

# 1. Well-ordering Principle for the integers and Least-upper-bound Axiom for the reals.

Here we take for granted the validity of these two statements:

## (a) Well-ordering Principle for the integers (WOPI).

Let  $S$  be a non-empty subset of  $\mathbb{N}$ .  $S$  has a least element.

## (b) Least-upper-bound Axiom for the reals (LUBA).

Let  $A$  be a non-empty subset of  $\mathbb{R}$ . Suppose  $A$  is bounded above in  $\mathbb{R}$ .

Then  $A$  has a supremum in  $\mathbb{R}$ .

There is a least element of the set of all upper bounds of  $A$  in  $\mathbb{R}$ .  
Such a number is called a supremum of  $A$  in  $\mathbb{R}$ .  
(least upper bound)

With the help of the Least-upper-bound Axiom for the reals, we are going to establish the validity of two heuristically obvious statements:

## (a) Unboundedness of the natural number system in the reals (UNR).

$\mathbb{N}$  is not bounded above in  $\mathbb{R}$ .

## (b) Archimedean Principle for the reals (AP).

For any  $\varepsilon > 0$ , there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $N\varepsilon > 1$ .

The Well-ordering Principle for integers will be used later on.

## 2. Unboundedness of the natural number system in the reals (UNR).

$\mathbb{N}$  is not bounded above in  $\mathbb{R}$ .

**Proof.** [Proof-by-contradiction argument.]

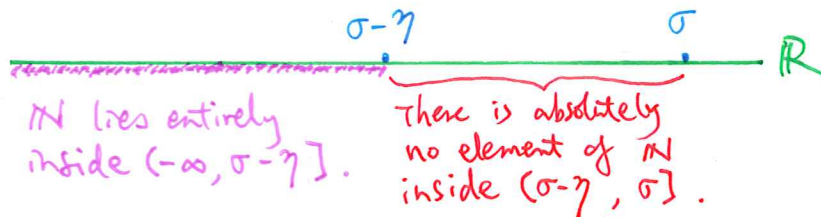
Suppose it were true that  $\mathbb{N}$  was bounded above in  $\mathbb{R}$ .

Note that  $0 \in \mathbb{N}$ . Then  $\mathbb{N} \neq \emptyset$ .

Then, by the Least-upper-bound Axiom,  $\mathbb{N}$  would have a supremum in  $\mathbb{R}$ . We denote this number by  $\sigma$ .

How to proceed further?

- Ask: Is what appears in this picture allowed?  
There is some  $\eta > 0$  so that:



- Answer. No; otherwise, we would expect  $\sigma - \eta$  to be an upper bound of  $\mathbb{N}$  in  $\mathbb{R}$ , but  $\sigma - \eta$  is less than  $\sigma$ .
- Instead, we expect such a picture below:



Now ask: where is  $n_0 + 1$ ? Pinpoint  $n_0 + 1$ .

Take  $\varepsilon_0 = \frac{1}{2}$ .

$\sigma - \varepsilon_0$  is not an upper bound of  $\mathbb{N}$  in  $\mathbb{R}$ .

[ Recall what 'p is an upper bound of S' is.  
Recall how to negate this statement. ]

Then there would exist some  $n_0 \in \mathbb{N}$  such that  $n_0 > \sigma - \varepsilon_0$ .

Since  $n_0 \in \mathbb{N}$ , we have  $n_0 + 1 \in \mathbb{N}$  also.

Note that  $n_0 + 1 > \sigma - \varepsilon_0 + 1 = \sigma + \frac{1}{2} > \sigma$ .

But  $\sigma$  was an upper bound of  $\mathbb{N}$  in  $\mathbb{R}$ .

Contradiction arises.  $\square$

### 3. Archimedean Principle for the reals (AP).

For any  $\varepsilon > 0$ , there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $N\varepsilon > 1$ .

**Proof.** Pick any  $\varepsilon > 0$ .

[What do we want? Name an appropriate positive integer  $N$  which satisfies  $N\varepsilon > 1$ .

So ask:  $1 \cdot \varepsilon > 1$ ?  $2\varepsilon > 1$ ?  $3\varepsilon > 1$ ? ... Or how about  $\frac{1}{\varepsilon} < 1$ ?  $\frac{1}{\varepsilon} < 2$ ?  $\frac{1}{\varepsilon} < 3$ ? ... ]

Note that  $\frac{1}{\varepsilon} > 0$ .

By (UNR),  $\frac{1}{\varepsilon}$  is not an upper bound of  $\mathbb{N}$  in  $\mathbb{R}$ .

Then there exists some  $N \in \mathbb{N}$  such that  $N > \frac{1}{\varepsilon}$ .

We have  $N > \frac{1}{\varepsilon} > 0$ . Then  $N \in \mathbb{N} \setminus \{0\}$ . Moreover  $N\varepsilon > \frac{1}{\varepsilon} \cdot \varepsilon = 1$ .  $\square$

Recall what ' $\beta$  is an upper bound of  $S$ ' is. Then recall how to negate this statement.

### Corollary to (AP). (Re-formulations of the Archimedean Principle.)

Each of the statements below is logically equivalent to each other

(1) For any  $\varepsilon > 0$ , there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $N\varepsilon > 1$ .

(2) For any  $\varepsilon > 0$ , there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $\frac{1}{N} < \varepsilon$ .

(3) For any  $K > 0$ , there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $N > K$ .

