



香港中文大學
The Chinese University of Hong Kong

CENG3420

Lecture 09: Virtual Memory & Performance

Bei Yu

byu@cse.cuhk.edu.hk
(Latest update: February 16, 2019)

Spring 2019

Overview

Introduction

Virtual Memory

VA \rightarrow PA

TLB

Performance Issues



Overview

Introduction

Virtual Memory

VA \rightarrow PA

TLB

Performance Issues



Motivations

Physical memory may not be as large as “possible address space” spanned by a processor, e.g.

- ▶ A processor can address 4G bytes with 32-bit address
- ▶ But installed main memory may only be 1GB

How if we want to simultaneously run many programs which require a total memory consumption **greater** than the installed main memory capacity?

Terminology:

- ▶ A running program is called a process or a **thread**
- ▶ Operating System (**OS**) controls the processes



Virtual Memory

- ▶ Use main memory as a “**cache**” for secondary memory
- ▶ Each program is compiled into its own **virtual** address space
- ▶ What makes it work? [Principle of Locality](#)



Virtual Memory

- ▶ Use main memory as a “**cache**” for secondary memory
- ▶ Each program is compiled into its own **virtual** address space
- ▶ What makes it work? **Principle of Locality**

Why virtual memory?

- ▶ During run-time, virtual address is translated to a **physical** address
- ▶ Efficient & safe sharing memory among multiple programs
- ▶ Ability to run programs larger than the size of physical memory
- ▶ Code relocation: code can be loaded anywhere in main memory



Bottom of the Memory Hierarchy

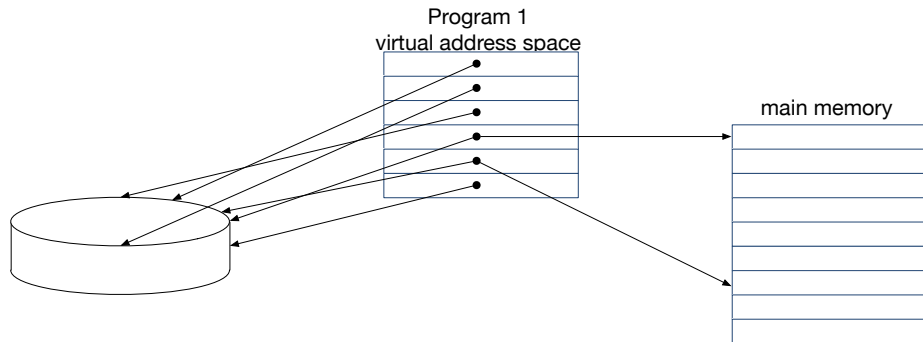
Consider the following example:

- ▶ Suppose we hit the limit of 1GB in the example, and we suddenly need some more memory on the fly.
- ▶ We move some main memory chunks to the harddisk, say, 100MB.
- ▶ So, we have 100MB of “free” main memory for use.
- ▶ What if later on, those instructions / data in the saved 100MB chunk are needed again?
- ▶ We have to “free” some other main memory chunks in order to move the instructions / data back from the harddisk.



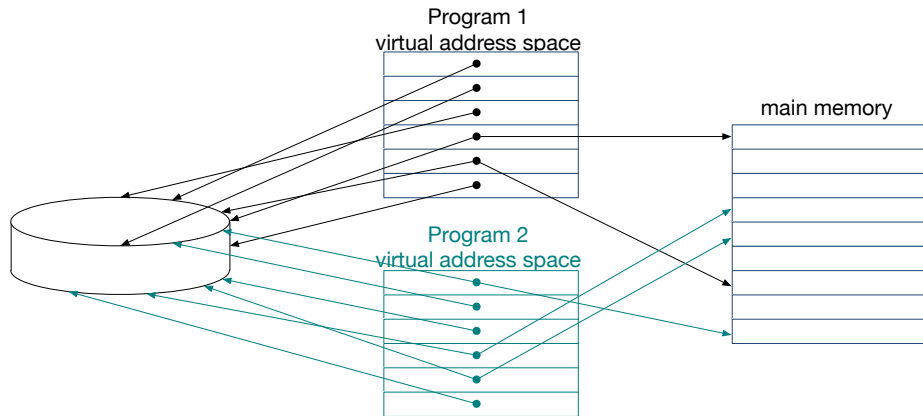
Two Programs Sharing Physical Memory

- ▶ A program's address space is divided into **pages** (fixed size) or **segments** (variable sizes)



