

## Quick revision for the midterm examination

Something you need to remember:

1. Imaging is related to image transformation :

$$\mathcal{O} : \mathcal{I} \rightarrow \mathcal{I} \quad (\mathcal{I} = \text{collection of images})$$

Definition: (Linear image transformation)

$$\mathcal{O} : \mathcal{I} \rightarrow \mathcal{I} \text{ is linear} \Leftrightarrow \mathcal{O}(af + g) = a\mathcal{O}(f) + \mathcal{O}(g) \text{ for } \forall f, g \in \mathcal{I}; \forall a \in \mathbb{R}$$

Let  $g = \mathcal{O}(f)$ .

PSF

$$g(\alpha, \beta) = \sum_{x=1}^n \sum_{y=1}^n f(x, y) \downarrow h(x, \alpha, y, \beta) \quad \text{where}$$

$$h(x, \alpha, y, \beta) = [\mathcal{O}(P_{xy})]_{\alpha, \beta}; P_{xy} = \begin{pmatrix} 0 & \dots & 0 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{pmatrix} \leftarrow x^{th}$$

## Matrix representation:

$$H = \begin{pmatrix} \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=1 \\ \beta=1 \end{array} \right) \end{array} \right) & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=2 \\ \beta=1 \end{array} \right) \end{array} \right) & \cdots & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=N \\ \beta=1 \end{array} \right) \end{array} \right) \\ \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=1 \\ \beta=2 \end{array} \right) \end{array} \right) & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=2 \\ \beta=2 \end{array} \right) \end{array} \right) & \cdots & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=N \\ \beta=2 \end{array} \right) \end{array} \right) \\ \vdots & \vdots & & \vdots \\ \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=1 \\ \beta=N \end{array} \right) \end{array} \right) & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=2 \\ \beta=N \end{array} \right) \end{array} \right) & \cdots & \left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=N \\ \beta=N \end{array} \right) \end{array} \right) \end{pmatrix}$$

$\in M_{N^2 \times N^2}$

Meaning of  
col row of small  
block block col  
of small block of matrix  
 $\downarrow \downarrow \downarrow \downarrow$   
 $h(x, \alpha, y, \beta)$

$$\left( \begin{array}{c} x \rightarrow \\ \alpha \downarrow \left( \begin{array}{c} y=i \\ \beta=j \end{array} \right) \end{array} \right) = \begin{pmatrix} h(1, 1, i, j) & h(2, 1, i, j) & \cdots & h(N, 1, i, j) \\ h(1, 2, i, j) & h(2, 2, i, j) & \cdots & h(N, 2, i, j) \\ \vdots & \vdots & & \vdots \\ h(1, N, i, j) & h(2, N, i, j) & \cdots & h(N, N, i, j) \end{pmatrix} \in M_{N \times N}$$

Definition:  $H$  is called the transformation matrix of  $O$ .

- Shift invariant  $\Leftrightarrow h(x, \alpha, y, \beta) = g(\alpha - x, \beta - y)$
- How to check??
- $$g = e^{-i(\alpha-x)} \cos(\beta-y) \quad (x, y)$$
- $\bullet (\alpha, \beta)$

If  $g$  is periodic in both argument, the matrix representation of the PSF is block-circulant:

e.g.

$$H = \begin{pmatrix} \begin{pmatrix} 1 & 3 \\ 3 & 1 \end{pmatrix} & \begin{pmatrix} 5 & 6 \\ 6 & 5 \end{pmatrix} \\ \begin{pmatrix} 5 & 6 \\ 6 & 5 \end{pmatrix} & \begin{pmatrix} 1 & 3 \\ 3 & 1 \end{pmatrix} \end{pmatrix}$$

If  $g$  is NOT periodic, the matrix representation is block-Toplitz.

