

## Ch5 Isometry, Space Forms

$(M, g)$  = complete Riemannian manifold (connected)

Def:  $(M, g)$  with constant sectional curvature is called a space form.

Thm1:  $\forall c \in \mathbb{R}$  &  $n \geq 2$ ,  $\exists$  unique (up to isometry) simply-connected space form of dimension  $n$  and with constant sectional curvature  $c$ .

e.g. (Proof later)

- $c=0$ ,  $(\mathbb{R}^n, \text{standard flat metric})$
- $c=+1$ ,  $(S^n, \text{standard metric})$
- $c=-1$ ,  $(B^n, \frac{4}{[1 - \sum_{i=1}^n (x_i)^2]^2} dx^1 \otimes dx^1 + \dots + dx^n \otimes dx^n)$

where  $B^n = \{(x_1, \dots, x^n) = \sum_{i=1}^n (x_i)^2 < 1\}$

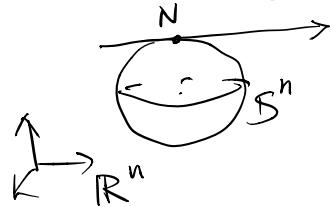
(Hyperbolic  $n$ -space : unit ball model)

Def: Let  $M$  be a submanifold of  $\bar{M}$  equipped with the induced metric. Then  $M$  is called a

totally geodesic submanifold of  $\bar{M}$  if a geodesic  $\gamma$  (of  $\bar{M}$ ) tangent to  $M$  implies  $\gamma \subset M$ .

Note : Such a geodesic  $\gamma$  of  $\bar{M}$  must be a geodesic of the submanifold  $M$ .

- e.g. :
- $\mathbb{R}^k \hookrightarrow \mathbb{R}^n = (x_1, \dots, x_k) \mapsto (x_1, \dots, x_k, 0, \dots, 0)$  gives a totally geodesic submanifold of  $\mathbb{R}^n$ .
  - $S^n \subset \mathbb{R}^{n+1}$  is not a totally geodesic submanifold as tangent lines to  $S^n$  don't stay on  $S^n$ .



- Let
- $M \subset \bar{M}$  be a submanifold
  - $M$  equipped with induced metric
  - $D, \bar{D}$  = Levi-Civita connections of  $M, \bar{M}$  respectively
- ( $D_{\bar{x}}Y = (\bar{D}_{\bar{x}}Y)^{\text{tangential part}} \quad \forall \bar{x}, Y \in \mathcal{H}(TM) \subset \mathcal{H}(\bar{M})$ )

Consider

$$S(X, Y) = D_X Y - \bar{D}_X Y, \quad \forall X, Y \in \mathcal{T}(TM)$$

(Note :  $S$  defines for vector fields on  $M$ , not  $\bar{M}$ )

- Facts:
- $S(X_1 + X_2, Y) = S(X_1, Y) + S(X_2, Y)$
  - $S(X, Y) = S(Y, X)$
  - $\forall f \in C^\infty(M), S(fX, Y) = S(X, fY) = fS(X, Y)$
- ∴  $S$  is "symmetric" tensor on  $M$ .

Pf of symmetry:

$$\begin{aligned}
 & S(X, Y) - S(Y, X) \\
 &= (D_X Y - \bar{D}_X Y) - (D_Y X - \bar{D}_Y X) \\
 &= (D_X Y - D_Y X) - (\bar{D}_X Y - \bar{D}_Y X) \\
 &= [X, Y] - [X, Y] \quad (D, \bar{D} : \text{lift}(g_{\text{flat}})) \\
 &= 0 \quad \times
 \end{aligned}$$

Therefore, we can define a symmetric bilinear form on  
 $T_x M, \forall x \in M$ :

$$\forall v, w \in T_x M, S_x(v, w) = S(V, W)(x)$$

where  $V, W$  = any extension of  $v, w$ .

Def: This  $S$  is called the 2<sup>nd</sup> fundamental form of  
 $M$  in  $\overline{M}$ .

