CSCI 3130 Formal Languages and Automata Theory

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#### Context-free versus regular

Write a CFG for the language (0 + 1)\*111

$$S \rightarrow U$$
111 
$$U \rightarrow 0 \ U \mid 1 \ U \mid \varepsilon$$

Can you do so for every regular language?

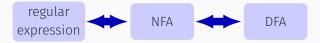
#### Context-free versus regular

Write a CFG for the language (0 + 1)\*111

$$S \rightarrow U$$
111 
$$U \rightarrow 0 \ U \mid 1 \ U \mid \varepsilon$$

Can you do so for every regular language?

Every regular language is context-free



# From regular to context-free

regular expression	$\Rightarrow$ CFG
Ø	grammar with no rules
arepsilon	$S \to \varepsilon$
a (alphabet symbol)	S  o a
$E_1 + E_2$	$S \to S_1 \mid S_2$
$E_1E_2$	$S \to S_1 S_2$
$E_1^*$	$S  o SS_1 \mid \varepsilon$

 ${\cal S}$  becomes the new start variable

#### Context-free versus regular

Is every context-free language regular?

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Is every context-free language regular?

$$S \rightarrow 0S1 \qquad L = \{0^n 1^n \mid n \geqslant 0\}$$
 Is context-free but not regular



# **Ambiguity**

# Ambiguity

$$E \rightarrow E + E \mid E^{\star}E \mid (E) \mid N$$
 
$$N \rightarrow 1 \mid 2$$



A CFG is ambiguous if some string has more than one parse tree

## Example

Is  $S \rightarrow SS \mid X$  ambiguous?

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Is 
$$S \rightarrow SS \mid X$$
 ambiguous?





Two ways to derive xxx

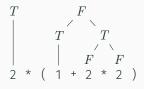
$$\begin{array}{ccc}
S \to SS \mid X & \Rightarrow & S \to SX \mid X \\
& & S & X \\
& & S & X \\
& & X & X
\end{array}$$

Sometimes we can rewrite the grammar to remove ambiguity

$$E \rightarrow E + E \mid E^*E \mid (E) \mid N$$
  
 $N \rightarrow 1 \mid 2$ 

+ and \* have the same precedence!

Decompose expression into terms and factors



$$E \rightarrow E + E \mid E^*E \mid (E) \mid N$$
  
 $N \rightarrow 1 \mid 2$ 

An expression is a sum of one or more terms

$$E 
ightarrow T \mid E + T$$

Each term is a product of one or more factors

$$T \rightarrow F \mid T^*F$$

Each factor is a parenthesized expression or a number

$$F \rightarrow (E) \mid 1 \mid 2$$

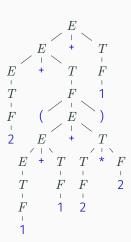
# Parsing example

$$E \rightarrow T \mid E+T$$

$$T \rightarrow F \mid T^*F$$

$$F \rightarrow (E) \mid 1 \mid 2$$

Parse tree for 2+(1+1+2\*2)+1



Disambiguation is not always possible because

There exists inherently ambiguous languages

There is no general procedure for disambiguation

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In programming languages, ambiguity comes from the precedence rules, and we can resolve like in the example

In English, ambiguity is sometimes a problem:

I look at the dog with one eye

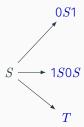
$$S \rightarrow 0S1 \mid 1S0S \mid T \qquad \qquad \text{input: 0011}$$
 
$$T \rightarrow S \mid \varepsilon$$

Is  $0011 \in L$ ?

If so, how to build a parse tree with a program?

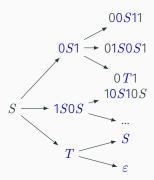
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Try all derivations?

