## MATH1050 Theoretical results involving image sets and pre-image sets

## 1. Definitions.

Let A, B be sets and  $f : A \longrightarrow B$  be a function from A to B.

(a) Let S be a subset of A. The image set of the set S under the function f is defined to be the set

 $\{y \in B : \text{There exists some } x \in S \text{ such that } y = f(x)\}.$ 

It is denoted by f(S).

(b) Let U be a subset of B. The pre-image set of the set U under the function f is defined to be the set

 $\{x \in A : \text{There exists some } y \in U \text{ such that } y = f(x)\}.$ 

It is denoted by  $f^{-1}(U)$ .

## 2. Theorem (1).

Let A, B be sets, and  $f : A \longrightarrow B$  be a function. The following statements hold:

- (1a)  $f(\emptyset) = \emptyset$ .
- (1b)  $f^{-1}(\emptyset) = \emptyset$ .
- (1c)  $f(A) \subset B$ .
- (1d)  $f^{-1}(B) = A$ .
- (1e) Let  $x \in A$ .  $f(\{x\}) = \{f(x)\}.$

(1f) Let  $x \in A$ ,  $y \in B$ . The statements below are logically equivalent:

- (i)  $x \in f^{-1}(\{y\})$ .
- (ii)  $f(x) \in \{y\}.$
- (iii) f(x) = y.

The proof of Theorem (1) is left as an exercise.

## 3. Theorem (2).

Let A, B be sets, and  $f: A \longrightarrow B$  be a function. The following statements hold:

- (2a) Let S, T be subsets of A. Suppose  $S \subset T$ . Then  $f(S) \subset f(T)$ .
- (2b) Let H, K be subsets of A.
  - (1)  $f(H \cup K) \supset f(H) \cup f(K)$ .
  - (2)  $f(H \cup K) \subset f(H) \cup f(K)$ .
  - (3)  $f(H \cup K) = f(H) \cup f(K).$
- (2c) Let H, K be subsets of A.  $f(H \cap K) \subset f(H) \cap f(K)$ .

We give the proof of Theorem (2) below.

### 4. Proof of Statement (2a) of Theorem (2) (with pictures).

Let A, B be sets and  $f : A \longrightarrow B$  be a function. Let S, T be subsets of A. Suppose  $S \subset T$ . [We want to deduce that  $f(S) \subset f(T)$ . What to do, really? We want to prove:

'for any object y, if  $y \in f(S)$  then  $y \in f(T)$ .'

Think about this before proceeding any further.]

(1) Pick any object y. [From now on, this y is fixed.] Suppose  $y \in f(S)$ . [We want to deduce that  $y \in f(T)$ .]



(2) Then [according to the definition of image sets,] there exists some  $x \in S$  such that y = f(x). [What does it say about x, y?]



(3) Since  $x \in S$  and  $S \subset T$ , we have  $x \in T$  [according to the definition of subsets].



(4) For the same x, y, since  $x \in T$  and y = f(x), we have  $y \in f(T)$  [according to the definition of image sets].



It follows that  $f(S) \subset f(T)$ .

## Proof of Statement (2a) of Theorem (2) (without pictures).

Let A, B be sets and  $f : A \longrightarrow B$  be a function. Let S, T be subsets of A. Suppose  $S \subset T$ . [We want to deduce that  $f(S) \subset f(T)$ .]

Pick any object y. Suppose  $y \in f(S)$ . [We want to deduce that  $y \in f(T)$ .] Then there exists some  $x \in S$  such that y = f(x). Since  $x \in S$  and  $S \subset T$ , we have  $x \in T$ . For the same x, y, since  $x \in T$  and y = f(x), we have  $y \in f(T)$ .

It follows that  $f(S) \subset f(T)$ .

## Very formal proof of Statement (2a) of Theorem (2).

Let A, B be sets and  $f : A \longrightarrow B$  be a function. Let S, T be subsets of A. Suppose  $S \subset T$ . [We want to deduce that  $f(S) \subset f(T)$ .] Pick any object y.

[We want to prove that if y ∈ f(S) then y ∈ f(T).]
I. Suppose y ∈ f(S). [Assumption.]
II. S ⊂ T. [Assumption.]
III. There exists some x ∈ S such that y = f(x). [I, definition of image sets.]