Lemma 2  $M \subset \bar{M}$  totally geodesic  
 $\Leftrightarrow S \equiv 0$ , where  $S = 2^{\text{nd}}$  f.f. of  $M$  in  $\bar{M}$ .  
(i.e.  $D_{\bar{x}} Y = \bar{D}_X Y$ ,  $\forall \bar{x}, Y \in \Gamma(TM)$ )

Pf : ( $\Rightarrow$ ) let  $x \in M$  &  $v \in T_x M \subset T_{\bar{x}} \bar{M}$

let  $\gamma$  = geodesic on  $\bar{M}$  with

$$\gamma(0) = x, \gamma'(0) = v.$$

$$\Rightarrow \bar{D}_{\bar{x}} \gamma' = 0.$$

By assumption,  $\gamma$  is also a geodesic of  $M$

$$\Rightarrow D_x \gamma' = 0.$$

$$\begin{aligned} \text{Therefore } S(v, v) &= S(\gamma(0), \gamma'(0)) \\ &= D_x \gamma' - \bar{D}_{\bar{x}} \gamma' = 0. \end{aligned}$$

Symmetry of  $S \Rightarrow S(v, w) = 0 \quad \forall v, w \in T_x M$ .

( $\Leftarrow$ ) Suppose  $S \equiv 0$

Let  $\gamma$  = geodesic of  $\bar{M}$  such that

$$\gamma(0) = x \text{ and } \gamma'(0) = v \in T_x M \subset T_{\bar{x}} \bar{M}.$$

By Existence and Uniqueness of geodesic in  $M$ ,

$\exists \varsigma = \text{geodesic of } M \text{ s.t. } \varsigma(0) = x, \varsigma'(0) = v \in T_x M$   
(and of course  $\varsigma \subset M$ )

Then  $S = 0$

$$\Rightarrow \bar{D}_{\dot{\gamma}(t)} \dot{\gamma}(t) = D_{\dot{\gamma}(t)} \dot{\gamma}(t) = 0$$

$\Rightarrow \gamma$  is also a geodesic of  $\bar{M}$

Then uniqueness of geodesic (in  $\bar{M}$ )

$$\Rightarrow \gamma = \gamma \subset M.$$

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Lemma 3 Let  $M \subset \bar{M}$  be totally geodesic,

$K, \bar{K}$  = sectional curvatures of  $M, \bar{M}$   
respectively

Then  $\forall x \in M, \forall$  2-plane  $\pi \subset T_x M \subset T_x \bar{M},$

$$K(\pi) = \bar{K}(\pi).$$

(Pf : Immediately from Lemma 2)

Eg : Let  $\gamma : (a, b) \rightarrow \bar{M}$  be a smooth curve parametrized by arc-length. Suppose  $\exists$  isometry  $\varphi : \bar{M} \rightarrow \bar{M}$  such that  $\gamma((a, b)) = \{y \in \bar{M} : \varphi(y) = y\}.$

Then  $\gamma$  is a normalized geodesic.

Pf : We first note that  $\forall$  geodesic  $\gamma$  in  $\bar{M}$ ,  
 $\varphi \circ \gamma$  is also a geodesic in  $\bar{M}$  (since  $\varphi$  = isom.)

Now  $\forall t_0 \in (a, b)$ , take a geodesic

$$\xi \subset \bar{M} \text{ s.t. } \begin{cases} \xi(0) = \gamma(t_0) \\ \xi'(0) = \gamma'(t_0) \end{cases}$$

Since  $\gamma([a, b]) = \text{fixed point set of } \varphi$ ,

$$d\varphi(\gamma'(t_0)) = \gamma'(t_0) \quad (\text{diff. } \varphi \circ \gamma = \gamma)$$

$$\Rightarrow d\varphi(\xi'(0)) = \xi'(0)$$

$$\Rightarrow (\varphi \circ \xi)'(0) = \xi'(0) \quad (\text{since } \varphi(\xi(0)) = \xi(0))$$

Uniqueness of geodesic  $\Rightarrow \varphi \circ \xi = \xi$

$$\Rightarrow \xi \subset \{y \in \bar{M} : \varphi(y) = y\} = \gamma([a, b])$$

$\Rightarrow \gamma$  is a normalized geodesic.  $\times$

Lemma 4 : The set of fixed points of an isometry  
is a totally geodesic submanifold.

