#### **ACKNOWLEDGEMENT**

This paper is based on work partially supported by the Research Grants Council of Hong Kong Government under RGC Earmarked Research Grant No. CUHK 318/95H. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessary reflect the views of the Research Grants Council.

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# The Configuration and Implementation of a Hybrid 3-D GIS for Urban Data Management

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#### Abstract

With the development of modern cities, 3-D spatial information systems (SIS) are increasingly required for spatial planning, communication systems and other applications. The geometric information to be used in a spatial information system usually includes two types: vector data (such as buildings, traffic ways, waterways, trees, DTM, etc.) and raster data (such as orthophotos, original images from aerial or still video cameras, etc.). Considering the availability and advantages of relational database technology, it is an important task to develop a data structure which integrates vector and raster data.

In this paper, a self-developed 3-D data structure (V3D) is presented, in which the geometrical, topological, texture and thematic information is defined. Also, the configuration and implementation in a relational database will be investigated and we will report about a prototype system, the CyberCity Spatial Information System (CC-SIS).

#### I. INTRODUCTION

The generation and visualization of 3-D city models became an important issue in the recent past due to the increasing demand for a realistic presentation of the real world. 3-D models facilitate the processes of city planning, communication system design, control and decision making, tourism, especially in urban areas. Different applications may require different data types and manipulation functions. The geometrical information to be operated on in a 3-D city system usually includes two types of data: vector data (e.g. man-made objects) and raster images. An appropriate data model should not only represent the geometrical information, e.g. shape, length, area etc. but also implicitly or explicitly describe the topological relationship between geometrical objects, such as adjacency relations, link relations, in/out relations, positional relations. In the case of texture mapping, it also must have the ability to manipulate raster images. The complexity of spatial objects and the variety of data types, especially 3-D objects and images as two completely different data types, makes it a challenging task to develop a 3-D spatial model and data structure for the purpose at hand.

At the Institute of Geodesy and Photogrammetry, ETH Zürich we are involved in a project, "Integration of Raster Images and 3-D Objects into Geodatabases". Two important research topics treated by our group are: (a) the generation of the topology of 3-D objects by using photogrammetric tools, and (b) the investigation of the data model and the development of a system to manage the vector data and raster

images based on the relational database technology. The former problem has been addressed with our CC-Modeler (CyberCity Modeler) system (Gruen, Wang, 1998). In this paper, we will present a technology for management of data, which supports the following applications:

- Photorealistic presentation with possibilities for navigation through the 3-D city model
- Abilities to create, store, design, analyse and query city objects

The goal of this paper is to present our self-developed data structure as well as the implementation based on relational database technology for integrating 3-D vector data and raster images. In section 2 the data set for the 3-D city model will be addressed. A 3-D city model data structure will be presented in section 3. In section 4 the issue of implementation based on relational database technology is addressed. Finally, an application prototype is presented.

#### II. DATA SET

The implementation of a 3-D city information system depends on the application purposes. There are usually three types of data sets involved:

- Digital Terrain Model (DTM)
- · Objects, e.g. buildings, roads, waterways, etc.
- Original images or orthoimages

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#### DTM

The DTM is the most standardized data type in a 3-D city model. Usually there are three types of data structures to describe DTM, a regular grid, Triangular Irregular Network (TIN) and hybrid. Many investigations have been performed concerning the implementation of the above three types of representation (Fritsch, 1992). The regular grid structure allows an easy way of handling and storing the data, which is important for fast visualization. The advantage of a TIN structure is its fully consideration of the topographical features. It is easy to update, because each modification only requires re-triangulation in a local area. Considering the complexity of terrain structure in most city areas, the TIN structure is employed as a more suitable structure for DTM representation.

#### 3-D Objects

In the past, several methods for 3-D object description have been investigated. Those models can be grossly subdivided into Wire-Frame, B-Rep, VBR, Cell-Decomposition, FBR, CSG. Breunig, 1996, has discussed their suitability for 3-D GIS by comparing the different 3-D representations according to the following criteria: domain, validity (geometric and technical), non-ambiguity and unambiguity, close operation, efficiency of geometric algorithm, accuracy, need for storage. He presented a new model, the e-complex model. However, this model seems not to be suitable for our application.

Another efficient model is Molenaar's 3-D topological vector structure, the Formal Data Structure (FDS) (Molenaar 1992, Rikkers, Molenaar, 1994). In the topological model, four geometric elements are used as the elementary data types of the geometrical part, and the relationships between geometric elements and object types are determined by five rules. FDS is a complete vector structure and shows powerful specifications for the presentation of topology, position and shape. The topology representation is beneficial for topology queries among the geometric elements of an object, but to generate a FDS description of 3-D objects is difficult, because FDS requires topological definitions between point and arc, arc and edge, edge and surface, edge and edge etc.

