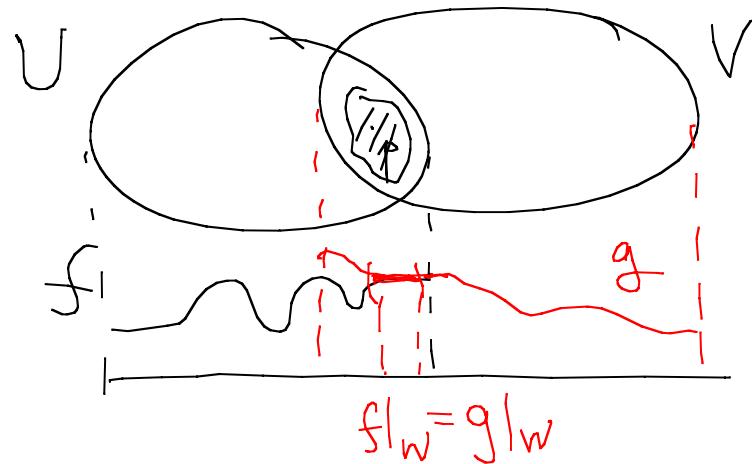


## 1.5 Tangent vectors as derivations

Let  $M$  be a smooth manifold,  $p \in M$ , consider  $C^\infty$  functions defined in a neighborhood of  $p$ . Then we can define an

equivalence relation :  $f: U \rightarrow \mathbb{R} \sim g: V \rightarrow \mathbb{R}$   
 $(p \in U, p \in V)$

$\Leftrightarrow \exists$  nbd.  $W \subset U \cap V$  of  $p$  s.t.  $f|_W = g|_W$



Def : The equivalence classes for this relation are the germs of  $C^\infty$  functions at  $p$ . The space of germs of  $C^\infty$  functions at  $p$  is denoted  $\mathcal{C}_p^\infty(M)$ .

Similarly, we can define  $\mathcal{C}_p^0(M)$ ,  $\mathcal{C}_p^k(M)$  &  $\mathcal{C}_p^{<\infty}(M)$  the germs of continuous,  $C^k$ , & (real) analytic functions respectively at  $p$ .

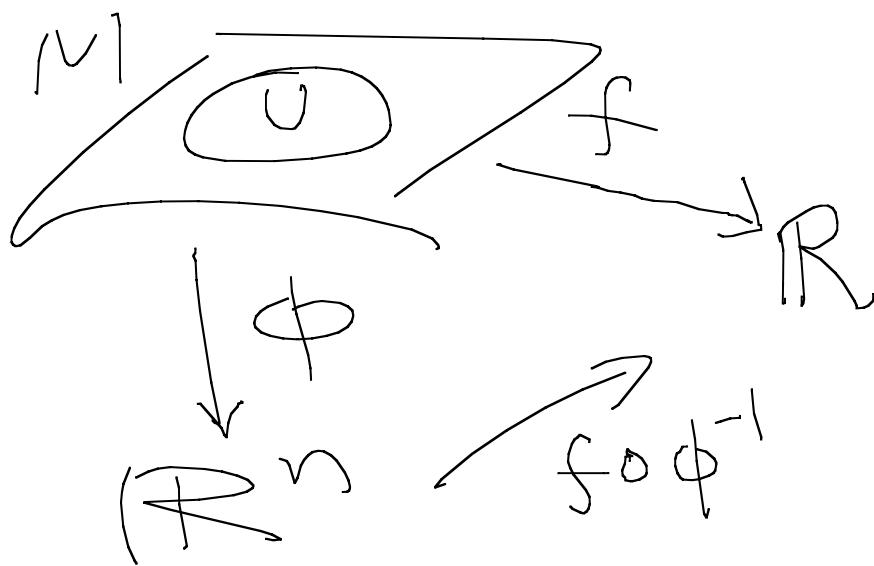
Remarks : ① Space of functions has linear structure  
(with product structure)

$\Rightarrow$  corresponding space of germs is a vector space (with product structure).

• If  $M$  is a  $C^k$  manifold ( $0 \leq k \leq \infty$ )

then  $\mathcal{C}_p^k(M) \cong \mathcal{C}_0^k(\mathbb{R}^n)$  (vector space isomorphism)

Pf: germ of  $f \leftrightarrow$  germ of  $f \circ \phi^{-1}$   
for a chart  $(U, \phi)$



Def: A derivation on  $\mathcal{E}_p^k(M)$  is a linear map

$\delta: \mathcal{E}_p^k(M) \rightarrow \mathbb{R}$  such that  $\forall f, g \in \mathcal{E}_p^k(M)$

$$\delta(fg) = f(p)\delta(g) + g(p)\delta(f)$$

(Ex.)

(where  $fg$  = product of the germs  $f, g$  : How to define?)

Notation: We denote the set of derivations on  $\mathcal{E}_p^k(M)$  by

$\mathcal{D}_p^k(M) \approx \mathcal{D}_p(M)$  if  $k$  is clear.

Thm: Any derivation of  $\mathcal{E}_0^\alpha(\mathbb{R}^n)$  can be written as

$$\delta(f) = \sum_{j=1}^n \delta(x_j) \frac{\partial f}{\partial x_j}(0)$$

this  $f$  is a  
function representing  
the germ  $f$ .

Hence  $\dim (\mathcal{D}_0^\infty(\mathbb{R}^n)) = n$ .