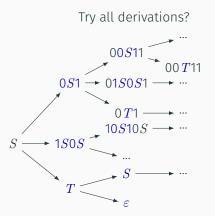


$$S \rightarrow 0S1 \mid 1S0S \mid T$$
 input: 0011 
$$T \rightarrow S \mid \varepsilon$$

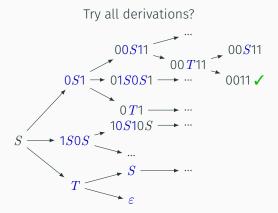
#### Try all derivations?



$$S \rightarrow 0S1 \mid 1S0S \mid T \qquad \qquad \text{input: 0011}$$
 
$$T \rightarrow S \mid \varepsilon$$



$$S \rightarrow 0S1 \mid 1S0S \mid T \qquad \qquad \text{input: 0011}$$
 
$$T \rightarrow S \mid \varepsilon$$



This is (part of) the tree of all derivations, not the parse tree

#### **Problems**

- 1. Trying all derivations may take too long
- 2. If input is not in the language, parsing will never stop

Let's tackle the 2nd problem

#### When to stop

$$S \rightarrow 0S1 \mid 1S0S \mid T$$
 
$$T \rightarrow S \mid \varepsilon$$

Idea: Stop when |derived string| > |input|

# When to stop

$$S \to 0S1 \mid 1S0S \mid T$$
$$T \to S \mid \varepsilon$$

Idea: Stop when |derived string| > |input|

Problems:

$$S \Rightarrow 0S1 \Rightarrow 0T1 \Rightarrow 01$$

Derived string may shrink because of " $\varepsilon$ -productions"

# When to stop

$$S \to 0S1 \mid 1S0S \mid T$$
$$T \to S \mid \varepsilon$$

Idea: Stop when |derived string| > |input|

Problems:

$$S \Rightarrow 0S1 \Rightarrow 0T1 \Rightarrow 01$$

Derived string may shrink because of " $\varepsilon$ -productions"

$$S \Rightarrow T \Rightarrow S \Rightarrow T \Rightarrow \dots$$

Derviation may loop because of "unit productions"

Remove  $\varepsilon$  and unit productions

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

Add a new start variable  $\,T\,$  Add the rule  $\,T \to S\,$ 

$$\begin{split} S &\to A\,CD \\ A &\to \mathsf{a} \\ B &\to \varepsilon \\ C &\to ED \mid \varepsilon \\ D &\to BC \mid \mathsf{b} \\ E &\to \mathsf{b} \end{split}$$

For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

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For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

$$S \to ACD$$

$$A \to a$$

$$B \to \varepsilon$$

$$C \to ED \mid \varepsilon$$

$$D \to BC \mid b$$

$$E \to b$$

Removing  $B \to \varepsilon$ 

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

Add a new start variable  $\,T\,$  Add the rule  $\,T \to S\,$ 

For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

$$S \to ACD$$

$$A \to a$$

$$B \to \varepsilon$$

$$C \to ED \mid b$$

$$D \to BC \mid b$$

$$E \to b$$

Removing  $C \to \varepsilon$ 

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

Add a new start variable  $\,T\,$  Add the rule  $\,T \to S\,$ 

$$S \to ACD$$

$$A \to a$$

$$B \to \varepsilon$$

$$C \to ED \mid \not \in$$

$$D \to BC \mid b$$

$$E \to b$$

For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

$$D \to C \mid B$$
$$S \longleftrightarrow AD$$
$$D \to \varepsilon$$

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

Add a new start variable  $\,T\,$  Add the rule  $\,T \to S\,$ 

For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

$$S oup ACD$$
  $D oup C \mid B$ 
 $A oup a$ 
 $B oup \varepsilon$ 
 $C oup ED + f$ 
 $D oup BC \mid b$ 
 $E oup b$ 

Removing  $D \to \varepsilon$ 

Goal: remove all  $A \to \varepsilon$  rules for every non-start variable A

If S is the start variable and the rule  $S \to \varepsilon$  exists

Add a new start variable  $\,T\,$  Add the rule  $\,T \to S\,$ 

$$S \to ACD$$

$$A \to a$$

$$B \to \varepsilon$$

$$C \to ED \mid \cancel{\epsilon}$$

$$D \to BC \mid b$$

$$E \to b$$

For every rule  $A \to \varepsilon$  where A is not the (new) start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$ Add a new rule  $B \to \alpha \beta$

$$D \to C \mid B$$

$$S \to AD \mid AC$$

$$D \to \varepsilon$$

$$C \to E$$

$$S \to A$$

Removing  $D \to \varepsilon$ 

## Eliminating $\varepsilon$ -productions

For every  $A \to \varepsilon$  rule where A is not the start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

