Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

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Abstract

Let P be a set of n points in \mathbb{R}^d where each point $p \in P$ carries a *weight* drawn from a commutative monoid $(\mathcal{M}, +, 0)$. Given a *d*-rectangle r_{upd} (i.e., an orthogonal rectangle in \mathbb{R}^d) and a value $\Delta \in \mathcal{M}$, a range update adds Δ to the weight of every point $p \in P \cap r_{upd}$; given a d-rectangle r_{qry} , a range 10 sum query returns the total weight of the points in $P \cap r_{qry}$. The goal is to store P in a structure to 11 support updates and queries with attractive performance guarantees. We describe a structure of $\hat{O}(n)$ 12 space that handles an update in $\tilde{O}(T_{upd})$ time and a query in $\tilde{O}(T_{qry})$ time for arbitrary functions 13 $T_{\text{upd}}(n)$ and $T_{\text{qry}}(n)$ satisfying $T_{\text{upd}} \cdot T_{\text{qry}} = n$. The result holds for any fixed dimensionality $d \geq 2$. 14 Our query-update tradeoff is tight up to a polylog factor subject to the OMv-conjecture. 15 2012 ACM Subject Classification Theory of computation \rightarrow Data structures design and analysis 16

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1 Introduction 20

This paper studies range sum queries on multidimensional points where the point weights 21 are drawn from a commutative monoid and can be modified by range updates. Specifically, 22 let P be a set of n points in \mathbb{R}^d for some constant $d \geq 1$. Denote by $(\mathcal{M}, +, 0)$ an arbitrary 23 commutative monoid¹ where each element in \mathcal{M} is called a *weight*. Each point $p \in P$ carries 24 a weight $w(p) \in \mathcal{M}$; initially, the weights are 0 for all the points. We want to store P in a 25 data structure to support two operations with attractive performance guarantees: 26

Range (sum) query: given a d-rectangle² r_{qry} , the query returns the total weight of all 27 the points $p \in P \cap r_{arv}$ (where sum is defined using the monoid's operator +); 28

Range update: given a d-rectangle r_{upd} and a weight $\Delta \in \mathcal{M}$, the update adds Δ to the 29 weight of every point $p \in P \cap r_{upd}$. 30

We will refer to the above as the "range sum with range updates" (RSRU) problem. Our 31 complexity analysis assumes the standard unit-cost RAM model and holds on all commutative 32 monoids $(\mathcal{M}, +, 0)$ satisfying: (i) each weight $w \in \mathcal{M}$ can be stored in one word, and (ii) 33 $w_1 + w_2$ can be computed in constant time for any $w_1, w_2 \in \mathcal{M}$. 34

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A commutative monoid $(\mathcal{M}, +, 0)$ is defined by a set \mathcal{M} , an operator $+: \mathcal{M} \times \mathcal{M} \to \mathcal{M}$ obeying associativity and commutativity, and an identity element $0 \in \mathcal{M}$ satisfying 0 + w = w for every $w \in \mathcal{M}$. Defined as $[a_1, b_1] \times \ldots \times [a_d, b_d]$.

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14:2 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

35 1.1 Previous Results

³⁶ Supporting range queries and range updates has important implications in geographical
 ³⁷ information systems (GIS), online analytical processing (OLAP), and database management
 ³⁸ systems (DBMS); the reader may refer to [16, 19, 22, 24] for the relevant applications.

For d = 1, the RSRU problem admits a folklore structure³ of O(n) space that supports each query and update in $O(\log n)$ time. The problems become rather challenging as soon as d reaches 2. For any $d \ge 2$, the standard range tree [2,10] uses $\tilde{O}(n)$ space and answers a query in $\tilde{O}(1)$ time (throughout the paper, the notation $\tilde{O}(.)$ suppresses a polylog n factor). It also supports a "point update" — an update whose rectangle $r_{\rm upd}$ degenerates into a point $\tilde{O}(1)$ time. Given an update with an arbitrary $r_{\rm upd}$, however, the range tree issues a point update for each $p \in P \cap r_{\rm upd}$ and thus can incur a cost of $\tilde{O}(n)$.

For $d \ge 2$, Lau and Ritossa [19] developed an O(n)-space structure that supports each query and update in $\tilde{O}(n^{1-1/d})$ time. They also showed a connection to the *OMvconjecture* [12], which has been widely utilized to characterize the hardness of problems involving dynamic data structures [1, 3-9, 11, 13-15, 17, 18, 20, 21, 23]:

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In online matrix-vector multiplication (OMv), an algorithm A is allowed to preprocess an $n \times n$ boolean matrix \mathbf{M} in poly(n) time and then, in the online phase, needs to compute $\mathbf{M}\boldsymbol{v}_i$ for $n \times 1$ boolean vectors $\boldsymbol{v}_1, ..., \boldsymbol{v}_n$ (additions and multiplications are as in the boolean semi-ring). The vectors are supplied in succession, i.e., \boldsymbol{v}_{i+1} arrives only after A has output $\mathbf{M}\boldsymbol{v}_i$. The cost of A is the total time it spends in the online phase. The OMv-conjecture states that no algorithm can guarantee a cost of $O(n^{3-\delta})$ no matter how small the constant $\delta > 0$ is.

For d = 2, Lau and Ritossa [19] proved that, subject to the OMv-conjecture, no structure with update time T_{upd} and query time T_{qry} can guarantee max{ T_{upd}, T_{qry} } = $O(n^{1/2-\delta})$, regardless of the constant $\delta > 0$. Hence, their aforementioned structure can no longer be improved significantly in 2D space.

The results of [19] leave two intriguing questions. First, the hardness result does not shed 55 much light on the *tradeoff* between T_{upd} and T_{qry} . For example, if we insist on $T_{\text{qry}} = O(1)$, 56 is it possible to improve the update cost $\tilde{O}(n)$ of the range tree by a polynomial factor? 57 Conversely, if T_{upd} must be $\tilde{O}(1)$, what is the best query time achievable? As yet another 58 example, can we hope to obtain $T_{upd} = \tilde{O}(n^{0.5})$ and $T_{qry} = \tilde{O}(n^{0.49})$, thereby improving only 59 the query time of [19] polynomially? The second question concerns the scenario of $d \geq 3$, 60 where there remains a large gap between the upper and (conditional) lower bounds of [19]. 61 We will answer all these questions in this paper. 62

The RSRU problem has a degenerated array version that has received special attention. In 63 that version, $P := [m]^d$ where $m \ge 1$ is an integer (given an integer $x \ge 1$, [x] represents the 64 set $\{1, 2, ..., x\}$). In other words, P has exactly $n = m^d$ points, and each point's coordinate 65 is an integer in [m] on every dimension; equivalently, P can be regarded as a d-dimensional 66 array. This RSRU variant can be settled by a structure of O(n) space that supports a query 67 and an update both in $O(\log^{d+1} n)$ time [24]. Furthermore, if the monoid is multiplicative⁴, 68 the query and update time can be reduced to $O(\log^d n)$ [24]; see also [16,22] for (array-RSRU) 69 structures designed for the monoid $(\mathbb{R}, +, 0)$ (that is, each weight is a real value). 70

³ https://cp-algorithms.com/data_structures/segment_tree.html.

⁴ A monoid $(\mathcal{M}, +, 0)$ is *multiplicative* if, for any weight $w \in \mathcal{M}$ and any integer $c \geq 1$, $c \cdot w := w + w + \dots + w$ can be calculated in constant time.