(not necessarily connected)

Pf : Let  $\varphi : \bar{M} \rightarrow \bar{M}$  be an isometry and

$M = \{y \in \bar{M} : \varphi(y) = y\}$  be the set of fixed  
points of  $\varphi$ .

(Ex.) If  $M$  is a submanifold of  $\bar{M}$ , then the same  
argument as in the example implies  $M$  is totally

geodesic. So we only need to show the following

Claim: let  $x \in M$ ,  $B(\delta) = \{v \in T_x \bar{M} : \|v\| < \delta\}$

$$B_\delta = \{y \in \bar{M} : d(x, y) < \delta\}$$

where  $\delta > 0$  small enough s.t.

$\exp_x : B(\delta) \rightarrow B_\delta$  is a diffeomorphism

$$(B_\delta = \exp_x B(\delta))$$

Let  $\mathcal{F} \subset T_x \bar{M}$  be a linear subspace defined by

$$\mathcal{F} = \{v \in T_x \bar{M} : d\varphi(v) = v\}$$

Then

$$M \cap B_\delta = \exp_x(\mathcal{F} \cap B(\delta))$$

Hence  $M$  is submanifold of  $\bar{M}$ .

Pf of Claim:

$$(1) M \cap B_\delta \subset \exp_x(\mathcal{F} \cap B(\delta))$$

Pf: Let  $y \in M \cap B_\delta \subset B_\delta$

$$\Rightarrow \exists v \in B(\delta) \text{ s.t. } \exp_x v = y.$$

$$\text{let } \gamma(t) = \exp_x(tv) : [0, 1] \rightarrow \bar{M}$$

be the unique minimizing geodesic joining  $x$  to  $y$ .

Since  $x, y \in M$ , we have  $\varphi(x) = x$  &  $\varphi(y) = y$ .

$\Rightarrow \varphi_0 \gamma$  is also a minimizing geodesic joining  $x$  to  $y$ .

$$\text{Uniqueness} \Rightarrow \varphi_0 \gamma = \gamma$$

$$\Rightarrow d\varphi(v) = v$$

$$\Rightarrow v \in \mathcal{T}$$

$$\therefore y = \exp_x v \in \exp_x(\mathcal{T} \cap B(\delta)).$$

$$(2) \exp_x(\mathcal{T} \cap B(\delta)) \subset M \cap B_\delta$$

Pf: let  $y \in \exp_x(\mathcal{T} \cap B(\delta))$ . (Then  $y \in B_\delta$  by choose of  $\delta > 0$ .)

Then  $\exists v \in \mathcal{T} \cap B(\delta)$  such that

$$y = \exp_x v.$$

let  $\gamma(t) = \exp_x(tv) : [0, 1] \rightarrow \overline{M}$  be the unique minimizing geodesic joining  $x$  to  $y$ .

Since  $v \in \mathcal{T}$ ,  $d\varphi(\gamma(0)) = \gamma'(0)$

$\Rightarrow \varphi_0 \gamma$  and  $\gamma$  have the same initial data

$$\text{Uniqueness} \Rightarrow \varphi_0 \gamma = \gamma$$

$$\Rightarrow y = \gamma(1) = \varphi_0 \gamma(1) = \varphi(y)$$

$$\therefore y \in M \cap B_\delta \quad \times$$

Lemmas :  $S^n \subset \mathbb{R}^{n+1}$  has constant sectional curvature  $+1$ ,  $\forall n \geq 2$ .

Pf: " $n=2$ " is proved in undergrad DG (Ex.)

If  $n \geq 3$ , define

$$\tilde{\varphi} : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^{n+1}$$

$$(x^1, x^2, x^3, x^4, \dots, x^{n+1}) \mapsto (x^1, x^2, x^3, -x^4, \dots, -x^{n+1})$$

Then  $|\tilde{\varphi}(x)| = |x|$  (Euclidean norm)

Hence  $\tilde{\varphi}$  induces an isometry

$$\varphi : S^n \rightarrow S^n.$$

The fixed points set

$$M = \{x \in S^n : \varphi(x) = x\}$$

$$= \{(x^1, x^2, x^3, 0, \dots, 0) : (x^1)^2 + (x^2)^2 + (x^3)^2 = 1\}$$

$= S^2$  is a totally geodesic submanifold.

