



# CENG 5030

# Energy Efficient Computing

## Lecture 03: Pruning

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(Latest update: December 19, 2020)

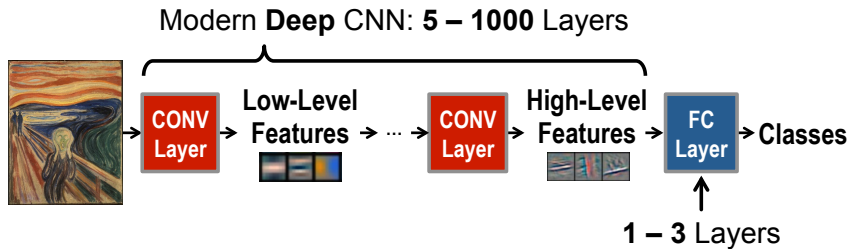
Spring 2021



## These slides contain/adapt materials developed by

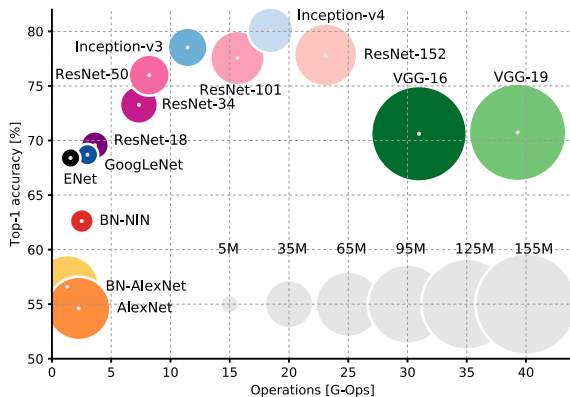
- ▶ Wei Wen et al. (2016). “Learning structured sparsity in deep neural networks”. In: *Proc. NIPS*, pp. 2074–2082
- ▶ Yihui He, Xiangyu Zhang, and Jian Sun (2017). “Channel Pruning for Accelerating Very Deep Neural Networks”. In: *Proc. ICCV*
- ▶ Ruichi Yu et al. (2018). “NISP: Pruning networks using neuron importance score propagation”. In: *Proc. CVPR*, pp. 9194–9203
- ▶ Shijin Zhang et al. (2016). “Cambricon-x: An accelerator for sparse neural networks”. In: *Proc. MICRO*. IEEE, pp. 1–12
- ▶ Jorge Albericio et al. (2016). “Cnvlutin: Ineffectual-neuron-free deep neural network computing”. In: *ACM SIGARCH Computer Architecture News* 44.3, pp. 1–13

# Deeper and Larger Networks



- ▶ Researchers design deeper and larger networks to ensure model performance.
- ▶ 😊 VGG-16, 16 parameter layers
- ▶ 😊 VGG-19, 19 parameter layers
- ▶ 😊 GoogLeNet, 22 parameter layers
- ▶ 😊 ResNet : -18, -34, -50, -101, -152 layers

# Memory and Computations



- ▶ The size of the blob is proportional to the number of network parameters.
- ▶ More than millions of parameters and billions of operations.
- ▶ Challenges in **memory** and **energy**, finally affect the performance.



# Overview



Sparse Regression

Pruning

Sparse Hardware Architecture



Sparse Regression

Pruning

Sparse Hardware Architecture



# Linear Regression

## Input

- ▶  $\mathbf{y} = (y_1, \dots, y_N)^\top$ :  $N$  samples to measure performance
- ▶  $\mathbf{X} = (\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(N)})^\top$ :  $N$  parameters, where  $\mathbf{x}^{(i)} = (x_1^{(i)}, \dots, x_p^{(i)})^\top$  is parameter vector for sample  $y_i$

## Output

- ▶  $\boldsymbol{\beta} = (\beta_1, \beta_2, \dots, \beta_p)^\top$ : linear regression model coefficients, s.t.  $\mathbf{y} \approx \mathbf{X}\boldsymbol{\beta}$

$$\begin{bmatrix} y_1 \\ y_2 \\ \dots \\ y_N \end{bmatrix} \approx \begin{bmatrix} x_1^{(1)} & x_2^{(1)} & \dots & x_p^{(1)} \\ x_1^{(2)} & x_2^{(2)} & \dots & x_p^{(2)} \\ \dots & \dots & \dots & \dots \\ x_1^{(N)} & x_2^{(N)} & \dots & x_p^{(N)} \end{bmatrix} \begin{bmatrix} \beta_1 \\ \beta_2 \\ \dots \\ \beta_p \end{bmatrix}$$



# Linear Regression

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$$\begin{bmatrix} y_1 \\ y_2 \\ \dots \\ y_N \end{bmatrix} \approx \begin{bmatrix} x_1^{(1)} & x_2^{(1)} & \dots & x_p^{(1)} \\ x_1^{(2)} & x_2^{(2)} & \dots & x_p^{(2)} \\ \dots & \dots & \dots & \dots \\ x_1^{(N)} & x_2^{(N)} & \dots & x_p^{(N)} \end{bmatrix} \begin{bmatrix} \beta_1 \\ \beta_2 \\ \dots \\ \beta_p \end{bmatrix}$$

## Objective

$$\min_{\boldsymbol{\beta}} \|\mathbf{y} - \mathbf{X}\boldsymbol{\beta}\|_2^2$$

# Challenges in Linear Regression



$$\mathbf{X} = \begin{bmatrix} x_1^{(1)} & x_2^{(1)} & \dots & x_p^{(1)} \\ x_1^{(2)} & x_2^{(2)} & \dots & x_p^{(2)} \\ \dots & \dots & \dots & \dots \\ x_1^{(N)} & x_2^{(N)} & \dots & x_p^{(N)} \end{bmatrix}$$

$N$ : sample #

$p$ : parameter #

- ▶ Time consuming to run simulation or measure → sample#  $N$  is **limited**
- ▶ If  $N < \text{parameter# } p$ , → **no unique** solutions
- ▶ **Overfitting** problem
- ▶ **Should reduce parameter#**



$$S_i = \frac{f(x_1, \dots, x_i + \Delta x_i, \dots, x_K) - f(x_1, \dots, x_K)}{\Delta x_i}$$

- ▶ 😊 Computationally efficient
- ▶ 😞 Only take into account local variation around nominal value



$$S_i = \frac{f(x_1, \dots, x_i + \Delta x_i, \dots, x_K) - f(x_1, \dots, x_K)}{\Delta x_i}$$

- ▶ 😊 Computationally efficient
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## Least Squares

$$\min_{\beta} \|\mathbf{y} - \mathbf{X}\beta\|_2^2 \quad \rightarrow \quad \beta = (\mathbf{X}^\top \mathbf{X})^{-1} \mathbf{X}^\top \mathbf{y}$$

- ▶ 😊 Global view
- ▶ 😞 Too **complicated** model after analysis
- ▶ 😞 Need large simulation size ( $N > p$ )
- ▶ 😞 Otherwise  $\mathbf{X}^\top \mathbf{X}$  may be **singular** (**difficult** to invert)



