

## Contractible Spaces, $\mathbb{R}^n$ , $D^n$ , etc.

Pick  $x_0 \in X$  and  $c: [0, 1] \rightarrow \{x_0\} \subset X$

Then  $\pi_1(X, x_0) = \{[c]\}$ .

Trivial group, denoted 1.

## Circle $S^1$ and Punctured Plane $\mathbb{R}^2 \setminus \{0\}$

Let  $x_0 \in S^1 \subset \mathbb{R}^2 \setminus \{0\}$ .

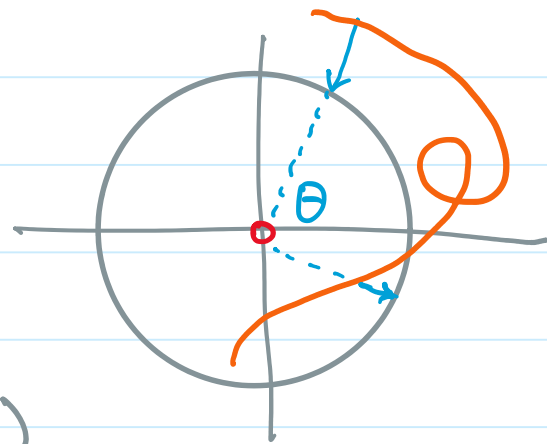
$$\pi_1(S^1, x_0) = \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) = (\mathbb{Z}, +)$$

First, define a mapping

$$\varphi: \mathbb{R}^2 \setminus \{0\} \rightarrow S^1$$

$$x \mapsto \varphi(x) = \frac{x}{\|x\|}$$

( $\cos\theta, \sin\theta$ )



We then have (prove later)

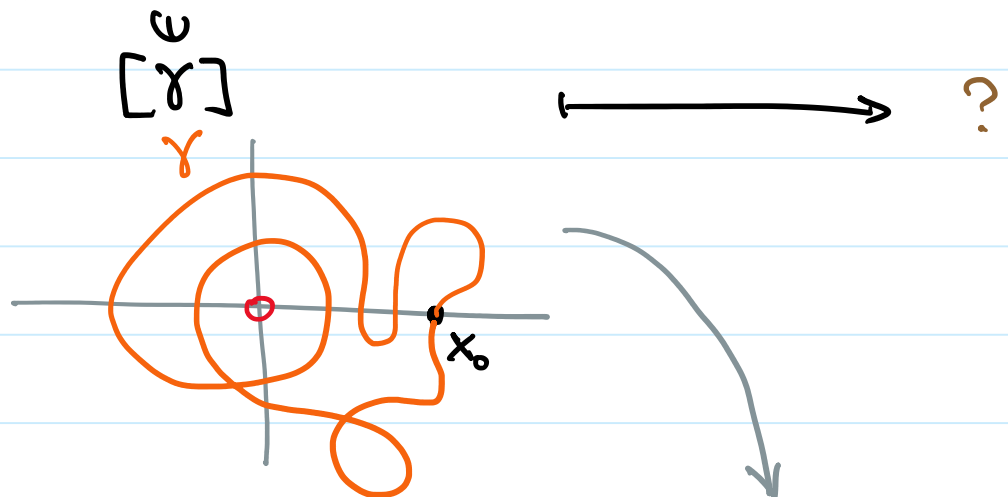
- $[\gamma] \in \pi_1(S^1, x_0) \mapsto [\gamma] \in \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0)$
- $[\gamma] \in \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) \mapsto [\varphi \circ \gamma] \in \pi_1(S^1, x_0)$
- $\pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) = \pi_1(S^1, x_0)$
- Finally,  $(\mathbb{Z}, +)$

What steps are needed to have the above?

Try to outline it.

Calculate (not yet proof)

$$\pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) = \pi_1(\mathbb{C} \setminus \{0\}, z_0) \longrightarrow \mathbb{Z}$$



winding number,  $w(\gamma)$   
How?