Do 2. every time A appears

$$\begin{array}{ccc} B \rightarrow \alpha A \beta A \gamma \text{ yields} \\ B \rightarrow \alpha \beta A \gamma & B \rightarrow \alpha A \beta \gamma \\ B \rightarrow \alpha \beta \gamma \end{array}$$

# Eliminating $\varepsilon$ -productions

For every  $A \to \varepsilon$  rule where A is not the start variable

- 1. Remove the rule  $A \to \varepsilon$
- 2. If you see  $B \to \alpha A \beta$  Add a new rule  $B \to \alpha \beta$

Do 2. every time A appears

$$\begin{array}{ccc} B \to \alpha A \beta A \gamma \text{ yields} \\ B \to \alpha \beta A \gamma & B \to \alpha A \beta \gamma \\ B \to \alpha \beta \gamma & \end{array}$$

$$B \to A \text{ becomes } B \to \varepsilon$$

If  $B \to \varepsilon$  was removed earlier, don't add it back

# Eliminating unit productions

A unit production is a production of the form

$$A \rightarrow B$$

Grammar:

$$S \rightarrow 0S1 \mid 1S0S \mid T$$
 
$$T \rightarrow S \mid R \mid \varepsilon$$
 
$$R \rightarrow 0SR$$

Unit production graph:



#### Removing unit productions

1) If there is a cycle of unit productions

$$A \to B \to \cdots \to C \to A$$

delete it and replace everything with *A* (any variable in the cycle)

$$S \rightarrow 0S1 \mid 1S0S \mid T$$
 
$$T \rightarrow S \mid R \mid \varepsilon$$
 
$$R \rightarrow 0SR$$



# Removing unit productions

1) If there is a cycle of unit productions

$$A \to B \to \cdots \to C \to A$$

delete it and replace everything with *A* (any variable in the cycle)

$$S \to 0S1 \mid 1S0S \mid \mathbb{Z}$$

$$\mathbb{Z} \to \mathbb{S} \mid R \mid \varepsilon$$

$$R \to 0SR$$

$$S \to 0S1 \mid 1S0S$$

$$S \to R \mid \varepsilon$$

$$R \to 0SR$$

$$R \to 0SR$$

Replace T by S

### Removal of unit productions

$$\begin{tabular}{lll} \hline & & & & \\ $A \to B \to \cdots \to C \to \alpha$ \\ & & & \\ $by \quad A \to \alpha, \quad B \to \alpha, \quad \ldots, \quad C \to \alpha$ \\ & & & \\ $S \to 0S1 \mid 1S0S$ & & & \\ & & & \\ & & & \\ $R \mid \varepsilon$ & & & \\ & & & \\ $R \to 0SR$ & & & \\ \hline \end{tabular}$$

### Removal of unit productions

Replace  $S \to R \to 0SR$  by  $S \to 0SR$ ,  $R \to 0SR$ 

### Recap

#### Problems:

- 1. Trying all derivations may take too long
- 2. If input is not in the language, parsing will never stop 🗸

#### Solution to problem 2:

- 1. Eliminate  $\varepsilon$  productions
- 2. Eliminate unit productions

Try all possible derivations but stop parsing when  $|{\tt derived\ string}| > |{\tt input}|$ 

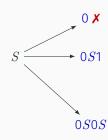
### Example

$$S \rightarrow 0S1 \mid 0S0S \mid T$$
$$T \rightarrow S \mid 0$$



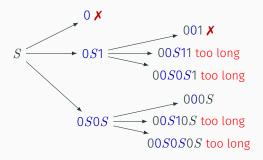
$$S 
ightarrow 0S1 \mid 0S0S \mid 0$$

input: 0011



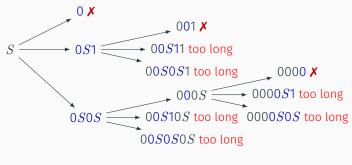
### Example

input: 0011



### Example

input: 0011



Conclusion: 0011  $\notin L$ 

### **Problems**

- 1. Trying all derivations may take too long
- 2. If input is not in the language, parsing will never stop