## $\ell_0$ -Norm Regularization

$$\begin{aligned} & \text{minimize} && \|\mathbf{y} - \mathbf{X}\boldsymbol{\beta}\|, \\ & \text{subject to} && \|\boldsymbol{\beta}\|_0 \leq \lambda. \end{aligned}$$

- ▶ 😊 Global view
- ▶ 😞  $\mathcal{NP}$ -hard
- ▶ Orthogonal matching pursuit (OMP): iterative heuristics
- ▶ 😞 Computational expensive
- ▶ Good in **temperature** analysis, but **NOT** good in **energy** analysis



# Ridge Regression



$$\arg \min_{\beta} \|\mathbf{y} - \mathbf{X}\beta\|_2^2 + \lambda \sum_{i=1}^p \|\beta_i\|_2^2$$

# Ridge Regression



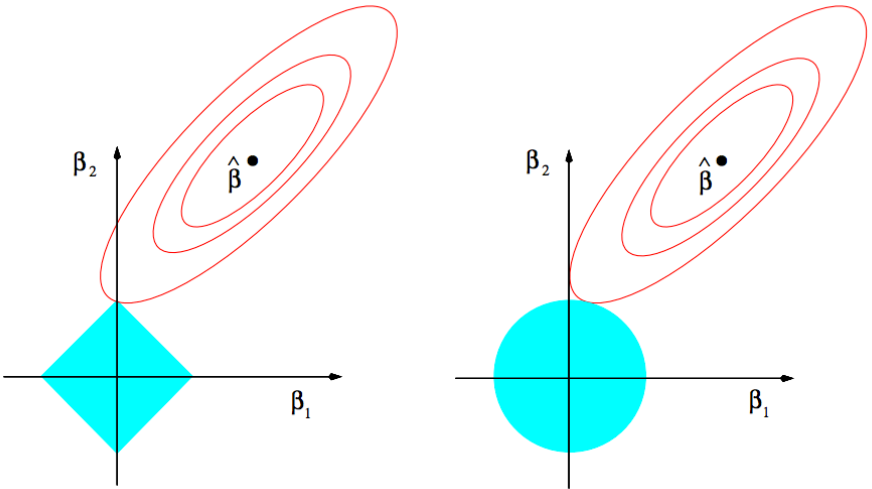
$$\arg \min_{\beta} \|\mathbf{y} - \mathbf{X}\beta\|_2^2 + \lambda \sum_{i=1}^p \|\beta_i\|_2^2$$

$$\rightarrow \beta = (\mathbf{X}^\top \mathbf{X} + \lambda \mathbf{I})^{-1} \mathbf{X}^\top \mathbf{y}$$



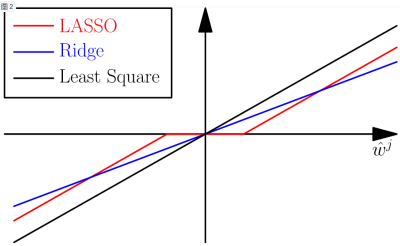
$$\arg \min_{\beta} \|\mathbf{y} - \mathbf{X}\beta\|_2^2 + \lambda \sum_{i=1}^p |\beta_i|$$

- ▶ “ $\ell_1$  penalty” (Lasso)
- ▶  $\beta$  **optimally** solved by **Coordinate Descent** [Friedman+,AOAS'07]
- ▶  $\lambda$ : nonnegative regularization parameter



**FIGURE 3.11.** Estimation picture for the lasso (left) and ridge regression (right). Shown are contours of the error and constraint functions. The solid blue areas are the constraint regions  $|\beta_1| + |\beta_2| \leq t$  and  $\beta_1^2 + \beta_2^2 \leq t^2$ , respectively, while the red ellipses are the contours of the least squares error function.

# Closed-Form For Single Variable



# Coordinate Descent



- ▶ The idea behind coordinate descent is, simply, to optimize a target function with respect to a **single parameter at a time**, iteratively cycling through all parameters until convergence is reached
- ▶ Coordinate descent is particularly suitable for problems, like the lasso, that have a simple closed form solution in a single dimension but lack one in higher dimensions

# Coordinate Descent (cont.)



- Let us consider minimizing  $Q$  with respect to  $\beta_j$ , while temporarily treating the other regression coefficients  $\beta_{-j}$  as fixed:

$$Q(\beta_j | \beta_{-j}) = \frac{1}{2n} \sum_{i=1}^n (y_i - \sum_{k \neq j} x_{ik} \beta_k - x_{ij} \beta_j)^2 + \lambda |\beta_j| + \text{Constant}$$

- Let

$$\tilde{r}_{ij} = y_i - \sum_{k \neq j} x_{ik} \tilde{\beta}_k$$
$$\tilde{z}_j = n^{-1} \sum_{i=1}^n x_{ij} \tilde{r}_{ij},$$

where  $\{\tilde{r}_{ij}\}_{i=1}^n$  are the partial residuals with respect to the  $j^{\text{th}}$  predictor, and  $\tilde{z}_j$  is the OLS estimator based on  $\{\tilde{r}_{ij}, x_{ij}\}_{i=1}^n$



- We have already solved the problem of finding a one-dimensional lasso solution; letting  $\tilde{\beta}_j$  denote the minimizer of  $Q(\beta_j | \tilde{\beta}_{-j})$ ,

$$\tilde{\beta}_j = S(\tilde{z}_j | \lambda)$$

- This suggests the following algorithm:

**repeat**

**for**  $j = 1, 2, \dots, p$

$$\tilde{z}_j = n^{-1} \sum_{i=1}^n x_{ij} r_i + \tilde{\beta}_j^{(s)}$$

$$\tilde{\beta}_j^{(s+1)} \leftarrow S(\tilde{z}_j | \lambda)$$

$$r_i \leftarrow r_i - (\tilde{\beta}_j^{(s+1)} - \tilde{\beta}_j^{(s)}) x_{ij} \text{ for all } i.$$

**until** convergence

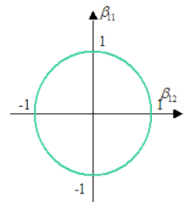
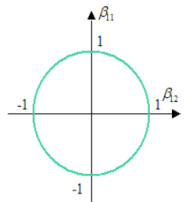
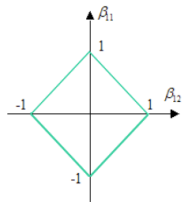
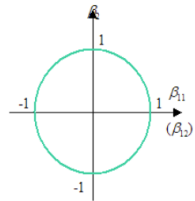
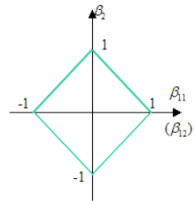
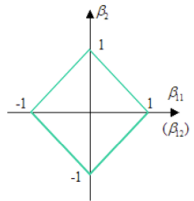
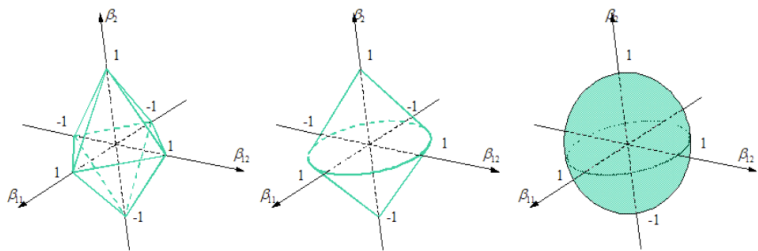