Space	Update, Query	Ref	Remark
$\tilde{O}(n)$	$\tilde{O}(n), \tilde{O}(1)$	[2]	$d \ge 2$
O(n)	$ ilde{O}(\sqrt{n}), ilde{O}(\sqrt{n})$	[19]	d = 2
O(n)	$\tilde{O}(n^{1-1/d}), \tilde{O}(n^{1-1/d})$	[19]	$d \ge 3$
$\tilde{O}(n)$	any $\tilde{O}(T_{\rm upd}), \tilde{O}(T_{\rm qry})$	this paper	$d \ge 2$
	satisfying $T_{\rm upd} \cdot T_{\rm qry} = n$		
_	$\max\{T_{\text{upd}}, T_{\text{qry}}\} = O(n^{1/2-\delta})$	[19]	monoid $(\mathbb{R}, +, 0), d = 2$
	impossible		cond. on OMv-conjecture
_	$O(n^a), O(n^b)$ with $a + b < 1$	this paper	monoid $(\mathbb{R}, +, 0), d = 2$
	impossible $(a, b \text{ are constants})$		cond. on OMv-conjecture

Table 1 A comparison of our and previous results on the RSRU problem

71 1.2 New Results

For the RSRU problem, we establish a smooth trade-off between the update and query time under fixed dimensions $d \ge 2$:

Theorem 1. For the RSRU problem, there is a structure of $\tilde{O}(n)$ space that supports an update in $\tilde{O}(T_{upd})$ time and a query in $\tilde{O}(T_{qry})$ time for arbitrary functions $T_{upd}(n) \ge 1$ and $T_{qry}(n) \ge 1$ satisfying $T_{upd} \cdot T_{qry} = n$. The result holds for any constant dimension $d \ge 2$.

By setting $T_{\rm upd} = T_{\rm qry} = \sqrt{n}$, we obtain a structure of $\tilde{O}(n)$ space that handles an 77 update/query in $O(\sqrt{n})$ time for any d. Compared to [19], for d = 2 we obtain the same 78 update and query time (up to a polylog factor), whereas for $d \geq 3$ our update and query time 79 is better by a polynomial factor. The theorem, interestingly, also captures the range tree as 80 a special case with $T_{upd} = n$ and $T_{qry} = 1$. By adjusting T_{upd} and T_{qry} , one can obtain a 81 series of structures with different update-query tradeoffs that were not known previously. 82 Our structures are drastically different from the ones in [19] and do not deteriorate with d83 (ignoring polylog factors). 84

We further prove that Theorem 1 is nearly tight subject to the OMv-conjecture.

Theorem 2. Consider the RSRU problem defined on d = 2 and the monoid $(\mathbb{R}, +, 0)$. Fix any constant c satisfying $0 \le c < 1$ and an arbitrarily small constant $\delta > 0$. Subject to the OMv-conjecture, the following holds for any structure constructible in poly(n) time:

⁸⁹ if the update time $T_{upd} = O(n^c)$, then the query time T_{arv} cannot be $O(n^{1-c-\delta})$;

90 if $T_{qry} = O(n^c)$, then T_{upd} cannot be $O(n^{1-c-\delta})$.

The above clearly implies the impossibility of $\max\{T_{\rm upd}, T_{\rm qry}\} = O(n^{1/2-\delta})$, as was already proved in [19]. On the other hand, our conditional lower bounds are much more informative; for example, they reveal, somewhat unexpectedly, the range tree — with $T_{\rm qry} = \tilde{O}(1)$ and $T_{\rm upd} = \tilde{O}(n)$ — can no longer be improved significantly without breaking the OMv-conjecture. Putting together Theorems 1 and 2, we now have a complete picture on the query-update tradeoff achievable for the RSRU problem under any fixed dimension up to a sub-polynomial factor. Table 1 summarizes the comparison of our and previous results.

1.3 New Techniques

⁹⁹ Our structures stem from a new observation on the inherent characteristics of the RSRU ¹⁰⁰ problem. The observation, described below, is interesting in its own right and illustrates ¹⁰¹ what separates the RSRU problem from its array variant (defined in Section 1.1).

14:4 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

For any point $p \in \mathbb{R}^d$, we use p[i] $(i \in [d])$ to represent its coordinate on dimension i. Similarly, given a *d*-rectangle $r := [a_1, b_1] \times ... \times [a_d, b_d]$, we use r[i] to represent its *i*-th projection $[a_i, b_i]$. Given a subset $S \subseteq [d]$, we define an *S*-rectangle r as a *d*-rectangle where $r[i] := (-\infty, \infty)$ for every $i \in [d] \setminus S$, namely, r can have a bounded range r[i] only on the dimensions $i \in S$.

Given an update with rectangle r_{upd} and some weight, we call it a *U*-update for some $U \subseteq [d]$ if r_{upd} is a *U*-rectangle. Likewise, given a query with rectangle r_{qry} , we call it a Q-query for some $Q \subseteq [d]$ if r_{qry} is a *Q*-rectangle.

▶ Definition 3. Fix two (possibly overlapping) subsets U and Q of [d]. A (U,Q)-structure is a structure that supports only U-updates and Q-queries.

Our objective in the RSRU problem is to design a ([d], [d])-structure. We are now ready to state our characteristic observation:

▶ Theorem 4. For the RSRU problem, suppose that, given any <u>disjoint</u> $U \subseteq [d]$ and $Q \subseteq [d]$, there is a (U,Q)-structure of $\tilde{O}(n)$ space that guarantees update time T_{upd} and query time T_{qry} . Then, there is a ([d], [d])-structure of $\tilde{O}(n)$ space that handles an update in $O(T_{upd} \cdot \log^d n)$ time and a query in $O(T_{qry} \cdot \log^d n)$ time.

The theorem indicates that the core of RSRU lies in dealing with updates and queries 118 that concern *disjoint* sets of dimensions. For example, in 2D space, the core boils down to 119 supporting $U = \{1\}$ and $Q = \{2\}$, namely, every update rectangle r_{upd} is a vertical slab 120 while every query rectangle r_{qry} is a horizontal slab. Interestingly, this is precisely what 121 separates general RSRU from its array variant. As we will see, when P is a 2D array, there is 122 a trivial (U,Q)-structure of O(1) space ensuring $O(\log n)$ update and query time (the time 123 can even be reduced to O(1) if the monoid is multiplicative); in contrast, when P is a generic 124 set of Euclidean points, the hardness in Theorem 2 applies! 125

Theorem 4 has yet another notable implication: it "trivializes" the array version of RSRU and allows us to recover all the existing results from [16, 22, 24] (reviewed in Section 1.1) with a simple structure. The details can be found in Appendix A.

2 A Dimension Elimination Technique

This section is devoted to proving Theorem 4. Our strategy is to incrementally remove a common dimension of U and Q until the two dimension sets become disjoint, at which point we can apply the U-Q disjoint structure stated in the theorem's assumption statement. The core is to establish the following lemma.

▶ Lemma 5. Consider any overlapping subsets U and Q of [d]. Let $i \in [d]$ be an arbitrary dimension in $U \cap Q$. Suppose that we have a $(U \setminus \{i\}, Q)$ -structure and a $(U, Q \setminus \{i\})$ -structure both of which use $O(n \log^c n)$ space (where $c \ge 0$ is a constant) and support an update in $O(T_{upd})$ time and a query in $O(T_{qry})$ time. Then, there is a (U, Q)-structure of $O(n \log^{c+1} n)$ space that handles an update in $O(T_{upd} \log n)$ time and a query in $O(T_{qry} \log n)$ time.

¹³⁹ Before proving the lemma, let us first see how it leads to Theorem 4.