Practice midterm 1, 4

What is block-Toplitz??

$$\mathbf{A} = \begin{bmatrix} \mathbf{A}_{(1,1)} & \mathbf{A}_{(1,2)} & & \cdots & \mathbf{A}_{(1,n-1)} & \mathbf{A}_{(1,n)} \\ \mathbf{A}_{(2,1)} & \mathbf{A}_{(1,1)} & \mathbf{A}_{(1,2)} & & & \mathbf{A}_{(1,n-1)} \\ \ddots & \ddots & \ddots & & & \vdots \\ & \mathbf{A}_{(2,1)} & \mathbf{A}_{(1,1)} & \mathbf{A}_{(1,2)} & & \\ \vdots & & \ddots & \ddots & \ddots & \\ \mathbf{A}_{(n-1,1)} & & \mathbf{A}_{(2,1)} & \mathbf{A}_{(1,1)} & \mathbf{A}_{(1,2)} & \\ \mathbf{A}_{(n,1)} & \mathbf{A}_{(n-1,1)} & \cdots & \mathbf{A}_{(2,1)} & \mathbf{A}_{(1,1)} & \mathbf{A}_{(1,1)} \end{bmatrix}$$

← Each block matrix is Toplitz:

$$A = \begin{bmatrix} a_0 & a_{-1} & a_{-2} & \cdots & \cdots & a_{-(n-1)} \\ a_1 & a_0 & a_{-1} & \ddots & & \vdots \\ a_2 & a_1 & \ddots & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & a_{-1} & a_{-2} \\ \vdots & & \ddots & a_1 & a_0 & a_{-1} \\ a_{n-1} & \cdots & \cdots & a_2 & a_1 & a_0 \end{bmatrix}$$

e.g.

$$\begin{pmatrix} \begin{pmatrix} 1 & 3 \\ 2 & 1 \end{pmatrix} & \begin{pmatrix} 2 & 2 \\ 2 & 2 \end{pmatrix} \\ \begin{pmatrix} 5 & 4 \\ 6 & 5 \end{pmatrix} & \begin{pmatrix} 1 & 3 \\ 2 & 1 \end{pmatrix} \end{pmatrix}$$

← Block-Toplitz.

Why?? Consider  $A_{ij} = \begin{pmatrix} x \rightarrow \\ \alpha & \left( \begin{array}{c} y = j \\ \beta = i \end{array} \right) \\ \downarrow \end{pmatrix}$

$$\therefore A_{ij} = \begin{pmatrix} h(1, 1, j, i) & h(2, 1, j, i) & \dots & h(N, 1, j, i) \\ h(1, 2, j, i) & h(2, 2, j, i) & \dots & h(N, 2, j, i) \\ \vdots & \vdots & & \vdots \\ h(1, N, j, i) & h(2, N, j, i) & \dots & h(N, N, j, i) \end{pmatrix}$$

Shift-invariant  $\Leftrightarrow h(x, \alpha, y, \beta) = g(\alpha - x, \beta - y)$  for some  $g$ .

$$\therefore A_{ij} = \begin{pmatrix} g(0, i-j) & g(\cancel{1}, i-j) & \dots & g(\cancel{1}, i-j) \\ g(1, i-j) & g(0, i-j) & \dots & g(\cancel{2}, i-j) \\ \vdots & \vdots & & \vdots \\ g(N-1, i-j) & g(N-2, i-j) & \dots & g(0, i-j) \end{pmatrix} \quad \text{Circulant}$$

(Assume periodic property)

- Shift-invariant  $\Leftrightarrow$  convolution

$$f * g(\alpha, \beta) = \sum_{x=1}^N \sum_{y=1}^N f(x, y) g(\alpha-x, \beta-y)$$

Practice midterm: 2, 3

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- Separable  $h \Leftrightarrow h(x, \alpha, y, \beta) = h_c(x, \alpha) h_r(y, \beta)$

$$\therefore g = h_c^T s = h_c^T f h_r \quad (\text{Matrix form})$$

- If  $H$  is separable:  $H = \begin{pmatrix} g_2(1,1) G_1 & g_2(2,1) G_1 \\ g_2(1,2) G_1 & g_2(2,2) G_1 \end{pmatrix}; G_1 = \begin{pmatrix} g_1(1,1) & g_1(2,1) \\ g_1(1,2) & g_1(2,2) \end{pmatrix}$

Chapter 1 exercise: 10, 12

Image decomposition:

If  $f = A \vec{g} B^T$  where  $A = \left( \begin{array}{c|c|c|c} 1 & 1 & \dots & 1 \\ \vec{a}_1 & \vec{a}_2 & \dots & \vec{a}_N \end{array} \right)$ ;  $B = \left( \begin{array}{c|c|c|c} 1 & 1 & \dots & 1 \\ \vec{b}_1 & \vec{b}_2 & \dots & \vec{b}_N \end{array} \right)$

then:  $f = \sum_{i=1}^N \sum_{j=1}^N g_{ij} \underbrace{\vec{a}_i \vec{b}_j^T}_{\text{elementary images.}}$