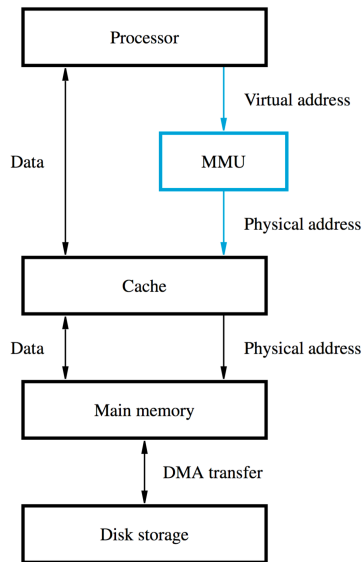
Two Programs Sharing Physical Memory

- ▶ A program's address space is divided into **pages** (fixed size) or **segments** (variable sizes)



Virtual Memory Organization

- ▶ Part of process(es) are stored temporarily on harddisk and brought into main memory as needed
- ▶ This is done automatically by the OS, application program does not need to be aware of the existence of virtual memory (VM)
- ▶ Memory management unit (MMU) translates virtual addresses to physical addresses



Overview

Introduction

Virtual Memory

VA \rightarrow PA

TLB

Performance Issues



Address Translation

- ▶ Memory divided into **pages** of size ranging from 2KB to 16KB
 - ▶ Page too small: too much time spent getting pages from disk
 - ▶ Page too large: a large portion of the page may not be used
 - ▶ This is similar to cache block size issue (discussed earlier)
- ▶ For harddisk, it takes a considerable amount of time to locate a data on the disk but once located, the data can be transferred at a rate of several MB per second.
- ▶ If pages are too large, it is possible that a substantial portion of a page is not used but it will occupy valuable space in the main memory.



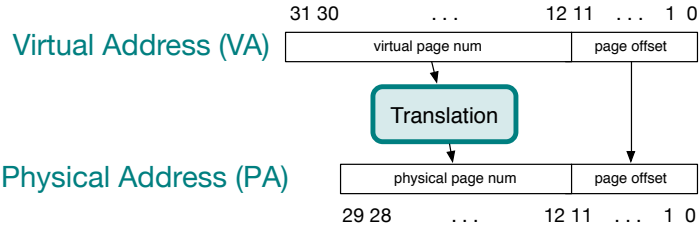
Address Translation

- ▶ An area in the main memory that can hold one page is called a **page frame**.
- ▶ Processor generates virtual addresses
 - ▶ MS (**high** order) bits are the **virtual page number**
 - ▶ LS (**low** order) bits are the **offset**
- ▶ Information about where each page is stored is maintained in a data structure in the main memory called the **page table**
 - ▶ Starting address of the page table is stored in a page table base register
 - ▶ Address in physical memory is obtained by indexing the virtual page number from the page table base register

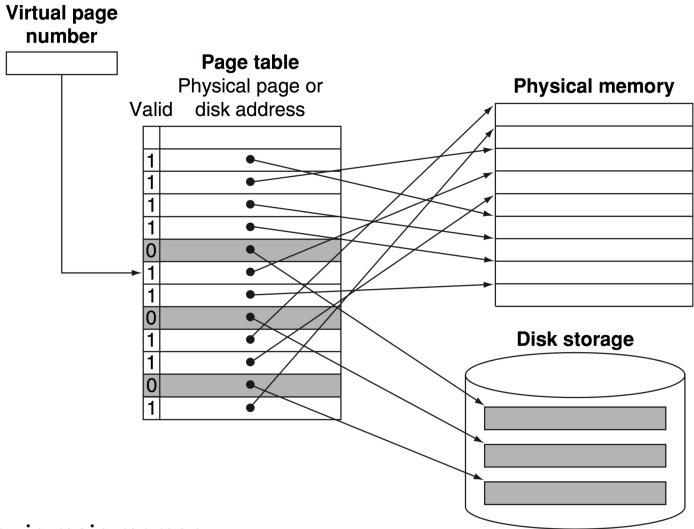


Address Translation

- ▶ Virtual address → physical address by combination of HW/SW
- ▶ Each memory request needs first an address translation
- ▶ Page Fault: a virtual memory miss



