



## 8.5 Achievability of $R_I(D)$

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- This implies that  $R_I(D) \geq R(D)$ .

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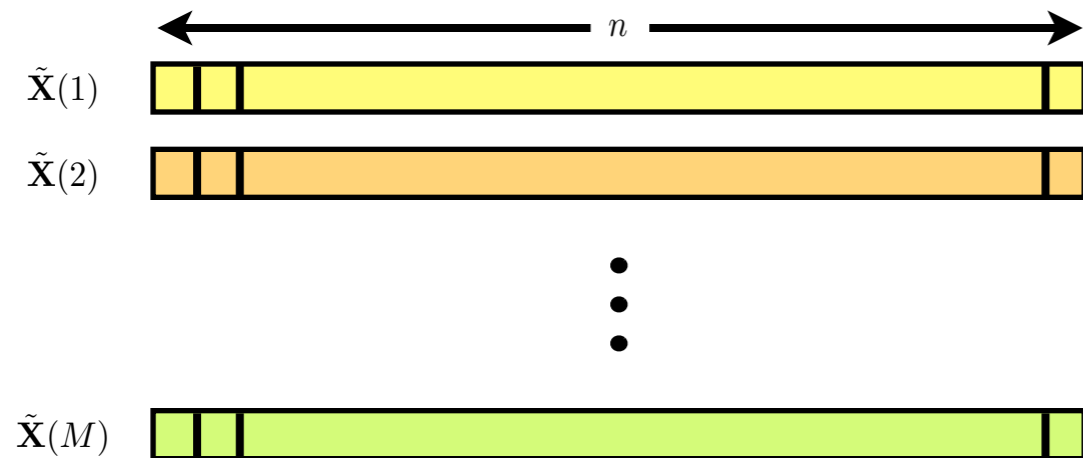
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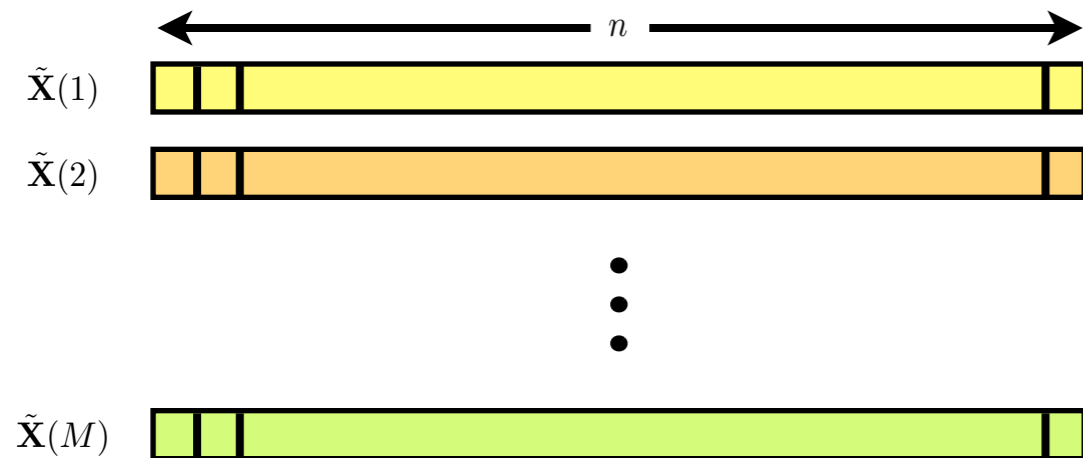
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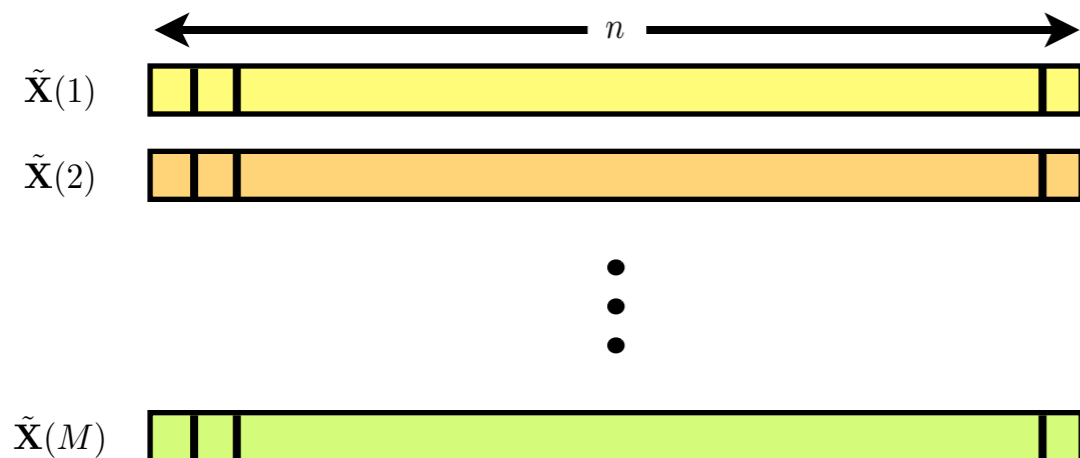
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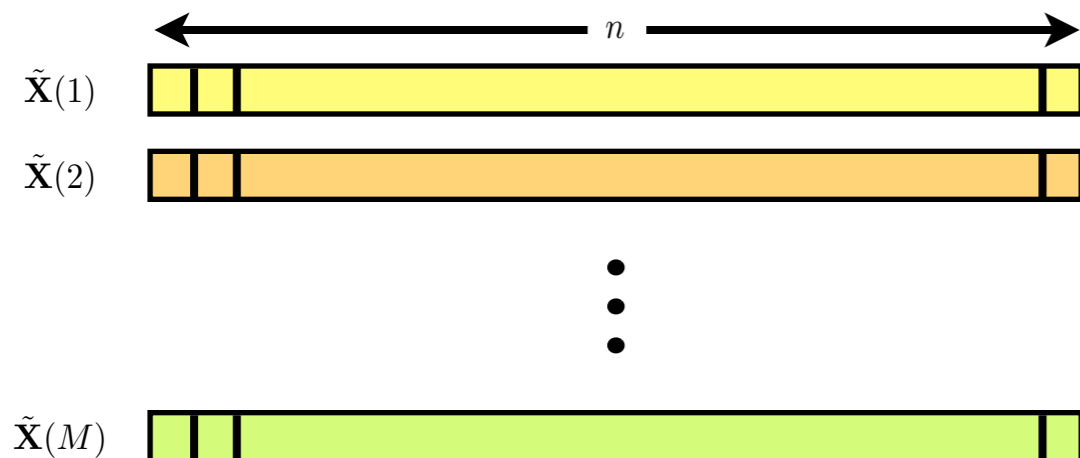
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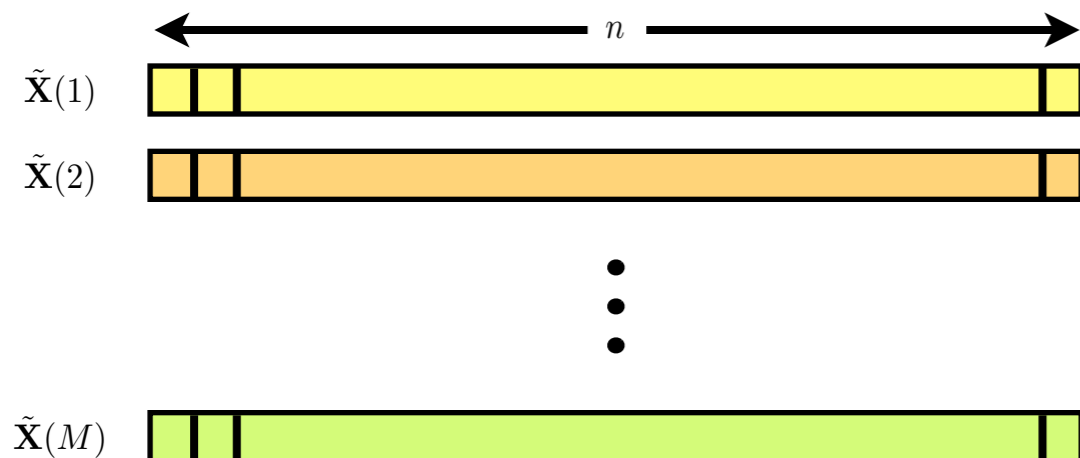
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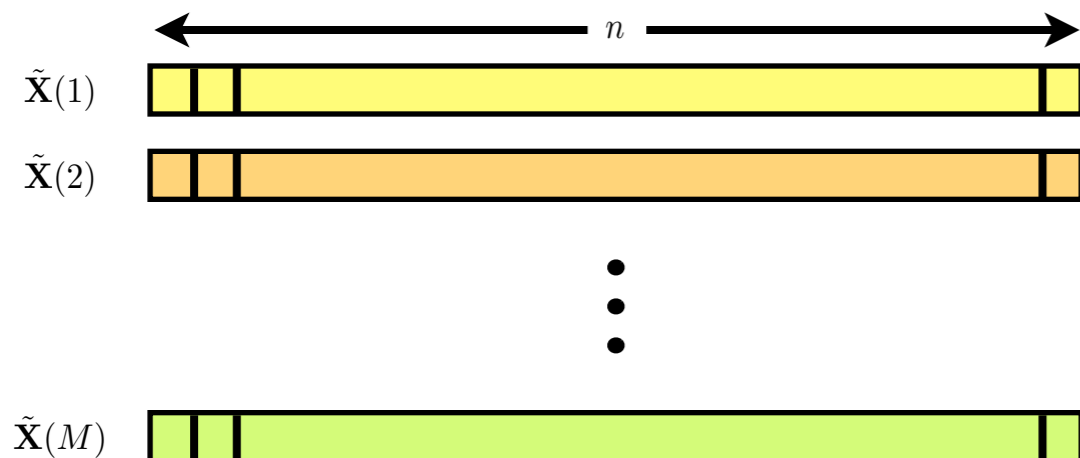