**IV**.  $x \in S$ . **[III**.] **V**.  $x \in T$ . **[II**, **IV**, definition of subsets.] **VI**. y = f(x). **[III**.] **VII**.  $y \in f(T)$ . **[V**, **VI**, definition of image sets.]

It follows that  $f(S) \subset f(T)$ .

### 5. Proof of Statement (2b) of Theorem (2).

Let A, B be sets and  $f: A \longrightarrow B$  be a function. Let H, K be subsets of A.

- (1) [We want to prove that  $f(H) \cup f(K) \subset f(H \cup K)$ .] By (2a), since  $H \subset H \cup K$ , we have  $f(H) \subset f(H \cup K)$ . Also by (2a), since  $K \subset H \cup K$ , we have  $f(K) \subset f(H \cup K)$ . Then  $f(H) \cup f(K) \subset f(H \cup K)$ . [Why?]
- (2) [We want to prove that  $f(H \cup K) \subset f(H) \cup f(K)$ . What does it amount to? Focus on ' $\subset$ '. We want to prove:

'for any object y, if  $y \in f(H \cup K)$  then  $y \in f(H) \cup f(K)$ .'

Think about it before proceeding any further.]

Pick any object y. Suppose  $y \in f(H \cup K)$ . [We want to deduce that  $y \in f(H) \cup f(K)$ .]

[Now make use of the definition of image sets.] There exists some  $x \in H \cup K$  such that y = f(x). [What does it say about x, y?]

Since  $x \in H \cup K$ , we have  $x \in H$  or  $x \in K$ .

- \* (Case 1). Suppose  $x \in H$ . Since y = f(x) and  $x \in H$ , we have  $y \in f(H)$ . Then  $y \in f(H)$  or  $y \in f(K)$ .
- \* (Case 2). Suppose  $x \in K$ . Modifying the argument for (Case 1), we also deduce that  $y \in f(H)$  or  $y \in f(K)$ .

Hence, in any cases, we have  $y \in f(H) \cup f(K)$ . It follows that  $f(H \cup K) \subset f(H) \cup f(K)$ .

(3) By (2b1), (2b2), we have  $f(H \cup K) = f(H) \cup f(K)$ .

#### 6. Proof of Statement (2c) of Theorem (2).

Let A, B be sets and  $f : A \longrightarrow B$  be a function. Let H, K be subsets of A. [We want to prove  $f(H \cap K) \subset f(H) \cap f(K)$ . Focus on ' $\subset$ '.]

• [We apply (2a).]

Since  $H \cap K \subset H$ , we have  $f(H \cap K) \subset f(H)$ . Since  $H \cap K \subset K$ , we have  $f(H \cap K) \subset f(K)$ . Then  $f(H \cap K) \subset f(H) \cap f(K)$ .

## 7. Theorem (3).

Let A, B be sets, and  $f: A \longrightarrow B$  be a function. The following statements hold:

- (3a) Let U, V be subsets of B. Suppose  $U \subset V$ . Then  $f^{-1}(U) \subset f^{-1}(V)$ .
- (3b) Let U, V be subsets of B.
  - (1)  $f^{-1}(U \cup V) \supset f^{-1}(U) \cup f^{-1}(V).$
  - (2)  $f^{-1}(U \cup V) \subset f^{-1}(U) \cup f^{-1}(V).$
  - (3)  $f^{-1}(U \cup V) = f^{-1}(U) \cup f^{-1}(V).$
- (3c) Let U, V subsets of B.
  - (1)  $f^{-1}(U \cap V) \subset f^{-1}(U) \cap f^{-1}(V)$ .
  - (2)  $f^{-1}(U \cap V) \supset f^{-1}(U) \cap f^{-1}(V).$
  - (3)  $f^{-1}(U \cap V) = f^{-1}(U) \cap f^{-1}(V).$

We give the proof of Statement (3b2) of Theorem (3) below. The proof of the rest of Theorem (3) is left as exercises.

#### 8. Proof of Statement (3b2) of Theorem (3).

Let A, B be sets, and  $f: A \longrightarrow B$  be a function. Let U, V be subsets of B.

[We want to prove that  $f^{-1}(U \cup V) \subset f^{-1}(U) \cup f^{-1}(V)$ .