Proof of Theorem 4. We will establish a more general claim: fix any integer $k \in [0, d]$; for any subsets U and Q of [d] such that $|U \cap Q| = k$, there is a (U, Q)-structure of $\tilde{O}(n)$ space that guarantees update and query time $O(T_{upd} \log^k n)$ and $O(T_{qry} \log^k n)$, respectively. When k = 0, U and Q are disjoint and the claim directly follows from the theorem's assumption.

S. Lu and Y. Tao

Next, we will prove the claim for $k = k_0 + 1$, assuming the claim's correctness on $k = k_0 \ge 0$. 144 Identify an arbitrary $i \in U \cap Q$; i must exist because $|U \cap Q| = k_0 + 1 \ge 1$. By the inductive 145 assumption, there exist a $(U \setminus \{i\}, Q)$ -structure and a $(U, Q \setminus \{i\})$ -structure, both of which 146 use $\tilde{O}(n)$ space and ensure update time $O(T_{upd} \log^{k_0} n)$ and query time $O(T_{qry} \log^{k_0} n)$. We 147 now apply Lemma 5 to obtain a (U, Q)-structure of $\tilde{O}(n)$ space with update and query time 148 $O(T_{upd} \log^{k_0+1} n)$ and $O(T_{arv} \log^{k_0+1} n)$ time, respectively. This completes the proof. 149 The rest of the section serves as a proof of Lemma 5. Section 2.1 will describe our 150 structure as well as the update and query algorithms. Section 2.2 will present our analysis. 151

Basic Notations and Concepts. Let U and Q be the dimension sets in Lemma 5. Assume, w.l.o.g., that the value i in the lemma is 1, i.e., $1 \in U \cap Q$. For convenience, we will refer to dimension 1 as the "x-dimension". Accordingly, given a point $p \in \mathbb{R}^d$, its "x-coordinate" is p[1]. We will represent an update as (r_{upd}, Δ) , where r_{upd} is a d-rectangle and Δ is a weight in \mathcal{M} ; recall that the update adds Δ to the weight of every point $p \in P \cap r_{upd}$. We will use $r_{upd}[2:d]$ to denote the projection of r_{upd} onto dimensions 2, 3, ..., d, namely, $r_{upd}[2:d]$ is a (d-1)-dimensional rectangle.

Given a set S of n real values, a binary search tree (BST) on S is a binary tree \mathcal{T} such that (i) \mathcal{T} has height $O(\log n)$, (ii) \mathcal{T} has n leaves each storing a different value in S as its key, (iii) every internal node has two children, (iv) for each internal node, the elements of Sin its left subtree are strictly less than those in its right subtree, and (v) each internal node stores a key, which is the smallest element of S in its right subtree. For each leaf/internal node u, denote its key as key(u). The parent of a non-root node u is represented as parent(u)and the root of \mathcal{T} as $root(\mathcal{T})$.

We associate each node u of \mathcal{T} with a *slab* $\sigma(u)$ defined recursively as follows. If $u = root(\mathcal{T})$, then $\sigma(u) := (-\infty, \infty)$. Otherwise, let v := parent(u). If u is the left child of $v, \sigma(u) := \sigma(v) \cap (-\infty, key(v))$; otherwise, $\sigma(u) := \sigma(v) \cap [key(v), \infty)$. Slabs have several easy-to-verify properties:

170 If node v is an ancestor of node u, then $\sigma(u) \subseteq \sigma(v)$.

If u and v have no ancestor-descendant relationships, then $\sigma(u)$ and $\sigma(v)$ are disjoint.

For each node $u, \sigma(u) \cap S$ is the set of elements stored in the subtree of u.

173 2.1 Structure and Algorithms

Denote by S the set of *distinct* x-coordinates of the points in P. Build a BST \mathcal{T} on S. For reach node u of \mathcal{T} , define

176
$$P_u := \{ p \in P \mid p[1] \in \sigma(u) \}$$

¹⁷⁷ namely, the set of points $p \in P$ whose x-coordinates are in the slab $\sigma(u)$ of u. We associate ¹⁷⁸ each u with a $(U \setminus \{1\}, Q)$ -structure and a $(U, Q \setminus \{1\})$ -structure both constructed on P_u . ¹⁷⁹ Recall that the two structures are already available by the assumption of Lemma 5. We ¹⁸⁰ will call each of them a *secondary structure* on P_u . This completes the description of our ¹⁸¹ (U, Q)-structure.

Each $p \in P$ is in $O(\log n)$ secondary structures. For each secondary structure Υ , define

weight of
$$p$$
 in Υ := $\sum_{(r_{upd}, \Delta) \in \mathcal{U}_{\Upsilon}: p \in r_{upd}} \Delta$

where \mathcal{U}_{Υ} is the set of updates⁵ ever performed on Υ .

⁵ More specifically, each update $(r_{upd}, \Delta) \in \mathcal{U}$ should be treated as a pair with an id because two updates



Figure 1 White dots are the internal path nodes of *I* and black dots are the canonical nodes of *I*.

Canonical and Internal Path Nodes of an Interval. To pave the way for our discussion, 185 next we define what are the canonical and internal path nodes of an interval $I := [x_1, x_2]$, 186 where both x_1 and x_2 belong to S. Let z_1 and z_2 be the leaves whose keys equal x_1 and x_2 , 187 respectively. Denote by π_1 (resp., π_2) the path from $root(\mathcal{T})$ to z_1 (resp., z_2). 188

- We call u an *internal path node* of I if u is an internal node on π_1 or π_2 . 189
- We call u a *canonical node* of I if 190

 $u = z_1$ or z_2 , or 191

192

= parent(u) is in $\pi_1 \cup \pi_2$, u itself is not in $\pi_1 \cup \pi_2$, and $\sigma(u)$ is covered by I.

Let C_I be the set of canonical nodes of I. We must have $|C_I| = O(\log n)$. 193

As another way to understand \mathcal{C}_I , one can first identify the lowest node $u^* \in \pi_1 \cap \pi_2$ (this 194 is the node where π_1 and π_2 diverge). If u^* is a leaf, it means $\pi_1 = \pi_2$ and u^* is the only 195 node in \mathcal{C}_I . Now consider the case where u^* is an internal node. Let us descend the path π'_1 196 from u^* to z_1 . Every time we descend into the left child of a node $v \neq u^*$ on π'_1 , we add to 197 \mathcal{C}_I the right child of v (nothing is added if we descend into the right child of v). Perform 198 also a symmetric process for the path from u^* to z_2 . The C_I at this moment contains all the 199 canonical nodes. See Figure 1 for an illustration. 200

Update Algorithm. Consider a U-update (r_{upd}, Δ) on our (U, Q)-structure (remember 201 the structure only needs to support U-updates). W.o.l.g., assume that the x-range of r_{upd} 202 has the form $[x_1, x_2]$ where both x_1 and x_2 belong to S.⁶ We carry out the update using the 203 following algorithm. 204

update $(r_{\rm upd}, \Delta)$

- 1. $I_{\text{upd}} \leftarrow r_{\text{upd}}[1]$ /* the x-range of r_{upd} */ 2. $r'_{\text{upd}} \leftarrow (-\infty, \infty) \times r_{\text{upd}}[2:d]$ /* r'_{upd} replaces the x-range with $(-\infty, \infty)$ */
- 3. for each internal path node u of I_{upd} do
- perform an update (r_{upd}, Δ) on the $(U, Q \setminus \{1\})$ -structure of P_u 4.
- 5. for each canonical node u of I_{upd} do
- perform an update (r'_{upd}, Δ) on the $(U \setminus \{1\}, Q)$ -structure of P_u 6.