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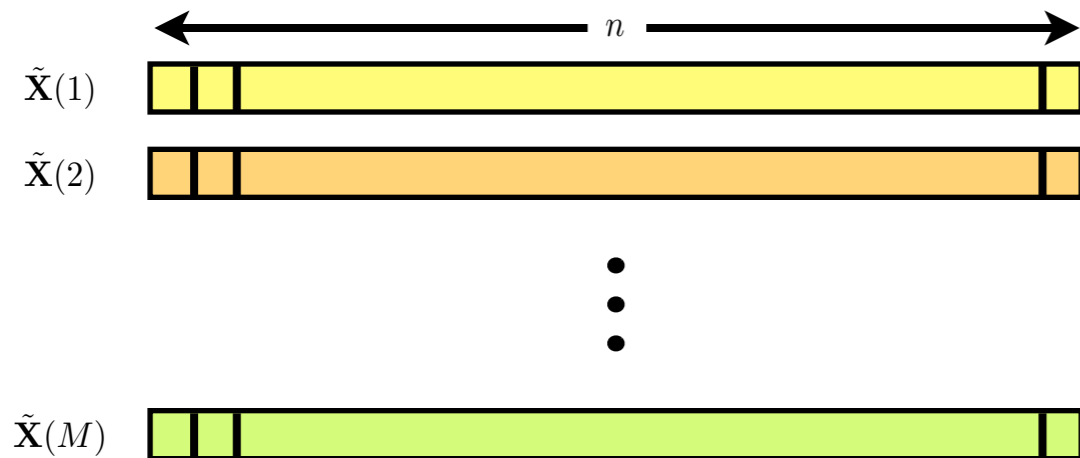
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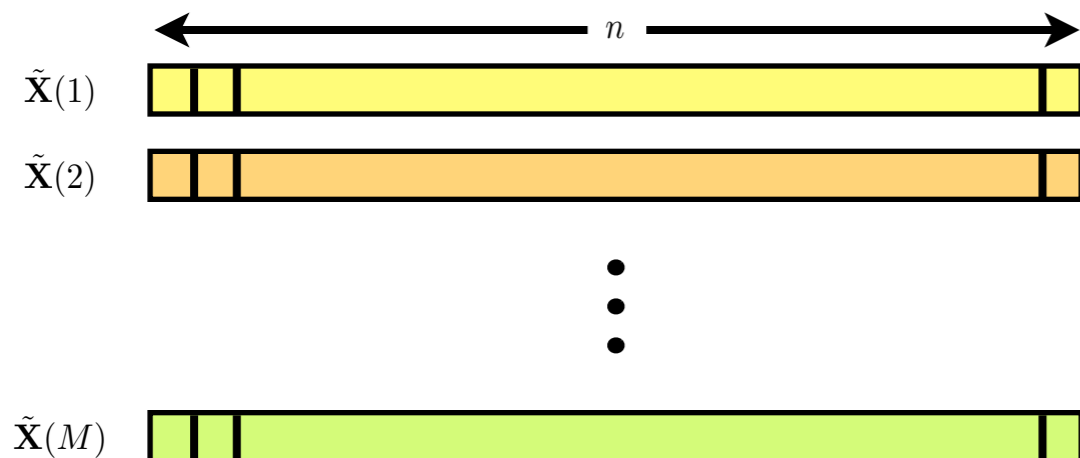
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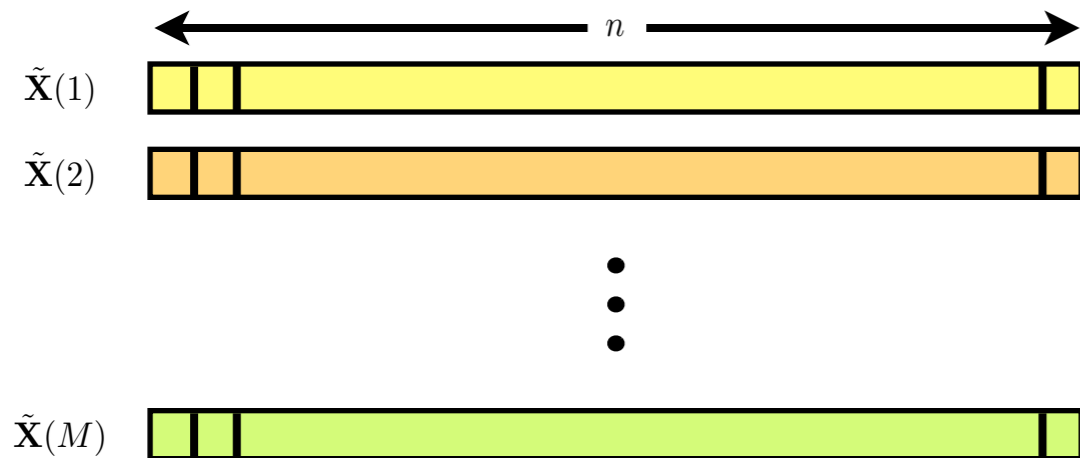
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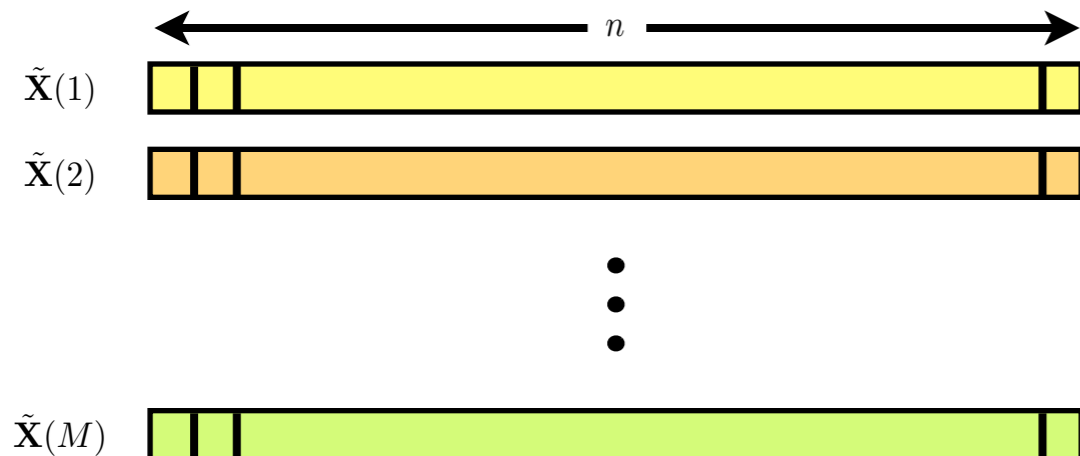
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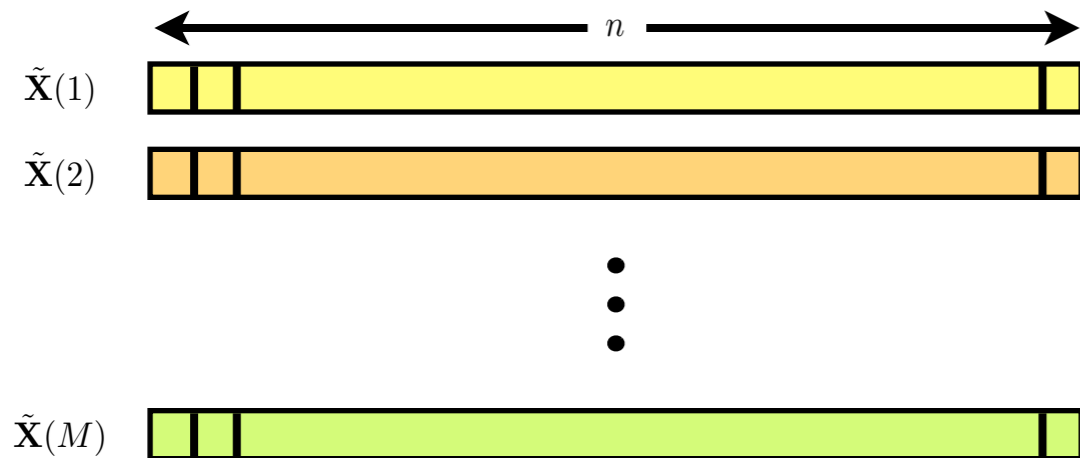
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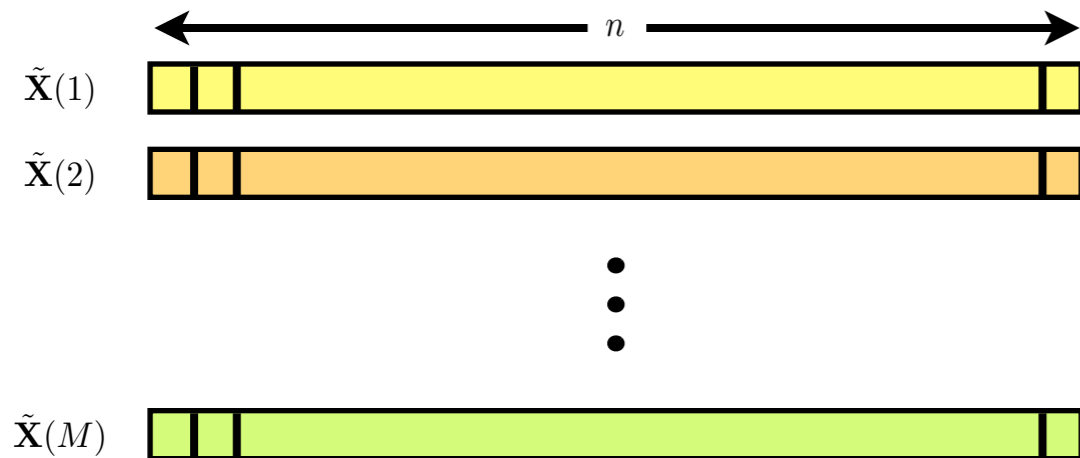
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6. The decoder outputs  $\hat{\mathbf{X}}(K)$  as the reproduction sequence  $\hat{\mathbf{X}}$ .

