

- Recall Theorem (E) from the handout *Minimal spanning set*:

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  be vectors in  $\mathbb{R}^n$ , and  $U$  be the  $(n \times q)$ -matrix given by  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \dots \mid \mathbf{u}_q]$ .

Let  $V = \text{Span}(\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q\})$ .

Denote by  $U'$  the reduced row-echelon form which is row-equivalent to  $U$ . Denote the  $j$ -th column of  $U'$  by  $\mathbf{u}'_j$ .

Denote the rank of  $U'$  by  $r$ . Suppose  $r \geq 1$ , and label the pivot columns of  $U'$ , from left to right, by  $d_1, d_2, \dots, d_r$ .

Then  $\mathbf{u}_{d_1}, \mathbf{u}_{d_2}, \dots, \mathbf{u}_{d_r}$  constitute a basis for  $V$ .

Moreover, for each  $j = 1, 2, \dots, q$ , the vector  $\mathbf{u}_j$  is the linear combination of  $\mathbf{u}_{d_1}, \mathbf{u}_{d_2}, \dots, \mathbf{u}_{d_r}$  and the respective

$$\text{scalars } \alpha_1, \alpha_2, \dots, \alpha_r \text{ if and only if } \mathbf{u}'_j = \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_r \\ 0 \\ \vdots \\ 0 \end{bmatrix}.$$

We give some applications of Theorem (E) in the theory for the notion of *basis*.

- Lemma (1).**

Let  $V, W$  be subspaces of  $\mathbb{R}^n$ .

Define the set  $V + W$  by  $V + W = \left\{ \mathbf{x} \in \mathbb{R}^n : \begin{array}{l} \text{There exist some } y \in V, z \in W \\ \text{such that } x = y + z \end{array} \right\}$ .

Then  $V + W$  is a subspace of  $\mathbb{R}^n$ .

**Remark.**  $V + W$  is called the sum of  $V$  and  $W$ .

**Proof of Lemma (1).** Exercise.

- An immediate application of Theorem (E) in helping us determine a basis for the sum of two subspaces of  $\mathbb{R}^n$  when a basis for each subspace concerned is already known (or, more generally, when each subspace concerned has already been expressed as the span of several vectors in  $\mathbb{R}^n$ ).

**Theorem (2).**

Let  $V, W$  be subspaces of  $\mathbb{R}^n$ . Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p \in V$ ,  $\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q} \in W$ . Suppose none of these vectors is the zero vector.

Suppose  $V = \text{Span}(\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\})$  and  $W = \text{Span}(\{\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}\})$ .

Then the statements below hold:

(a)  $V + W = \text{Span}(\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}\})$ .

(b) Suppose  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \dots \mid \mathbf{u}_p \mid \mathbf{u}_{p+1} \mid \mathbf{u}_{p+2} \mid \dots \mid \mathbf{u}_{p+q}]$ . Denote by  $U'$  the reduced row-echelon form which is row-equivalent to  $U$ . Denote the rank of  $U'$  by  $r$ . Label the pivot columns of  $U'$  from left to right by  $d_1, d_2, \dots, d_r$ .

Then a basis for  $V + W$  is constituted by  $\mathbf{u}_{d_1}, \mathbf{u}_{d_2}, \dots, \mathbf{u}_{d_r}$ .

(c) Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  constitute a basis for  $V$ , and  $\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}$  constitute a basis for  $W$ .

Then  $d_j = j$  for each  $j = 1, 2, \dots, p$ . Moreover,  $r \geq p$ .

Further write  $s = r - p$ , and  $k_1 = d_{p+1} - p$ ,  $k_2 = d_{p+2} - p$ , ...,  $k_s = d_r - p$ .

Then a basis for  $V + W$  is constituted by  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{u}_{p+k_1}, \mathbf{u}_{p+k_2}, \dots, \mathbf{u}_{p+k_s}$ .

**Proof of Theorem (2).** Exercise. (Apply Lemma (1) and Theorem (E). The hard work has been done in the proof of Theorem (E).)