This situation lead us to develop a modified facet model based on B-Rep, V3D. This data model can be generated more easily and is designed to adapt images as well.

3-D objects usually can be classified into two types: surface objects (such as roads, waterways etc.) and body objects (such as building). Both types of objects

can be completely represented with a facet model. On the other hand, a facet model is easily reconstructed with data from a photogrammetric system. CC-Modeler, developed in ETH Zürich, is a special photogrammetric tool to generate the facet model of 3-D objects from point cloud data obtained with a photogrammetric system (Gruen, Wang, 1998). It delivers the facet model data structure, V3D. V3D can conveniently be translated into other data files, such as DXF, IV, and AutoLisp, which are readable by AutoCAD, MicroStation, Polytrim, ArcView and Inventor. The detailed structure of V3D will be presented in section 3.

#### **Images**

Images are another important data set in 3-D city models. In most applications, images are used as realistic texture data. Photorealistic texturing applied to 3-D objects gives the most natural presentation of the real world. Texture presents details which are not modelled in the vector data set and material properties. Therefore, for visualization purposes, images may even compensate for lack of modelling of fine details. Generally, there are two types of data sources: aerial images and terrestrial images taken from street level. The former usually are used for mapping on terrain surfaces and roofs of buildings, the latter are for building facades and other vertical faces. From a data structure point of view, both kinds of images are expressed as 2-D raster data, which can be stored or manipulated as a special layer in a 3-D system.

#### III. DATA STRUCTURE

V3D is a hybrid data structure. It not only models 3-D objects, but also combines raster images and attribute information for each object. The terrain objects are grouped into four different geometric object types:

- Point Objects: zero-dimensional objects which have a position but no spatial extension.
- Line Objects: one-dimensional objects with length as the only measurable spatial extension, which means that Line Objects are built up of connect line segments.
- Surface Objects: two-dimensional objects with area and perimeter as measurable spatial extension, which are composed of facet patches.
- Body Objects: three-dimensional objects with volume and surface area as measurable spatial extension, which are bordered by facets.

In V3D, each special object is identified by Type Identifier Code (TIC), referred to as PIC, LIC, SIC and BIC, respectively. Three data sets are attached to each

object type: thematic data, geometric data and image data. In fact, the thematic data attributes are built up in a separate data table. It is linked to the object type with a related class label. The definition of the thematic data is user-dependent.

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The geometric data set contains the geometric information of 3-D objects, i.e. the information of position, shape, size, structure definition, and image index. The diagram in Figure. 1 shows the logical data structure. For the four object types, four geometrical elements are designed, i.e. Point, Edge, Facet and Entity. Point is the basic geometric element in this diagram. The Point can present a point object. It also can be the begin or end point of an Edge. The Edge is a line segment, which is an ordered connection between two points: begin point and end point. Further, it can be a straight part of a line object or lie on a facet. The Facet is the intermediate geometrical element. It is completely described by the ordered edges that define the border of the facet. One or more facets can be related to a surface object or *Entity* geometrical element. Moreover, *Facet* is related to an image patch. *Entity* is the highest level geometrical element, and it can carry shape information. An entity is completely defined by its bordering facets. Image data and thematic data are two special data sets, which are built up in two separated data tables. Each facet is always related to an image patch through a corresponding link. Once the attribute table is attached and the TIC is labelled, a geometrical element becomes an object type. Especially, DTM is treated as a special data type, which is described by a series of facets.

Obviously, the topological relationships between geometrical elements are implicitly defined by the data structure. A point object is presented by a distinct *Point* element. The line object is described by ordered *Edges*. The surface object is described by the *Facet* with the information of image patches. Similarly, the body object is described by *Entity* that are defined by the facets. Thus, the topological relationships between *Point* and *Edge*, *Edge* and *Facet*, *Facet* and *Entity* are registered by the links between the geometrical elements.