# Random Coding Scheme

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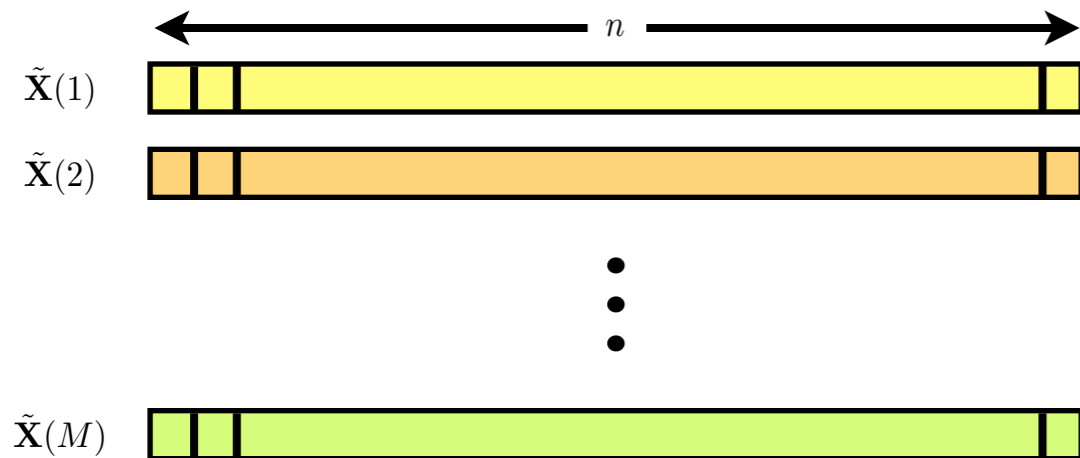
1. Fix  $\epsilon > 0$  and  $\hat{\mathcal{X}}$  with  $Ed(X, \hat{\mathcal{X}}) \leq D$ , where  $0 \leq D \leq D_{max}$ . Let  $\delta$  be specified later.
2. Let  $M$  be an integer satisfying

$$I(X; \hat{\mathcal{X}}) + \frac{\epsilon}{2} \leq \frac{1}{n} \log M \leq I(X; \hat{\mathcal{X}}) + \epsilon,$$

where  $n$  is sufficiently large.

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1. Construct a codebook  $\mathcal{C}$  of an  $(n, M)$  code by randomly generating  $M$  codewords in  $\hat{\mathcal{X}}^n$  independently and identically according to  $p(\hat{x})^n$ . Denote these codewords by  $\hat{\mathbf{X}}(1), \hat{\mathbf{X}}(2), \dots, \hat{\mathbf{X}}(M)$ .