- Illustrations for Theorem (2).**

(a) Let  $\mathbf{s}_1 = \begin{bmatrix} 1 \\ 1 \\ 3 \\ 1 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} 2 \\ 1 \\ 2 \\ -1 \end{bmatrix}$ ,  $\mathbf{s}_3 = \begin{bmatrix} 7 \\ 3 \\ 5 \\ -5 \end{bmatrix}$ ,  $\mathbf{t}_1 = \begin{bmatrix} -1 \\ 1 \\ 5 \\ 5 \end{bmatrix}$ ,  $\mathbf{t}_2 = \begin{bmatrix} 1 \\ 1 \\ -1 \\ 2 \end{bmatrix}$ ,  $\mathbf{t}_3 = \begin{bmatrix} -1 \\ 0 \\ 9 \\ 0 \end{bmatrix}$ ,  $\mathbf{t}_4 = \begin{bmatrix} 3 \\ 2 \\ 1 \\ 1 \end{bmatrix}$ .

Define  $V = \text{Span}(\{\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3\})$ ,  $W = \text{Span}(\{\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4\})$ .

We want to find a basis for  $V + W$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{s}_3 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 \mid \mathbf{t}_4 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} 1 & 2 & 7 & -1 & 1 & -1 & 3 \\ 1 & 1 & 3 & 1 & 1 & 0 & 2 \\ 3 & 2 & 5 & 5 & -1 & 9 & 1 \\ 1 & -1 & -5 & 5 & 2 & 0 & 1 \end{bmatrix} \rightarrow \dots \rightarrow U' = \begin{bmatrix} 1 & 0 & -1 & 3 & 0 & 3 & 0 \\ 0 & 1 & 4 & -2 & 0 & -1 & 1 \\ 0 & 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

The rank of  $U'$  is 3, and the pivot columns are the first, second, and fifth columns.

Hence a basis for  $V + W$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{t}_2$ .

(b) Let  $\mathbf{s}_1 = \begin{bmatrix} -1 \\ 1 \\ 1 \\ -2 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} 1 \\ -2 \\ 0 \\ 3 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_3 = \begin{bmatrix} -3 \\ -4 \\ 0 \\ -6 \\ 1 \end{bmatrix}$ ,  $\mathbf{t}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 2 \\ 0 \end{bmatrix}$ ,  $\mathbf{t}_2 = \begin{bmatrix} 1 \\ 0 \\ 2 \\ 2 \\ 0 \end{bmatrix}$ ,  $\mathbf{t}_3 = \begin{bmatrix} 3 \\ 8 \\ 2 \\ 5 \\ -1 \end{bmatrix}$ .

Define  $V = \text{Span}(\{\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3\})$ ,  $W = \text{Span}(\{\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3\})$ .

We want to find a basis for  $V + W$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{s}_3 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} -1 & 1 & -3 & 1 & 1 & 3 \\ 1 & -2 & -4 & 0 & 1 & 8 \\ 1 & 0 & 0 & 0 & 0 & 2 \\ -2 & 3 & -6 & 2 & 2 & 5 \\ 0 & 0 & 1 & 0 & 0 & -1 \end{bmatrix} \rightarrow \dots \rightarrow U' = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 2 \\ 0 & 1 & 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 & 0 & -1 \\ 0 & 0 & 0 & 1 & 0 & 3 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

The rank of  $U'$  is 5, and the pivot columns are the first five columns.

Hence  $V + W = \mathbb{R}^5$ , and a basis for  $V + W$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{t}_1, \mathbf{t}_2$ .

(c) Let  $\mathbf{s}_1 = \begin{bmatrix} 1 \\ -2 \\ 1 \\ -2 \\ 1 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} 2 \\ -3 \\ 1 \\ -3 \\ 3 \end{bmatrix}$ ,  $\mathbf{s}_3 = \begin{bmatrix} 7 \\ -12 \\ 5 \\ -12 \\ 9 \end{bmatrix}$ ,  $\mathbf{s}_4 = \begin{bmatrix} -3 \\ 4 \\ -1 \\ 4 \\ -5 \end{bmatrix}$ ,  $\mathbf{t}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \\ -1 \\ 1 \end{bmatrix}$ ,  $\mathbf{t}_2 = \begin{bmatrix} 2 \\ -4 \\ 1 \\ -5 \\ 6 \end{bmatrix}$ ,  $\mathbf{t}_3 = \begin{bmatrix} 4 \\ -7 \\ 3 \\ -7 \\ 5 \end{bmatrix}$ ,  $\mathbf{t}_4 = \begin{bmatrix} 2 \\ -5 \\ 2 \\ -3 \\ 3 \end{bmatrix}$ ,

$\mathbf{t}_5 = \begin{bmatrix} 6 \\ -9 \\ 4 \\ -10 \\ 7 \end{bmatrix}$ ,  $\mathbf{t}_6 = \begin{bmatrix} 4 \\ -7 \\ 3 \\ -6 \\ 5 \end{bmatrix}$ .