• SVD:  $f = U \Sigma V^T$   
diagonal matrix (singular-values)

$$f = \sum_{i=1}^r \Sigma_{ii} \underbrace{\vec{u}_i \vec{v}_i^T}_{\text{where } r = \text{rank}(f)}$$

## How to compute SVD

Let  $A \in M_{m \times n}$  ( $m > n$ )

Step 1: Find eigenvalues  $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$   
and orthonormal eigenvectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$   
of  $A^T A \in M_{n \times n}$  (with  $\|\vec{v}_j\| = 1, j=1, \dots, n$ )

[Recall:  $(A^T A) \vec{v}_j = \lambda_j \vec{v}_j$ ]

Step 2: Define:  $\Sigma = \begin{pmatrix} \sqrt{\lambda_1} & & & \\ & \sqrt{\lambda_2} & & \\ & & \ddots & \\ & & & \sqrt{\lambda_n} \\ & & & 0 \end{pmatrix} \in M_{m \times n}$

Add zero rows if  $m > n$

Step 3: For non-zero  $\sigma_1, \sigma_2, \dots, \sigma_r$ ,  
let  $\vec{u}_1 = \frac{A \vec{v}_1}{\sigma_1}, \vec{u}_2 = \frac{A \vec{v}_2}{\sigma_2}, \dots, \vec{u}_r = \frac{A \vec{v}_r}{\sigma_r}$

Step 4: Extend  $\{\vec{u}_1, \dots, \vec{u}_r\}$  to the basis  
 $\{\vec{u}_1, \dots, \vec{u}_r, \dots, \vec{u}_m\}$  of  $\mathbb{R}^m$ .

Step 5: Let :

$$U = \begin{pmatrix} \vec{u}_1 & \vec{u}_2 & \dots & \vec{u}_m \end{pmatrix} \in M_{m \times m}$$

$$V = \begin{pmatrix} \vec{v}_1 & \vec{v}_2 & \dots & \vec{v}_n \end{pmatrix} \in M_{n \times n}$$

Then:  $A = U \Sigma V^T$

## Error of the approximation by SVD

Theorem: Let  $f = \sum_{j=1}^r \sigma_j \vec{u}_j \vec{v}_j^T$  be the SVD of a  $M \times N$  image  $f$ . For any  $k < r$ ,

and  $f_k = \sum_{j=1}^k \sigma_j \vec{u}_j \vec{v}_j^T$ , we have:  $\|f - f_k\|_F^2 = \sum_{i=k+1}^r \sigma_i^2$

Practice midterm = 7

$$f_k = U \begin{pmatrix} \sigma_1 & & & \\ & \sigma_2 & & \\ & & \ddots & \\ & & & \sigma_k & 0 & \cdots & 0 \end{pmatrix} V^T$$

## Haar transformation

Definition: (Haar functions) The Haar functions are defined recursively as follows

$$H_0(t) = \begin{cases} 1 & \text{if } 0 \leq t < 1 \\ 0 & \text{elsewhere.} \end{cases}$$

$$H_1(t) = \begin{cases} 1 & \text{if } 0 \leq t < \frac{1}{2} \\ -1 & \text{if } \frac{1}{2} \leq t < 1 \\ 0 & \text{elsewhere} \end{cases}$$

$$H_{2^p+n} = \begin{cases} \sqrt{2}^p & \text{if } \frac{n}{2^p} \leq t < \frac{n+0.5}{2^p} \\ -\sqrt{2}^p & \text{if } \frac{n+0.5}{2^p} \leq t < \frac{n+1}{2^p} \\ 0 & \text{elsewhere} \end{cases}$$

where  $p=1, 2, \dots$ ;  $n=0, 1, 2, \dots, 2^p-1$

The Haar Transform of a  $N \times N$  image is done by dividing  $[0, 1]$  into partitions.

$$\xrightarrow{\text{---} \quad \frac{1}{N} \quad \frac{2}{N} \quad \dots \quad \frac{N-1}{N} \quad \dots \quad \frac{N}{N}}$$

Let  $H(k, i) = H_k(\frac{i}{N})$  where  $k, i = 0, 1, 2, \dots, N-1$ .