2. Reveal the codebook  $\mathcal{C}$  to both the encoder and the decoder.
3. The source sequence  $\mathbf{X}$  is generated according to  $p(x)^n$ .
4. The encoder encodes the source sequence  $\mathbf{X}$  into an index  $K$  in the set  $\mathcal{I} = \{1, 2, \dots, M\}$ . The index  $K$  takes the value  $i$  if

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i.e., if there exists more than one  $i$  satisfying (a), let  $K$  be the largest one. Otherwise,  $K$  takes the constant value 1.

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6. The decoder outputs  $\hat{\mathbf{X}}(K)$  as the reproduction sequence  $\hat{\mathbf{X}}$ .

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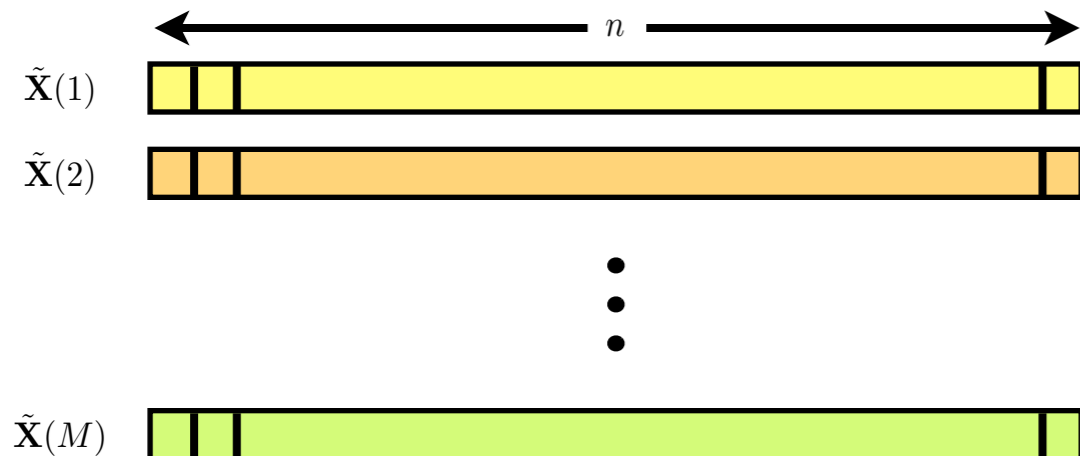
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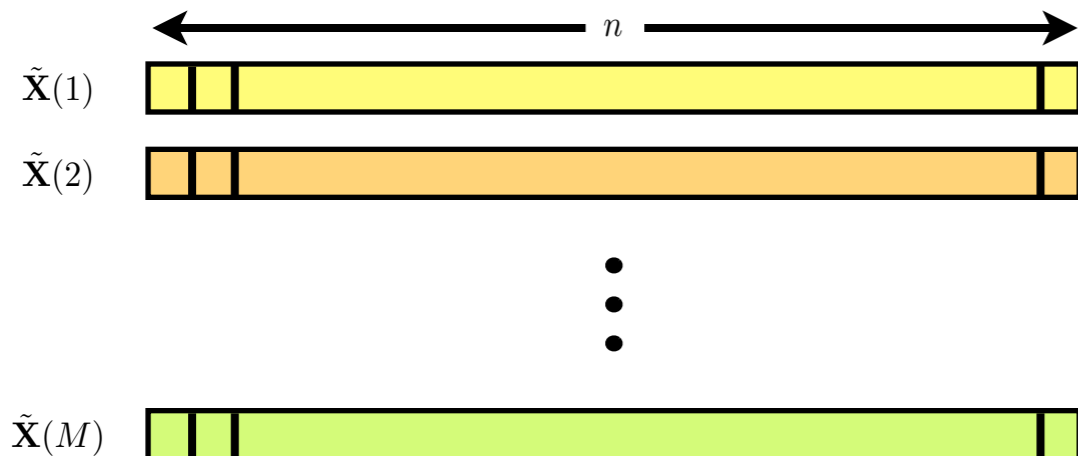
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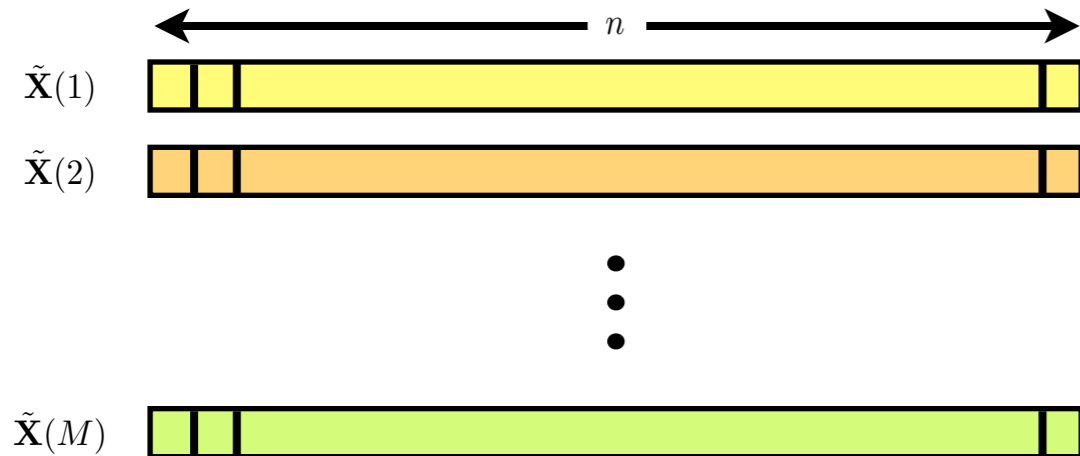
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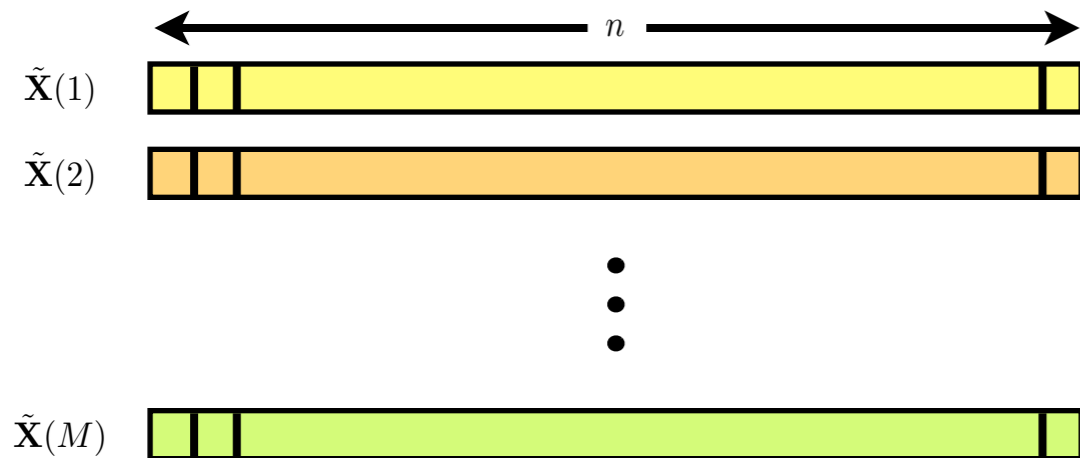
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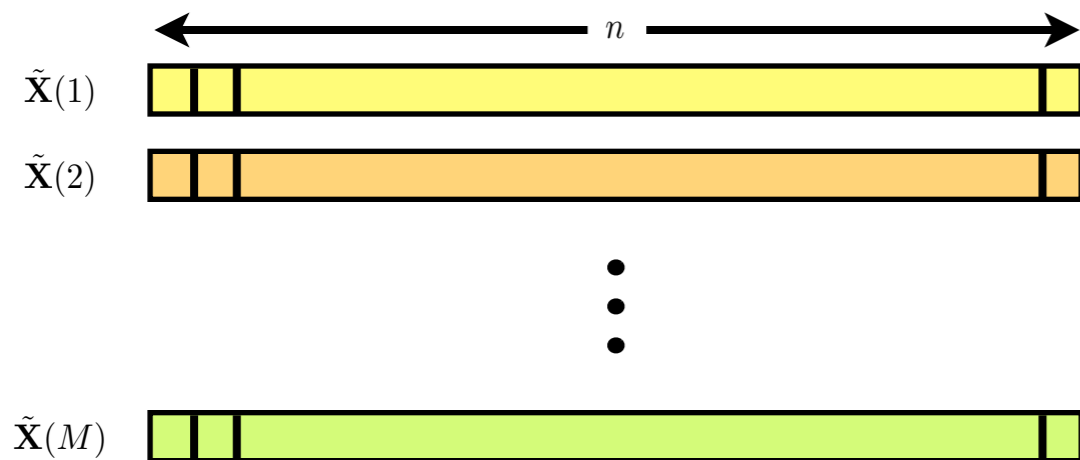
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**Proposition 6.13** With respect to a joint distribution  $p(x, y)$  on  $\mathcal{X} \times \mathcal{Y}$ , for any  $\delta > 0$ ,