Address Translation Mechanisms



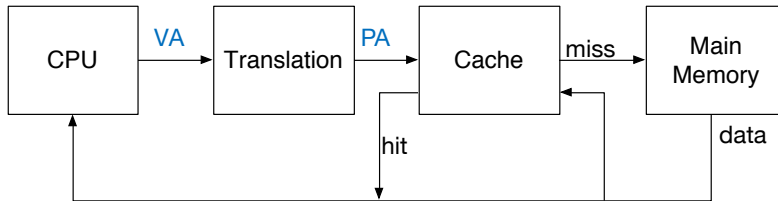
- ▶ **Page Table:** in main memory
- ▶ **Process:** page table + program counter + registers



Virtual Addressing with a Cache

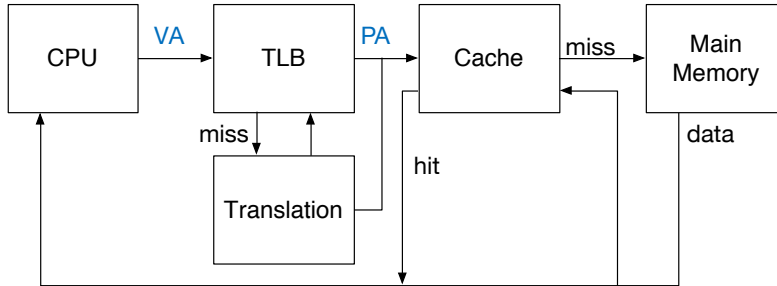
Disadvantage of virtual addressing:

- ▶ One **extra** memory access to translate a VA to a PA
- ▶ memory (cache) access very expensive...

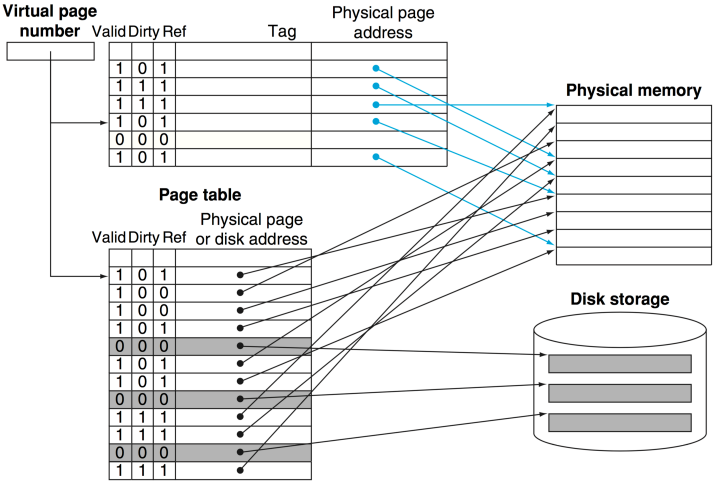


Translation Look-aside Buffer (TLB)

- ▶ A small **cache**: keeps track of recently used address mappings
- ▶ Avoid page table lookup



Translation Look-aside Buffer (TLB)



- ▶ Dirty bit:
- ▶ Ref bit:



More about TLB

Organization:

- ▶ Just like any other cache, can be fully associative, set associative, or direct mapped.

Access time:

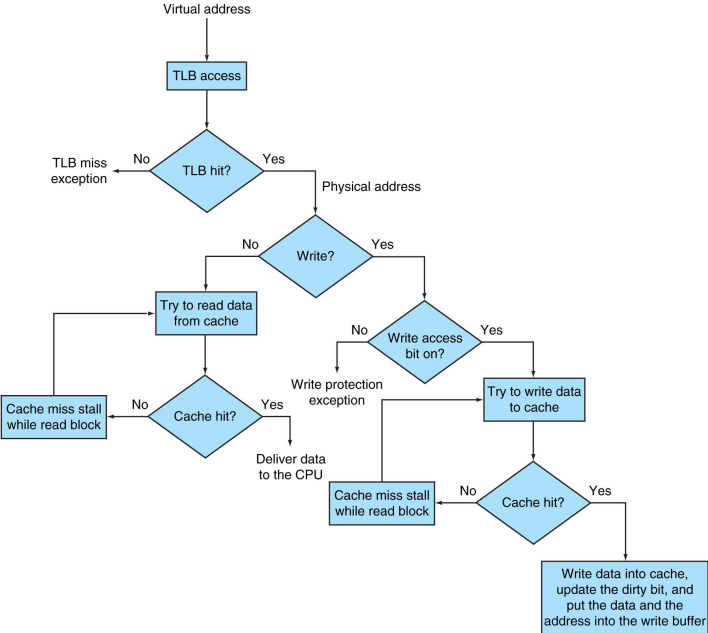
- ▶ **Faster** than cache: due to smaller size
- ▶ Typically not more than 512 entries even on high end machines