What to do, really? We want to prove:

'for any object x, if  $x \in f^{-1}(U \cup V)$  then  $x \in f^{-1}(U) \cup f^{-1}(V)$ .'

Think about this before proceeding any further.]

Pick any object x.

Suppose  $x \in f^{-1}(U \cup V)$ . Then [according to the definition of pre-image sets,] there exists some  $y \in U \cup V$  such that y = f(x).

Since  $y \in U \cup V$ , we have  $y \in U$  or  $y \in V$  [according to the definition of unions].

- \* (Case 1). Suppose  $y \in U$ . [Recall y = f(x).] Then y = f(x) for this  $y \in U$ . Therefore  $x \in f^{-1}(U)$  [according to the definition of pre-image sets].
- \* (Case 2). Suppose  $y \in V$ . [Recall y = f(x).] Then y = f(x) for this  $y \in V$ . Therefore  $x \in f^{-1}(V)$  [according to the definition of pre-image sets].

Now  $x \in f^{-1}(U)$  or  $x \in f^{-1}(V)$ . Therefore  $x \in f^{-1}(U) \cup f^{-1}(V)$  [according to the definition of unions].

It follows that  $f^{-1}(U \cup V) \subset f^{-1}(U) \cup f^{-1}(V)$ .

#### 9. Remark.

Which of the statements is true? Which not?

- (a) Let A, B be sets, and  $f: A \longrightarrow B$  be a function. Let S, T be subsets of A. Suppose  $f(S) \subset f(T)$ . Then  $S \subset T$ .
- (b) Let A, B be sets, and  $f : A \longrightarrow B$  be a function. Let U, V be subsets of B. Suppose  $f^{-1}(U) \subset f^{-1}(V)$ . Then  $U \subset V$ .
- (c) Let A, B be sets, and  $f: A \longrightarrow B$  be a function. Let H, K be subsets of A.  $f(H \cap K) \supset f(H) \cap f(K)$ .

They are all false. (Can you provide counter-examples for the respective dis-proofs?)

# 10. Theorem (4).

Let A, B, C be sets, and  $f: A \longrightarrow B, g: B \longrightarrow C$  be functions. The following statements hold:

- (4a) Let S be a subset of A.  $(g \circ f)(S) = g(f(S))$ .
- (4b) Let W be a subset of C.  $(g \circ f)^{-1}(W) = f^{-1}(g^{-1}(W)).$

We give the proof of Statement (4b) below; the proof of Statement (4a) is left as an exercise.

## 11. Proof of Statement (4b) of Theorem (4).

Let A, B, C be sets, and  $f : A \longrightarrow B, g : B \longrightarrow C$  be functions. Let W be a subset of C.

[We want to prove the set equality  $(g \circ f)^{-1}(W) = f^{-1}(g^{-1}(W))$ .

Hence we separate arguments into two parts, each on a 'subset relation'.

Which two?

 $(\alpha) \ (g \circ f)^{-1}(W) \subset f^{-1}(g^{-1}(W)).$ 

This reads:

'for any object x, if  $x \in (g \circ f)^{-1}(W)$  then  $x \in f^{-1}(g^{-1}(W))$ .

 $(\beta) \ (g \circ f)^{-1}(W) \supset f^{-1}(g^{-1}(W)).$ 

This reads:

'for any object x, if 
$$x \in f^{-1}(g^{-1}(W))$$
 then  $x \in (g \circ f)^{-1}(W)$ .

Think about this before proceeding any further.]

- ( $\alpha$ ) [We are going to prove that  $(g \circ f)^{-1}(W) \subset f^{-1}(g^{-1}(W))$ .]
  - (1) Pick any object x. Suppose that  $x \in (g \circ f)^{-1}(W)$ . [We want to deduce that  $x \in f^{-1}(g^{-1}(W))$ .]



(2) Then [according to the definition of pre-image sets,] there exists some  $z \in W$  such that  $z = (g \circ f)(x)$ .



(3) We have z = g(f(x)) [by the definition of composition of functions]. Take y = f(x). Note that  $y \in B$ .



(4) We have g(y) = g(f(x)) = z and  $z \in W$ . Then  $y \in g^{-1}(W)$  [according to the definition of pre-image sets].