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for  $n$  sufficiently large.



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**Theorem 6.7 (Consistency)** If  $(\mathbf{x}, \mathbf{y}) \in T_{[XY]_i}^n$ , then  $\mathbf{x} \in T_{[X]_i}^n$  and  $\mathbf{y} \in T_{[Y]_i}^n$ .

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# Performance Analysis

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$$\Pr\{\mathbf{X} \in S_{[X]\delta}^n\} > 1 - \delta$$

for  $n$  sufficiently large.

# Performance Analysis

9. Now

$$\frac{1}{n} \log M \geq I(X; \hat{X}) + \frac{\epsilon}{2} \iff M \geq 2^{n(I(X; \hat{X}) + \frac{\epsilon}{2})}.$$

Then

$$\begin{aligned} \ln \Pr\{K = 1 | \mathbf{X} = \mathbf{x}\} &\leq (M - 1) \ln \left[ 1 - 2^{-n(I(X; \hat{X}) + \zeta)} \right] \\ &\leq \left( 2^{n(I(X; \hat{X}) + \frac{\epsilon}{2})} - 1 \right) \ln \left[ 1 - 2^{-n(I(X; \hat{X}) + \zeta)} \right] \\ &\leq - \left( 2^{n(I(X; \hat{X}) + \frac{\epsilon}{2})} - 1 \right) 2^{-n(I(X; \hat{X}) + \zeta)} \\ &= - \left[ 2^{n(\frac{\epsilon}{2} - \zeta)} - 2^{-n(I(X; \hat{X}) + \zeta)} \right]. \end{aligned}$$

10. Let  $n$  be sufficiently large and  $\delta$  be sufficiently small so that

$$\frac{\epsilon}{2} - \zeta > 0. \quad (1)$$

Then the upper bound on  $\ln \Pr\{K = 1 | \mathbf{X} = \mathbf{x}\}$  tends to  $-\infty$  as  $n \rightarrow \infty$ , i.e.,  $\Pr\{K = 1 | \mathbf{X} = \mathbf{x}\} \rightarrow 0$  as  $n \rightarrow \infty$ .

11. This implies for  $\mathbf{x} \in S_{[X]\delta}^n$ , for sufficiently large  $n$ ,

$$\Pr\{K = 1 | \mathbf{X} = \mathbf{x}\} \leq \frac{\epsilon}{2}.$$

12. It follows that

$$\begin{aligned} \Pr\{K = 1\} &= \sum_{\mathbf{x} \in S_{[X]\delta}^n} \Pr\{K = 1 | \mathbf{X} = \mathbf{x}\} \Pr\{\mathbf{X} = \mathbf{x}\} \\ &\quad + \sum_{\mathbf{x} \notin S_{[X]\delta}^n} \Pr\{K = 1 | \mathbf{X} = \mathbf{x}\} \Pr\{\mathbf{X} = \mathbf{x}\} \\ &\leq \sum_{\mathbf{x} \in S_{[X]\delta}^n} \frac{\epsilon}{2} \cdot \Pr\{\mathbf{X} = \mathbf{x}\} + \sum_{\mathbf{x} \notin S_{[X]\delta}^n} 1 \cdot \Pr\{\mathbf{X} = \mathbf{x}\} \\ &= \frac{\epsilon}{2} \cdot \Pr\{\mathbf{X} \in S_{[X]\delta}^n\} + \Pr\{\mathbf{X} \notin S_{[X]\delta}^n\} \\ &\leq \frac{\epsilon}{2} \cdot 1 + \Pr\{\mathbf{X} \notin S_{[X]\delta}^n\} \\ &< \frac{\epsilon}{2} + \delta. \end{aligned}$$

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13. By letting  $n$  be sufficiently large and  $\delta$  be sufficiently small so that both (1) and  $\delta < \frac{\epsilon}{2}$  are satisfied, we obtain

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- Use this  $\hat{\mathbf{X}}(i)$  to represent  $\mathbf{X}$  to satisfy the distortion constraint.

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

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 &= \underline{Ed(X, \hat{X})} + \sum_{x, \hat{x}} d(x, \hat{x}) \left( \frac{1}{n} N(x, \hat{x} | \mathbf{x}, \hat{\mathbf{x}}) - p(x, \hat{x}) \right)
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&\leq \underline{D} + d_{max} \delta.
\end{aligned}$$

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2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \delta.$$

3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \left( \frac{\epsilon}{d_{max}} \right) = D + \epsilon.$$

4. Therefore,  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} = 0$ , which implies  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon$ .

5. Thus we have shown the existence of a code such that

$$\frac{1}{n} \log M \leq I(X; \hat{X}) + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

**Proposition** For  $\hat{X}$  such that  $E d(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$

# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned}
 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
 \end{aligned}$$

2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

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and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(I(X; \hat{X}), D)$  is achievable.

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$

# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned} \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\ &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\ &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\ &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}. \end{aligned}$$

2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \delta.$$

3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \left( \frac{\epsilon}{d_{max}} \right) = D + \epsilon.$$

4. Therefore,  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} = 0$ , which implies  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon$ .

5. Thus we have shown the existence of a code such that

$$\frac{1}{n} \log M \leq \underline{I(X; \hat{X})} + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(\underline{I(X; \hat{X})}, D)$  is achievable.

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$

# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned}
 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
 \end{aligned}$$

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$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \delta.$$

3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \left( \frac{\epsilon}{d_{max}} \right) = D + \epsilon.$$

4. Therefore,  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} = 0$ , which implies  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon$ .

5. Thus we have shown the existence of a code such that

$$\frac{1}{n} \log M \leq \underline{I(X; \hat{X})} + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > \underline{D} + \epsilon\} \leq \epsilon.$$

Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq \underline{D}$ ,  $(\underline{I(X; \hat{X})}, \underline{D})$  is achievable.