- ▶ We denote  $\mathbf{X}$  as being composed of  $J$  groups  $\mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_J$
- ▶  $\mathbf{X}\boldsymbol{\beta} = \sum_j \mathbf{X}_j \boldsymbol{\beta}_j$ , where  $\boldsymbol{\beta}_j$  represents the coefficients belonging to the  $j$ th group

$$\begin{aligned} & \arg \min_{\boldsymbol{\beta}} \|\mathbf{y} - \mathbf{X}\boldsymbol{\beta}\|_2^2 + \sum_j \lambda_j \|\boldsymbol{\beta}_j\| \\ &= \arg \min_{\boldsymbol{\beta}} \|\mathbf{y} - \sum_j \mathbf{X}_j \boldsymbol{\beta}_j\|_2^2 + \sum_j \lambda_j \|\boldsymbol{\beta}_j\| \end{aligned}$$

Example:



# Overview



Sparse Regression

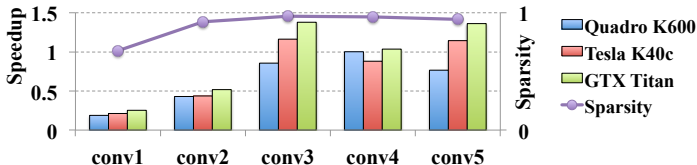
Pruning

Sparse Hardware Architecture

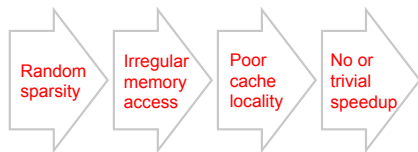
# Structured Sparsity Learning<sup>1</sup>



Random sparsity, theoretical Speedup  $\neq$  practical Speedup



Forwarding speedups of AlexNet on GPU platforms and the sparsity. Baseline is GEMM of cuBLAS. The sparse matrixes are stored in the format of Compressed Sparse Row (CSR) and accelerated by cuSPARSE.



Hardcoding nonzero weights in source code in B. Liu, etc., CVPR 2015

Software customization

Hardware customization

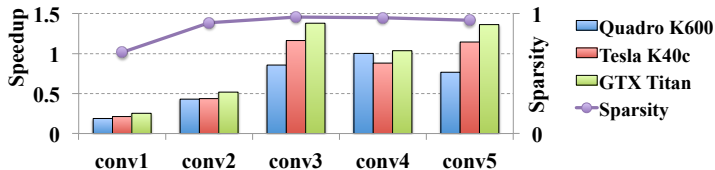
Customizing an EIE chip accelerator for compressed DNN in S. Han ISCA 2017

<sup>1</sup>Wei Wen et al. (2016). "Learning structured sparsity in deep neural networks". In: *Proc. NIPS*, pp. 2074–2082.

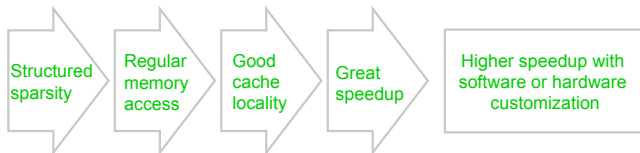
# Structured Sparsity Learning



## Structural Sparsity



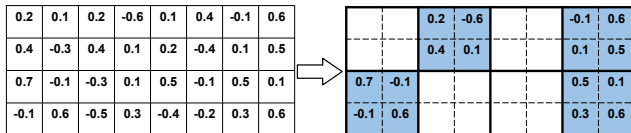
Forwarding speedups of AlexNet on GPU platforms and the sparsity. Baseline is GEMM of cuBLAS. The sparse matrixes are stored in the format of Compressed Sparse Row (CSR) and accelerated by cuSPARSE.



# Structural Sparsity Learning – Some Examples

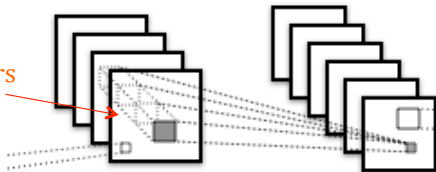


## Dense matrix to block sparse matrix



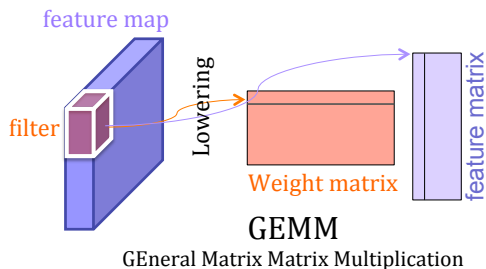
## Removing 2D filters in convolution (2D-filter-wise sparsity)

3D filter =  
stacked 2D filters





## Removing rows/columns in GEMM (row/column-wise sparsity)



### Non-structured sparsity

conv2\_1: weight sparsity (col:8.7% row:19.5% elem:94.6%)



### Structured sparsity

conv2\_1: weight sparsity (col:75.2% row:21.9% elem:91.5%)



5.17X speedup



## Group Lasso Regularization

- ▶  $E_D(W)$  is the loss on data.
- ▶  $R(\cdot)$  is non-structured regularization applying on every weight, e.g.,  $\ell_2$ -norm.
- ▶  $R_g(\cdot)$  is the structured sparsity regularization for  $G$  groups on each layer:

$$R_g(w) = \sum_{g=1}^G \|w^{(g)}\|_g.$$

- ▶ Here  $\|\cdot\|_g$  is **group lasso**, or  $\|w^{(g)}\|_g = \sqrt{\sum_{i=1}^{|w^{(g)}|} (w_i^{(g)})^2}$ , where  $|w^{(g)}|$  is the number of weights in  $w^{(g)}$ .

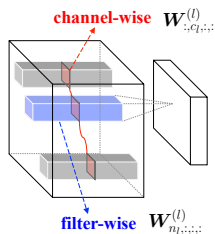




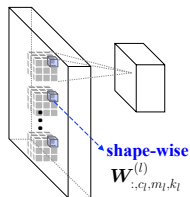
## Group Lasso Regularization

Learned structured sparsity is determined by the way of splitting groups.

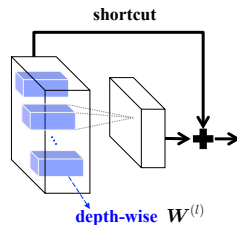
**Penalize unimportant filters and channels**



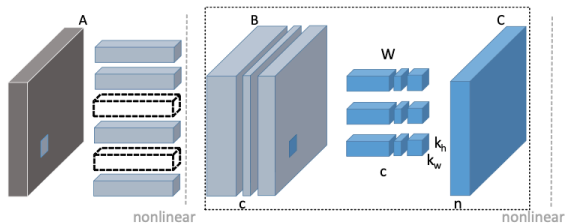
**Learn filter shapes**



**Learn the depth of layers**



$$E(W) = E_D(W) + \lambda \cdot R(W) + \lambda_g \sum_{l=1}^L R_g(W^{(l)})$$



We aim to reduce the width of feature map B, while minimizing the reconstruction error on feature map C. Our optimization algorithm performs within the dotted box, which does not involve nonlinearity. This figure illustrates the situation that two channels are pruned for feature map B. Thus corresponding channels of filters  $W$  can be removed. Furthermore, even though not directly optimized by our algorithm, the corresponding filters in the previous layer can also be removed (marked by dotted filters).  $c, n$ : number of channels for feature maps B and C,  $k_h \times k_w$ : kernel size.

