## CSCI3160: Regular Exercise Set 1

Prepared by Yufei Tao

**Problem 1.** Recall that our RAM model has an atomic operation RANDOM(x, y) which, given integers x, y, returns an integer chosen uniformly at random from [x, y]. Suppose that you are allowed to call the operation *only* with x = 1 and y = 128. Describe an algorithm to obtain a uniformly random number between 1 and 100. Your algorithm must finish in O(1) expected time.

**Solution.** Call RANDOM(1,128) and let z be its return value. Output z if it is in [1,100]. Otherwise, repeat from the beginning. We need to call the operator twice in expectation because each time z has probability 100/128 to fall in the range we want.

**Problem 2\*.** Suppose that we enforce an even harder constraint that you are allowed to call RANDOM(x, y) only with x = 0 and y = 1. Describe an algorithm to generate a uniformly random number in [1, n] for an arbitrary integer n. Your algorithm must finish in  $O(\log n)$  expected time.

**Solution.** We first obtain the smallest power of 2 that is at least n. For this purpose, set x = 1, and double x each time until  $x \ge n$ . The final x is the power of 2 we are looking for. This takes  $O(\log n)$  time.

Next we will generate a uniformly random number y in [1, x]. For this purpose, call RANDOM(0, 1), and let z be its return. If z = 0, we proceed to generate a random number in [1, x/2] recursively; otherwise, proceed in [(x/2) + 1, x] recursively. Note that the range of numbers has shrunk by half. The recursion goes on  $O(\log n)$  steps before the range contains only one number, which is the y we want.

Return y if  $y \le n$ . Otherwise, repeat by generating another y. Since  $y \ge x/2$ , at most 2 repeats are needed in expectation. The overall time is therefore  $O(\log n)$  in expectation.

**Problem 3.** Consider the following algorithm to find the greatest common divisor of n and m where  $n \leq m$ :

```
algorithm GCD(n,m)

if n = 0 then

return m

m = m - n

if n \le m then return GCD(n,m)

else return GCD(m,n)
```

Prove:

- 1. The time complexity of the algorithm is O(m).
- 2. The time complexity of the algorithm is  $\Omega(m)$ .

## Solution.

Proof of Statement 1: Each time a recursive call to the algorithm is made,  $\max\{n, m\}$  decreases by at least 1. Therefore, there can be at most m calls overall. Each call clearly takes O(1) time.

*Proof of Statement 2:* Fix n = 1. It is clear that the algorithm must make m calls.

**Problem 4.** Consider an input array A that has n = 120 elements. Suppose that we choose a number v in A uniformly at random. What is the probability that the rank of v (among all the numbers in A) fall in the range [35, 78]?

Solution. (78 - 35 + 1)/120 = 44/120.

Problem 5<sup>\*\*</sup> (A Simpler Randomized Algorithm for k-Selection, but with a More Tedious Analysis ). In the k-selection problem, we have an array S of n distinct integers (not necessarily sorted). We would like to find the k-th smallest integer in S where  $k \in [1, n]$ . Here is another way of solving it using randomization. If n = 1, then we simply return the only element in S. For n > 1, we proceed as follows:

- Randomly pick an integer v in S, and obtain the rank r of v in S.
- If r = k, return v.
- If r > k, produce an array S' containing the integers of S that are smaller than v. Recurse by finding the k-th smallest in S'.
- Otherwise, produce an array S' containing the integers of S that are larger than v. Recurse by finding the (r k)-th smallest in S'.

Prove that the above algorithm finishes in O(n) expected time.

**Solution.** Let f(n) be the expected time of the above algorithm on an input of size n. Clearly, f(0) = O(1) and f(1) = O(1).

Consider n > 1. The rank r of v is uniformly distributed in [1, n], namely, for each  $i \in [1, n]$ ,  $\mathbf{Pr}[r = i] = 1/n$ . When r = i, it determines a "left subset" containing the i - 1 integers of Ssmaller than v, and a "right subset" of size n - i. In the worst case, we recurse into the larger of the two subsets, namely, we would need to solve the problem on an array of size  $\max\{i - 1, n - i\}$ . This gives rise to the following recurrence (for some constant  $\alpha > 0$ ):

$$f(n) \leq \alpha \cdot n + \frac{1}{n} \sum_{i=1}^{n} f(\max\{i-1, n-i\})$$
$$\leq \alpha \cdot n + \frac{2}{n} \sum_{i=\lceil n/2 \rceil}^{n} f(i-1)$$

We will prove that the recurrence leads to  $f(n) \leq cn$  for some constant c > 0. First, this is obviously true for  $n \leq 24$  when c is at least a certain constant, say  $\beta$  (when n = O(1)), the algorithm definitely finishes in constant time).

Suppose that  $f(n) \leq cn$  for  $n \leq k-1$  where  $k \geq 24$ . Set  $t = \lfloor k/2 \rfloor$ . We have:

$$\begin{array}{lll} f(k) &\leq & \alpha \cdot k + \frac{2}{k} \sum_{i=t}^{k} c(i-1) = \alpha \cdot k + \frac{2c}{k} \sum_{i=t-1}^{k-1} i \\ &= & \alpha \cdot k + \frac{2c}{k} \frac{(k+t-2)(k-t+1)}{2} < \alpha \cdot k + \frac{c(k^2+3t-t^2)}{k} \\ &< & (\alpha+c)k + 3c - c\frac{t^2}{k} \leq (\alpha+c)k + 3c - c\frac{(k/2)^2}{k} \\ &= & (\alpha+c)k + 3c - ck/4 \end{array}$$

We need the above to be at most ck, namely:

$$\begin{aligned} (\alpha + c)k + 3c - ck/4 &\leq ck \\ \Leftrightarrow \alpha k + 3c &\leq ck/4 \\ &\Leftarrow \begin{cases} ck/4 \geq 2\alpha k \\ ck/4 \geq 6c. \\ &\Leftarrow \begin{cases} c \geq 8\alpha \\ k \geq 24. \end{cases} \end{aligned}$$

Hence, setting  $c = \max\{\beta, 8\alpha\}$  completes the proof.