Winding Number

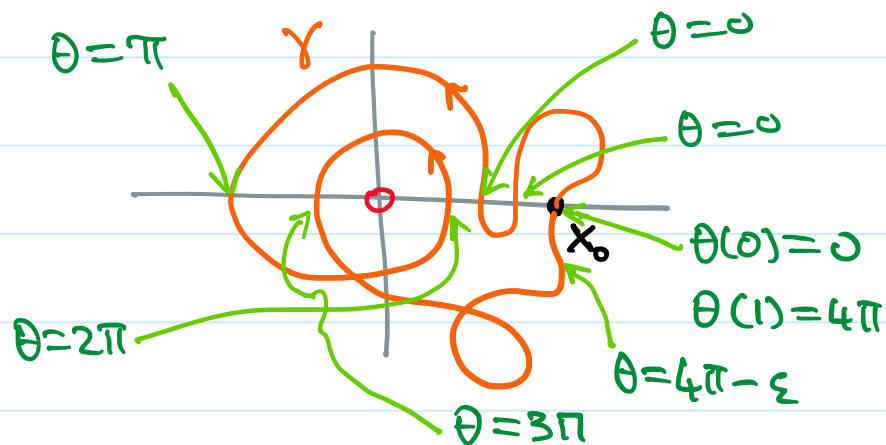
If  $\gamma: [0, 1] \longrightarrow \mathbb{C} \setminus \{0\}$  is piecewise differentiable with  $\gamma(0) = \gamma(1)$ , then

$$w(\gamma) = \frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z} =$$

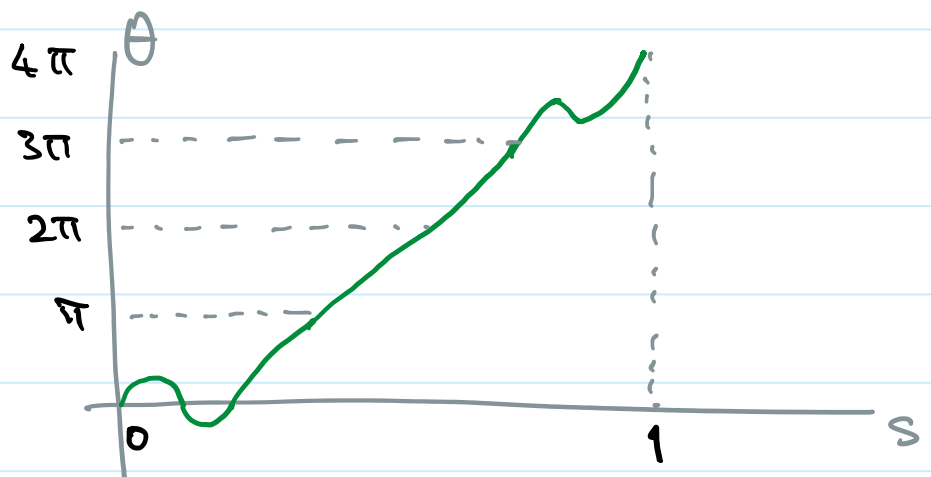
For  $s \in [0, 1]$ , write  
 $z = r(s)e^{i\theta(s)}$

$$= \dots = \frac{\theta(1) - \theta(0)}{2\pi}$$

In the example,  $\theta(s)$  varies as below.



The graph of  $\theta: [0, 1] \rightarrow \mathbb{R}$  looks like



**Theorem.** Let  $\gamma: [0, 1] \rightarrow \mathbb{R}^2 \setminus \{0\}$  be continuous (not necessarily  $\gamma(0) = \gamma(1)$ ).