**Remark.** In fact (UNR) is logically equivalent to (AP). (Proof?)

#### 4. Dense-ness of the rationals and irrationals in the reals.

With the help of all the above statements, we establish the validity of some heuristically obvious statements about the rational numbers and irrational numbers.

**Theorem (D1).** ('Dense-ness' of positive rational numbers amongst positive real numbers.)

Let  $\alpha, \beta \in \mathbb{R}$ . Suppose  $\beta > \alpha > 0$ . Then there exists some  $r \in \mathbb{Q}$  such that  $\alpha < r < \beta$ .



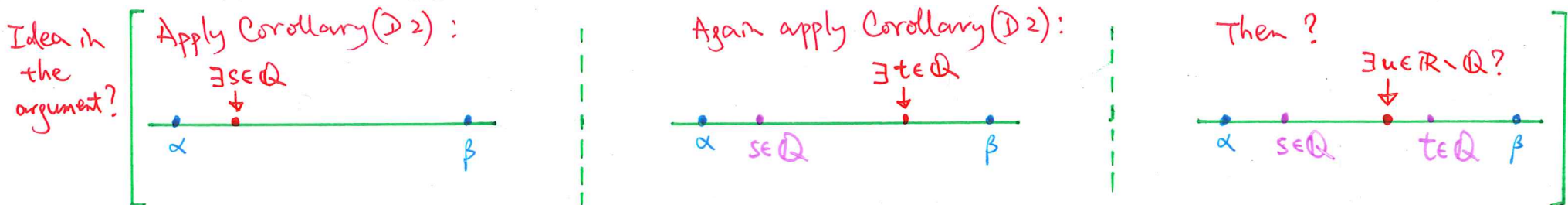
**Remark.** Strictly between any two distinct positive real numbers, there is at least one positive rational number.

**Corollary (D2).** ('Dense-ness' of the rationals amongst the reals.)

Let  $\alpha, \beta \in \mathbb{R}$ . Suppose  $\alpha < \beta$ . Then there exists some  $r \in \mathbb{Q}$  such that  $\alpha < r < \beta$ .

**Corollary (D3).** ('Dense-ness' of the irrationals amongst the reals.)

Let  $\alpha, \beta \in \mathbb{R}$ . Suppose  $\alpha < \beta$ . Then there exists some  $u \in \mathbb{R} \setminus \mathbb{Q}$  such that  $\alpha < u < \beta$ .



The phenomena described in Corollary (D2) and Corollary (D3) are known as dense-ness in the reals.

**Definition.** (Dense-ness in the reals.)

*Let  $D$  be a subset of  $\mathbb{R}$ .*

*$D$  is said to be **dense in  $\mathbb{R}$**  if every open interval in  $\mathbb{R}$  contains some element of  $D$ .*

**Corollary (D4).**

$\mathbb{Q}$  is dense in  $\mathbb{R}$ .

$\mathbb{R} \setminus \mathbb{Q}$  is dense in  $\mathbb{R}$ .

**Remark.** Not every ‘important’ subset of  $\mathbb{R}$  has such a property: for instance, neither  $\mathbb{N}$  nor  $\mathbb{Z}$  is dense in  $\mathbb{R}$ .

## 5. Proof of Theorem (D1).

Let  $\alpha, \beta \in \mathbb{R}$ . Suppose  $\beta > \alpha > 0$ .

[What do we want? Name an appropriate rational number which lies strictly between  $\alpha$  and  $\beta$ .]

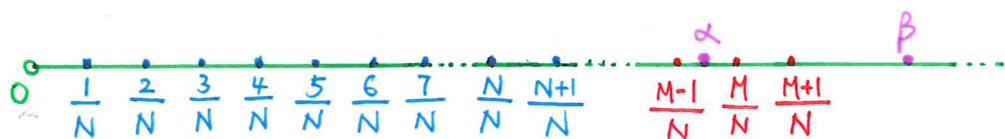
[Idea. Imagine you may choose some positive integer  $N$  and then will mark on the positive half-line all the points in  $\{k/N \mid k \in \mathbb{N}\}$ .

Which  $N$  will you choose so as to *definitely guarantee* that at least one such point, say,  $M/N$ , satisfies  $\alpha < M/N < \beta$ ?

This  $N$  needs be large, but how large?

What if we want  $\alpha < (M+1)/N < \beta$  as well?]

Picture:



Observe: It seems that we need  $\frac{1}{N} < \beta - \alpha$ .

Define  $\varepsilon = \beta - \alpha$ . By definition,  $\varepsilon > 0$ .  
By (AP), there exists some  $N \in \mathbb{N} \setminus \{0\}$  such that  $N\varepsilon > 1$ .

Define  $S = \{m \in \mathbb{N} : m \cdot \frac{1}{N} > \alpha\}$ .

We have  $S \neq \emptyset$ . [Fill in reason: use (UNR).]

By (WOPI),  $S$  has a least element, say,  $M$ .

Now define  $r = \frac{M}{N}$ . By definition,  $r \in \mathbb{Q}$ .

[Ask: Is it true that  $\alpha < r < \beta$  ?]

Since  $r = \frac{M}{N}$  and  $M \in S$ , we have  $r > \alpha$ .

Also, by the definition of  $M$ , we have  $M-1 \notin S$ .

Then  $(M-1) \cdot \frac{1}{N} \leq \alpha$ . [Fill in the detail.]

Therefore

$$r = \frac{M}{N} = \frac{(M-1)+1}{N} = \frac{M-1}{N} + \frac{1}{N} < \alpha + \varepsilon = \alpha + (\beta - \alpha) = \beta.$$