

Pushdown automata

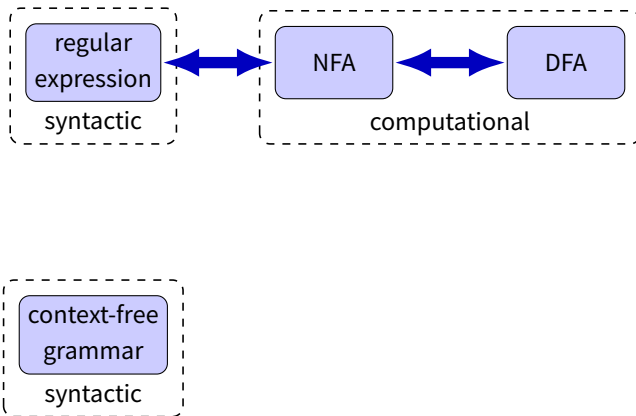
CSCI 3130 Formal Languages and Automata Theory

Siu On CHAN

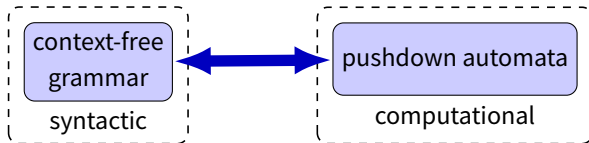
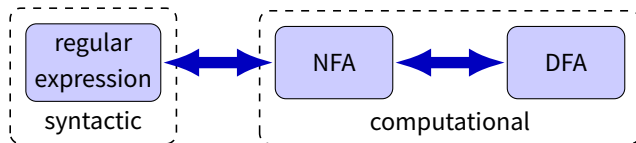
Chinese University of Hong Kong

Fall 2016

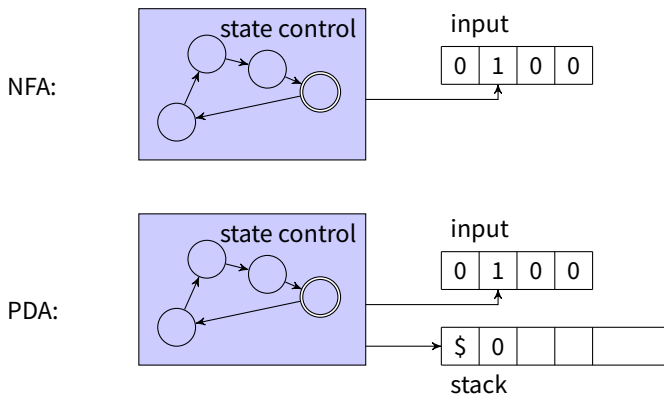
Syntax vs computation



Syntax vs computation

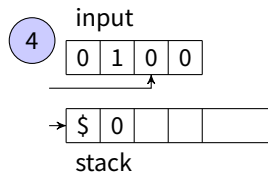
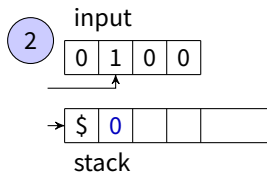
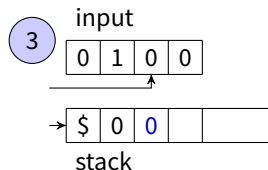
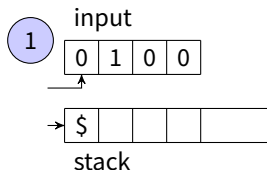


NFA vs pushdown automaton



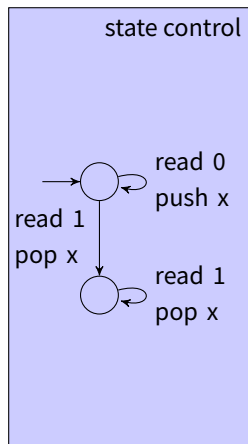
A pushdown automaton (PDA) is like an NFA but with an infinite **stack**

Pushdown automata



As the PDA reads the input, it can **push/pop** symbols
from the **top of the stack**