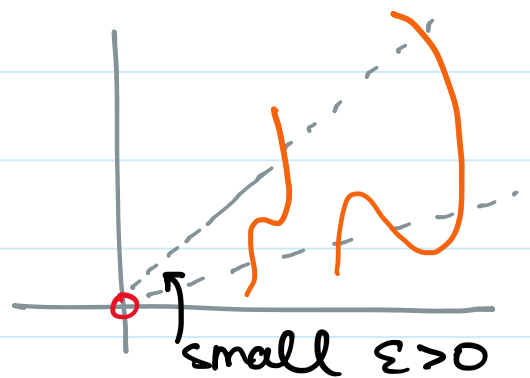
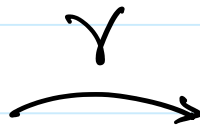
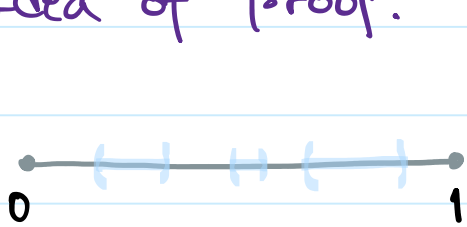
Then there is a continuous polar form parametrization, i.e., continuous

$$r: [0, 1] \rightarrow (0, \infty) \quad \text{and}$$

$$\theta: [0, 1] \rightarrow \mathbb{R} \quad \text{such that}$$

$$\gamma(s) = (r(s) \cos \theta(s), r(s) \sin \theta(s))$$

Idea of proof.



By compactness of  $[0,1]$ , it can be covered by finitely many such open intervals.  
Then  $\theta(s)$  can be inductively defined.

Definition. In the case that  $\gamma(0) = \gamma(1)$ ,  
winding number  $w(\gamma) = \frac{1}{2\pi} (\theta(1) - \theta(0)) \in \mathbb{Z}$

Why independent of  $\theta(s)$ ?

For two choices  $\theta(s), \hat{\theta}(s)$ , consider

$$s \in [0,1] \xrightarrow{\text{continuous}} \frac{1}{2\pi} [\hat{\theta}(s) - \theta(s)] \in \mathbb{Z}$$

↑  
connected

only constant in  $s$

$$\therefore \frac{1}{2\pi} [\hat{\theta}(1) - \theta(1)] = \frac{1}{2\pi} [\hat{\theta}(0) - \theta(0)]$$

$$\therefore \frac{1}{2\pi} [\hat{\theta}(1) - \hat{\theta}(0)] = \frac{1}{2\pi} [\theta(1) - \theta(0)]$$



Isomorphism  $\pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) \rightarrow (\mathbb{Z}, +)$

Obviously,  $c: [0, 1] \rightarrow \{x_0\} \subset \mathbb{R}^2 \setminus \{0\}$

$$[c] \longmapsto 0 \in \mathbb{Z}$$

For  $\gamma: [0, 1] \rightarrow \mathbb{R}^2 \setminus \{0\}$  and

$$\bar{\gamma}: [0, 1] \rightarrow \mathbb{R}^2 \setminus \{0\}$$

$$w(\bar{\gamma}) = -w(\gamma)$$

one-one

For any  $n \in \mathbb{Z}$ , can construct

$$\gamma: [0, 1] \rightarrow \mathbb{R}^2 \setminus \{0\} \text{ by}$$

$$\gamma(s) = (\cos(2\pi s), \sin(2\pi s))$$

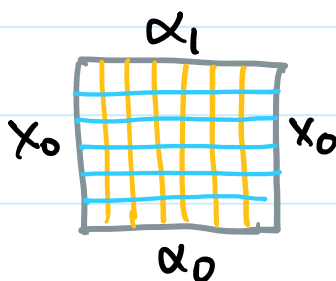
$$\therefore w(\gamma) = n.$$

onto

Crucial Argument  $\pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) = (\mathbb{Z}, +)$

Let  $\alpha_0, \alpha_1: [0, 1] \rightarrow \mathbb{R}^2 \setminus \{0\}$  be loops at  $x_0$   
and  $\alpha_0 \cong \alpha_1 \text{ rel } \{0, 1\}$ , i.e., loop homotopic.