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$

# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned}
 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
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2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

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3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

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$$\frac{1}{n} \log M \leq I(X; \hat{X}) + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(I(X; \hat{X}), D)$  is achievable.

6. Finally, minimize  $I(X; \hat{X})$  over all such  $\hat{X}$  to conclude that  $(R_I(D), D)$  is achievable, i.e.,

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$

# The Remaining Details

1. For sufficiently large  $n$ , consider

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 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
 \end{aligned}$$

2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \delta.$$

3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \left( \frac{\epsilon}{d_{max}} \right) = D + \epsilon.$$

4. Therefore,  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} = 0$ , which implies  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon$ .

5. Thus we have shown the existence of a code such that

$$\frac{1}{n} \log M \leq I(X; \hat{X}) + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(\underline{I(X; \hat{X})}, \underline{D})$  is achievable.

6. Finally, minimize  $I(X; \hat{X})$  over all such  $\hat{X}$  to conclude that  $(\underline{R_I(D)}, \underline{D})$  is achievable, i.e.,

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

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# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned}
 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
 \end{aligned}$$

2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

$$d(\mathbf{X}, \hat{\mathbf{X}}) \leq D + d_{max} \delta.$$

3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

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$$\frac{1}{n} \log M \leq I(X; \hat{X}) + \epsilon$$

and

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Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(\underline{I(X; \hat{X})}, \underline{D})$  is achievable.

6. Finally, minimize  $I(X; \hat{X})$  over all such  $\hat{X}$  to conclude that  $(\underline{R_I(D)}, \underline{D})$  is achievable, i.e.,

**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

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# The Remaining Details

1. For sufficiently large  $n$ , consider

$$\begin{aligned}
 & \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \\
 &= \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K = 1\} \Pr\{K = 1\} \\
 &\quad + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \Pr\{K \neq 1\} \\
 &\leq 1 \cdot \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} \cdot 1 \\
 &= \epsilon + \Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\}.
 \end{aligned}$$

2. Recall that conditioning on  $\{K \neq 1\}$ ,  $(\mathbf{X}, \hat{\mathbf{X}}) \in T_{[X \hat{X}] \delta}^n$ . Then

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3. By taking  $\delta \leq \frac{\epsilon}{d_{max}}$ , we obtain

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4. Therefore,  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon | K \neq 1\} = 0$ , which implies  $\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon$ .

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$$\frac{1}{n} \log M \leq I(X; \hat{X}) + \epsilon$$

and

$$\Pr\{d(\mathbf{X}, \hat{\mathbf{X}}) > D + \epsilon\} \leq \epsilon.$$

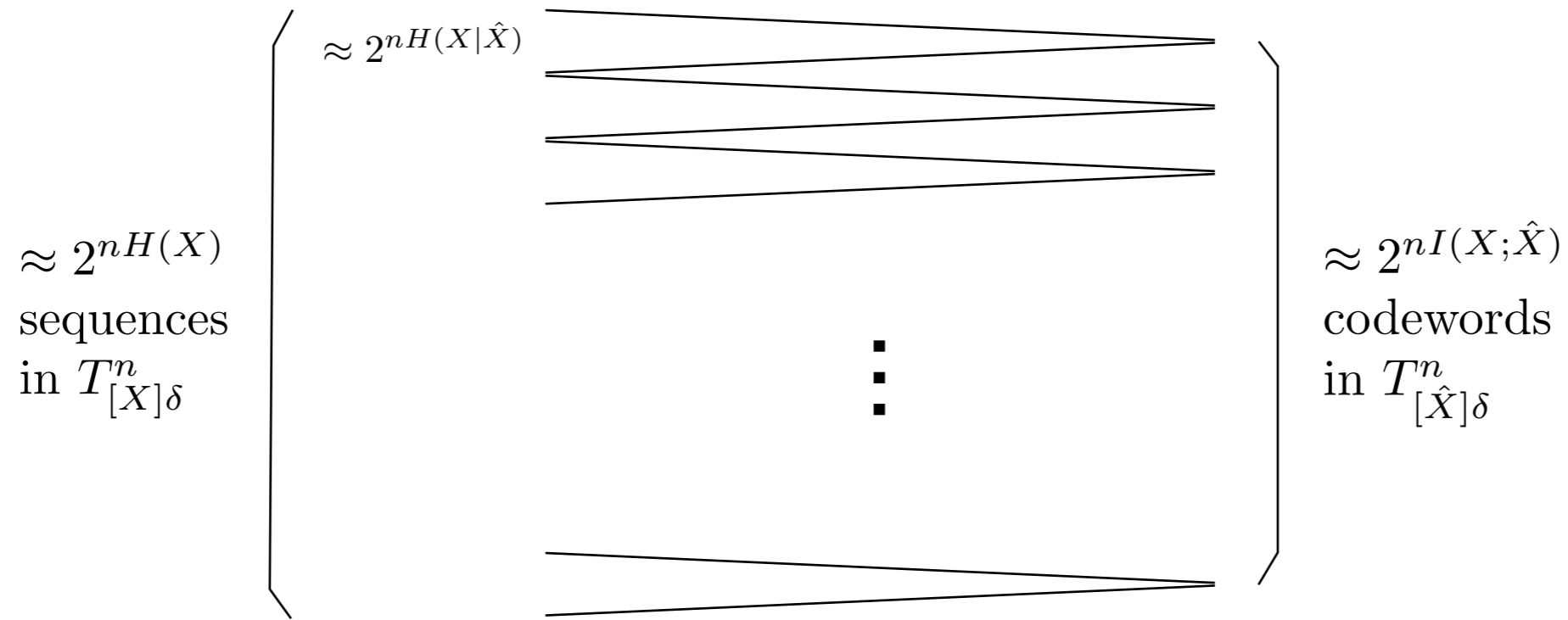
Hence, for any  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ ,  $(I(X; \hat{X}), D)$  is achievable.

6. Finally, minimize  $I(X; \hat{X})$  over all such  $\hat{X}$  to conclude that  $(\underline{R_I(D)}, D)$  is achievable, i.e.,

