

1. **What is ‘dis-proving’?**

To dis-prove a statement is the same as to prove the negation of the statement concerned. Equivalently we may prove that the statement concerned is false.

To proceed to give a dis-proof for a statement, we have to first distinguish whether it starts with a universal quantifier or with an existential quantifier. For the former, we give a **dis-proof by counter-example**. As for the latter, we give a **‘wholesale refutation’**.

Here we will be concerned with dis-proofs by counter-example. For wholesale refutation, refer to the handout *dis-proofs by wholesale refutation*.

2. **Dis-proofs by counter-example.**

Consider a statement of the form below:

M: ‘Let so-and-so be an element of the set *blah-blah-blah*. Suppose so-and-so satisfies *bleh-bleh-bleh*. Then so-and-so satisfies *bloh-bloh-bloh*.’

And also consider its variation in the forms below:

*M*₁: ‘Let so-and-so be an element of the set *blah-blah-blah*. So-and-so satisfies *bloh-bloh-bloh*.’

*M*₂: ‘Suppose so-and-so satisfies *bleh-bleh-bleh*. Then so-and-so satisfies *bloh-bloh-bloh*.’

M is actually a statement starting with a universal quantifier:

$$(\forall x)(H(x) \rightarrow K(x)).$$

H(x) corresponds to the part ‘so-and-so is an element of the set *blah-blah-blah* and so-and-so satisfies *bleh-bleh-bleh*’.

K(x) corresponds to the part ‘so-and-so satisfies *bloh-bloh-bloh*’.

The negation $\sim M$ of the statement *M* is a statement starting with an existential quantifier:

$$(\exists x)(H(x) \wedge (\sim K(x))).$$

In a ‘wordy’ form, it reads:

$\sim M$: ‘There exists so-and-so amongst the elements of the set *blah-blah-blah* such that so-and-so satisfies *bleh-bleh-bleh* and so-and-so does not satisfy *bloh-bloh-bloh*.’

Therefore to prove $\sim M$, we proceed by naming one ‘concrete’ so-and-so amongst the elements of the set *blah-blah-blah* which indeed satisfies *bleh-bleh-bleh* and which does not satisfy *bloh-bloh-bloh*. Such a concrete so-and-so is called a **counter-example** for the statement *M* which we dis-prove.

More generally, to dis-prove a statement of the form

$$\underbrace{(\forall x)(\forall y) \cdots (\forall z)}_{\text{all } \forall\text{'s}}(H(x, y, \cdots, z) \rightarrow K(x, y, \cdots, z)),$$

we prove its negation, which is the statement

$$\underbrace{(\exists x)(\exists y) \cdots (\exists z)}_{\text{all } \exists\text{'s}}[H(x, y, \cdots, z) \wedge (\sim K(x, y, \cdots, z))].$$

We refer to such an argument a dis-proof-by-counter-example.

3. **Simple examples of dis-proofs-by-counter-example.**

(a) We want to dis-prove

$$M: \text{Let } x \in \mathbb{R}. x^2 > 0.$$

Very formally presented, *M* is:

$$\text{For any object } x, (\text{if } x \in \mathbb{R} \text{ then } x^2 > 0).$$

So the statement $\sim M$ reads:

There exists some object x_0 such that ($x_0 \in \mathbb{R}$ and $x_0^2 \leq 0$).

A dis-proof by counter-example against the statement M is:

- Take $x_0 = 0$.
Note that $x_0 \in \mathbb{R}$.
Also $x_0^2 = 0^2 = 0 \leq 0$.
So the statement ' $x_0^2 > 0$ ' is false.

(b) We want to dis-prove

M : Let $n \in \mathbb{N}$. Suppose n is divisible by 3. Then n is divisible by 5.

Very formally presented, M is:

For any object n , if $n \in \mathbb{N}$ and n is divisible by 3 then n is divisible by 5.

So $\sim M$ reads:

There exists some object n_0 such that ($n_0 \in \mathbb{N}$ and n_0 is divisible by 3 and n_0 is not divisible by 5).

A dis-proof by counter-example for the statement M is:

- Take $n_0 = 3$.
Note that $n_0 \in \mathbb{N}$.
Also, n_0 is divisible by 3. (Detail?)
But n_0 is not divisible by 5. (Detail?)

4. Further examples of dis-proofs-by-counter-example.

(a) We want to dis-prove

M : Let $x, y \in \mathbb{Z}$. Suppose x is divisible by y and y is divisible by x . Then $x = y$.

Very formally presented, M is:

For any objects x, y , [if ($x \in \mathbb{Z}$, $y \in \mathbb{Z}$ and x is divisible by y and y is divisible by x) then $x = y$].

So $\sim M$ reads:

There exist some objects x, y such that ($x \in \mathbb{Z}$ and $y \in \mathbb{Z}$ and x is divisible by y and y is divisible by x) and $x \neq y$].

A dis-proof by counter-example against the statement M is:

- Take $x_0 = 1$, $y_0 = -1$.
Note that $x_0, y_0 \in \mathbb{Z}$.
Also, x_0 is divisible by y_0 and y_0 is divisible by x_0 . (Detail?)
But $x_0 \neq y_0$.

(b) We want to dis-prove

M : Let a, b be rational numbers. $a + b\sqrt{2}$ is irrational.