### **Preparations**

A faster way to parse:

Cocke–Younger–Kasami algorithm

To use it we must perprocess the CFG:

Eliminate  $\varepsilon$  productions Eliminate unit productions Convert CFG to Chomsky Normal Form

### **Chomsky Normal Form**

A CFG is in Chomsky Normal Form if every production has the form

 $A \to BC$  or  $A \to \mathbf{a}$  where neither B nor C is the start variable

but we also allow  $S \to \varepsilon$  for start variable S



Noam Chomsky

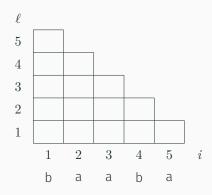
### Convert to Chomsky Normal Form:

$$A o BcDE \implies A o BCDE \implies A o BX$$
 replace  $C o c$  break up  $X o CY$  terminals with sequences  $Y o DE$  nals with new  $C o c$  variables

$$S o AB \mid BC$$
  
 $A o BA \mid$  a  
 $B o CC \mid$  b  
 $C o AB \mid$  a

Input: x = baaba

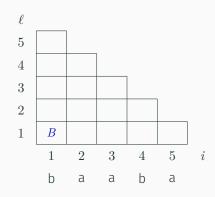
let 
$$x[i, \ell] = x_i x_{i+1} \dots x_{i+\ell-1}$$



$$S 
ightarrow AB \mid BC$$
  $A 
ightarrow BA \mid$  a  $B 
ightarrow CC \mid$  b  $C 
ightarrow AB \mid$  a

Input: x = baaba

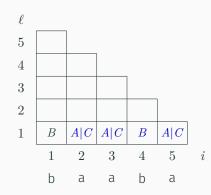
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$$S o AB \mid BC$$
  
 $A o BA \mid$  a  
 $B o CC \mid$  b  
 $C o AB \mid$  a

Input: x = baaba

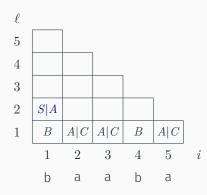
let 
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$$S o AB \mid BC$$
  
 $A o BA \mid$  a  
 $B o CC \mid$  b  
 $C o AB \mid$  a

Input: x = baaba

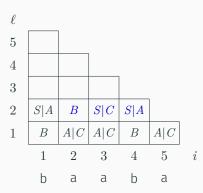
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$$S o AB \mid BC$$
  
 $A o BA \mid$  a  
 $B o CC \mid$  b  
 $C o AB \mid$  a

Input: x = baaba

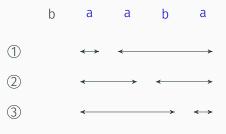
let 
$$x[i, \ell] = x_i x_{i+1} \dots x_{i+\ell-1}$$



# Computing $T[i,\ell]$ for $\ell\geqslant 2$

Example: to compute T[2,4]

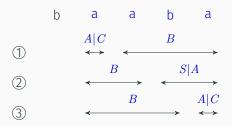
Try all possible ways to split x[2,4] into two substrings



## Computing $T[i,\ell]$ for $\ell \geqslant 2$

Example: to compute T[2,4]

Try all possible ways to split x[2,4] into two substrings



Look up entries regarding shorter substrings previously computed

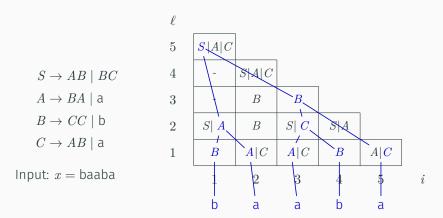
## Computing $T[i,\ell]$ for $\ell \geqslant 2$

Example: to compute T[2,4]

Try all possible ways to split x[2,4] into two substrings

Look up entries regarding shorter substrings previously computed

$$S oup AB \mid BC$$
 
$$A oup BA \mid \mathsf{a}$$
 
$$B oup CC \mid \mathsf{b}$$
 
$$C oup AB \mid \mathsf{a}$$
 
$$T[2,4] = S|A|C$$



Get parse tree by tracing back derivations