Hence  $K_{S^n}(\pi) = K_{S^2}(\pi) = +1$ ,

Any 2-plane  $\pi \subset T_x S^2 \subset T_x S^n$  (where  $x = (x^1, x^2, x^3, 0, \dots, 0)$ )

Repeat the argument for any 3 indices  $i, j, k \in \{1, \dots, n+1\}$  and using the fact that  $S^n$  is invariant under rotation,

we have proved that  $K_{\mathbb{S}^n} = +1$ .  $\times$

Lemma 6  $(\mathbb{B}^n, \frac{4}{(1-|x|^2)^2} \sum_{i=1}^n dx^i \otimes dx^i)$ , where  $|x|^2 = \sum_{i=1}^n (x^i)^2$ ,

is a complete Riemannian metric with constant sectional curvature  $-1$ .

Pf : (1) Completeness

Pf: First note that  $\forall A \in O(n)$

$A|_{\mathbb{B}^n} : \mathbb{B}^n \rightarrow \mathbb{B}^n$  is an isometry of  
the hyperbolic geometry.

(since  $A$  preserves  $|x|^2 = \sum dx^i \otimes dx^i$ )

Now consider the curve

$$\begin{aligned} \gamma(s) &= (-\infty, \infty) \rightarrow \mathbb{B}^n \\ s &\mapsto \left( \frac{e^s - 1}{e^s + 1}, 0, \dots, 0 \right) \end{aligned}$$

$$\text{Then } \gamma'(s) = \left( \frac{2e^s}{(e^s + 1)^2}, 0, \dots, 0 \right)$$

$$\Rightarrow |\gamma'(s)|_{\text{hyp}}^2 = \frac{4}{(1-|\gamma(s)|^2)^2} |\gamma'(s)|_{\text{Eucl}}^2 \stackrel{(\text{Ex})}{=} 1.$$

Let  $A \in O(n)$  be given by

$$A(x^1, x^2, \dots, x^n) = (x^1, -x^2, \dots, -x^n)$$

$$\begin{aligned} \text{Then } \Sigma((-\infty, \infty)) &= \{x \in \mathbb{B}^n : Ax = x\} \\ &= \{(x^1, 0, \dots, 0) : -1 < x^1 < 1\} \end{aligned}$$

Lemma 4  $\Rightarrow \Sigma$  is a normalized geodesic defined on the whole  $(-\infty, \infty)$  with  $\Sigma'(0)$  in the  $e_1$ -direction ( $\{e_i\}$  standard basis of  $\mathbb{R}^n$ )

Applying other  $A \in O(n)$ , we have geodesic with

$(AS)'(0)$  = any given direction, and  
 $AS(\cdot)$  defined on the whole  $(-\infty, \infty)$ .

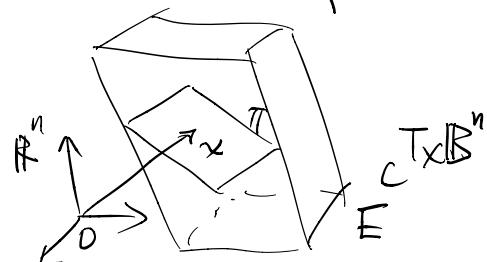
Therefore  $\exp_0$  is defined on the whole  $T_0 \mathbb{B}^n$ .

Hence Hopf-Rinow  $\Rightarrow \mathbb{B}^n$  is complete.

(2) Curvature  $\equiv -1$ .

Pf: Let  $x \in \mathbb{B}^n$  and  $\Pi \subset T_x \mathbb{B}^n$  be a 2-plane.

Identify  $T_x \mathbb{B}^n \cong \mathbb{R}^n$   
and  $x$  can be  
considered as an



element in  $\mathbb{R}^n$ . Assume  $n \geq 3$ .