It is worth pointing out that r'_{upd} is a $U \setminus \{1\}$ -rectangle. Hence, the update (r'_{upd}, Δ) at Line 6 is permitted on the $(U \setminus \{1\}, Q)$ -structure of P_u . See Figure 2(a) for an illustration. 205 206

Proposition 6. Let Υ be a structure updated at Line 4 or 6 of update. Suppose that it is 207 a secondary structure of P_u . For each $p \in P_u$, its weight in Υ increases by Δ if and only if 208 $p \in r_{upd}$. 209

can have the same (r_{upd}, Δ) .

This assumption can be easily fulfilled by performing predecessor/successor search in $O(\log n)$ time.



Figure 2 Illustration of the update and query algorithms

Proof. This is obvious if Υ is a $(U, Q \setminus \{1\})$ -structure of P_u (Line 4). Consider, instead, Υ as a $(U \setminus \{1\}, Q)$ -structure of P_u (Line 6). It follows that u is a canonical node of I_{upd} and hence $p[1] \in I_{upd}$. By the assumption of Lemma 5, Υ increases the weight of p if and only if $p \in r'_{upd}$. Our claim holds because $p \in r'_{upd}$ if and only if $p \in r_{upd}$.

Query Algorithm. Consider a Q-query with search rectangle r_{qry} on our (U, Q)-structure. W.o.l.g., we assume that the x-range of r_{qry} has the form $[x_1, x_2]$ where both x_1 and x_2 belong to S. Our query algorithm is shown below.

query (r_{qry}) 1. $I_{qry} \leftarrow r_{qry}[1]; r'_{qry} \leftarrow (-\infty, \infty) \times r_{qry}[2:d]$ 2. $OUT \leftarrow 0$ 3. for each internal path node u of I_{qry} do 4. $OUT \leftarrow OUT + \text{output of the query } r_{qry}$ on the $(U \setminus \{1\}, Q)$ -structure of P_u 5. for each canonical node u of I_{qry} do 6. $OUT \leftarrow OUT + \text{output of the query } r'_{qry}$ on the $(U \setminus \{1\}, Q)$ -structure of P_u 7. $OUT \leftarrow OUT + \text{output of the query } r'_{qry}$ on the $(U, Q \setminus \{1\})$ -structure of P_u

8. return OUT

The reader should note that r'_{qry} is a $Q \setminus \{1\}$ -rectangle and hence also a Q-rectangle. Therefore, the queries at Lines 6 and 7 are permitted. See Figure 2(b) for an illustration.

▶ Proposition 7. Let Υ be a structure searched at Line 4, 6, or 7 of query. Suppose that it is a secondary structure of P_u . For each $p \in P_u$, its weight in Υ is added into OUT if and only if $p \in r_{qry}$.

Proof. This is obvious if Υ is a $(U \setminus \{1\}, Q)$ -structure at Line 4. If Υ is a $(U \setminus \{1\}, Q)$ -structure at Line 6 or a $(U, Q \setminus \{1\})$ -structure at Line 7, u must be a canonical node of I_{qry} and hence $p[1] \in I_{qry}$. By the assumption of Lemma 5, when Υ is searched with r'_{qry} , its output incorporates the weight of p if and only if $p \in r'_{qry}$. Our claim holds because $p \in r'_{qry}$ if and only if $p \in r_{qry}$.

227 2.2 Analysis

²²⁸ **Space and Time Complexities.** The update time and query time are clearly $O(T_{upd}$ ²²⁹ log n) and $O(T_{qry} \log n)$, respectively. The secondary structures of a node u in \mathcal{T} occupy ²³⁰ space $O(|P_u| \log^c n)$. As each point $p \in P$ appears in the P_u of $O(\log n)$ nodes u, the total ²³¹ space of our (U, Q)-structure is $O(n \log^{c+1} n)$.

14:8 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

Correctness. It remains to prove that all queries are answered correctly. Let us start with a concept crucial for our argument: update atom. Formally, each update (r_{upd}, Δ) generates an *atom* (r_{upd}, Δ, p) for every $p \in P \cap r_{upd}$. The atom describes the fact that the update should increase w(p) by Δ . Conceptually, the effect of (r_{upd}, Δ) is achieved by "executing" all of its atoms.

Given a query with search rectangle r_{qry} , we will show that the output OUT of algorithm query is exactly $\sum_{p \in P \cap r_{qry}} w(p)$. Define

239 \mathcal{U} as the set of updates that have ever been performed on our (U, Q)-structure;

 $_{\rm 240}~=~{\cal A}$ as the collection of atoms generated by the updates in ${\cal U}.$

Each atom $(r_{upd}, \Delta, p) \in \mathcal{A}$ is said to be *relevant* if $p \in r_{qry}$. For each $p \in P$, it holds that

$$_{242} \qquad w(p) = \sum_{(r_{\mathrm{upd}}, \Delta, p) \in \mathcal{A}} \Delta$$

243 which yields

244

254

$$\sum_{p \in P \cap r_{\operatorname{qry}}} w(p) = \sum_{p \in P \cap r_{\operatorname{qry}}} \left(\sum_{(r_{\operatorname{upd}}, \Delta, p) \in \mathcal{A}} \Delta \right) = \sum_{\operatorname{relevant}} \sum_{(r_{\operatorname{upd}}, \Delta, p) \in \mathcal{A}} \Delta.$$
(1)

Let Υ be a secondary structure searched at Line 4, 6, or 7 of query (r_{qry}) . Denote by uthe node that Υ is associated with. Define:

²⁴⁷ \mathcal{U}_{Υ} as the set of updates $(r_{upd}, \Delta) \in \mathcal{U}$ such that algorithm update (r_{upd}, Δ) modifies Υ ²⁴⁸ at either Line 4 or 6;

²⁴⁹ \mathcal{A}_{Υ} as the collection of atoms (r_{upd}, Δ, p) generated by the updates in \mathcal{U}_{Υ} satisfying ²⁵⁰ $p \in P_u$.

²⁵¹ We will refer to \mathcal{A}_{Υ} as the *atom set* of Υ . By Proposition 6, it holds for each point $p \in P_u$:

weight of
$$p$$
 in Υ := $\sum_{(r_{upd},\Delta,p)\in\mathcal{A}_{\Upsilon}}\Delta$.

²⁵³ By Proposition 7, when searched in algorithm query (r_{qry}) , Υ returns:

$$\sum_{p \in P_u \cap r_{\text{qry}}} \text{weight of } p \text{ in } \Upsilon = \sum_{p \in P \cap r_{\text{qry}}} \left(\sum_{(r_{\text{upd}}, \Delta, p) \in \mathcal{A}_{\Upsilon}} \Delta \right) = \sum_{\text{relevant } (r_{\text{upd}}, \Delta, p) \in \mathcal{A}_{\Upsilon}} \Delta.$$

²⁵⁵ It follows from the above discussion that

256 OUT =
$$\sum_{\text{searched }\Upsilon} \left(\sum_{\text{relevant } (r_{\text{upd}},\Delta,p)\in\mathcal{A}_{\Upsilon}} \Delta \right).$$
 (2)

Our mission is to draw equivalence between (1) and (2). We achieve the purpose with the following lemma.

▶ Lemma 8. Every relevant atom $(r_{upd}, \Delta, p) \in A$ appears in the atom set A_{Υ} of exactly one secondary structure Υ searched by query (r_{qry}) .

