CSCI3160: Regular Exercise Set 7

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Problem 1. Let x be a string of length n, and y a string of length m. Define opt(i, j) to be the length of an LCS of x[1:i] and y[1:j] for $i \in [0,n]$ and $j \in [0,m]$. In the lecture, we already discussed how to calculate opt(i, j) for all possible (i, j) pairs. Based on that discussion, explain an algorithm that can output an LCS of x and y in O(nm) time.

Solution. Recall:

$$opt(i,j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0\\ opt(i-1,j-1) + 1 & \text{if } i, j > 0 \text{ and } x[i] = y[j]\\ \max\{opt(i,j-1), opt(i-1,j)\} & \text{if } i, j > 0 \text{ and } x[i] \neq y[j]. \end{cases}$$

We will now apply the "piggyback technique" discussed in the lecture to generate an LCS. For this purpose, let us define

$$bestSub(i,j) = \begin{cases} nil & \text{if } i = 0 \text{ or } j = 0\\ nil & \text{if } i, j > 0 \text{ and } x[i] = y[j]\\ \text{shrink y} & \text{if } i, j > 0, x[i] \neq y[j], \text{ and } opt(i-1,j) \ge opt(i,j-1)\\ \text{shrink x} & \text{if } i, j > 0, x[i] \neq y[j], \text{ and } opt(i-1,j) < opt(i,j-1) \end{cases}$$

After computing opt(i, j) for all (i, j) pairs, we can compute each bestSub(i, j) in constant time. The total time is O(nm).

We can now construct an LCS z of x and y as follows. First, if x or y is the empty string, set z to the empty string. Second, if x[n] = y[m], recursively obtain an LCS z' of x[1 : n - 1] and y[1 : m - 1] and then set $z = z' \circ x[n]$, where \circ means concatenation. Finally, if $x[n] \neq y[m]$, we act differently according to bestSub(n, m):

- If it is "shrink x", we recursively obtain an LCS z' of x[1:n-1] and y and then set z = z'.
- If it is "shrink y", we recursively obtain an LCS z' of x and y[1:m-1] and then set z = z'.

Problem 2 (Matrix-Chain Multiplication). The goal in this problem to calculate $A_1A_2...A_n$ where A_i is an $a_i \times b_i$ matrix for $i \in [1, n]$. This implies that $b_{i-1} = a_i$ for $i \in [2, n]$, and the final result is an $a_1 \times b_n$ matrix. You are given an algorithm \mathcal{A} that, given an $a \times b$ matrix \mathcal{A} and a $b \times c$ matrix \mathcal{B} , can calculate \mathcal{AB} in O(abc) time. To calculate $A_1A_2...A_n$, you can apply *parenthesization*, namely, convert the expression to $(A_1...A_i)(A_{i+1}...A_n)$ for some $i \in [1, n-1]$, and then parenthesize each of $A_1...A_i$ and $A_{i+1}...A_n$ recursively. A *fully parenthesized product* is

- either a single matrix or
- the product of two fully parenthesized products.

For example, if n = 4, then $(A_1A_2)(A_3A_4)$ and $((A_1A_2)A_3)A_4$ are fully parenthesized, but $A_1(A_2A_3A_4)$ is not. Each fully parenthesized product has a computation cost under \mathcal{A} ; e.g., given $(A_1A_2)(A_3A_4)$, you first calculate $B_1 = A_1A_2$ and $B_2 = A_3A_4$, and then calculate B_1B_2 , all

using \mathcal{A} . The cost of the fully parenthesized product is the total cost of the three pairwise matrix multiplications.

Design an algorithm to find in $O(n^3)$ time a fully parenthesized product with the smallest cost. Solution. Given i, j satisfying $1 \le i \le j \le n$, we define cost(i, j) to be the smallest achievable cost for calculating $A_i A_{i+1} \dots A_j$ with parenthesization. Our objective is to calculate cost(1, n).

A key observation is that $B_1 = A_i...A_k$ is an $a_i \times b_k$ matrix and $B_2 = A_{k+1}...A_j$ is an $a_{k+1} \times b_j$ matrix (where $b_k = a_{k+1}$); so it takes $O(a_i b_k b_j)$ time to compute $B_1 B_2$. This means that if we start with the parenthesization $(A_i...A_k)(A_{k+1}...A_j)$, the best achievable cost is $cost(i, k) + cost(k + 1, j) + O(a_i b_k b_j)$. This implies:

$$cost(i,j) = \begin{cases} O(1) & \text{if } i = j \\ \min_{k=i}^{j-1} (cost(i,k) + cost(k+1,j) + O(a_i b_k b_j)) & \text{if } i < j \end{cases}$$

Using dynamic programming, we can compute cost(1, n) in $O(n^3)$ time. Using the "piggyback technique", we can produce an optimal parenthesization in $O(n^3)$ extra time.