We obtain the Haar Transform matrix:  $\tilde{H} \equiv \frac{1}{\sqrt{N}} H$  where  $H \equiv (H(k, i))_{0 \leq k, i \leq N-1}$

The Haar Transform of  $f \in M_{N \times N}$  is defined as:

$$g = \tilde{H} f \tilde{H}^T$$

$$\tilde{H}^T \tilde{H} = \tilde{H} \tilde{H}^T = I$$

## Elementary images under Haar transform:

Using Haar transform,  $f$  can be written as:

$$f = \tilde{H}^T g \tilde{H}$$

$\underbrace{\qquad\qquad}_{\text{transformed image}}$

Let  $\tilde{H} = \begin{pmatrix} -\hat{h}_1^T & & \\ -\hat{h}_2^T & \ddots & \\ \vdots & & \\ -\hat{h}_N^T & & \end{pmatrix}$ . Then:  $f = \sum_{i=1}^N \sum_{j=1}^N g_{ij} \begin{pmatrix} \hat{h}_i & \xrightarrow{\rightarrow} \hat{h}_j \\ \text{"H"} & \\ I_{ij} & \end{pmatrix}$

$I_{ij}^T$  = elementary images under Haar Transform.

Practice midterm: 8

Definition: (Walsh function) The Walsh functions are defined recursively by:

$$W_{2j+q}(t) \equiv (-1)^{L\frac{j}{2}+q} \{ W_j(2t) + (-1)^{j+q} W_j(2t-1) \}$$

where  $L\frac{j}{2}$  = biggest integer smaller than or equal to  $\frac{j}{2}$ .

$q = 0$  or  $1$ ,  $j = 0, 1, 2, \dots$  and

$$W_0(t) = \begin{cases} 1 & 0 \leq t < 1 \\ 0 & \text{elsewhere} \end{cases}$$

The Walsh Transform of a  $N \times N$  image is defined as follows.

Define  $W(k, i) \equiv W_k(\frac{i}{N})$  where  $k, i = 0, 1, 2, \dots, N-1$ .

The Walsh transform matrix is:  $\tilde{W} \equiv \frac{1}{\sqrt{N}} W$  where  $W \equiv (W(k, i))_{0 \leq k, i \leq N-1}$

The Walsh transform of  $f \in M_{n \times n}$  is defined as:

$$g = \tilde{W} f \tilde{W}^T$$

$$\tilde{W}^T \tilde{W} = I = \tilde{W} \tilde{W}^T$$

## Elementary images under Walsh transform:

Under Walsh Transform,  $f = \tilde{W}^T g \tilde{W}$ .

Then:  $f = \sum_{i=1}^N \sum_{j=1}^N g_{ij} \tilde{W}_i \tilde{W}_j^T$  where  $\tilde{W} = \begin{pmatrix} -\tilde{w}_1^T \\ -\tilde{w}_2^T \\ \vdots \\ -\tilde{w}_N^T \end{pmatrix}$

$I_{ij}^W$  = elementary images under Walsh transform.

Practice midterm = 9, 10.

- DFT

- The 2D DFT of a  $M \times N$  image  $g = (g(k, l))_{k,l}$ , where  $0 \leq k \leq M-1$ ,  $0 \leq l \leq N-1$  is defined as:

$$\hat{g}(m, n) = \frac{1}{MN} \sum_{k=0}^{M-1} \sum_{l=0}^{N-1} g(k, l) e^{-j2\pi \left( \frac{km}{M} + \frac{ln}{N} \right)}$$

$e^{j\theta} = \cos\theta + j\sin\theta$   
 $\sqrt{F}$

- The inverse of DFT is given by:

$$g(p, q) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} \hat{g}(m, n) e^{j2\pi \left( \frac{pm}{M} + \frac{qn}{N} \right)}$$

(no  $\frac{1}{Mn}!$ )      DFT of  $g$       (no -ve sign)

- Define  $U_{kl} = \frac{1}{N} e^{-j \frac{2\pi k l}{N}}$  where  $0 \leq k, l \leq N-1$  and  $U = (U_{kl})_{0 \leq k, l \leq N-1} \in M_{N \times N}$

$U$  is clearly symmetric and also :

$$\hat{g} = U g U \quad (\text{DFT in matrix form})$$

{

Image decomposition

has special structure  $\rightarrow$  allow FFT.