<sup>2</sup>Yihui He, Xiangyu Zhang, and Jian Sun (2017). "Channel Pruning for Accelerating Very Deep Neural Networks". In: *Proc. ICCV*.



Formally, to prune a feature map with  $c$  channels, we consider applying  $n \times c \times k_h \times k_w$  convolutional filters  $W$  on  $N \times c \times k_h \times k_w$  input volumes  $X$  sampled from this feature map, which produces  $N \times n$  output matrix  $Y$ . Here,  $N$  is the number of samples,  $n$  is the number of output channels, and  $k_h, k_w$  are the kernel size. For simple representation, bias term is not included in our formulation. To prune the input channels from  $c$  to desired  $c'$  ( $0 \leq c' \leq c$ ), while minimizing reconstruction error, we formulate our problem as follow:

$$\begin{aligned} \arg \min_{\beta, W} \frac{1}{2N} \left\| Y - \sum_{i=1}^c \beta_i X_i W_i^T \right\|_F^2 \\ \text{subject to } \|\beta\|_0 \leq c' \end{aligned} \quad (1)$$

$\|\cdot\|_F$  is Frobenius norm.  $X_i$  is  $N \times k_h k_w$  matrix sliced from  $i$ th channel of input volumes  $X$ ,  $i = 1, \dots, c$ .  $W_i$  is  $n \times k_h k_w$  filter weights sliced from  $i$ th channel of  $W$ .  $\beta$  is coefficient vector of length  $c$  for channel selection, and  $\beta_i$  is  $i$ th entry of  $\beta$ . Notice that, if  $\beta_i = 0$ ,  $X_i$  will be no longer useful, which could be safely pruned from feature map.  $W_i$  could also be removed.

<sup>2</sup>Yihui He, Xiangyu Zhang, and Jian Sun (2017). "Channel Pruning for Accelerating Very Deep Neural Networks". In:



Solving this  $\ell_0$  minimization problem in Eqn. 1 is NP-hard. we relax the  $\ell_0$  to  $\ell_1$  regularization:

$$\begin{aligned} \arg \min_{\beta, \mathbf{W}} \frac{1}{2N} \left\| \mathbf{Y} - \sum_{i=1}^c \beta_i \mathbf{X}_i \mathbf{W}_i^\top \right\|_F^2 + \lambda \|\beta\|_1 \\ \text{subject to } \|\beta\|_0 \leq c', \forall i \|\mathbf{W}_i\|_F = 1 \end{aligned} \quad (2)$$

$\lambda$  is a penalty coefficient. By increasing  $\lambda$ , there will be more zero terms in  $\beta$  and one can get higher speed-up ratio. We also add a constrain  $\forall i \|\mathbf{W}_i\|_F = 1$  to this formulation, which avoids trivial solution. Now we solve this problem in two folds. First, we fix  $\mathbf{W}$ , solve  $\beta$  for channel selection. Second, we fix  $\beta$ , solve  $\mathbf{W}$  to reconstruct error.

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<sup>2</sup>Yihui He, Xiangyu Zhang, and Jian Sun (2017). "Channel Pruning for Accelerating Very Deep Neural Networks". In: *Proc. ICCV*.



**(i) The subproblem of  $\beta$ :** In this case,  $\mathbf{W}$  is fixed. We solve  $\beta$  for channel selection.

$$\hat{\beta}^{LASSO}(\lambda) = \arg \min_{\beta} \frac{1}{2N} \left\| \mathbf{Y} - \sum_{i=1}^c \beta_i \mathbf{Z}_i \right\|_F^2 + \lambda \|\beta\|_1 \quad (3)$$

subject to  $\|\beta\|_0 \leq c'$

Here  $\mathbf{Z}_i = \mathbf{X}_i \mathbf{W}_i^\top$  (size  $N \times n$ ). We will ignore  $i$ th channels if  $\beta_i = 0$ .

**(ii) The subproblem of  $\mathbf{W}$ :** In this case,  $\beta$  is fixed. We utilize the selected channels to minimize reconstruction error. We can find optimized solution by least squares:

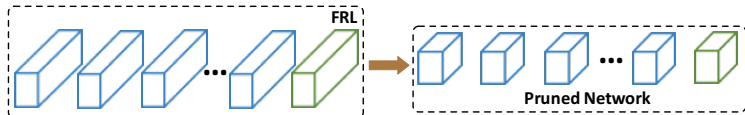
$$\arg \min_{\mathbf{W}'} \left\| \mathbf{Y} - \mathbf{X}' (\mathbf{W}')^\top \right\|_F^2 \quad (4)$$

Here  $\mathbf{X}' = [\beta_1 \mathbf{X}_1 \ \beta_2 \mathbf{X}_2 \ \dots \ \beta_i \mathbf{X}_i \ \dots \ \beta_c \mathbf{X}_c]$  (size  $N \times ck_h k_w$ ).  $\mathbf{W}'$  is  $n \times ck_h k_w$  reshaped  $\mathbf{W}$ ,  $\mathbf{W}' = [\mathbf{W}_1 \ \mathbf{W}_2 \ \dots \ \mathbf{W}_i \ \dots \ \mathbf{W}_c]$ . After obtained result  $\mathbf{W}'$ , it is reshaped back to  $\mathbf{W}$ . Then we assign  $\beta_i \leftarrow \beta_i \|\mathbf{W}_i\|_F$ ,  $\mathbf{W}_i \leftarrow \mathbf{W}_i / \|\mathbf{W}_i\|_F$ .  
Constrain  $\forall i \|\mathbf{W}_i\|_F = 1$  satisfies.

<sup>2</sup>Yihui He, Xiangyu Zhang, and Jian Sun (2017). "Channel Pruning for Accelerating Very Deep Neural Networks". In: Proc. ICCV.



## Pruning Networks using Neuron Importance Score Propagation (NISP)



- ▶ FRL: final response layer
- ▶ Measure the importance of the neurons across the entire model;
- ▶ Rank features on the final response layer;
- ▶ Minimize the reconstruction errors of (important) final responses;
- ▶ Back-propagate the importance values and prune the neurons.