Very formally presented, M is:

For any objects a, b , [if (a is a rational number and b is a rational number) then $a + b\sqrt{2}$ is irrational].

So $\sim M$ reads:

There exist some objects a, b such that (a is a rational number and b is a rational number and $a + b\sqrt{2}$ is not irrational).

A dis-proof by counter-example against the statement M is:

- Take $a_0 = 0$, $b_0 = 0$. a_0, b_0 are rational numbers. $a_0 + b_0\sqrt{2} = 0$. $a_0 + b_0\sqrt{2}$ is not irrational.

(c) We want to dis-prove

M : Let $r, s, t \in \mathbb{R}$. Suppose r is a non-zero rational number and s is an irrational number. Then both $rs + t, rs - t$ are irrational numbers.

A dis-proof by counter-example against the statement M is:

- Take $r_0 = 1$, $s_0 = \sqrt{2}$, $t_0 = \sqrt{2}$. Note that r_0, s_0, t_0 are real numbers.
 r_0 is a non-zero rational number.
 s_0 is an irrational number.
Note that $r_0 s_0 - t_0 = 0$.
One of $r_0 s_0 + t_0, r_0 s_0 - t_0$, namely the latter, is not irrational.

(d) We want to dis-prove

M: The product of any two distinct irrational numbers is irrational.

At first sight this statement is not of the form ‘if blah-blah-blah then bleh-bleh-bleh’. However, *M* can be re-written in this way:

Let u, v be irrational numbers. Suppose $u \neq v$. Then uv is an irrational number.

A dis-proof by counter-example against the statement *M* is:

- Take $u_0 = \sqrt{2}$, $v_0 = -\sqrt{2}$.

Note that u_0, v_0 are irrational numbers, and $u_0 \neq v_0$.

Note that $u_0v_0 = -2$. Then u_0v_0 is not an irrational number.

(e) We want to dis-prove

M: Let A, B, C be sets. Suppose $A \cap B \neq \emptyset$ and $B \cap C \neq \emptyset$ and $C \cap A \neq \emptyset$. Then $A \cap B \cap C \neq \emptyset$.

Very formally presented, *M* is:

For any sets A, B, C , if $(A \cap B \neq \emptyset$ and $B \cap C \neq \emptyset$ and $C \cap A \neq \emptyset)$ then $A \cap B \cap C \neq \emptyset$.

So $\sim M$ reads:

There exist some sets A_0, B_0, C_0 such that $(A_0 \cap B_0 \neq \emptyset$ and $B_0 \cap C_0 \neq \emptyset$ and $C_0 \cap A_0 \neq \emptyset$ and $A_0 \cap B_0 \cap C_0 = \emptyset)$.

A dis-proof by counter-example against the statement *M* is:

- Take $A_0 = \{0, 1\}$, $B_0 = \{1, 2\}$, $C_0 = \{0, 2\}$. Here 0, 1, 2 are regarded as pairwise distinct objects.

Note that $A_0 \cap B_0 = \{1\}$, $B_0 \cap C_0 = \{2\}$, $C_0 \cap A_0 = \{0\}$.

So $A_0 \cap B_0 \neq \emptyset$ and $B_0 \cap C_0 \neq \emptyset$ and $C_0 \cap A_0 \neq \emptyset$. (Detail?)

Note that $A_0 \cap B_0 \cap C_0 = \emptyset$.

(f) We want to dis-prove

M: Let n be a positive integer, and P, Q be $(n \times n)$ -square matrices with real entries. Suppose $PQ = 0$. Then $P = 0$ or $Q = 0$.

(Here 0 stands for the zero (2×2) -square matrix.)

Very formally presented, *M* is:

For any positive integer n , for any $(n \times n)$ -square matrices P, Q with real entries, if $PQ = 0$ then $(P = 0$ or $Q = 0)$.

So $\sim M$ reads:

There exist some positive integer n , some $(n \times n)$ -square matrices P, Q with real entries such that $PQ = 0$ and $(P \neq 0$ and $Q \neq 0)$.

A dis-proof by counter-example against the statement *M* is:

- Take $n = 2$, $P = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$, $Q = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$. We have $P \neq 0$ and $Q \neq 0$.

Note that $PQ = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = 0$.

5. Warning on common mistakes.

(a) ‘ $\sim((\forall x)P(x))$ ’, ‘ $(\forall x)(\sim P(x))$ ’ are different statements.

‘ $\sim((\forall x \in S)Q(x))$ ’, ‘ $(\forall x \in S)(\sim Q(x))$ ’ are different statements.

If you try to dis-prove a statement of the form

‘for any x , $(P(x)$ holds)’ (or ‘for any $x \in S$, $(Q(x)$ holds)’ respectively)

by proceeding to prove the statement

‘for any x , $(P(x)$ does not hold)’ (or ‘for any $x \in S$, $(Q(x)$ does not hold)’ respectively)

you will probably be attempting to achieve the impossible and end up nowhere.

(b) ‘ $\sim((\forall x)(H(x) \rightarrow K(x)))$ ’, ‘ $(\forall x)[H(x) \rightarrow (\sim K(x))]$ ’ are different statements.

If you try to dis-prove a statement of the form

‘for any x , (if $H(x)$ holds then $K(x)$ holds)’

by proceeding to prove the statement

‘for any x , (if $H(x)$ holds then $K(x)$ does not hold)’

you will probably be attempting to achieve the impossible and end up nowhere.