Define  $V = \text{Span}(\{\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4\})$ ,  $W = \text{Span}(\{\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4, \mathbf{t}_5, \mathbf{t}_6\})$ .

We want to find a basis for  $V + W$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{s}_3 \mid \mathbf{s}_4 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 \mid \mathbf{t}_4 \mid \mathbf{t}_5 \mid \mathbf{t}_6 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} 1 & 2 & 7 & -3 & 1 & 2 & 4 & 2 & 6 & 4 \\ -2 & -3 & -12 & 4 & 0 & -4 & -7 & -5 & -9 & -7 \\ 1 & 1 & 5 & -1 & 0 & 1 & 3 & 2 & 4 & 3 \\ -2 & -3 & -12 & 4 & -1 & -5 & -7 & -3 & -10 & -6 \\ 1 & 3 & 9 & -5 & 1 & 6 & 5 & 3 & 7 & 5 \end{bmatrix}$$

$$\rightarrow \dots \rightarrow U' = \begin{bmatrix} 1 & 0 & 3 & 1 & 0 & -1 & 2 & 0 & 3 & 1 \\ 0 & 1 & 2 & -2 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

The rank of  $U'$  is 4, and the pivot columns are the first, second, fifth, eighth columns.

Hence a basis for  $V + W$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{t}_1, \mathbf{t}_4$ .

**Remark.** Suppose  $V, W$  are respectively given as the null spaces of some matrices with  $n$  columns. Then we first obtain a basis for  $V$  and a basis for  $W$ , and then apply Theorem (2) to obtain a basis for  $V + W$ .

**5. Theorem (F). (Replacement Theorem in the context of subspaces of  $\mathbb{R}^n$ .)**

Let  $W$  be a subspace of  $\mathbb{R}^n$ .

Let  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p, \mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  be vectors in  $W$ . Suppose none of these vectors is the zero vector.

Suppose  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p$  are linearly independent.

Further suppose  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  constitute a basis for  $W$ .

Then,  $q \geq p$ , and there is a basis for  $W$  which is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p$  together with some  $q - p$  vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ .

**Remark.** In plain words, the conclusion in this result says that

the linearly independent vectors  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p$  in  $W$  (which do not necessarily constitute a basis for  $W$  because there may be not enough of them to ‘span’ every vector in  $W$ ) can be used for ‘replacing’  $p$  vectors from amongst any given basis for  $W$ , say,  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ .

## 6. Proof of Theorem (F).

Let  $W$  be a subspace of  $\mathbb{R}^n$ .

Let  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p, \mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  be vectors in  $W$ . Suppose none of these vectors is the zero vector.

Suppose  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_p$  are linearly independent.

Further suppose  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  constitute a basis for  $W$ .

Write  $\mathbf{u}_k = \mathbf{s}_k$  for each  $k = 1, 2, \dots, p$ , and write  $\mathbf{u}_{p+\ell} = \mathbf{t}_\ell$  for each  $\ell = 1, 2, \dots, q$ .

Define  $V = \text{Span}(\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\})$ .

By assumption,  $W = \text{Span}(\{\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}\})$ .

We have  $V + W = \text{Span}(\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}\})$ .

Then, by assumption,  $V + W = \text{Span}(\{\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}\}) = W$ .

The conclusion then follows from an application of Theorem (2).

## 7. Illustrations for Theorem (F).

(a) Let  $\mathbf{s}_1 = \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} 2 \\ 3 \\ 6 \end{bmatrix}$ ,  $\mathbf{t}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{t}_2 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\mathbf{t}_3 = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$ .

Take for granted that  $\mathbf{s}_1, \mathbf{s}_2$  are linearly independent, and that  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3$  constitute a basis for  $\mathbb{R}^3$ .