## IV. IMPLEMENTATION IN A RELATIONAL DATABASE

In a relational database the most common object to be manipulated is the relation table. Other objects such as index, views, sequence, synonyms and data dictionary are usually used for query and data access. "Table" is the basic storage structure, which is a two-dimensional matrix consisting of columns and

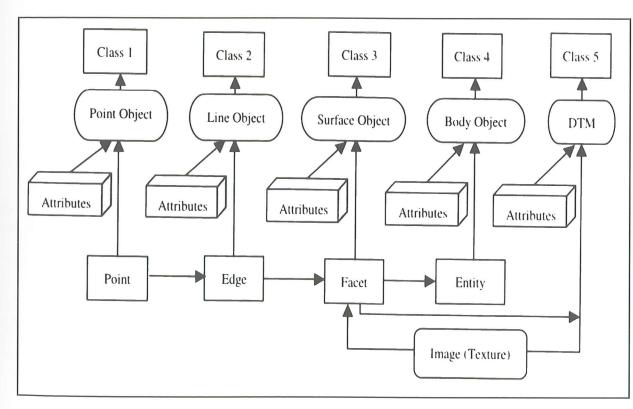


Figure 1. The logical data structure of V3D

rows of data elements. Each row in a table contains the information needed to describe one instance of the entity, each column represents an attribute of the entity.

The data model shown in Figure 1 is a relational model, which can be implemented by relational data base technology. Figure 2 shows the relational model of the V3D data structure. Each object type is defined as a table, shown as the upper row. A point type table includes three terms. The Point Identification Code (PIC) is an identification code for a point type object. The Attribute Identification (AID) is coded to relate an attribute table. Different types of objects may have different attribute tables. For example, the attribute tables of "tree" may have different thematic definitions than "pole". The Name of Point (NP) is the identification of a geometric point, which is used to relate it with a distinct element in a point geometric element table. The Point table is the most basic geometrical element table, which defines the coordinate position of the geometrical points.

The line type table has similar content as the point type table. The difference is that a line type object is identified by the Line Identification Code (LIC) which is not directly linked with the geometric element table Edge, but linked with an intermediate relational table LIC-NIL and then indexed to the *Edge* table. The Edge table defines the geometrical element Edge, in which each edge (NIL) is described by the beginning point (BP) and the end point(EP). The surface type table and body type table have similar terms as the line type table. For each type of object a distinct identification code (SIC or BIC) is labelled. Both SIC and BIC are linked with a merging geometrical element table, Facet-Entity-Image, in which the topological relationships between Facet and Surface, Facet and Entity, Facet and Image are defined. Facet-Entity-Image table has two links: one is related to the *Image* table; the other is related to the NIL-SID table. *Image* table is a basic table, which describes all attributes of images, such as the image name, format, pixels, camera parameters, orientation parameters etc. The NIL-SID table is another intermediate table, which defines the corresponding relationships between *Facet* and *Edge*. Its NIL column is related to the *Edge* table. The DTM is treated as a special class, which is related to the NIL-SID table through an intermediate table DID-SID-Image.

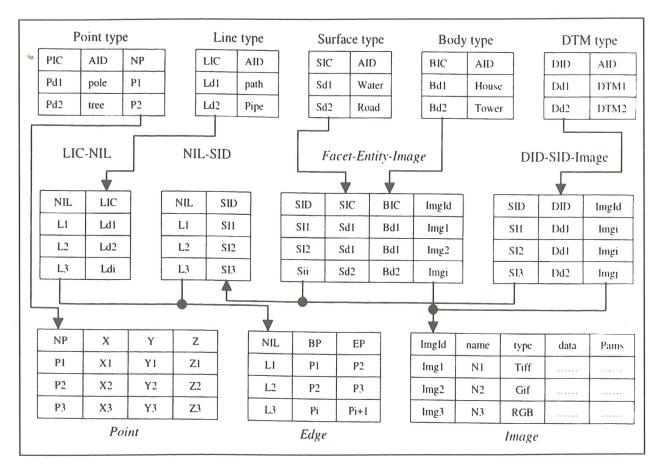


Figure 2. The relational model of the V3D data structure

Based on the relational structure shown on the diagram in Figure 2, the query of a geometrical description of a distinct object type is easily realized. For example, the query "Select the geometrical description of an object

with the identification code BIC = 202", will first index all Facet identification in the *Facet-Entity-Image* table by its BIC, and then get all edge name identification (NIL), finally index the position information of structure points with the help of *Edge* table and *Point* table. The geometrical information is defined in the following data structure as result of the query for a distinct body type object (such as building):

```
typedef struct VEXPOINTS
      double
               X;
      double
               у;
      double
               Z;
}VEXPOINTS;
typedef struct _FACE
      int
             num_elem;
             *Element;
      int
      int
             Id_Image;
}FACE
typedef struct ROOF
     int
                num_face;
      FACE
                *Face;
}ROOF
typedef struct _WALL
     int
                 num_face;
     FACE
                *Face;
}WALL
typedef struct _ENTITY
     int
             Entity_type;
     int
             Id code;
     int
            num point;
                      *vexPoints;
     VEXPOINTS
     ROOF
                Roof;
     WALL
                Wall;
ENTITY 
                Entity
```

The queries of topological relationships are divided into two types: relationships between the geometrical elements of an object and those between objects themselves. The relationships between the geometrical elements are implicitly defined in the above data structure. Though the internal topology is not directly supplied, users can flexibly deduce the relationships, such as joint, adjacency, left or right, etc. The queries of topological relationships between objects are not considered in the above data structure because they are application-dependent.

