$-\frac{1}{2} \geq \frac{3\alpha}{\varepsilon}(x-t) - \frac{2}{\varepsilon}(p(x) - p(t))$$

$$\Rightarrow 1 \leq \frac{6|\alpha|}{\varepsilon}|x-t| + \frac{6}{\varepsilon}L|x-t|$$

$$\leq \frac{12(L+n)}{\varepsilon}r < 1,$$

which is a contradiction.

Hence  $\varphi(x) \in [\frac{1}{2}, 1]$ .

Then consider  $\forall t \in (x, x + \frac{1}{n})$

$$p(t) + \frac{\epsilon}{3} \varphi(t) - \alpha t \geq p(x) + \frac{\epsilon}{3} \varphi(x) - \alpha x$$

$$\Rightarrow \varphi(t) - \varphi(x) \geq \frac{3\alpha}{\epsilon}(t-x) - \frac{2}{\epsilon}(\varphi(t) - \varphi(x))$$

By the property of  $\varphi$ ,  $\exists t$  with  $0 < t-x < 2r$

$$\text{s.t. } \varphi(t) - \varphi(x) \leq -\frac{1}{2}$$

Since  $r < \frac{1}{n} \Rightarrow t \in (x, x + \frac{1}{n})$  s.t.

$$-\frac{1}{2} \geq \frac{3\alpha}{\epsilon}(t-x) - \frac{2}{\epsilon}(\varphi(t) - \varphi(x))$$

$$\Rightarrow | \leq \frac{12}{\epsilon}(h+n)r < | \text{ as before.}$$

Again, it is a contradiction.

Therefore  $g \notin A_n$ . And  $B_\epsilon^\infty(f) \cap [C[a,b] \setminus A_n] \neq \emptyset$ .

This completes the proof of the Theorem. ~~✗~~

Def: A function  $f: [a,b] \rightarrow \mathbb{R}$  is said to be nowhere monotonic if  $\exists$  no interval  $[c,d] \subset [a,b]$  on which  $f$  is monotonic

Cor: The set of continuous nowhere monotonic functions is a residual set in  $C[a,b]$ , & hence dense in  $C[a,b]$ .

PF: If  $f \in C[a,b]$  is monotonic on some interval  $[c,d]$ , then  $Lx \equiv b$  with  $b \in (f(c), f(d))$  crosses  $f$  if  $f(b) > f(c)$  (or  $b \in (f(d), f(c))$  if  $f(c) > f(d)$ )

If  $f(c) = f(d)$ , then  $f \equiv \text{const.}$  on  $[c, d]$ . Clearly many lines cross  $f$ . Hence  $f$  monotonic on some interval  $\Rightarrow f \in C[a, b] \setminus \mathbb{Z}$

Since  $C[a, b] \setminus \mathbb{Z}$  is of 1st category, any subset of  $C[a, b] \setminus \mathbb{Z}$  is also of 1st category.

$\Rightarrow$  set of ct functions monotonic on some interval is of 1st category

$\therefore$  Set of ct nowhere monotonic functions is a residual. ~~xx~~

Remark: The Thm can be used to prove Thm 4.13 too.

## Another application of Baire Category Theorem

Thm 4.14 Every basis of an infinite dimensional Banach space consists of uncountably many vectors.

Pf: Let  $V$  be a Banach space.

Suppose on the contrary that  $V$  has a countable basis  $\mathcal{B} = \{w_j\}_{j=1}^{\infty}$ .

$$\text{Then } V = \bigcup_{n=1}^{\infty} W_n$$

where  $W_n = \text{span}\{w_1, \dots, w_n\}$

Claim 1:  $W_n$  has empty interior

Pf: Since  $V$  is of infinite dimension,  
 $V \setminus W_n \neq \emptyset$ ,  $\forall n=1, 2, \dots$

$$\Rightarrow \{v \in V : \|v\|=1\} \setminus W_n \neq \emptyset, \forall n=1, 2, \dots$$

$\therefore$  one can find  $v_0 \in V \setminus W_n$  such that  $\|v_0\|=1$ .