Proof. Consider any relevant atom $(r_{\rm upd}, \Delta, p) \in \mathcal{A}$. Let $I_{\rm qry} := r_{\rm qry}[1]$. By definition of relevance, $p \in r_{\rm qry}$. Among the canonical nodes of $I_{\rm qry}$, there is exactly one node — denoted as $u_{\rm qry}$ — satisfying the condition that p[1] falls in the slab $\sigma(u_{\rm qry})$ of $u_{\rm qry}$. Similarly, let $I_{\rm upd} := r_{\rm upd}[1]$. By definition of atom, $p \in r_{\rm upd}$. Among the canonical nodes of $I_{\rm upd}$, there is exactly one node — denoted as $u_{\rm upd}$ — satisfying $p[1] \in \sigma(u_{\rm upd})$. Nodes $u_{\rm qry}$ and $u_{\rm upd}$ must have an ancestor-descendant relationship.

Fix a secondary structure Υ searched by query (r_{qry}) (at Line 4, 6, or 7). The next two facts follow from how update (r_{upd}, Δ) and query (r_{qry}) execute (as illustrated in Figure 2). Fact 1. Suppose that Υ is the $(U \setminus \{1\}, Q)$ -structure of node v. Then, (r_{upd}, Δ, p) appears in \mathcal{A}_{Υ} if and only if

- $v = u_{upd}$, and
- $v_{\rm qry}$ = v is an ancestor of $u_{\rm qry}$ (this includes the case $v = u_{\rm qry}$).

Fact 2. Suppose that Υ is the $(U, Q \setminus \{1\})$ -structure of v. Then, (r_{upd}, Δ, p) appears in \mathcal{A}_{Υ} if and only if

 $v = u_{qry}$, and

- v is an internal path node of I_{upd} .
- 277 We proceed by discussing two cases separately:

Case 1: u_{upd} is a proper descendant of u_{qry} . Atom (r_{upd}, Δ, p) cannot belong to the atom set of any $(U \setminus \{1\}, Q)$ -structure Υ searched by $query(r_{qry})$. Otherwise, Υ must be associated with u_{upd} (first bullet of Fact 1), but then the second bullet of Fact 1 contradicts u_{upd} being a proper descendant of u_{qry} . On the other hand, as a proper ancestor of u_{upd} , u_{qry} must be an internal path node of I_{upd} . Fact 2 thus shows that (r_{upd}, Δ, p) exists in the atom set of only one $(U, Q \setminus \{1\})$ -structure searched by $query(r_{qry})$: the one at node u_{qry} .

Case 2: u_{upd} is an ancestor of u_{qry} . Atom (r_{upd}, Δ, p) cannot belong to the atom set of any $(U, Q \setminus \{1\})$ -structure Υ searched by $query(r_{qry})$. To see why, suppose that such a Υ exists. By Fact 2, Υ must be associated with node u_{qry} , and u_{qry} must be an internal path node of I_{upd} . This is impossible because u_{upd} (being a canonical node of I_{upd}) cannot have any descendant that is an internal path node of I_{upd} . Finally, Fact 1 shows that (r_{upd}, Δ, p) appears in the atom set of only one $(U \setminus \{1\}, Q)$ -structure searched by $query(r_{qry})$: the one at node u_{upd} .

²⁹¹ This completes the proof of Lemma 5.

292

3

U-Q Disjoint Structures

Equipped with Theorem 4, we can now concentrate on designing (U, Q)-structures with disjoint U and Q. We will prove:

▶ Lemma 9. Fix an integer $k \ge 1$ and consider the RSRU problem under dimensionality d = k. Suppose that, for any disjoint $U, Q \subseteq [d]$, there is a (U,Q)-structure of $\tilde{O}(n)$ space supporting an update in $\tilde{O}(T_{upd})$ time and a query in $\tilde{O}(T_{qry})$ time for any functions $T_{upd}(n) \ge 1$ and $T_{qry}(n) \ge 1$ satisfying $T_{upd} \cdot T_{qry} = n$. Then, the following holds for dimensionality d = k + 1: for any disjoint $U, Q \subseteq [d]$, we can build a (U,Q)-structure of $\tilde{O}(n)$ space supporting an update in $\tilde{O}(T_{upd})$ and a query in $\tilde{O}(T_{qry})$ time for any functions $T_{upd}(n) \ge 1$ and $T_{qry}(n) \ge 1$ satisfying $T_{upd} \cdot T_{qry} = n$.

³⁰² Before delving into the proof, let us see how the lemma leads to Theorem 1.

Proof of Theorem 1. At d = 1, it is easy to obtain a ([1], [1])-structure of O(n) space and $O(\log n) = \tilde{O}(1)$ update and query time (see Section 1.1). The structure can serve as the basis solution for k = 1 and any $T_{upd}(n) \ge 1$, $T_{qry}(n) \ge 1$ with $T_{upd} \cdot T_{qry} = n$. Lemma 9 then asserts that, for any constant d and any disjoint $U, Q \subseteq [d]$, we can build a (U, Q)-structure that uses $\tilde{O}(n)$ space and handles an update in $\tilde{O}(T_{upd})$ and a query in $\tilde{O}(T_{qry})$ time for any $T_{upd}(n) \ge 1$, $T_{qry}(n) \ge 1$ satisfying $T_{upd} \cdot T_{qry} = n$. Combining this with Theorem 4 setablishes Theorem 1.

The rest of the subsection serves as a proof of Lemma 9. Let us first eliminate the case of $U = \emptyset$. In this scenario, the rectangle r_{upd} of an update is fixed to \mathbb{R}^d and hence all points in P have the same weight. It suffices to maintain the $w(p^*)$ of an arbitrary $p^* \in P$. In addition, build a standard *range count* structure on P such that uses $\tilde{O}(n)$ space and,

14:10 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights



Figure 3 White dots are the path leaves of *I* and black dots are the non-path canonical nodes.

given a rectangle r_{qry} , outputs $|P \cap r_{qry}|$ in $\tilde{O}(1)$ time; the range tree [10] fulfills our purpose here. To answer a query with rectangle r_{qry} , we first obtain $c := |P \cap r_{qry}|$ and then return $c \cdot w(p^*)$. The query time is $\tilde{O}(1)$, noticing that $c \cdot w(p^*)$ can be calculated in $O(\log c)$ time⁷. Next, we assume $U \neq \emptyset$ and, w.l.o.g., consider that (i) U contains the x-dimension (i.e., dimension 1), (ii) n := |P| is a power of two, and (iii) the points in P have distinct coordinates on each dimension. Fix any $T_{upd}(n) \geq 1$ and $T_{qry}(n) \geq 1$ satisfying $T_{upd} \cdot T_{qry} = n$.

Structure. We will describe a binary tree \mathcal{T} of $O(\log T_{qry})$ levels and $O(T_{qry})$ nodes. Each node u in \mathcal{T} is associated with a subset $P_u \subseteq P$ and an interval $\sigma(u)$ as its slab. If $u = root(\mathcal{T}), P_u := P$ and $\sigma(u) := (-\infty, \infty)$. In general, if $|P_u| \leq T_{upd}, u$ is a leaf of \mathcal{T} . Otherwise, we split P_u evenly into P_1 and P_2 at some value x such that P_1 (resp., P_2) includes all the points of P_u whose x-coordinates are less (resp., greater) than x. The left and right children of u are associated with P_1 and P_2 , respectively, and have slab $\sigma(u) \cap (-\infty, x)$ and $\sigma(u) \cap [x, \infty)$, respectively. The total number of nodes in \mathcal{T} is $O(n/T_{upd}) = O(T_{qry})$.