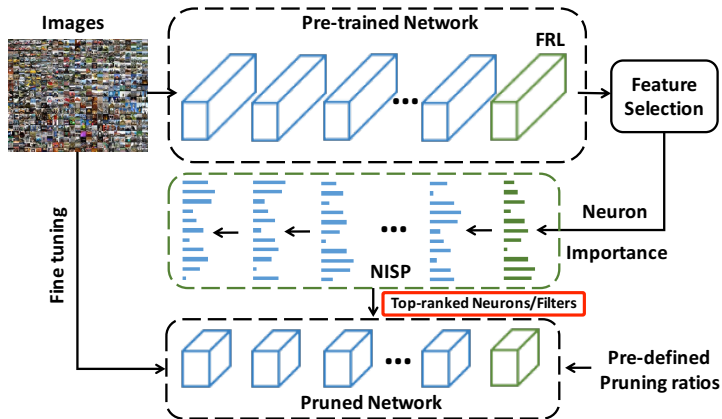
<sup>3</sup>Ruichi Yu et al. (2018). "NISP: Pruning networks using neuron importance score propagation". In: *Proc. CVPR*, pp. 9194–9203.



# Feature Pruning

## Pruning Networks using Neuron Importance Score Propagation (NISP)

- ▶ Prune network using NISP.
- ▶ Fine-tune the pruned network.





## Pruning Networks using Neuron Importance Score Propagation (NISIP)

Some notations:

- ▶ The  $l$ -th layer  $f^{(l)}(x)$  is represented as:

$$f^{(l)}(x) = \sigma^{(l)}(w^{(l)}x + b^{(l)}).$$





## Pruning Networks using Neuron Importance Score Propagation (NISP)

Some notations:

- ▶ The  $l$ -th layer  $f^{(l)}(x)$  is represented as:

$$f^{(l)}(x) = \sigma^{(l)}(w^{(l)}x + b^{(l)}).$$

- ▶ A network with depth  $n$  as a function  $F^{(n)}$ :

$$F^{(n)} = f^{(n)} \circ f^{(n-1)} \circ \dots \circ f^{(1)}.$$



## Pruning Networks using Neuron Importance Score Propagation (NISP)

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$$F^{(n)} = f^{(n)} \circ f^{(n-1)} \circ \dots \circ f^{(1)}.$$

- ▶ The sub-network from  $i$ -th to  $j$ -th layer:

$$G^{(i,j)} = f^{(j)} \circ f^{(j-1)} \circ \dots \circ f^{(i)}.$$



## Pruning Networks using Neuron Importance Score Propagation (NISP)

- ▶ Define a binary vector  $s_l^*$ : neuron prune indicator for the  $l$ -th layer.
- ▶ The objective function for a single sample is defined as:

$$\mathcal{F}(s_l^* | x, s_n; F) = \langle s_n, |F(x) - F(s_l^* \odot x)| \rangle,$$

where  $\langle \cdot, \cdot \rangle$  is dot product,  $\odot$  is element-wise product, and  $|\cdot|$  is element-wise absolute value.



## Pruning Networks using Neuron Importance Score Propagation (NISP)

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where  $\langle \cdot, \cdot \rangle$  is dot product,  $\odot$  is element-wise product, and  $|\cdot|$  is element-wise absolute value.

- ▶ For all samples in the dataset:

$$\arg \min_{s_l^*} \sum_{m=1}^M \mathcal{F}(s_l^* | x_l^{(m)}, s_n; G^{(l+1,n)})$$

- ▶ Derive an upper-bound on this objective and minimize the upper-bound.

# Overview



Sparse Regression

Pruning

Sparse Hardware Architecture



# **EIE: Efficient Inference Engine on Compressed Deep Neural Network**

Han et al.  
ISCA 2016



# Deep Learning Accelerators

- First Wave: Compute (Neu Flow)
- Second Wave: Memory (Diannao family)
- Third Wave: Algorithm / Hardware Co-Design (EIE)

Google TPU: “This unit is designed for dense matrices. Sparse architectural support was omitted for time-to-deploy reasons. Sparsity will have high priority in future designs”



# EIE: the First DNN Accelerator for Sparse, Compressed Model

$$0 * A = 0$$

## Sparse Weight

90% *static* sparsity



10x less computation



5x less memory footprint

$$W * 0 = 0$$

## Sparse Activation

70% *dynamic* sparsity



3x less computation

~~$$2.09, 1.92 \Rightarrow 2$$~~

## Weight Sharing

4-bit weights



8x less memory footprint



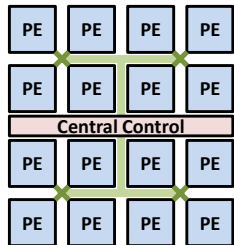


# EIE: Parallelization on Sparsity

$$\vec{a} \begin{pmatrix} 0 & \mathbf{a}_1 & 0 & a_3 \end{pmatrix} \times \begin{pmatrix} w_{0,0} & w_{0,1} & 0 & w_{0,3} \\ 0 & \mathbf{0} & w_{1,2} & 0 \\ 0 & w_{2,1} & 0 & w_{2,3} \\ 0 & \mathbf{0} & 0 & 0 \\ 0 & \mathbf{0} & w_{4,2} & w_{4,3} \\ w_{5,0} & \mathbf{0} & 0 & 0 \\ 0 & \mathbf{0} & 0 & w_{6,3} \\ 0 & w_{7,1} & 0 & 0 \end{pmatrix} = \begin{pmatrix} b_0 \\ b_1 \\ -b_2 \\ b_3 \\ -b_4 \\ b_5 \\ b_6 \\ -b_7 \end{pmatrix} \xrightarrow{\text{ReLU}} \begin{pmatrix} b_0 \\ b_1 \\ 0 \\ b_3 \\ 0 \\ b_5 \\ b_6 \\ 0 \end{pmatrix} \vec{b}$$



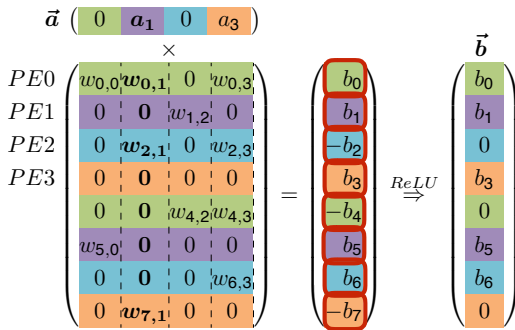
# EIE: Parallelization on Sparsity



$$\vec{a} \begin{pmatrix} 0 & a_1 & 0 & a_3 \end{pmatrix} \times \begin{matrix} PE0 \\ PE1 \\ PE2 \\ PE3 \end{matrix} \begin{pmatrix} w_{0,0} & w_{0,1} & 0 & w_{0,3} \\ 0 & 0 & w_{1,2} & 0 \\ 0 & w_{2,1} & 0 & w_{2,3} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & w_{4,2} & w_{4,3} \\ w_{5,0} & 0 & 0 & 0 \\ 0 & 0 & 0 & w_{6,3} \\ 0 & w_{7,1} & 0 & 0 \end{pmatrix} = \begin{pmatrix} b_0 \\ b_1 \\ -b_2 \\ b_3 \\ -b_4 \\ b_5 \\ b_6 \\ -b_7 \end{pmatrix} \xrightarrow{ReLU} \vec{b} \begin{pmatrix} b_0 \\ b_1 \\ 0 \\ b_3 \\ 0 \\ b_5 \\ b_6 \\ 0 \end{pmatrix}$$



