

# Local All-Pass Filters for Optical Flow Estimation

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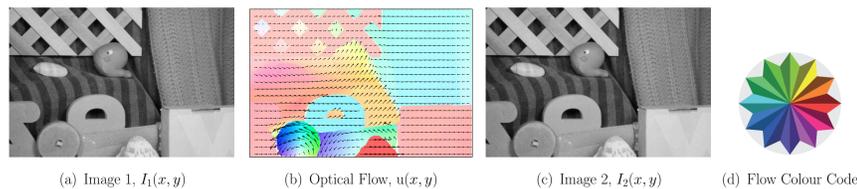


## Summary

An important topic in image processing is the estimation of motion from a sequence of images. This motion is known as the **Optical Flow** and is utilised in a range of applications e.g. computer vision, biology and medical imaging. In this work, we present a novel algorithm to estimate the optical flow using local all-pass filters. We demonstrate that this algorithm is fast, consistent, and that it outperforms three state-of-the-art algorithms when estimating constant and smoothly varying flows. We also show initial competitive results for real images.

## Optical Flow Estimation

**Problem:** Find a velocity field  $u(x, y) = [u_1(x, y), u_2(x, y)]^T$  based on the variation of pixel intensities within an image sequence [1], where  $(x, y)$  is the pixel coordinates.



## Standard Framework

Assume a pixel's intensity remains constant as it flows from one image to another:

$$\text{Brightness Constraint: } \underbrace{I_2(x, y) = I_1(x - u_1(x, y), y - u_2(x, y))}_{\text{Non-Linear}}$$

Linearise constraint by performing first order Taylor approximation under the assumption that the displacement of the optical flow is small [1,2]:

$$\text{Optical Flow Equation: } \underbrace{I_2 - I_1 + u_1 \frac{\partial I_1}{\partial x} + u_2 \frac{\partial I_1}{\partial y}}_{\substack{1 \text{ Constraint for 2 Unknowns} \\ \Rightarrow \text{Ill-posed (Aperture Problem)}}} = 0$$

Overcoming the Aperture Problem:

**Global Approach:** Minimise a global energy function that comprises the optical flow equation as a data term and a regularisation constraint on the flow as a prior term [1].

**Local Approach:** Constrain the optical flow to be constant over a local region and solve the optical flow equation within the region [2].

## Our Approach

Instead of assuming small displacement and using the optical flow equation:

Assume the optical flow is slowly varying  $\Rightarrow$  Treat as locally constant

Under this assumption:

- Relate local changes between two images via a filter that is **All-Pass** in nature
- Extract local estimate of optical flow from this all-pass filter

$\hookrightarrow$  No limit on the size of displacement of the flow

## All-Pass Filtering Framework

### 1. Shifting is All-Pass Filtering

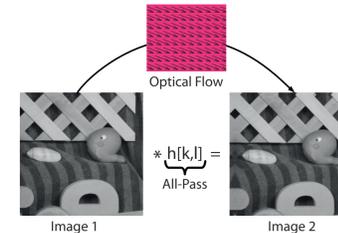
Under brightness constraint:

Constant optical flow  $\Rightarrow$  Shifting by a displacement vector  $u = [u_1, u_2]^T$

Shifting in frequency domain:

$$\hat{I}_2(\omega_1, \omega_2) = \underbrace{\hat{I}_1(\omega_1, \omega_2) e^{-j u_1 \omega_1 - j u_2 \omega_2}}_{\text{Filtering Operation}}$$

All-Pass Filter:  $H(\omega_1, \omega_2) = e^{-j u_1 \omega_1 - j u_2 \omega_2}$



### 2. Rational Representation of All-Pass Filter

The  $(2\pi, 2\pi)$ -periodic frequency response of any digital all-pass filter can be expressed as:

$$H(\omega_1, \omega_2) = \frac{P(e^{j\omega_1}, e^{j\omega_2})}{P(e^{-j\omega_1}, e^{-j\omega_2})} \begin{cases} \longleftrightarrow \text{Forward Filter} \\ \longleftrightarrow \text{Backward Filter} \end{cases}$$

Linearise filtering performed by  $h$ :

$$I_2[k, l] = h[k, l] * I_1[k, l] \iff p[-k, -l] * I_2[k, l] = p[k, l] * I_1[k, l]$$

### 3. Filter Approximation - A Basis Representation

Approximate  $p$  using a linear combination of a few, known, real filters:

$$p_{\text{app}}[k, l] = \sum_{n=0}^{N-1} c_n p_n[k, l]$$

Opt for compact filter basis based on Gaussian filters:

$$\begin{aligned} p_0[k, l] &= e^{-\frac{k^2+l^2}{2\sigma^2}} & p_3[k, l] &= (k^2 + l^2 - 2\sigma^2)p_0[k, l] \\ p_1[k, l] &= k p_0[k, l] & p_4[k, l] &= k l p_0[k, l] \\ p_2[k, l] &= l p_0[k, l] & p_5[k, l] &= (k^2 - l^2)p_0[k, l] \end{aligned}$$

where  $\sigma = (R + 2)/4$  and  $R$  is the half-support of the filters.