Building a PDA



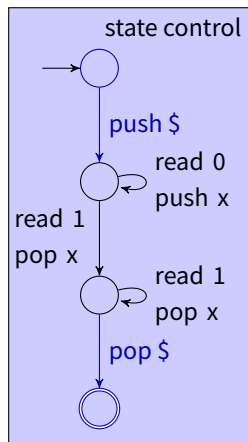
$$L = \{0^n 1^n \mid n \geq 1\}$$

Remember each 0 by **pushing** x onto the stack

Upon reading a 1, **pop** x from the stack

We want to accept when we hit the stack bottom

Building a PDA



$$L = \{0^n 1^n \mid n \geq 1\}$$

Remember each 0 by **pushing** x onto the stack

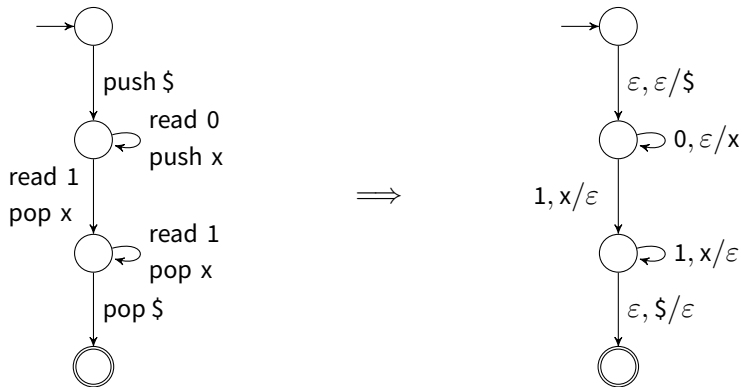
Upon reading a 1, **pop** x from the stack

We want to accept when we hit the stack bottom

Use \$ to mark the stack bottom

Example input: 000111

Notation for PDAs



read a , pop b / push c

If next symbol is a and top of stack is b , may pop b and push c

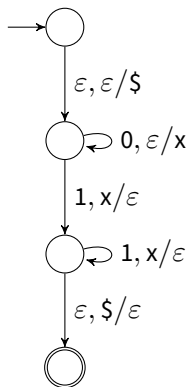
Definition of PDA

A pushdown automaton is $(Q, \Sigma, \Gamma, \delta, q_0, F)$ where:

- ▶ Q is a finite set of **states**
- ▶ Σ is the **input alphabet**
- ▶ Γ is the **stack alphabet**
- ▶ $q_0 \in Q$ is the **initial state**
- ▶ $F \subseteq Q$ is the set of **accepting states**
- ▶ δ is the **transition function**

$$\delta : \underset{\text{state}}{Q} \times \underset{\text{input symbol}}{(\Sigma \cup \{\varepsilon\})} \times \underset{\text{pop symbol}}{(\Gamma \cup \{\varepsilon\})} \rightarrow \text{subsets of } \left\{ \underset{\text{state}}{Q} \times \underset{\text{push symbol}}{(\Gamma \cup \{\varepsilon\})} \right\}$$

Example



$$\Sigma = \{0, 1\}$$

$$\Gamma = \{\$, x\}$$

$$\delta(q_0, \varepsilon, \varepsilon) = \{(q_1, \$)\}$$

$$\delta(q_0, \varepsilon, \$) = \emptyset$$

$$\delta(q_0, \varepsilon, x) = \emptyset$$

$$\delta(q_0, 0, \varepsilon) = \emptyset$$

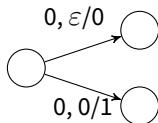
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$$\delta : \underset{\text{state}}{Q} \times \underset{\text{input symbol}}{(\Sigma \cup \{\varepsilon\})} \times \underset{\text{pop symbol}}{(\Gamma \cup \{\varepsilon\})} \rightarrow \text{subsets of } \left\{ \underset{\text{state}}{Q} \times \underset{\text{push symbol}}{(\Gamma \cup \{\varepsilon\})} \right\}$$

The language of PDA

A PDA is **nondeterministic**

multiple possible transitions on same input/pop symbol allowed



Transitions **may** but **do not have to** push or pop

The **language** of a PDA is the set of all strings in Σ^* that can lead the PDA to an accepting state

Example 1

$$L = \{w\#w^R \mid w \in \{0,1\}^*\}$$

$\#, 0\#0, 01\#10$ in L

$\varepsilon, 01\#1, 0\#\#0$ not in L

$$\Sigma = \{0, 1, \#\}$$

$$\Gamma = \{0, 1, \$\}$$

Example 1

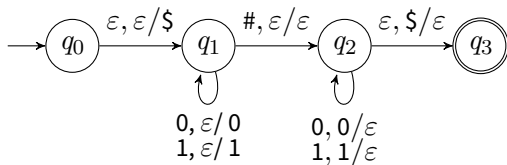
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$\#, 0\#0, 01\#10$ in L