(5) f(x) = y and  $y \in g^{-1}(W)$ . Then  $x \in f^{-1}(g^{-1}(W))$  [according to the definition of pre-image sets].

		·····		
x	y = f(x)	y	z = g(y)	z
$(g\circ f)^{-1}(W)$		$g^{-1}(W)$		W
$f^{-1}(g^{-1}(W))$				
A	f	В	g	C

( $\beta$ ) [We are going to prove that  $(g \circ f)^{-1}(W) \supset f^{-1}(g^{-1}(W))$ .] Pick any object x. Suppose  $x \in f^{-1}(g^{-1}(W))$ . [We want to deduce that  $x \in (g \circ f)^{-1}(W)$ .] Then [according to the definition of pre-image sets,] there exists some  $y \in g^{-1}(W)$  such that y = f(x). Now  $y \in g^{-1}(W)$ . Then [according to the definition of pre-image sets,] there exists some  $z \in W$  such that z = g(y). We have  $z = g(y) = g(f(x)) = (g \circ f)(x)$  and  $z \in W$ . Then [according to the definition of pre-image sets,] we have  $x \in (g \circ f)^{-1}(W)$ .

It follows that  $(g \circ f)^{-1}(W) = f^{-1}(g^{-1}(W))$ .

## 12. Theorem (5).

Let A, B be sets, and  $f: A \longrightarrow B$  be a function. The following statements hold:

- (5a) Let S be a subset of A.  $f^{-1}(f(S)) \supset S$ .
- (5b) Let U be a subset of B.  $f(f^{-1}(U)) \subset U$ .
- (5c) Let S be a subset of A.  $f(f^{-1}(f(S))) = f(S)$ .
- (5d) Let U be a subset of B.  $f^{-1}(f(f^{-1}(U))) = f^{-1}(U)$ .
- (5e) Let S be a subset of A, and U be a subset of B.  $f(S \cap f^{-1}(U)) = f(S) \cap U$ .

The proof of Theorem (5) is left as an exercise.

#### 13. Definition.

Let A, B be sets and  $f: A \longrightarrow B$  be a function. f is said to be surjective if the statement (S) holds:

(S): For any  $y \in B$ , there exists some  $x \in A$  such that y = f(x).

#### Theorem (6). (Characterizations of surjectivity).

Let A, B be sets and  $f: A \longrightarrow B$  be a function. The following statements are equivalent:

- (I) f is surjective.
- (Ia) f(A) = B.
- (Ib)  $f(A) \supset B$ .
- (II) For any subset U of B,  $f(f^{-1}(U)) \supset U$ .
- (IIa) For any subset U of B,  $f(f^{-1}(U)) = U$ .
- (III) For any subset U of B, there exists some subset S of A such that U = f(S).
- (IV) For any subset T of A,  $f(A \setminus T) \supset B \setminus f(T)$ .
- (V) For any subset U, V of B, if  $f^{-1}(U) \subset f^{-1}(V)$  then  $U \subset V$ .
- (VI) For any subset U, V of B, if  $f^{-1}(U) = f^{-1}(V)$  then U = V.

**Proof of Theorem (6)**. Let A, B be sets and  $f : A \longrightarrow B$  be a function.

- The justification for the equivalence of (I), (Ia), (Ib) is a simple 'game of words'. The justification for the equivalence of (II), (IIa) is also a simple 'game of words'. They are left as exercises.
- ['(I)  $\implies$  (II)'?] Suppose (I) holds. Let U be a subset of B. [We want to deduce that for any object y, if  $y \in U$  then  $y \in f(f^{-1}(U))$ .]

Pick any object y. Suppose  $y \in U$ . [We want to deduce that  $y \in f(f^{-1}(U))$ .] Since f is surjective, there exists some  $x \in A$  such that y = f(x). Since y = f(x) and  $y \in U$ , we have  $x \in f^{-1}(U)$ . Since y = f(x) and  $x \in f^{-1}(U)$ , we have  $y \in f(f^{-1}(U))$ .

It follows that  $f(f^{-1}(U)) \supset U$ .