Take a 3-dim'l subspace  $E \subset \mathbb{R}^n$  s.t.

$$\text{Span } \{x, \pi\} \subset E$$

(If  $x \neq 0$  &  $x \notin \pi$ , then  $E$  is unique, otherwise not)

Then  $\mathbb{R}^n = E \oplus E^\perp$  orthogonal (in Euclidean)

and one can defines a map

$$\phi: (e, e') \mapsto (e, -e'), \quad e \in E, e' \in E^\perp$$

Then  $\phi|_{B^n}$  is an isometry of  $B^n$  with fixed point set

$$E \cap B^n.$$

$\Rightarrow B^3 = E \cap B^n$  is a totally geodesic submanifold of  $B^n$

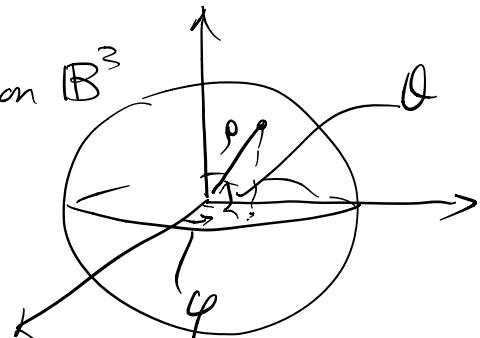
$$\Rightarrow K_{B^n}(\pi) = K_{B^3}(\pi).$$

So we only need to show the case that  $n = 3$ .

Let  $\{\rho, \varphi, \theta\}$  = polar coordinates on  $B^3$

$\Rightarrow$  on  $B^3 \setminus \{0\}$ , the metric

$$\frac{1}{(1-x_1^2)^2} \sum dx^i \otimes dx^i$$
 can be



written as

$$\frac{4}{(1-\rho^2)^2} (\rho^2 + \rho^2 d\theta^2 + \rho^2 \omega^2 \theta d\varphi^2)$$

(where  $d\rho^2 = d\rho \otimes d\rho \dots$ )

Let

$$\left\{ \begin{array}{l} e_1 = \frac{1-\rho^2}{z} \frac{\partial}{\partial \rho} \\ e_2 = \frac{1-\rho^2}{z\rho} \frac{\partial}{\partial \theta} \\ e_3 = \frac{1-\rho^2}{z\rho \omega \theta} \frac{\partial}{\partial \varphi} \end{array} \right.$$

Then  $\langle e_i, e_j \rangle = \delta_{ij}$  (Ex.)

$$\Rightarrow \langle D_{e_i} e_j, e_k \rangle = \frac{1}{2} \left\{ e_i \cancel{\langle e_j, e_k \rangle} + e_j \cancel{\langle e_k, e_i \rangle} - e_k \cancel{\langle e_i, e_j \rangle} \right. \\ \left. + \langle e_k, [e_i, e_j] \rangle + \langle e_j, [e_k, e_i] \rangle - \langle e_i, [e_j, e_k] \rangle \right\}$$

$$= \frac{1}{2} \left\{ \langle e_k, [e_i, e_j] \rangle + \langle e_j, [e_k, e_i] \rangle \right. \\ \left. - \langle e_i, [e_j, e_k] \rangle \right\}$$

Now  $[e_1, e_2] = \frac{1-\rho^2}{z} \frac{\partial}{\partial \rho} \left( \frac{1-\rho^2}{z\rho} \frac{\partial}{\partial \theta} \right) - \frac{1-\rho^2}{z\rho} \frac{\partial}{\partial \theta} \left( \frac{1-\rho^2}{z} \frac{\partial}{\partial \rho} \right)$

$$= \frac{1-p^2}{z} \left( \frac{1-p^2}{zp} \right)' \frac{\partial}{\partial \theta} = - \frac{1+p^2}{zp} e_2 \quad (\text{Ex.})$$

Similarly  $[e_2, e_3] = \frac{1-p^2}{zp} \tan \theta e_3$

$$[e_1, e_3] = - \frac{1+p^2}{zp} e_2 \quad \left. \begin{array}{l} \\ (\text{Ex.}) \end{array} \right\}$$

Then straight forward calculation (Ex.)  $\Rightarrow$

$$\left\{ \begin{array}{l} D_{e_1} e_1 = 0, \quad D_{e_2} e_1 = \frac{1+p^2}{zp} e_2, \quad D_{e_3} e_1 = \frac{1+p^2}{zp} e_3 \\ D_{e_1} e_2 = 0, \quad D_{e_2} e_2 = - \frac{1+p^2}{zp} e_1, \quad D_{e_3} e_2 = - \frac{1-p^2}{zp} \tan \theta e_3 \\ D_{e_1} e_3 = 0, \quad D_{e_2} e_3 = 0, \quad D_{e_3} e_3 = - \frac{1+p^2}{zp} e_1 + \frac{1-p^2}{zp} \tan \theta e_2. \end{array} \right.$$