Then  $w(\alpha_0) = w(\alpha_1)$



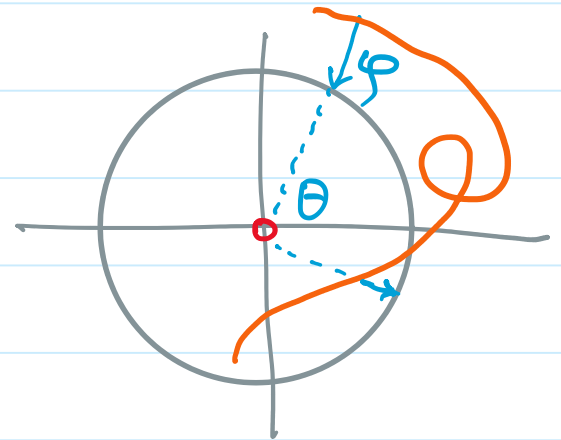
What about

$$\pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) \stackrel{?}{=} \pi_1(S^1, x_0)$$

$$\cong (\mathbb{Z}, +)$$

Recall  $x_0 \in S^1 \subset \mathbb{R}^2 \setminus \{0\} = \mathbb{C} \setminus \{0\}$

$$\begin{array}{ccc} \gamma & \xrightarrow{\quad} & \gamma \\ S^1 & \xrightarrow{i} & \mathbb{R}^2 \setminus \{0\} \\ & \xleftarrow{\quad} & \\ \varphi \circ \gamma & \xleftarrow{\varphi} & \gamma \end{array}$$



**Theorem.** Let  $f: X \rightarrow Y$  be continuous with  $x_0 \in X$  and  $y_0 = f(x_0) \in Y$ . Then  $\exists$  homomorphism

$$f_{\#}: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$$

$$[\gamma] \longmapsto [\text{loop at } y_0] \quad f \circ \gamma$$

• Well-defined:  $\alpha_0 \cong \alpha_1 \text{ rel } \{0, 1\}$

$$\Rightarrow ? \cong ? \text{ rel } \{0, 1\}$$

• homomorphic  $[\alpha] \cdot [\beta] \longmapsto f_{\#}[\alpha] \cdot f_{\#}[\beta]$

$$\parallel \quad \parallel ?$$

$$[\alpha * \beta] \longmapsto f_{\#}[\alpha * \beta]$$

**Remark.**  $\text{id}_\# : \pi_1(X, x_0) \rightarrow \pi_1(X, x_0)$   
is exactly the id mapping.

**Warning**  $f$  is 1-1  $\not\Rightarrow f_\#$  is 1-1  
 $f$  is onto  $\not\Rightarrow f_\#$  is onto

In the above context, we have

$$(\mathcal{S}^1, x_0) \begin{array}{c} \xrightarrow{i} \\ \xleftarrow{\varphi} \end{array} (\mathbb{R}^2 \setminus \{0\}, x_0)$$

special:  $\gamma(s) \xrightarrow{\quad} i \circ \gamma(s) = \gamma(s)$   
 $\parallel$   
 $\varphi \circ i \circ \gamma(s) \xleftarrow{\quad} \gamma(s)$   $\varphi \circ i \equiv \text{id}_{\mathcal{S}^1}$

$$\therefore \pi_1(\mathcal{S}^1, x_0) \begin{array}{c} \xrightarrow{i_\#} \\ \xleftarrow{\varphi_\#} \end{array} \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0)$$

Do we have  $\varphi_\# \circ i_\# \equiv \text{id}_{\pi_1(\mathcal{S}^1, x_0)}$ ?