We want to obtain a basis for  $\mathbb{R}^3$  constituted by  $\mathbf{s}_1, \mathbf{s}_2$  and some vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} 1 & 2 & 1 & 0 & 0 \\ 1 & 3 & 0 & 1 & 0 \\ 2 & 6 & 0 & 0 & 1 \end{bmatrix} \longrightarrow \dots \longrightarrow U' = \begin{bmatrix} 1 & 0 & 3 & 0 & -1 \\ 0 & 1 & -1 & 0 & 1/2 \\ 0 & 0 & 0 & 1 & -1/2 \end{bmatrix}$$

The rank of  $U'$  is 3. The pivot columns of  $U'$  are the first, second and fourth columns.

Hence a basis for  $\mathbb{R}^3$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{t}_2$ .

(b) Let  $\mathbf{s}_1 = \begin{bmatrix} 1 \\ 1 \\ 3 \\ 1 \end{bmatrix}$ ,  $\mathbf{t}_1 = \begin{bmatrix} 2 \\ 1 \\ 2 \\ -1 \end{bmatrix}$ ,  $\mathbf{t}_2 = \begin{bmatrix} 7 \\ 3 \\ 5 \\ -5 \end{bmatrix}$ ,  $\mathbf{t}_3 = \begin{bmatrix} 1 \\ 1 \\ -1 \\ 2 \end{bmatrix}$ .

Define  $W = \text{Span}(\{\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3\})$ .

Take for granted that  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3$  are constitutes a basis for  $W$ .

Note that  $\mathbf{s}_1$  is linearly independent. Take for granted that  $\mathbf{s}_1 \in W$ .

We want to obtain a basis for  $W$  constituted by  $\mathbf{s}_1$  and some vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} 1 & 2 & 7 & 1 \\ 1 & 1 & 3 & 1 \\ 3 & 2 & 5 & -1 \\ 1 & -1 & -5 & 2 \end{bmatrix} \longrightarrow \dots \longrightarrow U' = \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

The rank of  $U'$  is 3. The pivot columns of  $U'$  are the first, second and fourth columns.

Hence a basis for  $W$  is constituted by  $\mathbf{s}_1, \mathbf{t}_1, \mathbf{t}_3$ .

(c) Let  $\mathbf{s}_1 = \begin{bmatrix} -2 \\ 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} 3 \\ -2 \\ 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_3 = \begin{bmatrix} 1 \\ -4 \\ 0 \\ 0 \\ 1 \end{bmatrix}$ , and  $\mathbf{t}_j = \mathbf{e}_j^{(5)}$  for each  $j = 1, 2, 3, 4, 5$ .

Take for granted that  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3$  are linearly independent, and that  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4, \mathbf{t}_5$  constitute a basis for  $\mathbb{R}^5$ .

We want to obtain a basis for  $\mathbb{R}^5$  constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3$  and some vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4, \mathbf{t}_5$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{s}_3 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 \mid \mathbf{t}_4 \mid \mathbf{t}_5 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} -2 & 3 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & -2 & -4 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \rightarrow \dots \rightarrow U' = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 2 & -3 & -1 \\ 0 & 0 & 0 & 0 & 1 & -1 & 2 & 4 \end{bmatrix}$$

The rank of  $U'$  is 5. The pivot columns of  $U'$  are the first, second, third, fourth and fifth columns.

Hence a basis for  $\mathbb{R}^5$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{t}_1, \mathbf{t}_2$ .

(d) Let  $\mathbf{s}_1 = \begin{bmatrix} -4 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_2 = \begin{bmatrix} -2 \\ 0 \\ -1 \\ -2 \\ 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_3 = \begin{bmatrix} -1 \\ 0 \\ 3 \\ 6 \\ 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\mathbf{s}_4 = \begin{bmatrix} 3 \\ 0 \\ -5 \\ -6 \\ 0 \\ 0 \\ 1 \end{bmatrix}$ , and  $\mathbf{t}_j = \mathbf{e}_j^{(7)}$  for each  $j = 1, 2, 3, 4, 5, 6, 7$ .

Take for granted that  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4$  are linearly independent, and that  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4, \mathbf{t}_5, \mathbf{t}_6, \mathbf{t}_7$  constitute a basis for  $\mathbb{R}^7$ .