# Dataflow

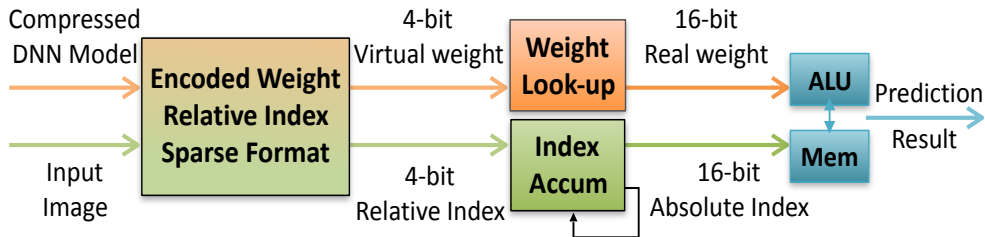


rule of thumb:  
 $0 * A = 0 \quad W * 0 = 0$



# EIE Architecture

## Weight decode

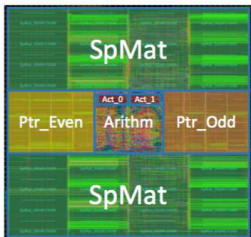


## Address Accumulate

rule of thumb:  $0 * A = 0$      $W * 0 = 0$     ~~2.09, 1.92~~ => 2



# Post Layout Result of EIE

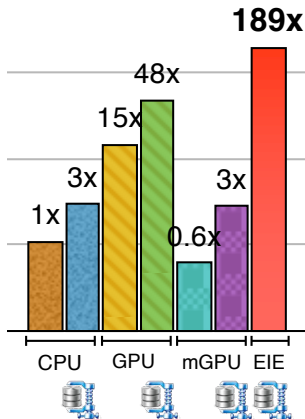
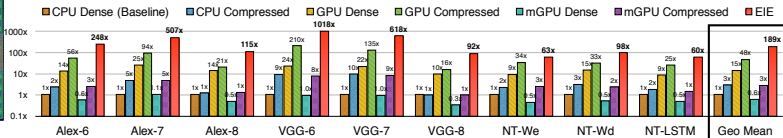
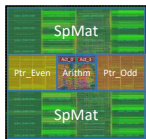


Technology	40 nm
# PEs	64
on-chip SRAM	8 MB
Max Model Size	84 Million
Static Sparsity	10x
Dynamic Sparsity	3x
Quantization	4-bit
ALU Width	16-bit
Area	40.8 mm <sup>2</sup>
MxV Throughput	81,967 layers/s
Power	586 mW

1. Post layout result
2. Throughput measured on AlexNet FC-7



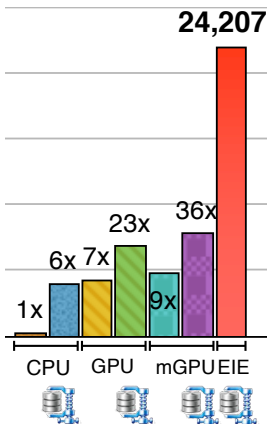
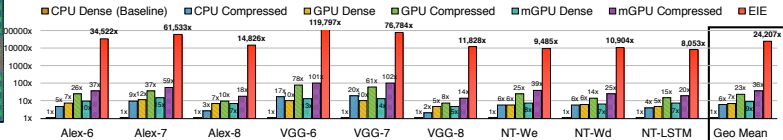
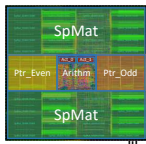
# Speedup on EIE