$$U U^* = U^* U = \frac{1}{N} I$$

- DFT of  $g * w(p, q) = MN \text{DFT}(g)(p, q) \text{DFT}(w)(p, q)$

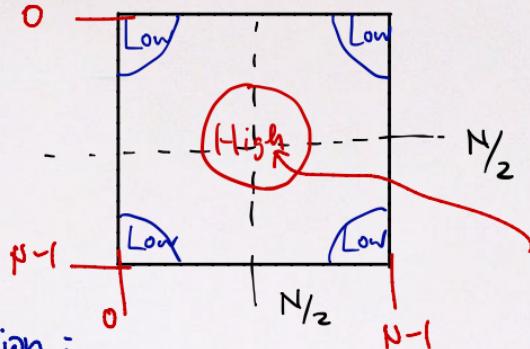
Easier to handle convolution in the frequency domain

Practice midterm :

11, 12



- Make sure you can understand why :



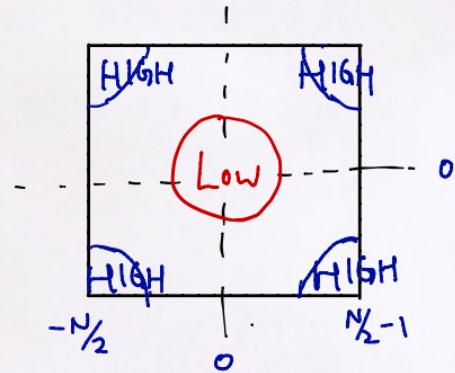
- After centralization :

(Lecture 7 material)

$$e^{j \frac{2\pi}{N} \left[ \left(\frac{N}{2}\right)k + \left(\frac{N}{2}\right)l \right]}$$

$$= (-1)^{k+l}$$

highest frequency !!



Example: Reconstruct an image from  $DFT(I)$  using only 3 frequencies closest to 0

$$I = (I(m,n))_{0 \leq m,n \leq 3}$$

After centralization:

a	b	c	d	a	b	c	d	-4
e	f	g	h	e	f	g	h	-3
i	j	k	l	i	j	k	l	-2
m	n	o	p	m	n	o	p	-1
a	b	c	d	(a b c d)				0
e	f	g	h	e f g h				1
i	j	k	l	i j k l				2
m	n	o	p	m n o p				3
-4	-3	-2	-1	0	1	2	3	

Distance of from  $(0,0) = \sqrt{m^2+n^2} = 0$   
 Distance of from  $(0,0) = \sqrt{m^2+n^2} = 1$   
 Distance of from  $(0,0) = \sqrt{m^2+n^2} = \sqrt{2}$   
 $\therefore$  We keep

Do Practice midterm: 11. For further understanding, 12, 13, 14.

Three commonly used filter:

1 Ideal low pass filter (ILPF):

$$H(u,v) = \begin{cases} 1 & \text{if } D(u,v) := u^2 + v^2 \leq D_0^2 \\ 0 & \text{if } D(u,v) > D_0^2 \end{cases} \quad (\text{Ringing})$$

2. Butterworth low-pass filter (BLPF) of order  $n$  ( $n \geq 1$  integer):

$$H(u,v) = \frac{1}{1 + (D(u,v)/D_0)^2}^n \quad (\text{No visible ringing})$$

3. Gaussian low-pass filter

$$H(u,v) = \exp\left(-\frac{D(u,v)}{2\sigma^2}\right) \quad (\text{No visible ringing})$$

$\sigma'$  = spread of the Gaussian function

## Examples for high-pass filtering for feature extraction

1. Ideal high-pass filter: (IHPF)

$$H(u,v) = \begin{cases} 0 & \text{if } D(u,v) \leq D_0^2 \\ 1 & \text{if } D(u,v) > D_0^2 \end{cases}$$

Bad: Produce ringing

2. Butterworth high-pass filter:

$$H(u,v) = \frac{1}{1 + \left(\frac{D_0}{D(u,v)}\right)^n} \quad (H(u,v) = 0 \text{ if } D(u,v) = 0)$$

Choose the right n

Good: Less ringing

3. Gaussian high-pass filter

$$H(u,v) = 1 - e^{-\left(\frac{D(u,v)}{2\sigma^2}\right)}$$

Good: No visible ringing!