We want to obtain a basis for  $\mathbb{R}^7$  constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4$  and some vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \mathbf{t}_3, \mathbf{t}_4, \mathbf{t}_5, \mathbf{t}_6, \mathbf{t}_7$ .

Define  $U = [ \mathbf{s}_1 \mid \mathbf{s}_2 \mid \mathbf{s}_3 \mid \mathbf{s}_4 \mid \mathbf{t}_1 \mid \mathbf{t}_2 \mid \mathbf{t}_3 \mid \mathbf{t}_4 \mid \mathbf{t}_5 \mid \mathbf{t}_6 \mid \mathbf{t}_7 ]$ .

We find the reduced row-echelon form  $U'$  which is row equivalent to  $U$ :

$$U = \begin{bmatrix} -4 & -2 & -1 & 3 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 3 & -5 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -2 & 6 & -6 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\rightarrow \dots \rightarrow U' = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 4 & 0 & 0 & 2 & 1 & -3 & 5 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & -3 & 5 & 5 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 2 & -6 & 6 & 6 \end{bmatrix}$$

The rank of  $U'$  is 7. The pivot columns of  $U'$  are the first, second, third, fourth, fifth, seventh and eighth columns.

Hence a basis for  $W$  is constituted by  $\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4, \mathbf{t}_1, \mathbf{t}_3, \mathbf{t}_4$ .

8. Recall the statement of Theorem (B) from the handout *Bases for subspaces of  $\mathbb{R}^n$* :

*Any two bases for a subspace of  $\mathbb{R}^n$  have the same number of vectors.*

Equipped with Theorem (F), we are now ready to prove Theorem (B).

**9. Proof of Theorem (B).**

Let  $W$  be a subspace of  $\mathbb{R}^n$ .

If  $W$  be the zero subspace, then the empty set is the only basis for  $W$ , and in this situation, there is nothing to prove.

From now on suppose  $W$  is a non-zero subspace of  $\mathbb{R}^n$ .

Suppose  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$  constitute a basis for  $W$ .

Also suppose  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_{p'}$  constitute a basis for  $W$ .

We are going to verify that  $p = p'$ :

- By assumption,  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$  are linearly independent vectors in  $W$ , and  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_{p'}$  constitute a basis for  $W$ . Then  $p \leq p'$ .  
Also by assumption,  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_{p'}$  are linearly independent vectors in  $W$ , and  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$  constitute a basis for  $W$ . Then  $p' \leq p$ .  
It follows that  $p = p'$ .

10. Now recall Theorem (C) below, proved in the handout *Bases for subspaces of  $\mathbb{R}^n$* :

*Suppose  $W$  is a non-zero subspace of  $\mathbb{R}^n$ . Then  $W$  has a basis which consists of at least one and at most  $n$  vectors in  $\mathbb{R}^n$ .*

Combining Theorem (C) and Theorem (F), we will obtain Theorem (G).

11. **Theorem (G). (Extension of linearly independent set to basis in the context of  $\mathbb{R}^n$ .)**

Let  $W$  be a non-zero subspace of  $\mathbb{R}^n$ , and  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  be vectors in  $W$ .

Further suppose that  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent.

Then, there is some basis for  $W$ , which is constituted of at most  $n$  vectors, amongst them being the vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ .

**Remark.** In plain words, the conclusion in this result says that

*the linearly independent vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  in  $W$  (which do not necessarily constitute a basis for  $W$  because there may be not enough of them to ‘span’ every vector in  $W$ ) can be ‘extended’ to give a basis for  $W$ .*

12. **Proof of Theorem (G).**

By Theorem (C),  $W$  has a basis, constituted by, say, some  $q$  vectors  $\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}$  in  $W$ , for which  $q \leq n$ .

None of these  $q$  vectors is the zero vector.

By assumption, none of the  $p$  vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  is the zero vectors, and each of these vectors is a linear combination of  $\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}$ .

Then by Theorem (F), we have  $q \geq p$ , and there is a basis for  $W$  which is constituted by  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  together with some  $q - p$  vectors from amongst  $\mathbf{u}_{p+1}, \mathbf{u}_{p+2}, \dots, \mathbf{u}_{p+q}$ .