Geo Mean



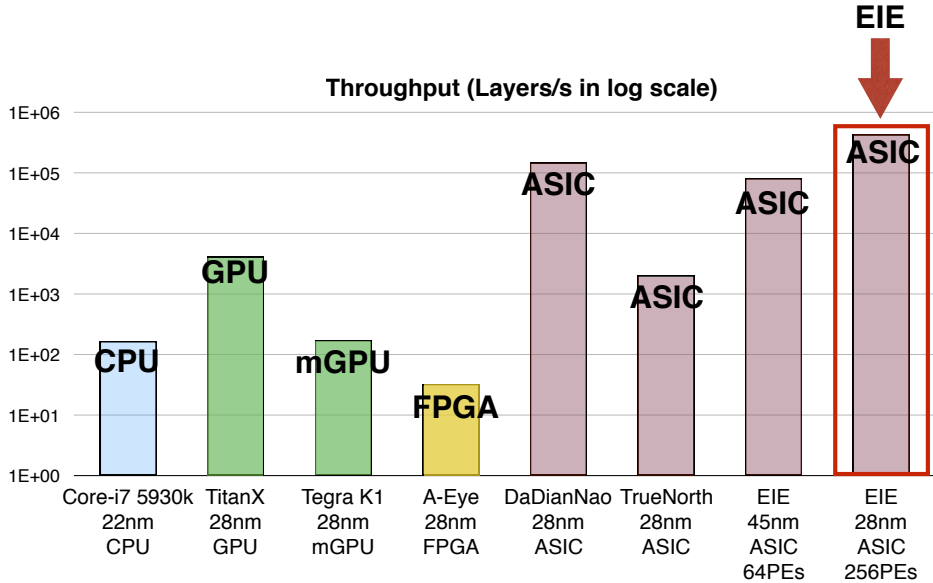
# Energy Efficiency on EIE



Geo Mean



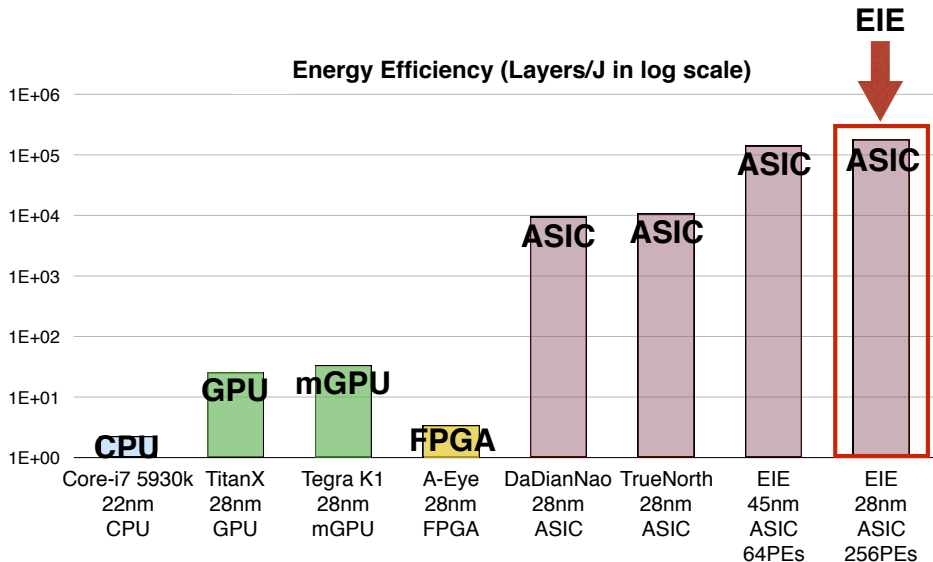
# Comparison: Throughput





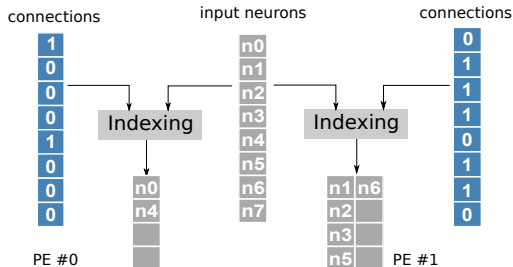


# Comparison: Energy Efficiency





## Indexing Module (IM) for sparse data



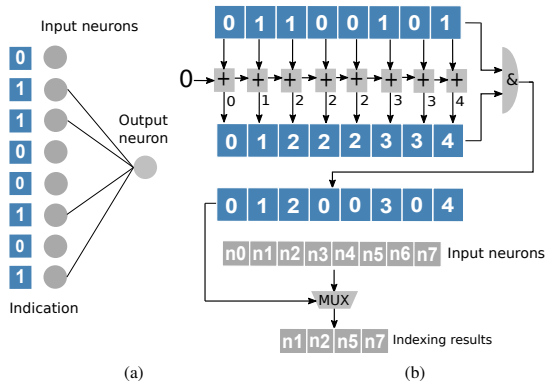
- ▶ IM is used for indexing needed neurons of sparse networks with different levels of sparsities.
- ▶ A centralized IM is designed in the buffer controller and only transfer the indexed neurons to processing engines.

<sup>4</sup>Shijin Zhang et al. (2016). "Cambricon-x: An accelerator for sparse neural networks". In: *Proc. MICRO. IEEE*, pp. 1–12.



# Weight Sparsity

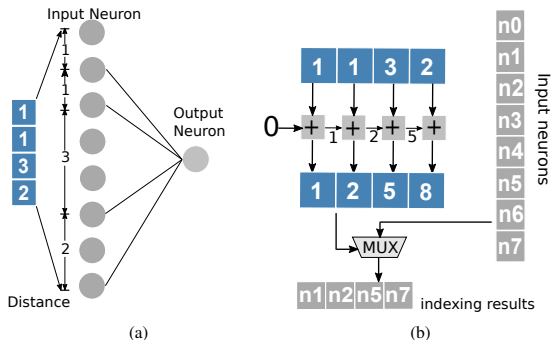
## Direct indexing and hardware implementation



- ▶ Neurons are selected from all input neurons directly based on existed connections in the binary string.



## Step indexing and hardware implementation

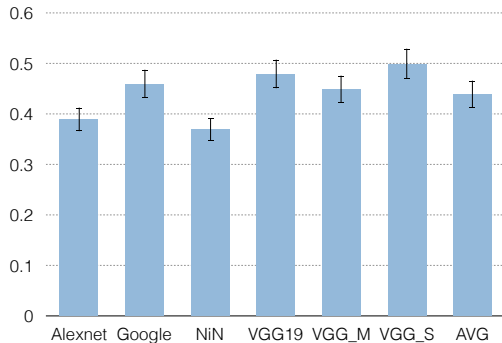


- ▶ Neurons are selected based on the distances between input neurons with existed synapses.



## Lots of Runtime Zeroes

Ineffectual zero computations.



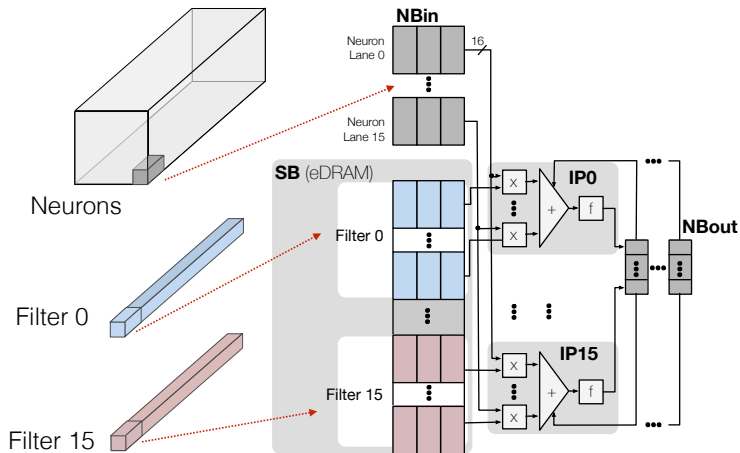
**Fraction of zero neurons in multiplications**

<sup>5</sup>Jorge Albericio et al. (2016). "Cnvlutin: Ineffectual-neuron-free deep neural network computing". In: *ACM SIGARCH Computer Architecture News* 44.3, pp. 1–13.

# Feature Sparsity



DaDianNao<sup>6</sup>

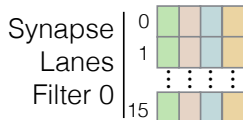
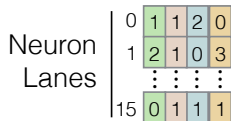


<sup>6</sup>Yunji Chen et al. (2014). "Dadiannao: A machine-learning supercomputer". In: *2014 47th Annual IEEE/ACM International Symposium on Microarchitecture*. IEEE, pp. 609–622.

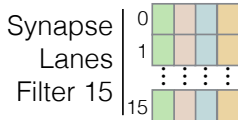
# Feature Sparsity



## Processing in DaDianNao



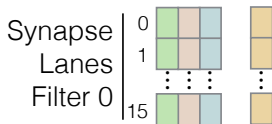
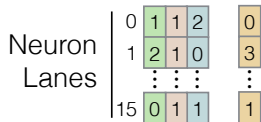
⋮



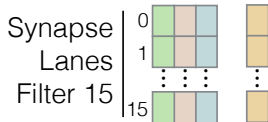
# Feature Sparsity



## Processing in DaDianNao



⋮

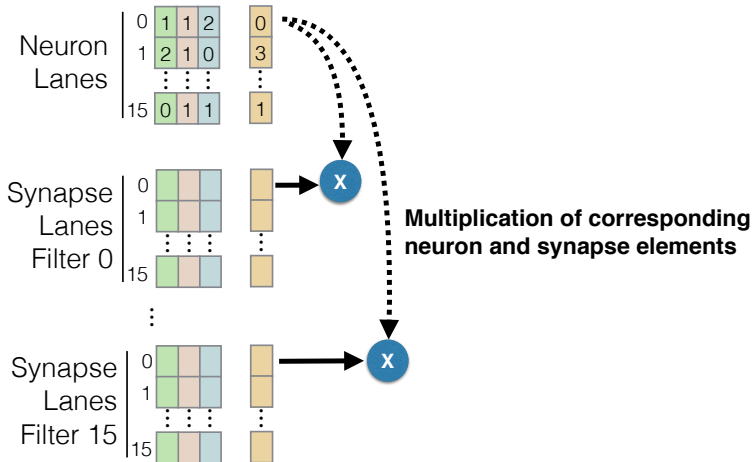




# Feature Sparsity



## Processing in DaDianNao

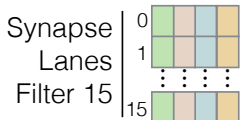
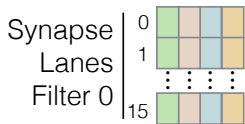
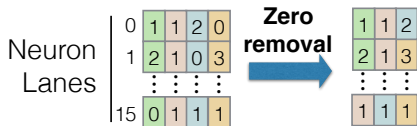


# Feature Sparsity



## Processing in DaDianNao

Zero removal.