$$R_I(D) \geq R(D).$$

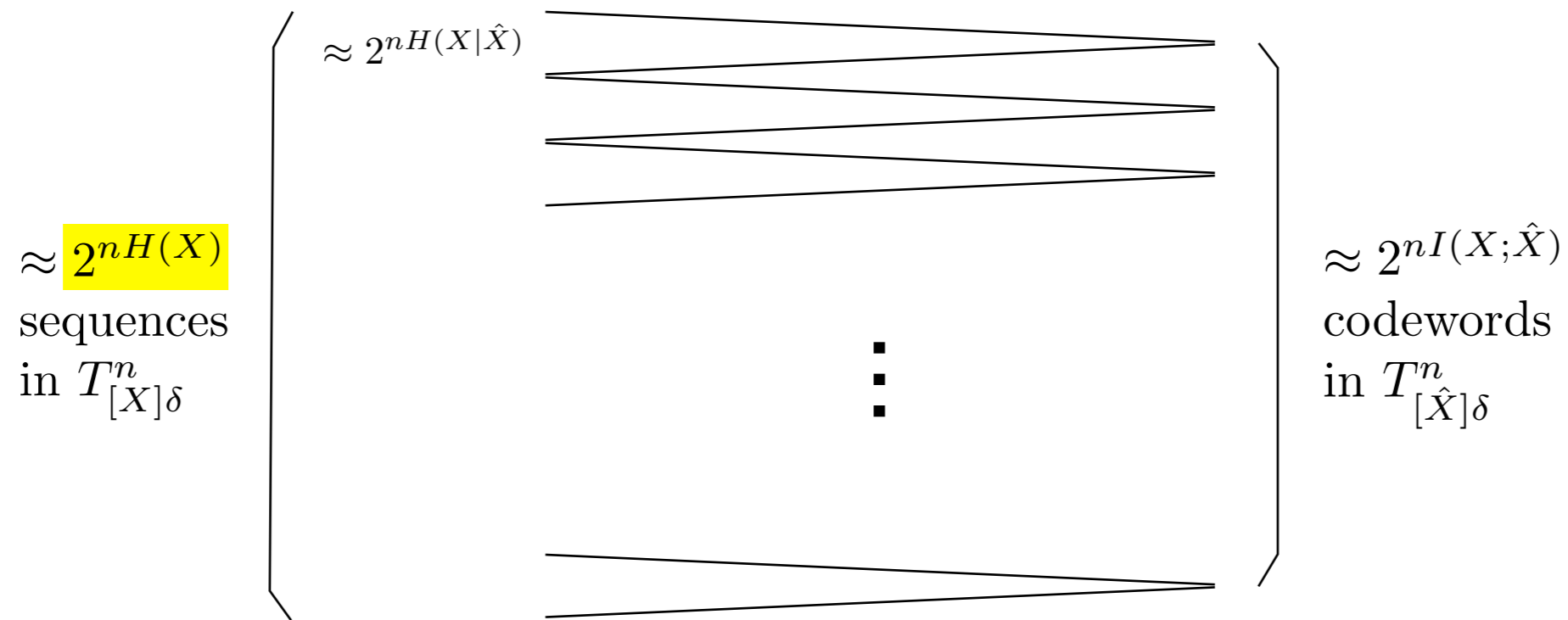
**Proposition** For  $\hat{X}$  such that  $Ed(X, \hat{X}) \leq D$ , if  $(\mathbf{x}, \hat{\mathbf{x}}) \in T_{[X \hat{X}] \delta}^n$ , then

$$d(\mathbf{x}, \hat{\mathbf{x}}) \leq D + d_{max} \delta.$$



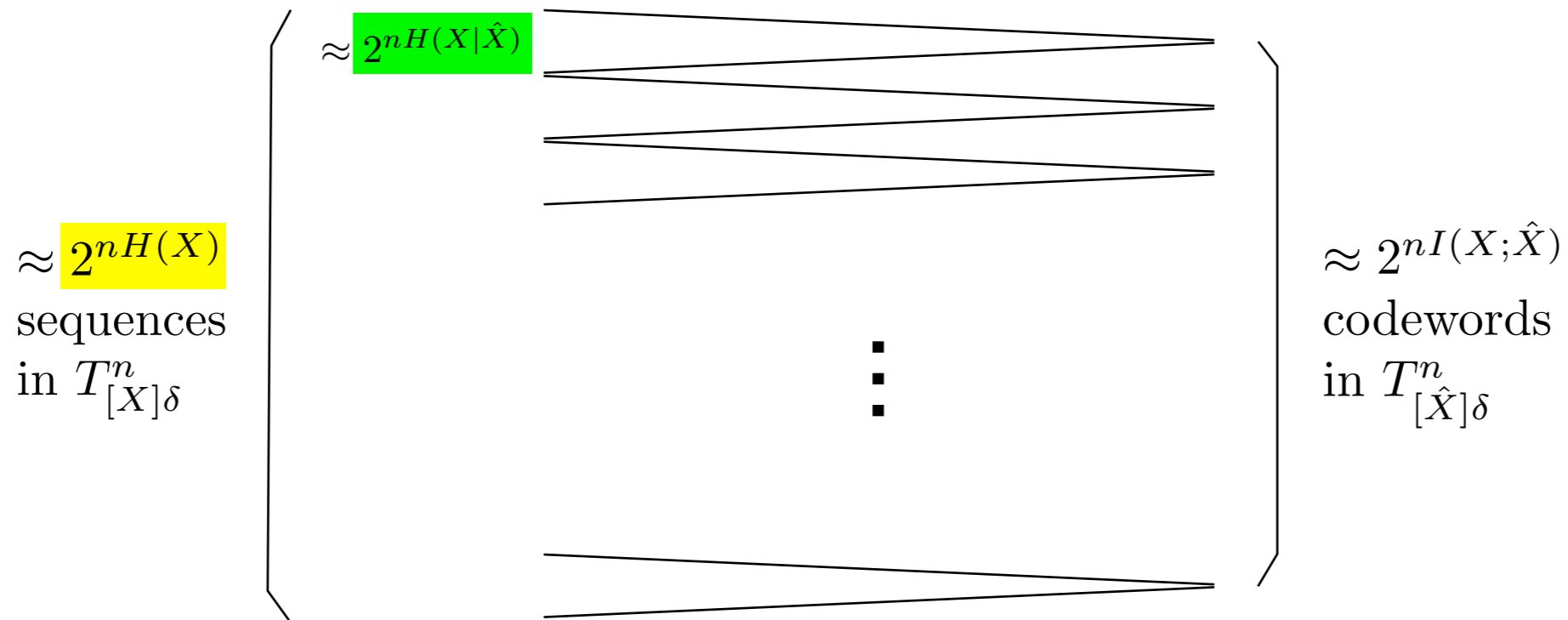
The number of codewords must be at least

$$\frac{2^{nH(X)}}{2^{nH(X|\hat{X})}} \approx 2^{nI(X;\hat{X})} \geq 2^{nR_I(D)}.$$