Each internal node u in \mathcal{T} is associated with a $(U \setminus \{1\}, Q)$ -structure \mathcal{T}_u on P_u . Since $(U \setminus \{1\}) \cap Q = \emptyset$ and $|(U \setminus \{1\}) \cup Q| \leq k$, we already know how to construct such a structure (see the assumption of Lemma 9). We parameterize \mathcal{T}_u such that it supports an update on P_u in $\tilde{O}(T_{upd})$ time and answers a query on P_u in $\tilde{O}(|P_u|/T_{upd})$ time; its space is $\tilde{O}(|P_u|)$. For each leaf z in \mathcal{T} , create a range tree \mathcal{T}_z on P_z . As discussed in Section 1.1, \mathcal{T}_z uses $\tilde{O}(|P_z|)$ space, answers a query on P_z in $\tilde{O}(1)$ time, and supports an update on P_z in $\tilde{O}(|P_z|) = \tilde{O}(T_{upd})$ time.

Each $p \in P$ appears in $O(\log T_{qry})$ secondary structures Υ . For every such Υ , define

weight of
$$p$$
 in Υ := $\sum_{(r_{upd}, \Delta) \in \mathcal{U}_{\Upsilon}: p \in r_{upd}} \Delta$

where \mathcal{U}_{Υ} is the set of updates ever performed on Υ .

Non-path Canonical Nodes and Path Leaves of an Interval. We now adapt the concepts "canonical" and "path nodes" from Section 2.1 to our context here. Consider an interval $I := [x_1, x_2]$. Let z_1 and z_2 be the leaves of \mathcal{T} such that $x_1 \in \sigma(z_1)$ and $x_2 \in \sigma(z_2)$. Denote by π_1 (resp., π_2) the path from $root(\mathcal{T})$ to z_1 (resp., z_2).

³⁴¹ We call each of z_1 and z_2 a *path leaf* of *I*.

We call u a non-path canonical node of I if parent(u) is in $\pi_1 \cup \pi_2$, u itself is not in $\pi_1 \cup \pi_2$, and $\sigma(u)$ is covered by I.

³⁴⁴ See Figure 3 for an illustration.

⁷ E.g., 15w = w + 2w + 4w + 8w, where 4w (resp. 8w) can be derived from 2w (resp. 4w) in constant time.

³⁴⁵ **Update.** Consider an update (r_{upd}, Δ) . Define $I_{upd} := r_{upd}[1]$ and $r'_{upd} := (-\infty, \infty) \times$ ³⁴⁶ $r_{upd}[2:d]$. At each non-path canonical node u of I_{upd} , perform an update (r'_{upd}, Δ) on \mathcal{T}_u . ³⁴⁷ At each path leaf z of I_{upd} , perform an update (r_{upd}, Δ) on \mathcal{T}_z .

³⁴⁸ **Query.** Given a query with rectangle r_{qry} , we simply access every node u in \mathcal{T} and issue a ³⁴⁹ query with the same rectangle r_{qry} on the secondary structure \mathcal{T}_u . Then, we return the sum ³⁵⁰ of the weights returned by those structures.

Analysis. It should have become straightforward that our structure uses $\tilde{O}(n)$ space overall and supports an update in $\tilde{O}(T_{\rm upd})$ time. Next, we analyze the query time. As \mathcal{T} has $O(T_{\rm qry})$ leaves and a query spends $\tilde{O}(1)$ time on each leaf, the time spent on all the leaves is $\tilde{O}(T_{\rm qry})$. Let us now attend to the internal nodes. Consider the *i*-th level of $\mathcal{T}^{.8}$. There are $O(2^i)$ internal nodes and $|P_u| = O(n/2^i)$ for every such node *u*. The time spent on all the level-*i* nodes is $\tilde{O}(2^i \cdot (n/2^i)/T_{\rm upd}) = \tilde{O}(n/T_{\rm upd}) = \tilde{O}(T_{\rm qry})$. As \mathcal{T} has $\tilde{O}(1)$ levels, the overall query cost is $\tilde{O}(T_{\rm qry})$.

It remains to show the correctness of our (k + 1)-dimensional structure. For this purpose, let us first observe:

Proposition 10. For any $p \in P$, $w(p) = \sum_{node \ u \ in \ \mathcal{T}: p \in P_u} (weight of \ p \ in \ \mathcal{T}_u)$.

³⁶¹ **Proof.** The proposition obviously holds after the structure has just been constructed. Con-³⁶² sider an update (r_{upd}, Δ) . Define $I_{upd} := r_{upd}[1]$. Denote by z_1, z_2 the two path leaves of ³⁶³ I_{upd} and by C the set of non-path canonical nodes of I_{upd} . It is easy to verify:

for any distinct nodes u, v in $\{z_1, z_2\} \cup C$, P_u and P_v are disjoint;

 $= \bigcup_{u \in \{z_1, z_2\} \cup \mathcal{C}} (P_u \cap r_{upd}) = P \cap r_{upd}.$

For each point $p \in P \cap r_{upd}$, there is a unique node $u \in \{z_1, z_2\} \cup C$ satisfying $p \in P_u$. Our update procedure increases the weight of p in \mathcal{T}_u by Δ and does not change its weight in any other secondary structure. On the other hand, if $p \notin r_{upd}$, the procedure will not change its weight in any secondary structure. Therefore, if the proposition holds before the update, it still does afterwards.

Fix any query with rectangle r_{qry} . For each node u in \mathcal{T} , denote by OUT_u the answer returned by the structure \mathcal{T}_u . The value OUT_u equals $\sum_{p \in P_u \cap r_{qry}}$ (weight of p in \mathcal{T}_u). The final answer returned is

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$$\sum_{\text{node } u \text{ in } \mathcal{T}} \sum_{p \in P_u \cap r_{\text{qry}}} \text{weight of } p \text{ in } \mathcal{T}_u = \sum_{p \in P \cap r_{\text{qry}}} \left(\sum_{\text{node } u \text{ in } \mathcal{T}: p \in P_u} \text{weight of } p \text{ in } \mathcal{T}_u \right)$$
$$= \sum_{p \in P \cap r_{\text{qry}}} w(p)$$

where the last equality used Proposition 10. With this, we have established the correctness of our structure and thus conclude the proof of Lemma 9.

4 Hardness of RSRU

³⁷⁹ This section will establish Theorem 2. Let us first review the γ -uMv problem from [13]:

 $^{^{8}}$ The root is at level 0 and the level number increases by 1 each time we descend into a child.

14:12 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

Fix a constant $\gamma > 0$, and choose two integers n_1 and n_2 satisfying $n_1 = \lfloor n_2^{\gamma} \rfloor$. In the γ -uMv problem, an algorithm A is allowed to preprocess an $n_1 \times n_2$ boolean matrix **M** in poly (n_1, n_2) time, after which A receives a $1 \times n_1$ boolean vector \boldsymbol{u} and an $n_2 \times 1$ boolean vector \boldsymbol{v} , and needs to compute $\boldsymbol{u}\mathbf{M}\boldsymbol{v}$ (additions and multiplications are as in the boolean semi-ring). The cost of A is the time it spends on computing $\boldsymbol{u}\mathbf{M}\boldsymbol{v}$.

³⁸¹ The following result is due to Henzinger et al. [13]:

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Lemma 11 ([13]). Fix an arbitrary constant $\gamma > 0$. Subject to the OMv-Conjecture, no algorithm can solve the γ -uMv problem with cost $O(n_1^{1-\delta} \cdot n_2 + n_1 \cdot n_2^{1-\delta})$, no matter how small the constant $\delta > 0$ is.

Given an RSRU structure defying Theorem 2, we will show how to utilize it to develop an algorithm to beat Lemma 11. We use $\mathbf{M}[i, j]$ to denote the entry of \mathbf{M} at the *i*-th row and *j*-th column, $\boldsymbol{u}[i]$ to denote the *i*-th component of \boldsymbol{u} , and $\boldsymbol{v}[j]$ to denote the *j*-th component of \boldsymbol{v} , where $i \in [n_1]$ and $j \in [n_2]$.