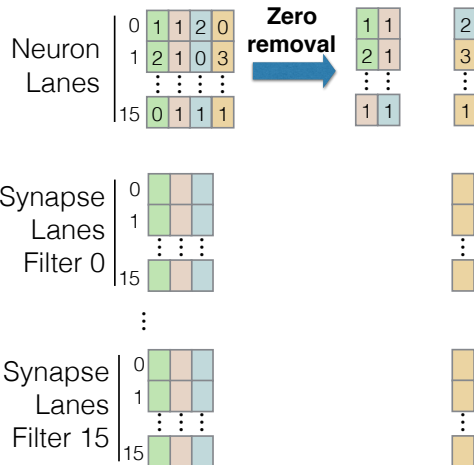


# Feature Sparsity



## Processing in DaDianNao

Zero removal.

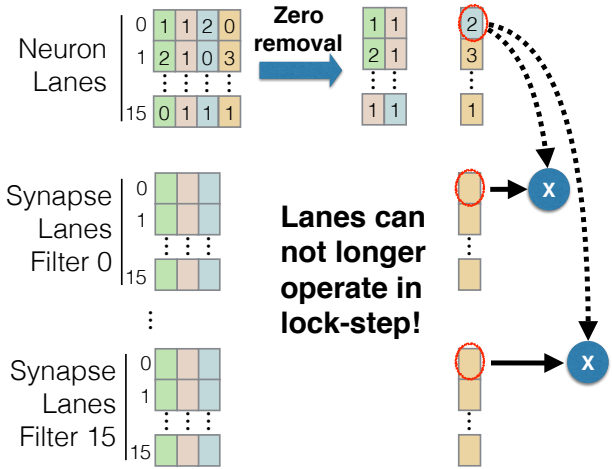




# Feature Sparsity

## Processing in DaDianNao

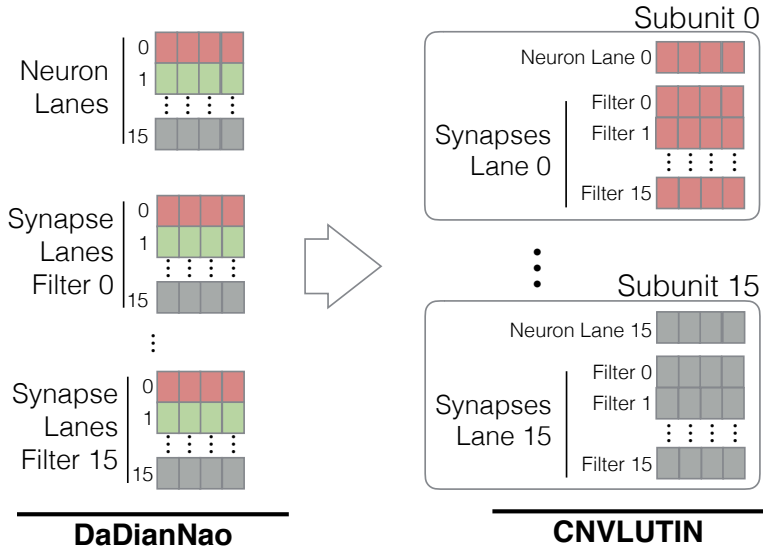
Lanes can not longer operate in lock-step.





# Feature Sparsity

## CNVLUTIN: Decoupling Lanes

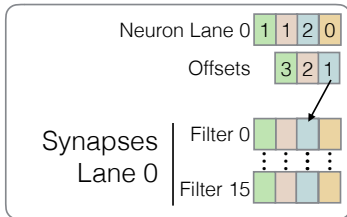


# Feature Sparsity

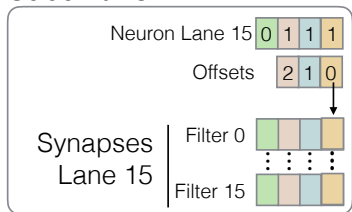


## CNVLUTIN: Decoupling Lanes

Subunit 0



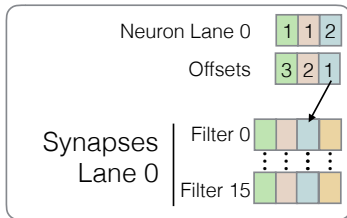
Subunit 15



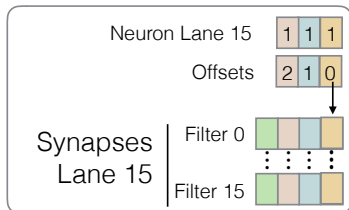


## CNVLUTIN: Decoupling Lanes

Subunit 0



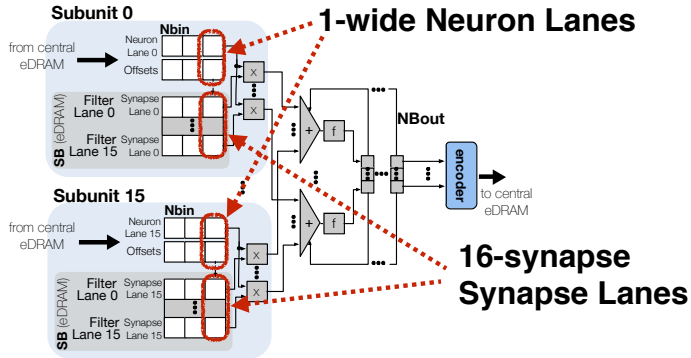
Subunit 15





# Feature Sparsity

## CNVLUTIN: Decoupling Lanes



**Decoupled Neuron Lanes:**

Neuron + coordinate  
Proceed independently

**Partitioned SB:**

16-wide accesses  
1 synapse per filter



# Further Discussion: Reading List



- ▶ Wenlin Chen et al. (2015). “Compressing neural networks with the hashing trick”. In: *Proc. ICML*, pp. 2285–2294
- ▶ Huizi Mao et al. (2017). “Exploring the granularity of sparsity in convolutional neural networks”. In: *CVPR Workshop*, pp. 13–20
- ▶ Zhuang Liu et al. (2017). “Learning efficient convolutional networks through network slimming”. In: *Proc. ICCV*, pp. 2736–2744
- ▶ Chenglong Zhao et al. (June 2019). “Variational convolutional neural network pruning”. In: *Proc. CVPR*
- ▶ Junru Wu et al. (2018). “Deep  $k$ -Means: Re-training and parameter sharing with harder cluster assignments for compressing deep convolutions”. In: *Proc. ICML*