A TLB miss:

- ▶ If the page is in main memory: miss can be handled; load translation info from page table to TLB
- ▶ If the page is NOT in main memory: **page fault**



Cooperation of TLB & Cache



TLB Event Combinations

- ▶ TLB / Cache miss: page / block not in “cache”
- ▶ Page Table miss: page NOT in memory

TLB	Page Table	Cache	Possible? Under what circumstances?
Hit	Hit	Hit	
Hit	Hit	Miss	
Miss	Hit	Hit	
Miss	Hit	Miss	
Miss	Miss	Miss	
Hit	Miss	Miss / Hit	
Miss	Miss	Hit	



TLB Event Combinations

- ▶ TLB / Cache miss: page / block not in “cache”
- ▶ Page Table miss: page NOT in memory

TLB	Page Table	Cache	Possible? Under what circumstances?
Hit	Hit	Hit	Yes – what we want!
Hit	Hit	Miss	Yes – although page table is not checked if TLB hits
Miss	Hit	Hit	Yes – TLB miss, PA in page table
Miss	Hit	Miss	Yes – TLB miss, PA in page table but data not in cache
Miss	Miss	Miss	Yes – page fault
Hit	Miss	Miss / Hit	
Miss	Miss	Hit	



TLB Event Combinations

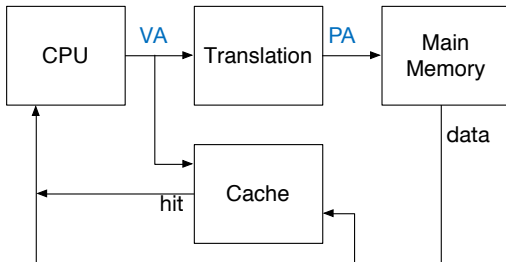
- ▶ TLB / Cache miss: page / block not in “cache”
- ▶ Page Table miss: page NOT in memory

TLB	Page Table	Cache	Possible? Under what circumstances?
Hit	Hit	Hit	Yes – what we want!
Hit	Hit	Miss	Yes – although page table is not checked if TLB hits
Miss	Hit	Hit	Yes – TLB miss, PA in page table
Miss	Hit	Miss	Yes – TLB miss, PA in page table but data not in cache
Miss	Miss	Miss	Yes – page fault
Hit	Miss	Miss / Hit	Impossible – TLB translation not possible if page is not in memory
Miss	Miss	Hit	Impossible – data not allowed in cache if page is not in memory



QUESTION: Why Not a Virtually Addressed Cache?

- ▶ Access Cache using virtual address (VA)
- ▶ Only address translation when cache misses

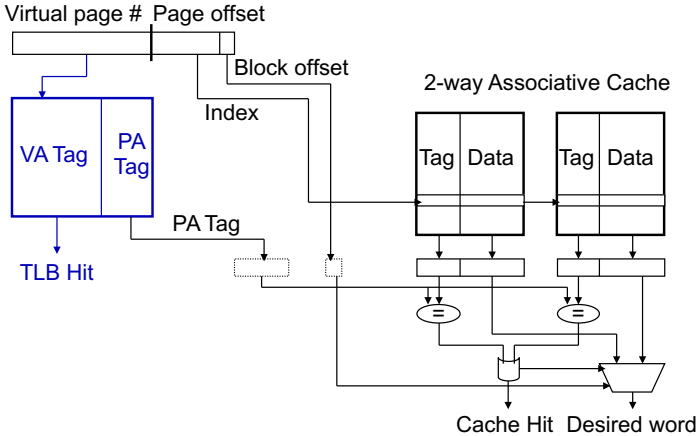


Answer:



Overlap Cache & TLB Accesses

- ▶ High order bits of VA are used to access TLB
- ▶ Low order bits of VA are used as index into cache



The Hardware / Software Boundary

Which part of address translation is done by hardware?