Problem 3 (Longest Ascending Subsequence). Let A be a sequence of n distinct integers. A sequence B of integers is a *subsequence* of A if it satisfies one of the following conditions:

- A = B or
- we can convert A to B by repeatedly deleting integers.

The subsequence B is ascending if its integers are arranged in ascending order. Design an algorithm to find an ascending subsequence of A with the maximum length. Your algorithm should run in $O(n^2)$ time. For example, if A = (10, 5, 20, 17, 3, 30, 25, 40, 50, 60, 24, 55, 70, 58, 80, 44), then a longest ascending sequence is (10, 20, 30, 40, 50, 60, 70, 80).

Solution. We say that B is an end-aligned ascending subsequence of A if A[n] is the last integer in B. In the example given in the problem statement, (5, 20, 30, 40, 44) is an end-aligned ascending subsequence of A, while (10, 20, 30, 40, 50, 60, 70, 80) is not. Given an $i \in [1, n]$, we use len(i) to denote the maximum length of all end-aligned ascending subsequences of A[1:i]. In our example, len(16) = 5 because (5, 20, 30, 40, 50, 60, 70, 80) is longest end-aligned ascending subsequence of A, but len(15) = 8 because (10, 20, 30, 40, 50, 60, 70, 80) is longest end-aligned ascending subsequence of A[1:i].

Let B be an (arbitrary) end-aligned ascending subsequence of A[1:i], and define k to be the length of B. There are two possibilities.

- k = 1. This implies that A[j] > A[i] for all j < i.
- k > 1. In this case, let j be the integer such that B[k-1] = A[j]. Then, B[1:k-1] must be an end-aligned longest subsequence of A[1:j].

Given an $i \in [1, n]$, define $S(i) = \{j \mid j < i \text{ and } A[j] < A[i]\}$. The above discussion implies:

$$len(i) = 1 + \max_{j \in S(i)} len(j)$$

Using dynamic programming, we can compute len(i) for all $i \in [1, n]$ in $O(n^2)$ time.

The maximum length of all ascending subsequences of A is

$$\max_{i=1}^{n} len(i).$$

By the "piggyback technique", we can produce a longest ascending subsequence of A in $O(n^2)$ extra time.

Problem 4*. In this problem, we will revisit a regular exercise discussed before and derive a faster algorithm using dynamic programming.

Let A be an array of n integers (A is not necessarily sorted). Each integer in A may be positive or negative. Given i, j satisfying $1 \le i \le j \le n$, define subarray A[i : j] as the sequence (A[i], A[i+1], ..., A[j]), and the weight of A[i : j] as A[i] + A[i+1] + ... + A[j]. For example, consider A = (13, -3, -25, 20, -3, -16, -23, 18); A[1 : 4] has weight 5, while A[2 : 4] has weight -8. Design an algorithm to find a subarray of A with the largest weight in O(n) time.

Remark: We solved the problem using divide-and-conquer in $O(n \log n)$ time before.

Solution. Given a subarray A[i : j], we refer to j as the subarray's *ending position*. For each $k \in [1, n]$, define maxwght(k) as the largest weight of all the subarrays whose ending positions are k. It holds that

 $maxwght(k) = \begin{cases} A[k] & \text{if } k = 1\\ A[k] & \text{if } k > 1 \text{ and } maxwght(k-1) \le 0\\ maxwght(k-1) + A[k] & \text{if } k > 1 \text{ and } maxwght(k-1) > 0 \end{cases}$

The above obviously holds for k = 1. Next, we will prove its correctness for k > 1. Let $t \in [1, k]$ be an integer that maximizes the weight of A[t : k].

Consider first the scenario where $maxwght(k-1) \leq 0$. Suppose (for contradiction purposes) that t < k. Then, the weight of A[t:k-1], which cannot exceed maxwght(k-1), must be non-positive. Hence, the weight of A[t:k] is at most A[k:k]. This implies that the weight of A[t:k] — which is maxwght(k) — must be exactly A[k], establishing the second branch in the definition.

Finally, consider maxwght(k-1) > 0. Let t' be an integer such that the weight of A[t': k-1] equals maxwght(k-1). As A[t': k] has a larger weight than A[k: k], we can assert that t < k. Next, we argue that A[t: k-1] and A[t': k-1] must have the same weight, i.e., maxwght(k-1). Otherwise, A[t: k-1] has a lower weight than A[t': k-1], because of which A[t: k] has a lower weight than A[t': k-1], contradicting the role of t. This establishes the third branch of the definition.

Using dynamic programming, we can calculate maxwght(k) for all $k \in [1, n]$ in O(n) time. The maximum weight of all the subarrays of A equals

$$\max_{k=1}^{n} maxwght(k)$$

which can also be obtained in O(n) time. By resorting to the "piggyback" technique, we can obtain a subarray with the maximum weight in O(n) extra time.