

**Theorem 4.4 (Kraft Inequality)** Let  $\mathcal{C}$  be a  $D$ -ary source code, and let  $l_1, l_2, \dots, l_m$  be the lengths of the codewords. If  $\mathcal{C}$  is uniquely decodable, then

$$\sum_{k=1}^m D^{-l_k} \leq 1. \quad (1)$$

**Proof**

1. Without loss of generality, assume

$$l_1 \leq l_2 \leq \dots \leq l_m.$$

2. Let  $N$  be an arbitrary positive integer, and consider

$$\begin{aligned} & \left( \sum_{k=1}^m D^{-l_k} \right)^N \\ &= \sum_{k_1=1}^m \sum_{k_2=1}^m \dots \sum_{k_N=1}^m D^{-(l_{k_1} + l_{k_2} + \dots + l_{k_N})}. \end{aligned}$$

3. By collecting terms of the same degree on the RHS, we write

$$\left( \sum_{k=1}^m D^{-l_k} \right)^N = \sum_{i=1}^{Nl_m} A_i D^{-i}. \quad (2)$$

where  $A_i$  is the coefficient of  $D^{-i}$  on the LHS.

3. Now observe that  $A_i$  gives the total number of sequences of  $N$  codewords with a total length of  $i$  code symbols (see Example 4.5).

4. Since the code is uniquely decodable, these code sequences must be distinct, and therefore

$$A_i \leq D^i \quad (3)$$

because there are  $D^i$  distinct sequences of  $i$  code symbols.

5. Substituting (3) into (2), we have

$$\left( \sum_{k=1}^m D^{-l_k} \right)^N \leq \sum_{i=1}^{Nl_m} D^i D^{-i} = \sum_{i=1}^{Nl_m} 1 = Nl_m,$$

or

$$\sum_{k=1}^m D^{-l_k} \leq (Nl_m)^{1/N}.$$

Since this inequality holds for any  $N$ , upon letting  $N \rightarrow \infty$ , we obtain (1), completing the proof.