#### V. PROTOTYPE SYSTEM

Based on the above data model and structure, a special information system, CC-SIS, has been successfully developed and implemented on a workstation (Sun SPARC) under X-Windows, OSF/Motif, OpenGL as well as ORACLE database. Although its main purpose is scientific investigations, it can also be used in applications like photorealists representation with possibilities for navigation through the 3-D city model, creation, storage, analysis and query of a city object. In combination with our topology generator CC-Modeler, it builds up a system for geometrical information generation, storage and manipulation.

There are currently seven function units in CC-SIS shown in the Figure 3. CC-SIS can directly read the data file generated by CC-Modeler and output results in the format DXF, Autolisp, Iventor and V3D. The Edit function is used for graphic editing, which is to be developed in the future. The View function supplies the tools for 2-D or 3-D viewing, such as dynamically selecting a view port, zooming, etc. Further, three types of rendering are available, wireframe, shading and texture mapping. Figure 4 shows a view of this function. The Image function supplies the tools for interior orientation of the images in order to map natural texture from images. Also, artificial texture can be mapped. An example of this function is shown in Figure 5.

The manipulations of data are supplied by the Data function. It includes two sub-modules: one is used for the operation on layers (objects are defined as different layers in CC-SIS, such as building, DTM, waterway, pathway, tree, etc.); the other is to input the attributes for the selected object. Figure 6 shows an example of layer operation. The Geo-query function includes two tools: geometry query and topology query. The former is used to query the separated object by the point, line or entity selection; the latter is employed to query topological relationships between different objects. Figure 7 shows the geometric query of CC-SIS. The user can mark an object (e.g. building) with a cursor. This triggers and displays the corresponding attributes and geometrical/topological information. The operations on a database are defined in the Database function, including database link and SQL-query. SQL-query is a sub-menu, in which standard SQL queries are supplied.

#### VI. CONCLUSIONS

Based on the V3D vector data structure, a relational database has been created. The data to be operated

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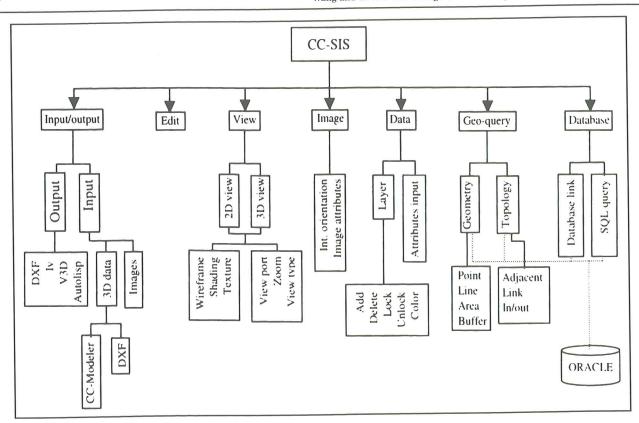


Figure 3. shows the interface of CC-SIS.