### 4. Extracting the Displacement Vector

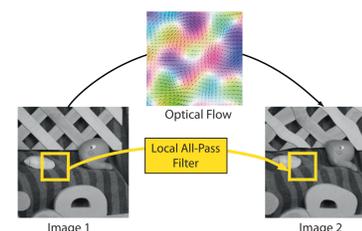
$$\text{Since } H_{\text{app}} \approx e^{-j u_1 \omega_1 - j u_2 \omega_2} \implies u_{1,2} = j \left. \frac{\partial \log(H_{\text{app}}(e^{j\omega_1}, e^{j\omega_2}))}{\partial \omega_{1,2}} \right|_{\omega_1=\omega_2=0}$$

## Local All-Pass Algorithm

Assume flow is constant within a window  $\mathcal{R}$  and estimate a local all-pass filter. Thus, for  $(2R + 1)$  square window  $\mathcal{R}$ , solve at every pixel:

$$\min_{\{c_n\}} \sum_{k, l \in \mathcal{R}} |p_{\text{app}}[-k, -l] * I_2[k, l] - p_{\text{app}}[k, l] * I_1[k, l]|^2$$

$\hookrightarrow c_0 = 1 \implies$  Solve linear system of equations with  $N - 1$  unknowns



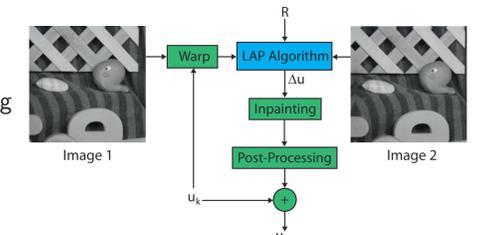
- Efficient implementation using convolutions and pointwise multiplication
- Extract optical flow estimate from filters

## Multi-Scale Refinement

Estimate the flow in a slow-to-fast varying manner by changing the filter parameter  $R$ ; large values of  $R$  allow the estimation of large flow whilst small values allow faster variations.

Post-Processing:

- Remove erroneous flow estimates using inpainting
- Smooth flow estimate using mean filtering



$\hookrightarrow$  Real Images  $\implies$  Pre-process images using high-pass filter and median filtering at small  $R$

## Results

Evaluation under two conditions:

**Noiseless Conditions:** Image  $I_2$  is generated by directly warping image  $I_1$  with a synthetic optical flow. Therefore, the images exactly satisfy brightness constraint.

**Real Conditions:** Image  $I_2$  is acquired independently of  $I_1$ . Therefore, the images are unlikely to satisfy the brightness constraint exactly (i.e. noisy conditions).

Accuracy:

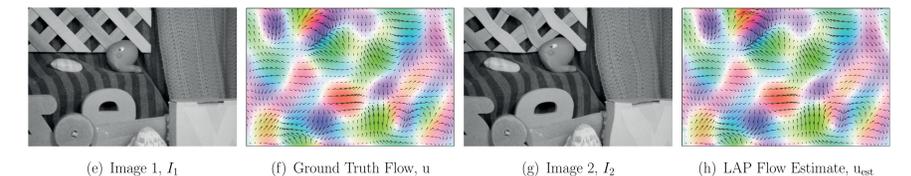
$$\text{Measures: } \underbrace{EE = \|u - u_{\text{est}}\|_2^2}_{\text{End-point Error (in pixels)}}, \text{ and } \underbrace{AE = \cos^{-1} \left( \frac{1 + u^T u_{\text{est}}}{\sqrt{1 + u^T u} \sqrt{1 + u_{\text{est}}^T u_{\text{est}}}} \right)}_{\text{Angular Error (in degrees)}}$$

Comparison of the LAP algorithm against three state-of-the-art optical flow algorithms

Algorithms	Constant Flows				Smoothly Varying Flows				Real Flows			
	D = 1 pixel		D = 15 pixel		D = 1 pixel		D = 15 pixel		Dimetrodon		RubberWhale	
	AAE	AEE	AAE	AEE	AAE	AEE	AAE	AEE	AAE	AEE	AAE	AEE
LAP	$4 \times 10^{-6}$	$1 \times 10^{-7}$	<b>0.001</b>	<b>0.001</b>	<b>0.107</b>	<b>0.002</b>	<b>0.746</b>	<b>0.102</b>	<b>1.782</b>	<b>0.096</b>	3.870	0.116
LDOF [3]	0.777	0.020	0.169	0.054	2.119	0.043	11.91	1.310	2.104	0.115	4.310	0.129
MPOF [4]	1.833	0.046	0.094	0.044	2.103	0.041	7.201	0.964	2.976	0.150	<b>2.662</b>	<b>0.087</b>
HS [1,6]	1.293	0.033	0.084	0.039	1.854	0.037	6.010	0.868	4.562	0.219	3.801	0.119

\* AAE - Average Angular Error and AEE - Average End-point Error  
\*\*  $D$  is the maximum displacement of the optical flow

Estimating a smoothly varying optical flow with LAP algorithm (maximum displacement is 15 pixels)



## Computation Time:

Computation time for the five optical flow algorithms (images are 388 by 584 pixels)

	LAP	LAP w. Median Filters	LDOF [3]	MPOF [4]	HS [1,6]
Time (seconds)	6.23	7.76	29.87	279.00	47.05

$\hookrightarrow$  Unlike the others, LAP computation times achieved using only a Matlab implementation

## References

- [1] B. Horn and B. Schunck, "Determining optical flow," *Artificial Intell.*, vol. 17, no. 1, pp. 185-203, 1981.
- [2] B. Lucas and T. Kanade, "An iterative image registration technique with an application to stereo vision," in *Proc. Int. Joint Conf. Artificial Intell.*, Vancouver, Canada, 1981, vol. 2, pp. 674-679.
- [3] T. Brox and J. Malik, "Large displacement optical flow: Descriptor matching in variational motion estimation," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 33, no. 3, pp. 500-513, 2011.
- [4] L. Xu, J. Jia, and Y. Matsushita, "Motion detail preserving optical flow estimation," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 34, no. 9, pp. 1744-1757, 2012.
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