



香港中文大學  
The Chinese University of Hong Kong

# Chapter 8

# Rate-Distortion Theory

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# Information Transmission with Distortion

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- By the source coding theorem,  $P_e \rightarrow 1$  as  $n \rightarrow \infty$ .
- Under such a situation, information must be transmitted with “distortion”.
- What is the best possible tradeoff?

**In this chapter**

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- The rate-distortion theorem for an i.i.d. information source



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# 8.1 Single-Letter Distortion Measure

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- In general,  $\hat{\mathcal{X}}$  may be different from  $\mathcal{X}$ .
- For example,  $\hat{\mathbf{x}}$  can be a quantized version of  $\mathbf{x}$ .

**Definition 8.1** A [single-letter distortion measure](#) is a mapping

$$d : \mathcal{X} \times \hat{\mathcal{X}} \rightarrow \mathfrak{R}^+.$$

The value  $d(x, \hat{x})$  denotes the distortion incurred when a source symbol  $x$  is reproduced as  $\hat{x}$ .

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**Definition 8.2** The [average distortion](#) between a source sequence  $\mathbf{x} \in \mathcal{X}^n$  and a reproduction sequence  $\hat{\mathbf{x}} \in \hat{\mathcal{X}}^n$  induced by a single-letter distortion measure  $d$  is defined by

$$d(\mathbf{x}, \hat{\mathbf{x}}) = \frac{1}{n} \sum_{k=1}^n d(x_k, \hat{x}_k).$$

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1. If  $d$  is the square-error distortion measure,  $Ed(X, \hat{X})$  is called the **mean square error**.

2. If  $d$  is the Hamming distortion measure,

$$Ed(X, \hat{X}) = \Pr\{X = \hat{X}\} \cdot 0 + \Pr\{X \neq \hat{X}\} \cdot 1 = \Pr\{X \neq \hat{X}\}$$

is the **probability of error**. For a source sequence  $\mathbf{x}$  and a reproduction sequence  $\hat{\mathbf{x}}$ , the average distortion  $d(\mathbf{x}, \hat{\mathbf{x}})$  gives the **frequency of error** in  $\hat{\mathbf{x}}$ .

# Normalization of a Distortion Measure

**Definition 8.5** For a distortion measure  $d$ , for each  $x \in \mathcal{X}$ , let  $\hat{x}^*(x) \in \hat{\mathcal{X}}$  minimize  $d(x, \hat{x})$  over all  $\hat{x} \in \hat{\mathcal{X}}$ . A distortion measure  $d$  is said to be normal if

$$c_x \stackrel{\text{def}}{=} d(x, \hat{x}^*(x)) = 0$$

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**Example 8.6** Let  $d$  be a distortion measure defined by

| $d(x, \hat{x})$ | $a$      | $b$      | $c$ |
|-----------------|----------|----------|-----|
| 1               | <u>2</u> | 7        | 5   |
| 2               | 4        | <u>3</u> | 8   |

Then  $\hat{x}^*(1) = a$  and  $\hat{x}^*(2) = b$ .

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- It suffices to consider normal distortion measures as we will see.



**Example 8.6** (cont.) Let  $d$  be a distortion measure defined by

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is a constant which depends only on  $p(x)$  and  $d$  but not on the conditional distribution  $p(\hat{x}|x)$ .



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**Definition 8.7** Let  $\hat{x}^*$  minimize  $Ed(X, \hat{x})$  over all  $\hat{x} \in \hat{\mathcal{X}}$ , and define

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- Specifically, by taking  $\hat{\mathbf{x}}^* = (\hat{x}^*, \hat{x}^*, \dots, \hat{x}^*)$  to be the reproduction sequence,  $D_{max}$  can be asymptotically achieved, because by WLLN,

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- Therefore it is not meaningful to impose a constraint  $D \geq D_{max}$  on the reproduction sequence.