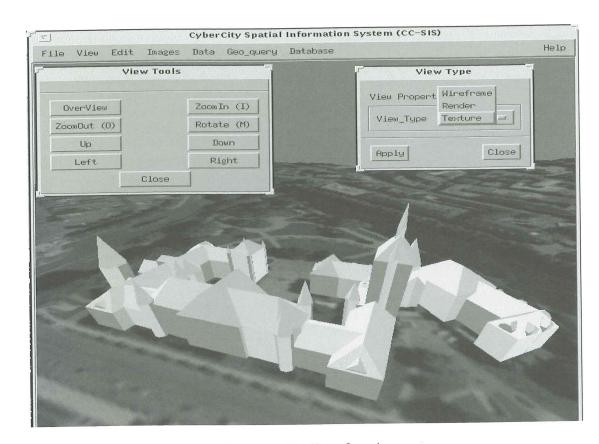


Figure 4. The View function

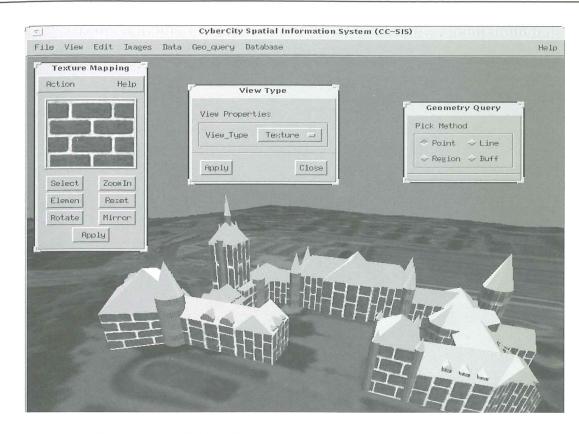


Figure 5. Artificial wall texture produced with the Image function



**Figure 6.** The result of a Layer operation function

## 

Figure 7. The geometrical query of CC-SIS

on can be logically separated into geometrical parts, image and thematic. In this paper the focus is put on the geometrical part of the database. Our pilot applications show that V3D is a suitable structure for the representation of 3-D objects, images and thematic data. It is possible to answer most of the questions about topology, position and shape of objects by means of geometric or SQL queries.

Further research will be concerned with the following themes:

- (1) More extensive investigation of the possibilities of the implementation of V3D
- (2) Further analysis of topology and queries. Special attention will be paid to the relationships between the different geometrical elements and object types.

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### **Effect of Compression on the Accuracy of DTM**

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#### Abstract

In digital terrain modelling, if the source data (e.g. acquired by image matching) is too dense, a lossy compression procedure, e.g. the VIP (very important points) in ARC/TIN, is then applied to remove those less important data points (or select those important points) from the original data set for efficient data storage and process. This paper describes some experimental tests on the effect of such data compression on the accuracy of resultant digital terrain models (DTM). Results from two areas show that (a) the number of data points retained after compression is linearly proportional to the increase in threshold; (b) a compression of 30% doesn't have too much effect on DTM accuracy. An empirical model is established to predict the accuracy loss due to such compression.

#### I. INTRODUCTION

In the case of digital terrain modelling and any other spatial modelling, accuracy, efficiency and economy are three main factors to be considered. Accuracy of DTM is a traditional topic in photogrammetric community since photogrammetrists are usually the DTM producer. Numerous papers in this area have been published in journals particularly in Photogrammetric Record and ISPRS Journal of Photogrammtry and Remote Sensing (formerly Photogrammetria) as well as the proceedings of ISPRS – *International Archive* of Photogrammetry and Remote Sensing. Both theoretical (Makorovic, 1972; Kubik and Botman, 1976; Frederiksen, 1981; Li, 1993) and experimental studies (Ackerman, 1979; Ley, 1986; Torlegard et al, 1986; Balce, 1987; Carter, 1989; Li, 1992; Li, 1994; Monckton, 1994) of DTM accuracy have been conducted by researchers.

If accuracy is the only concern, one would be better off to have data sets as dense as possible. For example, in the case of image matching, up to 50,000 to 700,000 points per stereo-model can be measured with the GPM-2 (Petrie, 1990). Such very dense data sets are not always suitable or appropriate for use by application specialists such as engineers, planners, etc. Indeed, in some cases, their sheer volume constitutes a definite deterrent or drawback to their use. If the efficiency of modelling process and the cost of computation are being considered, as they should be, then a lossy compression (or filtering) procedure needs to be applied to such data sets so that only a minimum number of data points will be selected while the specified accuracy of the final digital terrain model (DTM) will still be achieved. This general principle applies equally to both regular gridded data and irregular data but this article will deal with only the regularly

gridded data.

In the case of regular grid sampling for DTM data acquisition using photogrammetric method, the usual practice is to employ a sampling interval which is suitable for area with roughest terrain in order to ensure that the accuracy of the final DTM will meet the requirements set by users. However, the problem is that, in flatter areas, too many data points will have been measured. One may argue that the progressive sampling suggested by Makarovic (1973) can be used to avoid such a problem. However, as pointed out by many specialists (see Toomey, 1984) that there are many fundamental problems with this techniques. At a later stage, following the line of thought opposite to progressive sampling, Makarovic (1977) himself proposed a method called "Regressive Rejection", which, as the name implies, rejects less important data points regressively. The working principle of this method is similar to the "quadtree" data structure. Another method which has been in use is the VIP (very important points) procedure (Chen and Guevara, 1987) in ARC/TIN. However, the effect of such procedures on the accuracy of resultant DTM has rarely been evaluated. Indeed, such an evaluation is very desirable in order to help users understand the potential consequence of using such a procedure.

This project aims to experimentally investigate into the relationship between the level (or degree) of data compression and the loss of accuracy for resultant DTM. In this study, a modified VIP procedure is used for experimental testing, with data sets of two testing areas.

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