**Theorem.** Let  $f: X \rightarrow Y$ ,  $g: Y \rightarrow Z$  with  
 $x_0 \in X$ ,  $y_0 = f(x_0) \in Y$ ,  $z_0 = g(y_0) \in Z$ . Then

$$g_\# \circ f_\# \equiv (g \circ f)_\# : \pi_1(X, x_0) \rightarrow \pi_1(Z, z_0)$$

$$g_\#(f_\#[\gamma]) = g_\#([f \circ \gamma]) = [g \circ f \circ \gamma]$$



Now, we have

$$(S', x_0) \begin{array}{c} \xrightarrow{i} \\ \xleftarrow{\varphi} \end{array} (\mathbb{R}^2 \setminus \{0\}, x_0), \quad \varphi \circ i \equiv \text{id}_{S'}$$

$$\therefore \pi_1(S', x_0) \begin{array}{c} \xrightarrow{i_{\#}} \\ \xleftarrow{\varphi_{\#}} \end{array} \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0)$$

$$\varphi_{\#} \circ i_{\#} = (\varphi \circ i)_{\#} = \text{id}_{\#} = \text{id}$$

What can we conclude?

Sorry! We can only have

- $i_{\#}$  is monomorphic, i.e., injective
- $\varphi_{\#}$  is epimorphic, i.e., surjective

It could be

$$\pi_1(S', x_0) = \begin{cases} 1 \\ \mathbb{Z}/m \end{cases} \begin{array}{c} \xrightarrow{i_{\#}} \\ \xleftarrow{\varphi_{\#}} \end{array} \pi_1(\mathbb{R}^2 \setminus \{0\}, x_0) = \mathbb{Z}$$

What about  $(X, x_0) \begin{array}{c} \xrightarrow{f_1} \\ \xrightarrow{f_2} \end{array} (Y, y_1) \text{ ?}$   
 $(Y, y_2)$

We need to compare  $\pi_1(Y, y_1)$  and  $\pi_1(Y, y_2)$ .

**Theorem** If  $X$  is path connected (or at least  $x_1, x_2$  are joined by a path), then

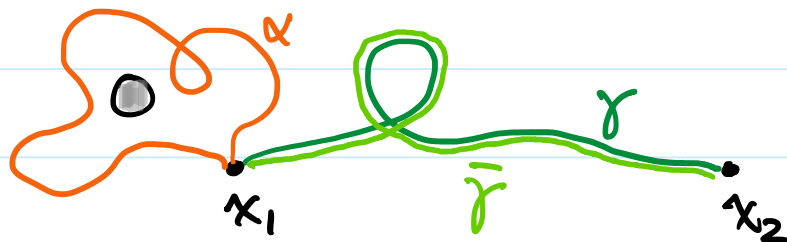
$$\pi_1(X, x_1) \cong \pi_1(X, x_2)$$

isomorphic

**Proof.** Let  $\gamma: [0, 1] \rightarrow X$  be a path joining  $x_1$  to  $x_2$ , i.e.,  $\gamma(0) = x_1$ ,  $\gamma(1) = x_2$

Then  $\bar{\gamma}(s) = \gamma(1-s)$  is from  $x_2$  to  $x_1$ .

$$[\alpha] \in \pi_1(X, x_1) \mapsto [\bar{\gamma} * \alpha * \gamma] \in \pi_1(X, x_2)$$

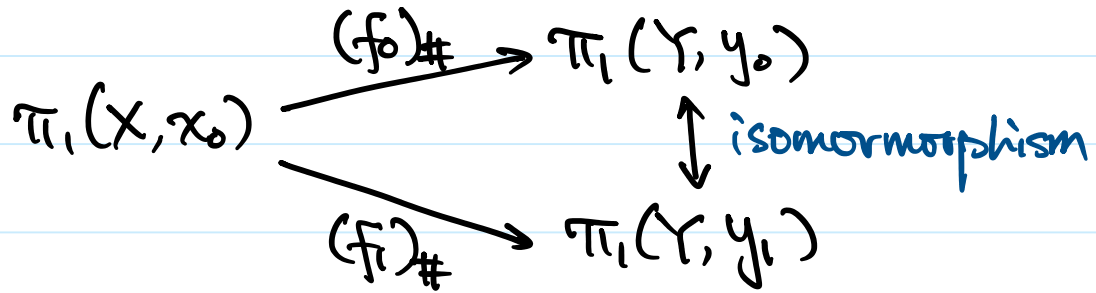


This is a bijection because an inverse is obvious.