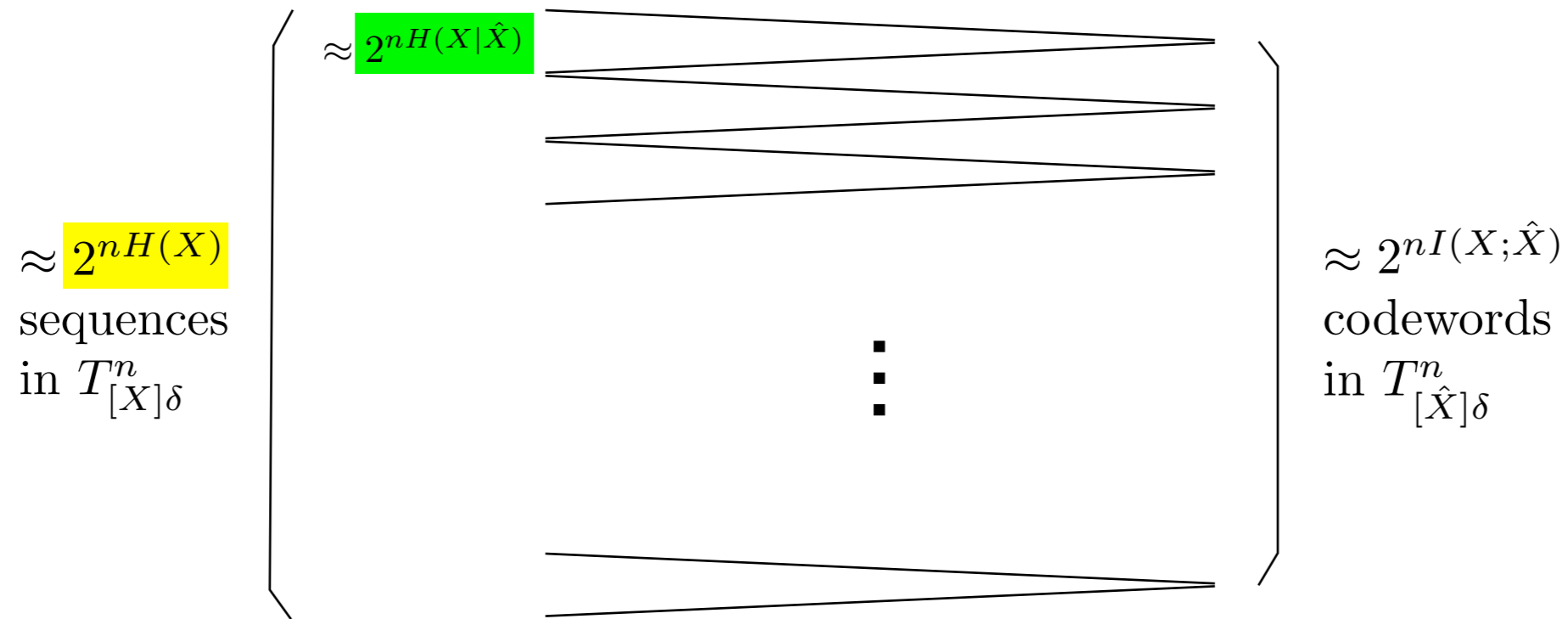
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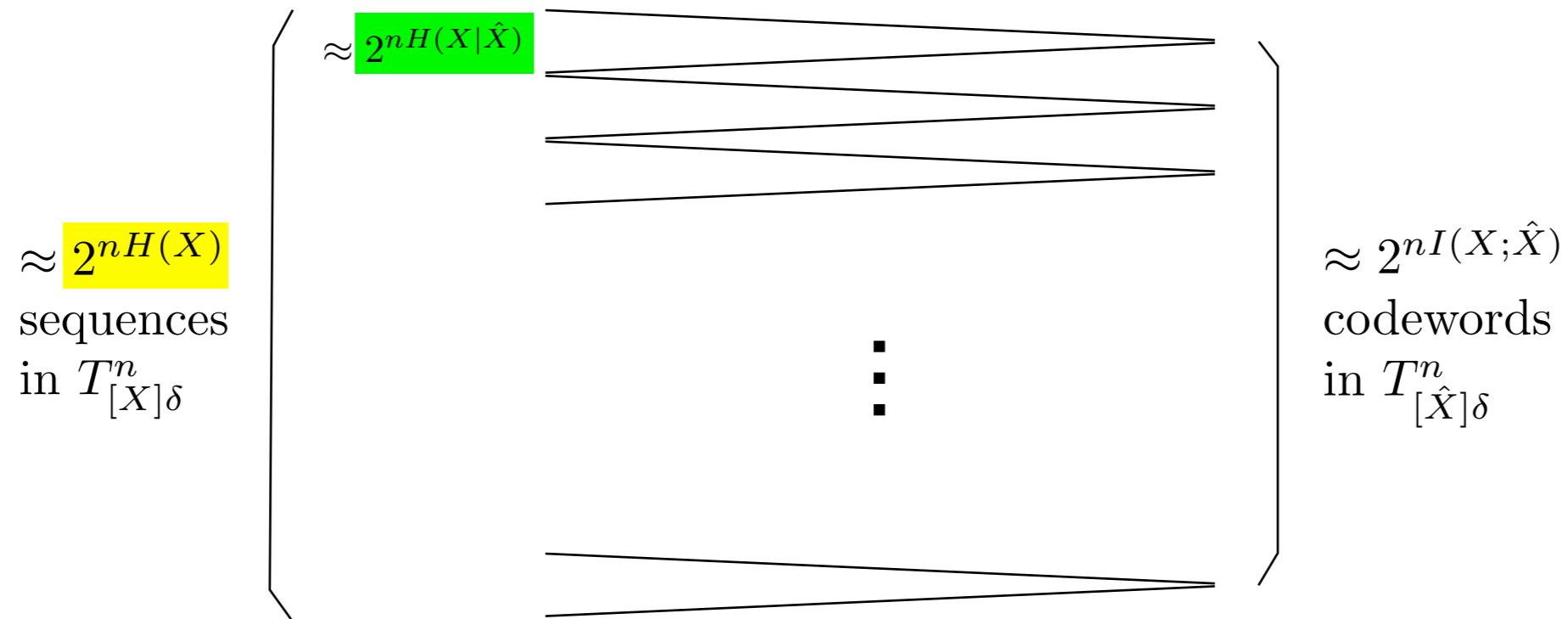
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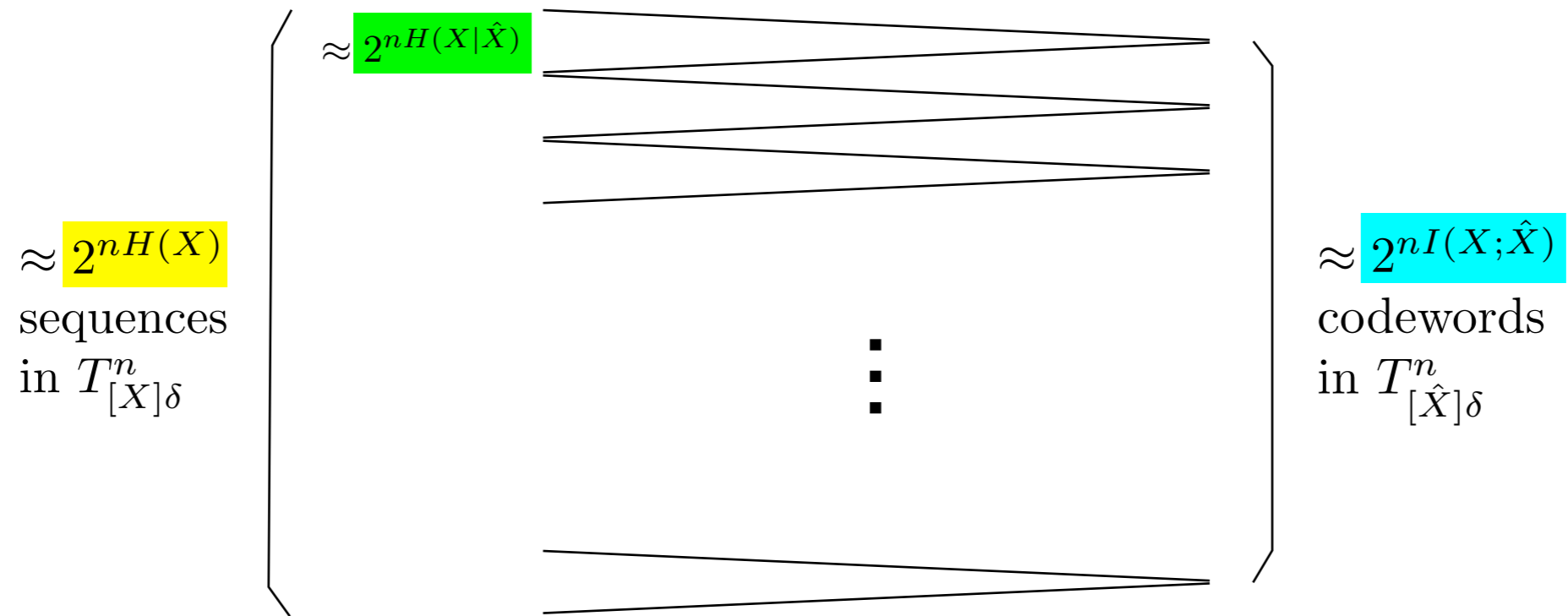
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