

## SAMPLE QUESTIONS FOR DISCUSSION IN WEEK 12 EXERCISE CLASSES (21, 23 November 2016)

You may want to think about them before attending exercise class.

SQ28 - Applying and making sense of the Sommerfeld expansion

SQ29 - How does  $\mu \rightarrow 0$  from below in Bose gas and the concept of macroscopic occupation of single-particle ground state

## SQ28 The Sommerfeld expansion - Using it and making sense of it.

**Summary on Key Equations:** In studying ideal Fermi gas, the key equations are

$$N = \sum_{s.p. \text{ states } i} \frac{1}{e^{(\epsilon_i - \mu)/kT} + 1} \quad (1)$$

$$E = \sum_{s.p. \text{ states } i} \frac{\epsilon_i}{e^{(\epsilon_i - \mu)/kT} + 1} \quad (2)$$

$$pV = kT \sum_{s.p. \text{ states } i} \ln \left( 1 + e^{-(\epsilon_i - \mu)/kT} \right) \quad (3)$$

where the summations are **over all single-particle states**. Equations (1) and (2) have clear physical interpretation as the Fermi-Dirac distribution gives the number of fermion in a single-particle state. Equation (3) follows from  $pV = -\Omega = kT \ln Q_F$ . **These equations are general** in that they can be used to study ideal Fermi gas in any spatial dimension with any  $\epsilon(k)$  dispersion relation.

In applying these equations to ideal Fermi gas, we turn the summations into integrals by invoking the **density of states**  $g(\epsilon)$ , as discussed in Chapter VIII. In doing so, we encounter integrals of the following form

$$\int_0^\infty \frac{f(\epsilon)}{e^{(\epsilon - \mu)/kT} + 1} d\epsilon \quad (4)$$

where  $f(\epsilon)$  is some function of the single-particle energy  $\epsilon$ . For example, Eq. (1) gives  $f(\epsilon) = g(\epsilon)$ , Eq. (2) gives  $f(\epsilon) = \epsilon g(\epsilon)$ , and Eq. (3) gives  $f(\epsilon) = g(\epsilon) \ln \left( 1 + e^{-(\epsilon - \mu)/kT} \right)$ . If you understand everything up to here, you are in good shape.

**Sommerfeld Expansion:** In Fermi gas physics, the  $T = 0$  physics and  $kT \ll \mu$  (low-temperature) physics are the most important. It is because the Pauli Exclusion Principle imposes an energy scale  $E_F$  that is usually high comparing with the ordinary temperature (thus  $kT$ ) that we want to study the system. For  $kT \ll \mu$ , the following Sommerfeld formula can be used

$$\int_0^\infty \frac{f(\epsilon)}{e^{(\epsilon - \mu)/kT} + 1} d\epsilon \approx \int_0^\mu f(\epsilon) d\epsilon + \frac{\pi^2}{6} (kT)^2 f'(\mu) \quad (5)$$

where

$$f'(\mu) \equiv \left( \frac{df(\epsilon)}{d\epsilon} \right) \Big|_{\epsilon=\mu} \quad (6)$$

In our course, you are not expected to know how to derive the formula, but you are expected to know how to apply the formula.

- Applying the formula:** Let's say there is a situation in which the density of states has the form  $g(\epsilon) = \mathcal{A}\epsilon^2$ , **illustrate** how Eq. (1) and Eq.(2) can be treated by the Sommerfeld expansion. [Don't need to work out the Fermi gas physics. Just show clearly how to apply Eq. (5).]
- Making sense of it:** **Show** Eq. (5) (in a more physical than mathematical way).

SQ29 **How does  $\mu \rightarrow 0$  from below in Bose gas? (Related to Ch.VII, Ch.XII and Ch.XIV)**

In Ch.VII and Ch.XII, we derived the Bose-Einstein distribution (twice) and stressed that its physical meaning is the number of bosons in a single-particle state of energy  $\epsilon$ . **Such a number cannot be negative.** This simple physical sense has important implication.

The general expression for the number of bosons  $N$  in a Bose gas is given by

$$N = \sum_{s.p. \text{ states } i} \frac{1}{e^{(\epsilon_i - \mu)/kT} - 1} \quad (7)$$

where the summation is over single-particle states. As the expression in the summation cannot be negative, it follows that the chemical potential  $\mu$  must obey  $\mu < \epsilon_i$  for *all* single-particle states  $i$ . Let  $\epsilon_{lowest}$  be the lowest energy of the single-particle states (ground state),  $\mu$  is then restricted to  $\mu < \epsilon_{lowest}$  to make sure that the number of bosons in any state will NOT be negative. Practically,  $\epsilon_{lowest}$  (recall particle-in-a-BIG-box) can be taken as zero. **Therefore,  $\mu < 0$  and this statement must be true at all temperatures.** Observe that for fermions (see Eq. (1)), we don't need to worry because of the "+1" in the denominator. Thus the "-1" in the Bose-Einstein distribution makes a lot of differences.

Here, TA will show the mathematical form of how  $\mu \rightarrow 0$  from below.

- (a) Let  $N_0$  be the number of bosons in the lowest single-particle state, i.e., the state with  $\epsilon_{lowest} = 0$ . Single out  $N_0$  from Eq.(7) and **show that**

$$\mu = -kT \ln \left( 1 + \frac{1}{N_0} \right). \quad (8)$$

Immediately, one sees  $\mu < 0$  for all temperatures.

- (b) For bosons, any number of them could occupy a single-particle state. In the limit of  $T \rightarrow 0$ , we would expect  $N_0 \rightarrow N$ , as all the bosons can occupy the single-particle ground state and thus  $\mu$  becomes 0. Fine! But here is the **key point**. In many cases, we don't need to go to  $T = 0$ . Instead for a range of low-temperatures  $T < T_c$ ,  $N_0$  becomes **a macroscopic number**. What it really means is that **a finite fraction of bosons** in the system go into the single-particle ground state. Thus, in the thermodynamic limit,  $N \rightarrow \infty$  and  $V \rightarrow \infty$  with  $N/V = \text{finite}$ , a finite fraction implies  $N_0 \rightarrow \infty$ . Show that in this case,

$$\mu \sim -kT \frac{1}{N_0} \rightarrow 0 \quad (9)$$

for  $T < T_c$ . In technical jargon, when the ground state is **macroscopically occupied** at sufficient low temperatures, we have **Bose-Einstein condensation**. In other words, Bose-Einstein condensation refers to the macroscopic occupation of the ground state at low temperatures.

[Remark: The idea of **macroscopic occupation** of the ground state is important. When there is a bit of inter-particle interaction between the bosons, even the  $T = 0$  state may not consist of all the bosons in the ground state. However, as long as there is a macroscopic occupation (a finite fraction of the whole system of bosons) of the ground state, there is Bose-Einstein condensation. In contrast, we could have one or two fermions (spin) in the single-particle ground state even as  $T \rightarrow 0$ , thus no macroscopic occupation of single-particle ground state for a Fermi gas.]

- (c) Hence, put the information together and sketch schematically  $\mu(T)$  for an 3D ideal Bose gas.