Also, it is homomorphic

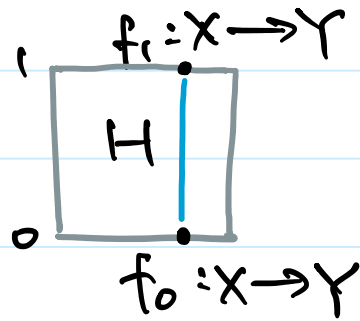
$$\alpha * \beta \mapsto \bar{\gamma} * \alpha * \beta * \gamma \cong \bar{\gamma} * \alpha * \gamma * \bar{\gamma} * \beta * \gamma$$

**Theorem.** Let  $f_0: (X, x_0) \rightarrow (Y, y_0)$  and  $f_1: (X, x_0) \rightarrow (Y, y_1)$  be continuous. If  $f_0 \simeq f_1$ , then the diagram commutes

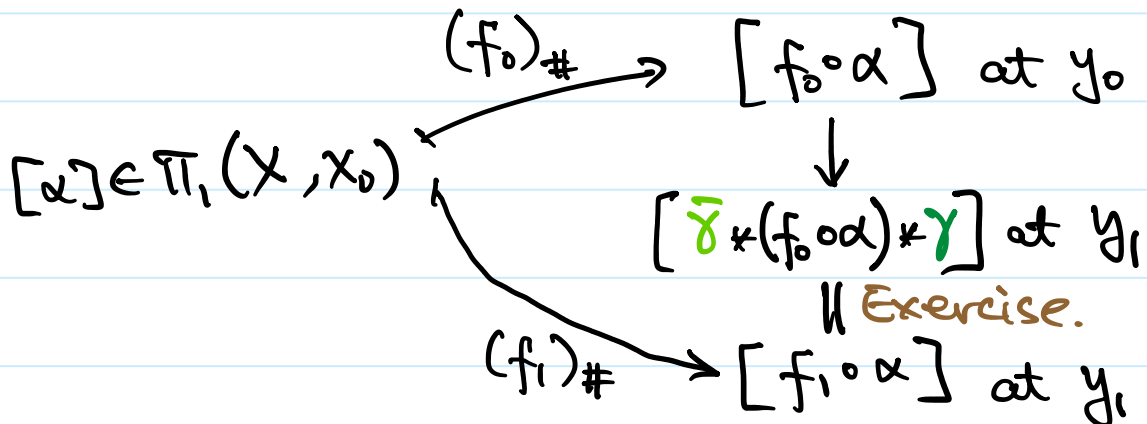


**Proof.**  $f_0 \stackrel{H}{\simeq} f_1 \implies f_0 \circ \alpha \simeq f_1 \circ \alpha$  but **not** rel  $\{0, 1\}$

$f_0 \stackrel{H}{\simeq} f_1 \implies \exists$  path  $\gamma: [0, 1] \rightarrow Y$   
 How?  $\gamma(0) = y_0, \gamma(1) = y_1.$



$$\begin{aligned}
 t &\xrightarrow{\gamma} H(x_0, t): [0, 1] \rightarrow X \\
 \gamma(0) &= H(x_0, 0) = f_0(x_0) = y_0 \\
 \gamma(1) &= H(x_0, 1) = f_1(x_0) = y_1
 \end{aligned}$$



**Theorem.** Let  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  be homotopy equivalences on path connected  $X, Y$ , i.e.,  $g \circ f \simeq \text{id}_X$ ,  $f \circ g \simeq \text{id}_Y$ .