$\varepsilon, 01\#1, 0\#\#0$ not in L



write w on stack

read w from stack

Example 2

$$L = \{ww^R \mid w \in \Sigma^*\}$$

$\varepsilon, 00, 0110$ in L

$011, 010$ not in L

$$\Sigma = \{0, 1\}$$

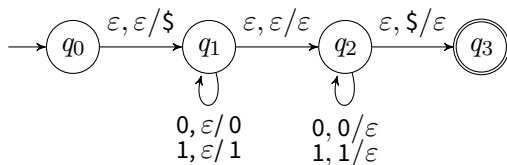
Example 2

$$L = \{ww^R \mid w \in \Sigma^*\}$$

$$\Sigma = \{0, 1\}$$

$\epsilon, 00, 0110$ in L

$011, 010$ not in L



guess middle of string

Example 3

$$L = \{w \in \Sigma^* \mid w = w^R\}$$

$\varepsilon, 00, 010, 0110$ in L

011 not in L

$$\Sigma = \{0, 1\}$$

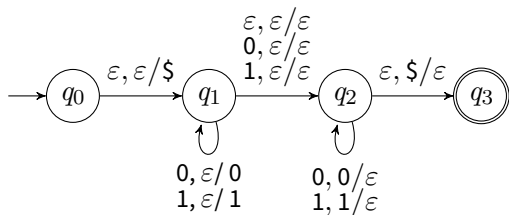
Example 3

$$L = \{w \in \Sigma^* \mid w = w^R\}$$

$$\Sigma = \{0, 1\}$$

$\epsilon, 00, 010, 0110$ in L

011 not in L



middle symbol can be $\epsilon, 0$, or 1

$$\underbrace{0010}_x \underbrace{0100}_{x^R} \quad \text{or} \quad \underbrace{0010}_x 1 \underbrace{0100}_{x^R}$$

Example 4

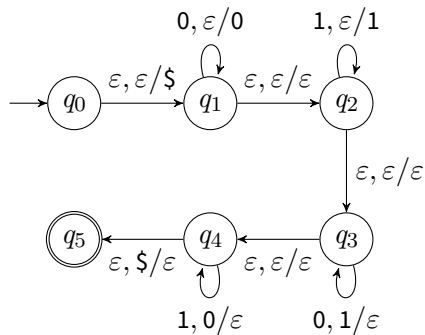
$$L = \{0^n 1^m 0^m 1^n \mid n \geq 0, m \geq 0\}$$

$$\Sigma = \{0, 1\}$$

Example 4

$$L = \{0^n 1^m 0^m 1^n \mid n \geq 0, m \geq 0\}$$

$$\Sigma = \{0, 1\}$$



input: $0^n 1^m 0^m 1^n$

stack: $0^n 1^m$

Example 5

$L =$ same number of 0s and 1s

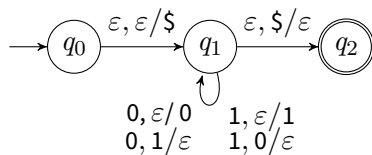
$$\Sigma = \{0, 1\}$$

Example 5

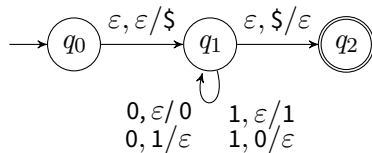
$L =$ same number of 0s and 1s

$$\Sigma = \{0, 1\}$$

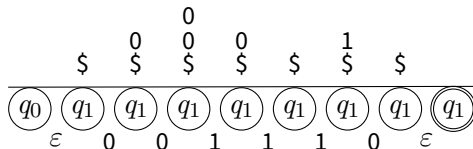
Keep track of **excess** of 0s or 1s
If at the end, the stack is empty, number is equal



Example 5



Example input: 001110

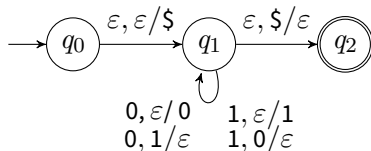


Why does the PDA work?

Example 5

$L =$ same number of 0s and 1s

$\Sigma = \{0, 1\}$



Invariant: In every execution path,
 $\#1 - \#0$ on stack = actual $\#1 - \#0$ so far

If $w \notin L$, it must be rejected

Property: In some execution path,
stack consists only of 0s or 1s (or is empty)

If $w \in L$, some execution path will accept