- ▶ TLB that caches recent translations:
 - ▶ TLB access time is part of cache hit time
 - ▶ May allot extra stage in pipeline
- ▶ Page Table storage, fault detection and updating
 - ▶ Dirty & Reference bits
 - ▶ Page faults result in interrupts
- ▶ Disk Placement:



The Hardware / Software Boundary

Which part of address translation is done by hardware?

- ▶ TLB that caches recent translations: (Hardware)
 - ▶ TLB access time is part of cache hit time
 - ▶ May allot extra stage in pipeline
- ▶ Page Table storage, fault detection and updating
 - ▶ Dirty & Reference bits (Hardware)
 - ▶ Page faults result in interrupts (Software)
- ▶ Disk Placement: (Software)



Overview

Introduction

Virtual Memory

VA \rightarrow PA

TLB

Performance Issues



Q1: Where A Block Be Placed in Upper Level?

Scheme name	# of sets	Blocks per set
Direct mapped	# of blocks	1
Set associative	$\frac{\text{\# of blocks}}{\text{Associativity}}$	Associativity
Fully associative	1	# of blocks



Q1: Where A Block Be Placed in Upper Level?

Scheme name	# of sets	Blocks per set
Direct mapped	# of blocks	1
Set associative	$\frac{\# \text{ of blocks}}{\text{Associativity}}$	Associativity
Fully associative	1	# of blocks

Q2: How Is Entry Be Found?

Scheme name	Location method	# of comparisons
Direct mapped	Index	1
Set associative	Index the set; compare set's tags	Degree of associativity
Fully associative	Compare all tags	# of blocks



Q3: Which Entry Should Be Replaced on a Miss?

- ▶ **Direct mapped**: only one choice
- ▶ **Set associative** or **fully associative**:
 - ▶ Random
 - ▶ LRU (Least Recently Used)

Note that:

- ▶ For a 2-way set associative, random replacement has a miss rate $1.1\times$ than LRU
- ▶ For high level associativity (4-way), LRU is too **costly**



Q4: What Happen On A Write?

▶ Write-Through:

- ▶ The information is written in both the block in cache & the block in lower level of memory
- ▶ Combined with **write buffer**, so write waits can be eliminated
- ▶ ⊕:
- ▶ ⊕:

▶ Write-Back:

- ▶ The information is written only to the block in cache
- ▶ The modification is written to lower level, only when the block is replaced
- ▶ Need dirty bit: tracks whether the block is clean or not
- ▶ **Virtual memory** always use write-back
- ▶ ⊕:
- ▶ ⊕:



Q4: What Happen On A Write?

▶ Write-Through:

- ▶ The information is written in both the block in cache & the block in lower level of memory
- ▶ Combined with **write buffer**, so write waits can be eliminated
- ▶ ⊕: read misses don't result in writes
- ▶ ⊕: easier to implement

▶ Write-Back:

- ▶ The information is written only to the block in cache
- ▶ The modification is written to lower level, only when the block is replaced
- ▶ Need dirty bit: tracks whether the block is clean or not
- ▶ **Virtual memory** always use write-back
- ▶ ⊕:
- ▶ ⊕:



Q4: What Happen On A Write?

▶ Write-Through:

- ▶ The information is written in both the block in cache & the block in lower level of memory
- ▶ Combined with **write buffer**, so write waits can be eliminated
- ▶ ⊕: read misses don't result in writes
- ▶ ⊕: easier to implement

▶ Write-Back:

- ▶ The information is written only to the block in cache
- ▶ The modification is written to lower level, only when the block is replaced
- ▶ Need dirty bit: tracks whether the block is clean or not
- ▶ **Virtual memory** always use write-back
- ▶ ⊕: write with speed of cache
- ▶ ⊕: repeated writes require only one write to lower level



Performance Consideration

Performance

How **fast** machine instructions can be brought into the processor and how **fast** they can be executed.

- ▶ Two key factors are **performance** and **cost**, i.e., **price/performance ratio**.
- ▶ For a hierarchical memory system with cache, the processor is able to access instructions and data more quickly when the data wanted are in the cache.
- ▶ Therefore, the impact of a cache on performance is dependent on the **hit and miss rates**.



Cache Hit Rate and Miss Penalty

- ▶ High hit rates over 0.9 are essential for **high-performance** computers.
- ▶ A penalty is incurred because extra time is needed to bring a block of data from a slower unit to a faster one in the hierarchy.
- ▶ During that time, the processor is **stalled**.
- ▶ The waiting time depends on the details of the cache operation.