Then  $\pi_1(X, x_0)$ ,  $\pi_1(Y, y_0)$  are isomorphic.

**Proof.**

$$\pi_1(X, ?) \begin{array}{c} \xrightarrow{f\#} \\ \xleftarrow{g\#} \end{array} \pi_1(Y, ?)$$

$$g\# \circ f\# = (g \circ f)\# = (\text{id}_X)\# = \text{id}$$

$\therefore f\#$  1-1 and  $g\#$  onto

up to isomorphism

$$f\# \circ g\# = (f \circ g)\# = (\text{id}_Y)\# = \text{id}$$

$\therefore f\#$  onto and  $g\#$  1-1

Hence, both  $f\#$  and  $g\#$  are isomorphisms.

**Definition.** A subspace  $A \subset X$  is a **retract** of  $X$  if  $\exists$  continuous  $r: X \rightarrow A$  such that  $\forall a \in A, r(a) = a$ ;  $r|_A \equiv \text{id}_A$ .  
The mapping  $r$  is called a **retraction**.

Equivalently,  $A \xrightarrow[\text{inclusion}]{i} X \xrightarrow{r} A, r \circ i \equiv \text{id}_A$

**Example.**  $S^1 \xrightarrow{i} \mathbb{R}^2 \setminus \{0\} \xrightarrow{\varphi} S^1$

**Proposition.**  $r_{\#}$  is surjective,  $i_{\#}$  is injective.

**Definition.** A retract  $A \subset X$  is called a **deformation retract** if  $r \simeq \text{id}_X$

**Example.**  $S^1$  is a deformation retract of  $\mathbb{R}^2 \setminus \{0\}$ ; need to modify  $\varphi$  (**Exercise**)

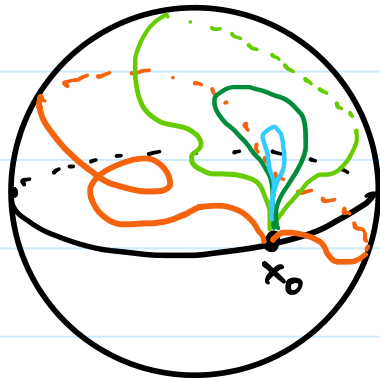
**Theorem.** If  $A \subset X$  is a deformation retract then  $\pi_1(A, a_0) = \pi_1(X, a_0)$  for  $a_0 \in A$

**Proof.**  $r \simeq \text{id}_X \Rightarrow r_{\#} = (\text{id}_X)_{\#} = \text{id}$

up to isomorphism (base pt)

For  $a_0 \in A$  as base point of both  $A, X$ ,  
 $r_{\#} \equiv \text{id}$ .

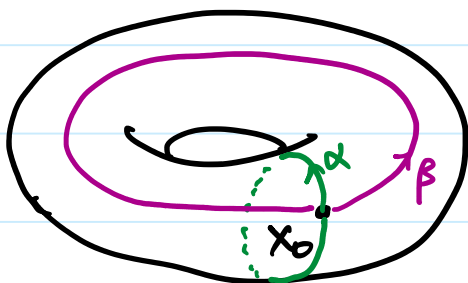
Example.  $\pi_1(S^n) = \begin{cases} (\mathbb{Z}, +) & \text{if } n=1 \\ 1 & \text{if } n \geq 2 \end{cases}$



Rigorous proof uses Van Kampen Theorem and induction  $S^n, S^{n-1}, S^{n-2}, \dots, S^1$

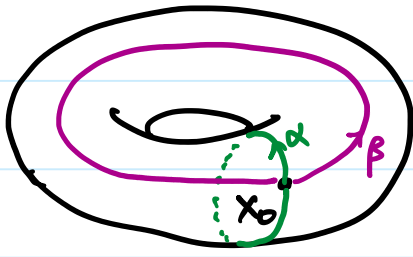
Proposition.  $\pi_1(X \times Y) = \pi_1(X) \times \pi_1(Y)$   
↑  
 direct product