Proof of the First Bullet of Theorem 2. Consider the RSRU problem under d = 2and monoid $(\mathbb{R}, +, 0)$ and let constants $c \in [0, 1)$ and $\delta > 0$ be chosen as in Theorem 2. Define $U := \{1\}$ and $Q := \{2\}$. We will prove that, subject to the OMv-conjecture, no (U, Q)-structure constructible in poly(n) time can guarantee update time $O(n^c)$ and query time $O(n^{1-c-\delta})$. This will imply the first bullet of the theorem.

Assume that such a structure Υ exists. Set $\gamma := \frac{1-c-\delta/2}{c+\delta/2}$. Next, we will describe an 394 algorithm for the γ -uMv problem. In preprocessing, we create a set P of 2D points as 395 follows: P has a point (i, j) if and only if $\mathbf{M}[i, j] = 1$ for each $i \in [n_1]$ and $j \in [n_2]$. Initialize 396 w(p) := 0 for all $p \in P$ and then create a (U, Q)-structure Υ on P. The preprocessing time 397 is poly (n_1, n_2) because $|P| \leq n_1 \cdot n_2$. Given vectors **u** and **v**, we compute **uMv** by issuing 398 at most n_1 U-updates and at most n_2 Q-queries. For each $i \in [n_1]$, if $\boldsymbol{u}[i] = 1$, we perform 399 an update with rectangle $(r_{upd}, 1)$ with $r_{upd} := [i, i] \times (-\infty, \infty)$ on P, which effectively adds 400 1 to the weight of every point $p \in P$ satisfying p[1] = i. Then, for each $j \in [n_2]$, if v[j] = 1, 401 we perform a query with $r_{\text{arv}} := (-\infty, \infty) \times [j, j]$ on P, which effectively checks whether any 402 point $p \in P$ with p[2] = j has a positive w(p). The reader can verify that uMv = 1 if and 403 only if at least one of the queries returns a non-zero value. To analyze the cost, set $\lambda := n_2^{1/(c+\delta/2)}$. As $n_1 = \lfloor n_2^{\gamma} \rfloor$, we have $n_1 = \Theta(\lambda^{1-c-\delta/2})$ and 404

To analyze the cost, set $\lambda := n_2^{1/(c+\delta/2)}$. As $n_1 = \lfloor n_2^{\gamma} \rfloor$, we have $n_1 = \Theta(\lambda^{1-c-\delta/2})$ and $n_2 = \Theta(\lambda^{c+\delta/2})$. The number of points in P is $O(n_1 \cdot n_2) = O(\lambda)$; hence, Υ ensures update time $O(\lambda^c)$ and query time $O(\lambda^{1-c-\delta})$. As the algorithm performs at most n_1 updates and at most n_2 queries, the total cost is

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$$O(n_1 \cdot \lambda^c + n_2 \cdot \lambda^{1-c-\delta}) = O(\lambda^{1-\delta/2}) = O((n_1 \cdot n_2)^{1-\delta/2})$$

410 where the last step used $\lambda = \Theta(n_1 \cdot n_2)$. This contradicts Lemma 11.

⁴¹¹ **Proof of the Second Bullet of Theorem 2.** As before, define $U := \{1\}$ and $Q := \{2\}$. ⁴¹² We will prove that, subject to the OMv-conjecture, no (U,Q)-structure constructible in ⁴¹³ poly(n) time can guarantee update time $O(n^{1-c-\delta})$ and query time $O(n^c)$. This will imply ⁴¹⁴ the second bullet of the theorem.

Assume that such a structure exists. We deploy it to tackle γ -uMv in the same way as before where $\gamma := \frac{c+\delta/2}{1-c-\delta/2}$. To analyze the cost, set $\lambda := n_2^{1/(1-c-\delta/2)}$. As $n_1 = \lfloor n_2^{\gamma} \rfloor$, we have $n_1 = \Theta(\lambda^{c+\delta/2})$, $n_2 = \Theta(\lambda^{1-c-\delta/2})$, and $|P| = O(n_1 \cdot n_2) = O(\lambda)$. The structure handles an update and query in $O(\lambda^{1-c-\delta})$ and $O(\lambda^c)$ time, respectively. Because at most n_1 updates

and at most n_2 queries are performed, our algorithm's cost is $O(n_1 \cdot \lambda^{1-c-\delta} + n_2 \cdot \lambda^c) =$ 419 $O(\lambda^{1-\delta/2}) = O((n_1 \cdot n_2)^{1-\delta/2})$, contradicting Lemma 11. 420

Remark. We can extend the above lower bound to any monoid $(\mathcal{M}, +, 0)$ as long as there 421 is a value $e^* \in \mathcal{M}$ satisfying $\sum_{i=1}^{c} e^* \neq 0$ for any $c \in [1, n]$. The only modification is in the 422 online phase: for each $i \in [n_1]$ with u[i] = 1, add e^* (rather than 1) to w(p) for all the points 423 $p \in P$ satisfying p[1] = i. Then, we have $\boldsymbol{uMv} = 1$ if and only if at least one of the at most 424 n_2 queries defined as before returns a non-zero value. 425

Appendix 426

Α A Simpler Structure for the Array Variant of RSRU 427

Henceforth, we will focus on the array version of RSRU, defined in Section 1.1, where P is a 428 d-dimensional array $[m]^d$ for some integer $m \ge 1$ (as a result, $n = m^d$). Our goal is to show: 429

► Theorem 12. For the array variant of RSRU, there is a structure of O(n) space that 430 supports each query and update in $O(\log^{d+1} n)$ time. The query and update complexities can 431 be improved to $O(\log^d n)$ if the underlying monoid is multiplicative. 432

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Recall that a monoid $(\mathcal{M}, +, 0)$ is *multiplicative* if $c \cdot w := \underbrace{w + w + \ldots + w}_{c}$ can be calculated in constant time for any weight $w \in \mathcal{M}$ and any integer $c \ge 1$. The monoid $(\mathbb{R}, +, 0)$ studied 434 in [16, 22] is multiplicative; hence, the theorem subsumes the results in [16, 22] (reviewed 435 in Section 1.1). For arbitrary commutative monoids, the extra $O(\log n)$ factor arises from 436 the need to compute a multiplication $c \cdot w$ in $O(\log c)$ time; the integer c never exceeds n 437 in our algorithms. In [24], Yang and Wan claimed a structure with query and update time 438 $O(\log^d n)$, but a careful look at their definition reveals that their monoid is multiplicative; 439 for non-multiplicative monoids, their query and update time both slow down by an $O(\log n)$ 440 factor. Hence, Theorem 12 recovers the result of [24] as well. Our structures are drastically 441 different from those in [16, 22, 24]. 442

The Counterpart of Theorem 4 A.1 443

The characteristics of RSRU revealed by Theorem 4 extend to the array version as well: 444

▶ **Theorem 13.** For the array variant of RSRU, suppose that, given any disjoint $U \subseteq [d]$ 445 and $Q \subseteq [d]$, there is a (U,Q)-structure of O(1) space that guarantees update time T_{upd} and 446 query time T_{qry} . Then, there is a ([d], [d])-structure of O(n) space that handles an update in 447 $O(T_{upd} \cdot \log^d n)$ time and a query in $O(T_{qry} \cdot \log^d n)$ time. 448