Miss Penalty

Total access time seen by the processor when a **miss** occurs.



Miss Penalty

Example: Consider a computer with the following parameters:

Access times to the cache and the main memory are t and $10t$ respectively. When a cache miss occurs, a block of 8 words will be transferred from the MM to the cache. It takes $10t$ to transfer the first word of the block and the remaining 7 words are transferred at a rate of one word per t seconds.

- ▶ Miss penalty = $t + 10t + 7 \times t + t$
- ▶ First t : Initial cache access that results in a miss.
- ▶ Last t : Move data from the cache to the processor.



Average Memory Access Time

$$h \times C + (1 - h) \times M$$

- ▶ h : hit rate
 - ▶ M : miss penalty
 - ▶ C : cache access time
-
- ▶ High cache hit rates ($> 90\%$) are essential
 - ▶ Miss penalty must also be reduced



Question: Memory Access Time Example

- ▶ Assume **8** cycles to read a single memory word;
- ▶ **15** cycles to load a 8-word block from main memory (previous example);
- ▶ cache access time = 1 cycle
- ▶ For every **100** instructions, statistically **30** instructions are data read/ write
- ▶ Instruction fetch: 100 memory access: assume hit rate = 0.95
- ▶ Data read/ write: 30 memory access: assume hit rate = 0.90

Calculate: (1) Execution cycles without cache; (2) Execution cycles with cache.



Caches on Processor Chips

- ▶ In high-performance processors, two levels of caches are normally used, L1 and L2.
- ▶ L1 must be very fast as they determine the memory access time seen by the processor.
- ▶ L2 cache can be slower, but it should be much larger than the L1 cache to ensure a high hit rate. Its speed is less critical because it only affects the miss penalty of the L1 cache.
- ▶ Average access time on such a system:

$$h_1 \cdot C_1 + (1 - h_1) \cdot [h_2 \cdot C_2 + (1 - h_2) \cdot M]$$

- ▶ h_1 (h_2): the L1 (L2) hit rate
- ▶ C_1 the access time of L1 cache,
- ▶ C_2 the miss penalty to transfer data from L2 cache to L1
- ▶ M : the miss penalty to transfer data from MM to L2 and then to L1.

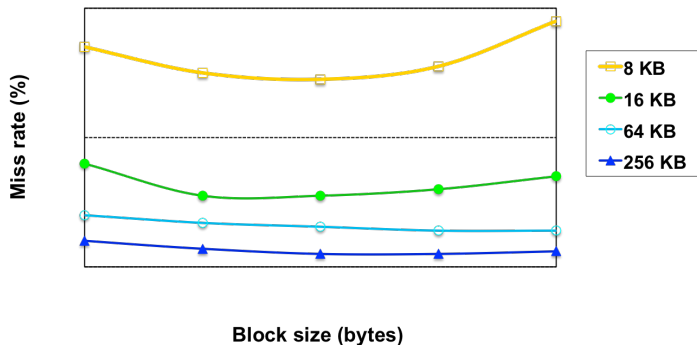


Larger Block Size

- ▶ Take advantage of spatial locality.
- ▶ 😊 If all items in a larger block are needed in a computation, it is better to load these items into the cache in a single miss.
- ▶ 😞 Larger blocks are effective only up to a certain size, beyond which too many items will remain unused before the block is replaced.
- ▶ 😞 Larger blocks take longer time to transfer and thus increase the miss penalty.
- ▶ Block sizes of 16 to 128 bytes are most popular.



Miss Rate v.s. Block Size v.s. Cache Size



Miss rate goes up if the block size becomes a significant fraction of the cache size because the number of blocks that can be held in the same size cache is smaller (increasing **capacity** misses)



Enhancement

Write buffer:

- ▶ Read request is served first.
- ▶ Write request stored in write buffer first and sent to memory whenever there is no read request.
- ▶ The addresses of a read request should be compared with the addresses of the write buffer.

Prefetch:

- ▶ Prefetch data into the cache before they are needed, while the processor is busy executing instructions that do not result in a read miss.
- ▶ Prefetch instructions can be inserted by the programmer or the compiler.

Load-through Approach

- ▶ Instead of waiting the whole block to be transferred, the processor resumes execution as soon as the required word is loaded in the cache.