- ['(II)  $\implies$  (III)'?] Suppose that (II) holds. Let U be a subset of B. Take  $S = f^{-1}(U)$ .  $S \subset A$  and  $f(S) = f(f^{-1}(U)) = U$ .
- ['(III)  $\implies$  (I)'?] Suppose that (III) holds. Note that B is a subset of B. Then there exists some subset S of A such that B = f(S). Since  $S \subset A$ , we have  $B = f(S) \subset f(A)$ . Hence f is surjective.
- ['(I)  $\implies$  (IV)'?] Suppose that (I) holds. Let T be a subset of A. Pick any object y. Suppose  $y \in B \setminus f(T)$ . Then  $y \in B$  and  $y \notin f(T)$ . By surjectivity, there exists some  $x \in A$  such that y = f(x). We claim that  $x \in A \setminus T$ :

[Justification using proof-by-contradiction.] Suppose it were true that  $x \notin A \setminus T$ . Then  $x \in T$ . We would then have  $y = f(x) \in f(T)$ . But  $y \notin f(T)$  in the first place. Contradiction arises.

Now  $x \in A \setminus T$ . Therefore  $y = f(x) \in f(A \setminus T)$ . It follows that  $B \setminus f(T) \subset f(A \setminus T)$ .

- ['(IV)  $\Longrightarrow$  (I)'?] Suppose that (IV) holds. Then  $f(A) = f(A \setminus \emptyset) \supset B \setminus f(\emptyset) = B \setminus \emptyset = B$ .
- $['(I) \implies (V)'?]$  Exercise.
- $[(V) \implies (VI)?]$  Exercise: game of words.
- $[(VI) \implies (I)?]$  Exercise.

## 14. Definition.

Let A, B be sets and  $f: A \longrightarrow B$  be a function. f is said to be **injective** if the statement (I) holds:

(I): For any  $x, w \in A$ , if f(x) = f(w) then x = w.

#### Theorem (7). (Characterizations of injectivity).

Let A, B be sets and  $f: A \longrightarrow B$  be a function. The following statements are equivalent:

- (I) f is injective.
- (II) For any subset S of A,  $f^{-1}(f(S)) \subset S$ .
- (IIa) For any subset S of A,  $f^{-1}(f(S)) = S$ .
- (III) For any subset S of A, there exists some subset U of B such that  $S = f^{-1}(U)$ .
- (IV) For any subset S, T of  $A, f(S \cap T) \supset f(S) \cap f(T)$ .
- (IVa) For any subset S, T of  $A, f(S \cap T) = f(S) \cap f(T)$ .
- (V) For any subsets S, T of A, if  $f(S) \subset f(T)$  then  $S \subset T$ .
- (VI) For any subsets S, T of A, if f(S) = f(T) then S = T.

The proof of Theorem (7) is left as an exercise.

## 15. Theorem (8).

Let A, B be sets and  $f : A \longrightarrow B$  be a function.

- (8a) Let  $\{U_n\}_{n=0}^{\infty}$  be an infinite sequence of subsets of B.  $(\{f^{-1}(U_n)\}_{n=0}^{\infty}$  is an infinite sequence of subsets of A.) The following statements hold:
  - (1)  $f^{-1}(\bigcap_{n=0}^{\infty} U_n) = \bigcap_{n=0}^{\infty} f^{-1}(U_n).$ (2)  $f^{-1}(\bigcup_{n=0}^{\cup} U_n) = \bigcup_{n=0}^{\cup} f^{-1}(U_n).$
- (8b) Let  $\{S_n\}_{n=0}^{\infty}$  be an infinite sequence of subsets of A.  $(\{f(S_n)\}_{n=0}^{\infty}$  is an infinite sequence of subsets of B.) The following statements hold:
  - (1)  $f(\bigcap_{n=0}^{\infty} S_n) \subset \bigcap_{n=0}^{\infty} f(S_n).$
  - (2)  $f(\bigcup_{n=0}^{\infty} S_n) = \bigcup_{n=0}^{\infty} f(S_n).$
- $(8c)\$  The statements below are logically equivalent:
  - (i) f is injective.
  - (ii) For any infinite sequence of subsets  $\{S_n\}_{n=0}^{\infty}$  of A,  $f(\bigcap_{n=0}^{\infty}S_n) = \bigcap_{n=0}^{\infty}f(S_n)$ .

The proof of Theorem (8) is left as an exercise (in quantifiers).