Hence

$$\begin{aligned} R(e_1, e_3, e_1, e_2) &= \langle R_{e_1, e_3} e_1, e_2 \rangle \\ &= \langle D_{[e_1, e_3]} e_1 - [D_{e_1}, D_{e_3}] e_1, e_2 \rangle \end{aligned}$$

$$= - \frac{1+p^2}{zp} \langle D_{e_3} e_1, e_2 \rangle - \langle D_{e_1} (D_{e_3} e_1) - D_{e_3} (D_{e_1} e_1), e_2 \rangle$$

$$= - \left( \frac{1+p^2}{zp} \right)^2 \langle e_3, e_2 \rangle - \langle D_{e_1} \left( \frac{1+p^2}{zp} e_2 \right), e_2 \rangle$$

$$= - \left( \frac{1+p^2}{zp} \right)^2 - e_1 \left( \frac{1+p^2}{zp} \right)$$

$$= - \left( \frac{1+\rho^2}{2\rho} \right)^2 - \frac{1-\rho^2}{2} \left( \frac{1+\rho^2}{2\rho} \right)'$$

$$= -1 \quad (\text{Ex.})$$

Similarly  $R(e_1, e_3, e_1, e_3) = R(e_2, e_3, e_2, e_3) = -1 \quad (\text{Ex!})$

To complete the proof, we need to show that all other  
 $R(e_i, e_j, e_k, e_\ell) = 0 \quad (\text{Ex.})$

Since  $n=3$ , the indices have to be repeated.

It is clear that if  $i=j=k=\ell$  or 3 of the indices are equal, then  $R(e_i, e_j, e_k, e_\ell) = 0$

Therefore, we only need to consider

$$R(e_i, e_j, e_k, e_\ell) \quad \text{with } j < k \quad (i, j, k \text{ distinct})$$

Other cases are clear zero or can be reduced to this case.

(If  $j=k$ , this is the previous situation)

For  $i=3$

$$R(e_3, e_1, e_3, e_2) = \langle R_{e_3}, e_3, e_2 \rangle$$

$$= \langle D_{[e_3, e_1]} e_3, e_2 \rangle - \cancel{\langle D_{e_3} D_{e_1} e_3, e_2 \rangle} + \langle D_{e_1} D_{e_3} e_3, e_2 \rangle$$

$$= \frac{1+\rho^2}{2\rho} \langle D_{e_3} e_3, e_2 \rangle + \langle D_{e_1} (D_{e_3} e_3), e_2 \rangle$$

$$\begin{aligned}
&= \frac{1+\rho^2}{z\rho} \cdot \frac{1-\rho^2}{z\rho} \tan\theta + \left\langle D_{e_1} \left( -\frac{1+\rho^2}{z\rho} e_1 + \frac{1-\rho^2}{z\rho} \tan\theta e_2 \right), e_2 \right\rangle \\
&= \frac{1-\rho^4}{4\rho^2} \tan\theta + e_1 \left( \frac{1-\rho^2}{z\rho} \tan\theta \right) \\
&= \frac{1-\rho^4}{4\rho^2} \tan\theta + \frac{1-\rho^2}{2} \left( \frac{1-\rho^2}{z\rho} \right)' \tan\theta \\
&= 0 \quad (\text{Ex.})
\end{aligned}$$

Similarly  $R(e_1, e_2, e_1, e_3) = R(e_2, e_1, e_3, e_1) = 0$ . (Ex)

Hence  $\mathbb{B}^3$  has sectional curvature  $\equiv -1$ . ~~XX~~

Existence of Thm 1: By Lemmas 5 and Lemma 6, we have complete simply-connected Riemannian manifolds of any dimension  $\geq 2$  with constant sectional curvature  $= \pm 1$ .

By scaling, we have  $K_{\frac{1}{c}g} = cK_g$  ( $\forall$  metric g (Ex))  
 $= \pm c$

Together with  $\mathbb{R}^n$ , we've proved the existence part of Thm 1. ~~XX~~