## Math 4010: Functional Analysis: Test 05 Nov 2018. 10:30-12:00 Answer ALL Questions

Throughout the paper, let  $B_Z$  be the closed unit ball of a normed space Z and let  $B(a, r) :=$  ${x \in Z : ||x - a|| < r}$  for  $a \in Z$  and  $r > 0$ .

- 1. (10 points) Let X ba a normed space. Show that the following statements are equivalent.
	- (i)  $X$  is a Banach space.
	- (ii) Every absolutely convergent series in X is convergent, that is, if  $(x_n)$  is a sequence in X such that  $\sum_{k=1}^{\infty} ||x_k|| < \infty$ , then the limit  $\lim_{n \to \infty} \sum_{k=1}^{n}$  $k=1$  $x_k$  exists in X. (Hint: Using a known fact that a Cauchy sequence is convergent if and only if it has a

convergent subsequence.)

*Proof:* See lecture note: Prop 1.1  $\Box$ 

2. (i) (5 points): Let E be a normed space. Let  $0 < r < 1$ . Suppose that  $B_{E^*} \subseteq \bigcup_{k=1}^N B(x_k^*)$  $_{k}^{\ast},r)$ for some finitely many elements  $x_1^*,...,x_N^*$  in  $B_E^*$ . Define a map  $T: E \to c_0$  by

$$
T(x):=(x_1^*(x),...,x_N^*(x),0,0,....)\in c_0
$$

for  $x \in E$ . Show that  $(1 - r) \|x\| \leq \|Tx\|_{\infty} \leq \|x\|$  for all  $x \in E$ .

(ii) (5 points): Let X be a normed space. Show that for any finite dimensional subspace E of X and for any  $\eta > 0$ , there exist a finite dimensional subspace F of  $c_0$  and an isomorphism T from E onto F so that  $||T|| ||T^{-1}|| < 1 + \eta$ .

*Proof:* (*i*): Let  $x \in E$ . Then  $|x_k^*|$  $||x_k^*(x)|| \leq ||x_k^*||$  $||k|| ||x|| \le ||x||$  for all  $k = 1, 2, ...$  and hence, we see that  $||Tx||_{\infty} \leq ||x||$ .

On the other hand, Hahn-Banach separation tells us that  $||x|| = |f(x)|$  for some  $f \in X^*$  with  $||f|| = 1$ . By the assumption, we have  $||f - x_k^*||$  $||k|| < r$  for some  $k = 1, ..., N$ . This gives

$$
||x|| = |f(x)| \le |(f - x_k^*)(x)| + |x_k^*(x)| \le r||x|| + ||Tx||_{\infty}.
$$

So, we have  $(1 - r) \|x\| \leq \|Tx\|_{\infty}$  as required.

(ii): Let  $0 < r < 1$ . If E is of finite dimensional, then so is  $E^*$ . Then by the compactness of  $B_{E^*}$ , there exist finitely many elements  $x_1^*,...,x_N^*$  in  $B_{E^*}$  such that  $B_{E^*} \subseteq \bigcup_{k=1}^N B(x_k^*)$  $_{k}^{\ast},r).$ Let T be defined as in (i), we see that  $||T|| \leq 1$ . Moreover, if we let  $F := T(E)$ , then T is an isomorphism from E onto F with  $||T^{-1}|| \le \frac{1}{1-r}$ . Notice that  $\lim_{r\to 0+} \frac{1}{1-r} = 1+$ . Hence, for any  $\eta > 0$ , choose  $r > 0$  such that  $1 < \frac{1}{1-r} < 1+\eta$  and thus,  $||T^{-1}|| < 1+\eta$  as desired. The proof is finished.  $\Box$ 

3. Let  $1 < p < \infty$ . For each  $x \in c_0$ , define a linear operator  $M_x$  from  $\ell^p$  to itself by

$$
M_x(\xi)(k) := x(k)\xi(k)
$$

for  $\xi \in \ell^p$  and  $k = 1, 2, \ldots$ 

- (i) (5 points) Show that  $||M_x|| = ||x||_{\infty}$  for any  $x \in c_0$ .
- (ii) (5 points) What is  $M_x^* \xi^*$  for  $x \in c_0$  and  $\xi^* \in (\ell^p)^*$ ?

*Proof:* (i) Let  $x \in c_0$ . It is clear that  $||M_x|| \le ||x||_{\infty}$  because we always have  $||M_x(\xi)||_p^p =$  $\sum_{k=1}^{\infty} |x(k)\xi(k)|^p \le ||x||_{\infty} ||\xi||_p^p$  for all  $\xi \in \ell^p$ . On the other hand, given any  $\varepsilon > 0$ , we have  $||x||_{\infty} - \varepsilon < |x(N)|$  for some positive integer N. Let  $(e_k)$  be the canonical Schauder basis for  $\ell^p$ . Then we see that  $||x||_{\infty} - \varepsilon < |x(N)| = M_x(e_N) \le ||M_x||$  for all  $\varepsilon > 0$ . This implies that  $||x||_{\infty}$  ≤  $||M_x||$ . Part (i) follows.

(*ii*) Let  $J: (\ell^p)^* \to \ell^q$  be the canonical isometric isomorphism, where  $1/p + 1/q = 1$ . Now if we put  $m_x(\eta)(k) := x(k)\eta(k)$  for  $\eta \in \ell^q$  and  $k = 1, 2,...$  Then we have the following commutative diagram:

$$
(\ell^p)^* \xrightarrow{J} \ell^q
$$
  
\n
$$
\downarrow M_x^* \qquad \downarrow m_x
$$
  
\n
$$
(\ell^p)^* \xrightarrow{J} \ell^q
$$

So,  $M_x^* = m_x$  under the identification J.

## End