To prove the theorem, we need the lemma below that echoes Lemma 5. 449

▶ Lemma 14. Consider any two overlapping subsets U and Q of [d]. Let $i \in [d]$ be an 450 arbitrary dimension in $U \cap Q$. Suppose that we have a $(U \setminus \{i\}, Q)$ -structure and a $(U, Q \setminus \{i\})$ -451 structure both of which use $O(m^{|U \cap Q|-1})$ space and support an update in $O(T_{upd})$ and a 452 query in $O(T_{arv})$ time. Then, there is a (U,Q)-structure of $O(m^{|U\cap Q|})$ space that handles an 453 update in $O(T_{upd} \log n)$ time and a query in $O(T_{qry} \log n)$ time. 454

Proof. Due to symmetry, we assume i = 1. Let S be the set of *distinct* x-coordinates of the 455 points in P. |S| = m because P is an array. We use the same reduction in the proof Lemma 5 456 to obtain a (U, Q)-structure. Recall that \mathcal{T} is a BST on S and $P_u := \{p \in P \mid p[1] \in \sigma(u)\}$ for 457

14:14 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

every node u in \mathcal{T} . Associate each u with a $(U \setminus \{1\}, Q)$ -structure and a $(U, Q \setminus \{1\})$ -structure both constructed on P_u . The update and query algorithms require no changes and finish in $O(T_{upd} \log n)$ and $O(T_{qry} \log n)$ time, respectively. Since \mathcal{T} has O(m) nodes and the space at each node is $O(m^{|U \cap Q|-1})$, the total space is $O(m^{|U \cap Q|})$.

Equipped with the above lemma, we will now prove a general claim: fix any integer $k \in [0, d]$; for any subsets U and Q of [d] such that $|U \cap Q| = k$, there is a (U, Q)-structure of $O(m^k)$ space that guarantees update and query time $O(T_{\text{upd}} \log^k n)$ and $O(T_{\text{qry}} \log^k n)$, respectively. Theorem 13 then follows because $m^d = n$.

When k = 0, U and Q are disjoint and the claim holds from the theorem's assumption. Next, we will prove the claim for $k = k_0 + 1$, assuming the claim's correctness on $k = k_0 \ge 0$. Fix an arbitrary $i \in U \cap Q$. By the inductive assumption, there exist a $(U \setminus \{i\}, Q)$ -structure and a $(U, Q \setminus \{i\})$ -structure, both of which use $O(m^{k_0})$ space and ensure update and query time $O(T_{\text{upd}} \log^{k_0} n)$ and $O(T_{\text{qry}} \log^{k_0} n)$ time, respectively. We now apply Lemma 14 to obtain a (U, Q)-structure of $O(m^{k_0+1})$ space with update and query time $O(T_{\text{upd}} \log^{k_0+1} n)$ and $O(T_{\text{qry}} \log^{k_0+1} n)$ time, respectively. This completes the proof.

473 A.2 U-Q Disjoint Structures

Since *P* is a *d*-dimensional array $[m]^d$, henceforth, we consider only *d*-rectangles of the form $[a_1, b_1] \times \ldots \times [a_d, b_d]$, where $a_i \in [m]$ and $b_i \in [m]$ for all $i \in [d]$. Accordingly, a *U*-rectangle is redefined as a *d*-rectangle *r* satisfying r[i] = [1, m] for every $i \in [d] \setminus U$, and similarly, a *Q*-rectangle *r* is a *d*-rectangle satisfying r[i] = [1, m] for every $i \in [d] \setminus Q$.

478 We will show:

⁴⁷⁹ ► Lemma 15. Consider the array version of RSRU. For any disjoint $U \subseteq [d]$ and $Q \subseteq [d]$, ⁴⁸⁰ there is a (U,Q)-structure of O(1) space that supports an update and a query in $O(\log n)$ ⁴⁸¹ time. The update and query time can be improved to O(1) if the underlying monoid $(\mathcal{M}, +, 0)$ ⁴⁸² is multiplicative.

Combining Theorem 13 with the above lemma establishes Theorem 12. The rest of the
 subsection serves as a proof of Lemma 15.

Case 1: $Q = \emptyset$. In other words, the query rectangle r_{qry} always covers the whole $[m]^d$. It suffices to maintain the total weight of all the points: $s := \sum_{p \in P} w(p)$. A query obviously can be settled in O(1) time. Given an update (r_{upd}, Δ) , we first calculate the number c of points in P covered by r_{upd} . As P is a multidimensional array, this can be done in O(1)time because $c = \prod_{i \in [d]} |r_{upd}[i] \cap [m]|$.⁹ Then, we increase s by $c \cdot \Delta$, which takes $O(\log n)$ time, or O(1) time if the monoid is multiplicative.

⁴⁹¹ **Case 2:** $Q \neq \emptyset$. W.o.l.g., we will assume $Q = [\ell]$ for some integer $\ell \in [1, d]$; hence, ⁴⁹² $U \subseteq [\ell + 1, d]$. Given an ℓ -tuple $t := (x_1, x_2, ..., x_\ell) \in [m]^\ell$, let $P(t) := \{t\} \times [m]^{d-\ell}$, i.e., the ⁴⁹³ set of points $p \in P$ satisfying $p[i] = x_i$ for all $i \in [\ell]$. Define

494
$$w(t) := \sum_{p \in P(t)} w(p).$$

▶ **Proposition 16.** For any ℓ -tuples t and t', it always holds that w(t) = w(t').

⁹ If $r_{upd}[i] = [a_i, b_i]$, then $|r_{upd}[i] \cap [m]| = b_i - a_i + 1$.

S. Lu and Y. Tao

14:15

Proof. Consider any update (r_{upd}, Δ) . As r_{upd} is a U-rectangle, $r_{upd}[i] = [1, m]$ for each 496 $i \in [\ell]$. The number c of points in $P(t) \cap r_{upd}$ is $\prod_{i \in [\ell+1,d]} |r_{upd}[i] \cap [m]|$. Likewise, $|P(t') \cap r_{upd}[i] \cap [m]|$. 497 $|r_{upd}| = \prod_{i \in [\ell+1,d]} |r_{upd}[i] \cap [m]| = c$. Hence, both w(t) and w(t') will increase by $c \cdot \Delta$ after 498 the update. The claim follows because w(t) = w(t') = 0 in the beginning (i.e., before the 499 first update). 500

Our structure simply maintains the $w(t^*)$ for an arbitrary ℓ -tuple t^* . Given a Q-query 501 with rectangle r_{arv} , we first obtain in constant time the number c_1 of ℓ -tuples $t := (x_1, \dots, x_\ell)$ 502 satisfying $x_i \in r_{\text{qry}}[i]$ for every $i \in [\ell]$.¹⁰ By Proposition 16 and the fact $r_{\text{qry}}[i] = [1, m]$ for 503 every $i \in [\ell + 1, d]$ (r_{qry} is a Q-rectangle), the query answer is exactly $c_1 \cdot w(t^*)$, which can 504 be computed in $O(\log n)$ time. Given an update (r_{upd}, Δ) , we obtain in constant time the 505 number c_2 of points in $P(t^*)$ covered by the U-rectangle r_{upd} ,¹¹ and then increase $w(t^*)$ 506 by $c_2 \cdot \Delta$ in $O(\log n)$ time. Both the update and query time can be reduced to O(1) if the 507 monoid is multiplicative. 508

This completes the proof of Lemma 15. 509

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 $^{{}^{10}}c_1 = \prod_{i \in [\ell]} |r_{qry}[i] \cap [m]|.$ ${}^{11}c_2 = \prod_{i \in [\ell+1,d]} |r_{upd}[i] \cap [m]|.$

14:16 Range Updates and Range Sum Queries on Multidimensional Points with Monoid Weights

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