Example.  $\pi_1(\text{Torus}) = (\mathbb{Z} \oplus \mathbb{Z}, +)$   
 $\parallel \qquad \qquad \qquad \parallel$   
 $\pi_1(S^1 \times S^1) = \pi_1(S^1) \times \pi_1(S^1)$



$$\begin{array}{ccc} \pi_1(S^1 \times S^1) & \longrightarrow & \mathbb{Z} \oplus \mathbb{Z} \\ [\alpha] & \longmapsto & (1, 0) \\ [\beta] & \longmapsto & (0, 1) \end{array}$$

Similarly,  $\pi_1(S^1 \times \dots \times S^1) = (\mathbb{Z} \oplus \dots \oplus \mathbb{Z}, +)$

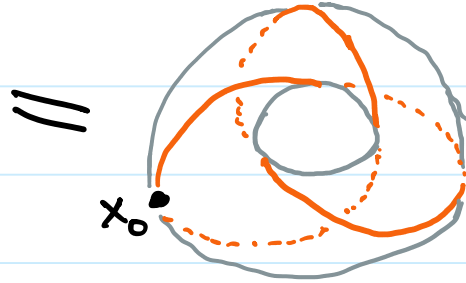
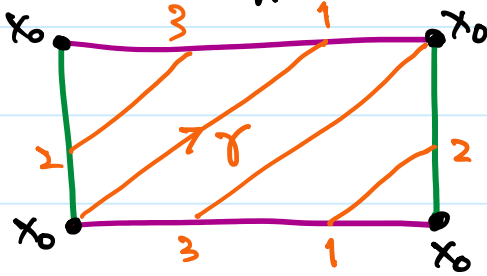


$$\pi_1(S^1 \times S^1) \longrightarrow \mathbb{Z} \oplus \mathbb{Z}$$

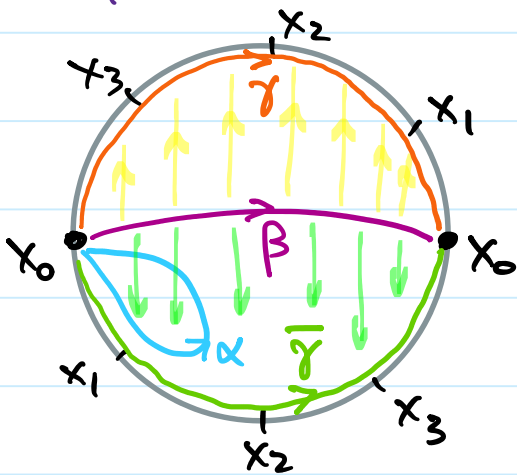
$$[\alpha] \longmapsto (1, 0)$$

$$[\beta] \longmapsto (0, 1)$$

$$[\gamma] \longmapsto (3, 2)$$



Example.  $\pi_1(\mathbb{RP}^2) = (\mathbb{Z}/2, +)$



$$[\alpha] \longmapsto \text{identity}$$

$$\beta \simeq \gamma \text{ and } \beta \simeq \bar{\gamma}$$

$$[\beta] = [\beta]^{-1}$$

$$\iff [\beta]^2 = \text{identity}$$

After showing abelian

$$\{ [\alpha], [\beta] \} \longrightarrow (\{0, 1\}, +)$$

Examples.  $\pi_1(\text{Surface genus} = g)$



$$\left\{ \begin{array}{l} a_1, b_1, a_2, b_2, \dots, a_g, b_g : \\ a_1 b_1 a_1^{-1} b_1^{-1} \dots a_g b_g a_g^{-1} b_g^{-1} = 1 \end{array} \right\}$$