Then  $\forall w \in W_n$  and  $\varepsilon > 0$ ,

$$w + \varepsilon v_0 \in B_{\varepsilon}(w) \cap (V \setminus W_n)$$

$$\Rightarrow B_{\varepsilon}(w) \cap (V \setminus W_n) \neq \emptyset$$

$\therefore W_n$  has empty interior.

Claim 2  $W_n$  is closed,  $\forall n=1, 2, \dots$

PF: Let  $\{u_j\}_{j=1}^{\infty}$  be a seq in  $W_n$  and converges to some  $u_0 \in V$ .

Note that  $T: W_n \rightarrow \mathbb{R}^n$   
 $\sum_{j=1}^n a_j w_j \mapsto (a_1, \dots, a_n)$

is a vector space isomorphism.

And hence the norm in  $V$ ,  $|\sum_{j=1}^n a_j w_j|_V$  gives a norm on  $\mathbb{R}^n$

$$\|(a_1, \dots, a_n)\| = |\sum_{j=1}^n a_j w_j|_V.$$

Since any two norms on  $\mathbb{R}^n$  are equivalent, (HW 8)

$\|(a_1, \dots, a_n)\|$  is equivalent to standard Euclidean norm

$$\|(a_1, \dots, a_n)\| = \sqrt{a_1^2 + \dots + a_n^2}$$

$\Rightarrow \exists C_1, C_2 > 0$  s.t.

$$|u|_V \leq C_1 |Tu| \leq C_2 |u|_V, \quad \forall u \in W_n$$

Since  $u_l \rightarrow u_0$  in  $V$ ,  $\{u_l\}$  is Cauchy in  $(V, |\cdot|_V)$

$\therefore \forall \epsilon > 0, \exists l_0 \geq 0$  s.t.

$$|u_l - u_k|_V < \epsilon, \quad \forall l, k \geq l_0$$

$$\Rightarrow |Tu_l - Tu_k| \leq \frac{C_2}{C_1} |u_l - u_k| < \frac{C_2}{C_1} \epsilon, \quad \forall l, k \geq l_0$$

$\Rightarrow \{Tu_l\}$  is Cauchy in  $\mathbb{R}^n$  (with standard metric)

By completeness of  $\mathbb{R}^n$ ,  $\exists a^* = (a_1^*, \dots, a_n^*) \in \mathbb{R}^n$

s.t.  $|Tu_l - a^*| \rightarrow 0$  as  $l \rightarrow \infty$ .

Let  $v^* = T^{-1}a^* = \sum_{j=1}^n a_j^* w_j \in W_n$ ,

we have

$$\|v_l - v^*\|_V \leq C_1 \|Tv_l - a^*\| \rightarrow 0 \text{ as } l \rightarrow \infty$$

By uniqueness of limit  $v_0 = v^* \Rightarrow v_0 \in W_n$ .

$\therefore W_n$  is closed. This proves Claim 2.

By Claims 1 & 2,  $W_n$  is nowhere dense and  $V = \bigcup_{n=1}^{\infty} W_n$  is of 1<sup>st</sup> category. But  $V$  is complete, this is impossible. Hence any basis of  $V$  cannot be countable. ~~✗~~



## Final Exam:

### Ch1 Fourier Series

- Riemann-Lebesgue Lemma
- pointwise and uniform convergence
- Weierstrass Approximation Theorem
- $L^2$ -convergence (mean convergence)
- Parseval's Identity

### Ch2 Metric Spaces

- Basic notations
- Open and Closed Sets
- Interior, closure & boundary
- Elementary Inequalities for Functions (if omitted)  
(Young's, Hölder's, Minkowski's)

### Ch3 Contraction Mapping Principle

- Completeness
- Fixed points & Contraction
- Perturbation of Identity
- Inverse Function Theorem (Implicit Function Thm)
- Picard-Lindelöf Thm (IVP in ODE)

### Ch4 Space of Continuous Functions

- Ascoli's Theorem  
(equicontinuity, uniform bddness, precompact)
- Arzela's Theorem
- Cauchy-Peano Thm (IVP in ODE)
- Baire Category Thm  
(nowhere dense, 1<sup>st</sup> category, residual)
- Applications of Baire Category Thm  
(eg nowhere differentiable continuous functions & etc)