(where  $x^i$  = germ of the coordinate function  $\begin{pmatrix} x^1 \\ \vdots \\ x^n \end{pmatrix} : \mathbb{R}^n \rightarrow \mathbb{R}$ )

Pf:  $\forall$  germ  $f \in \mathcal{C}_0^\infty(\mathbb{R})$ ,  $f$  is represented by a  ${}^\infty$  function in a nbd. of 0. We denote this function by  $f$  again. Then

$$\begin{aligned} f(x) - f(0) &= \int_0^1 \frac{d}{dt} f(tx) dt \\ &= \int_0^1 \sum_{j=1}^n \frac{\partial f}{\partial x^j}(tx) x^j dt \\ &= \sum_{j=1}^n x^j h_j(x) \end{aligned}$$

where  $h_j(x) = \int_0^1 \frac{\partial f}{\partial x^j}(tx) dt$  is  $\mathbb{C}^\infty$ .

$$\begin{aligned}
 \text{Then } \delta(f) &= \delta(f - f(0)) \quad \text{since } \delta(\text{const.}) = 0 \\
 &= \sum_{j=1}^n \delta(x^j h_j) \quad (\text{Ex.}) \\
 &= \sum_{j=1}^n x^j(0) \delta(h_j) + h_j(0) \delta(x^j) \\
 &= \sum_{j=1}^n \delta(x^j) \frac{\partial f}{\partial x^j}(0) \quad \times
 \end{aligned}$$

Lemma  $\forall \xi \in T_p M, L_\xi(f) \stackrel{\text{def}}{=} (D_p f)(\xi) \quad \forall f \in \mathcal{C}_0^\infty(M)$

Then  $L_\xi \in \mathcal{D}_p(M)$   
 $(Pf = \text{Easy Ex})$

Thm :  $T_p M \rightarrow \mathcal{D}_p(M)$  is an isomorphism  
 $\xi \mapsto L_\xi$  (between vector spaces)

Pf: •  $\xi \mapsto L_\xi$  is clearly linear

- Let  $(U, \phi)$  be a chart for  $M$  around  $p$  with  $\phi(p) = v$ .

Then  $\xi$  can be represented by

$$\xi = (U, \phi, v) \quad \text{with } v \in T_v \mathbb{R}^n \cong \mathbb{R}^n.$$

$\Rightarrow$  A  $C^\infty$  function  $f$  in a nbd around  $p$ .

$$L_\xi f = D_0(f \circ \phi^{-1})(v)$$

$$= \sum_{j=1}^n v^j \frac{\partial}{\partial x_j} (f \circ \phi^{-1})(0), \quad \text{where } v = (v^1, \dots, v^n)$$

If  $\xi \in \ker(\xi \mapsto L_\xi)$ , then  $\forall f$

$$0 = \sum_{j=1}^n v^j \frac{\partial}{\partial x^j} (f \circ \phi^{-1})(o)$$

$$\Rightarrow v^j = 0, \forall j \Rightarrow \xi = 0. \quad \therefore \ker(\xi \mapsto L_\xi) = 0$$

- Finally,  $\forall \delta \in \mathcal{D}_p(M) \cong \mathcal{D}_0(\mathbb{R}^n)$ , previous lemma

$$\Rightarrow \delta(f) = \sum_{j=1}^n \delta(x^j) \frac{\partial}{\partial x^j} (f \circ \phi^{-1})(o)$$

$$\therefore \delta = L_\xi \text{ for } \xi = \left[ (U, \phi, \begin{pmatrix} \delta(x^1) \\ \vdots \\ \delta(x^n) \end{pmatrix}) \right] \in T_p M$$

$$\Rightarrow \text{Im}(\xi \mapsto L_\xi) = \mathcal{D}_p(M) \quad \times$$

Remark: In particular, we have  $\dim T_p M = n$  with basis

corresponds to  $\left\{ \left. \frac{\partial}{\partial x^i} \right|_p \right\}$  in local coordinates  
 $\mathcal{D}_0(\mathbb{R}^n)$

(i.e. a germ s.t.  $\begin{pmatrix} \delta(x^1) \\ \vdots \\ \delta(x^n) \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix} \leftarrow j^{\text{th place}} \right)$ )

Convention: If  $(U, \phi)$  is a chart around  $p$ , and  
 $(x^1, \dots, x^n)$  are the corresponding coordinate functions  
 $x^i : U \xrightarrow{\phi} \mathbb{R}^n \xrightarrow{\pi_i} \mathbb{R}$ .

We denote  $\left( \frac{\partial}{\partial x^i} \right)_p (f) \stackrel{\text{def}}{=} \frac{\partial (f \circ \phi^{-1})}{\partial x^i} (\phi(p))$

In this notation

$$L_{\xi} = \sum_{j=1}^n v^j \left( \frac{\partial}{\partial x^j} \right)_p \quad \text{for } \xi = (v, \phi, v) \in T_p M$$

Hence  $\left( \frac{\partial}{\partial x^j} \right)_p$  can be regarded as a vector in  $T_p M$ ;

$\Rightarrow \frac{\partial}{\partial x^j}$  is a vector field on  $U \subset M$ .

If  $x^1, \dots, x^n$  are smooth functions, then

$$\underline{x} = \sum_{j=1}^n x^j \frac{\partial}{\partial x^j} \quad \text{is a vector field on } U.$$

Corresponds to  $L_{\underline{x}} : C^\infty(U) \rightarrow C^\infty(U)$  defined by

$$(L_{\underline{x}} f)(p) = \sum_{j=1}^n \underline{x}^j(p) \left( \frac{\partial f}{\partial x^j} \right)_p$$

Thm: The map  $\mathcal{X} \mapsto L_{\mathcal{X}}$  is an isomorphism between the

vector spaces  $\Gamma(TM)$  and  $\mathcal{D}(M)$ , where

$\mathcal{D}(M)$  = set of derivations  $\delta$  on  $M$  which are defined by requiring

$$(i) \quad \delta: C^\infty(M) \rightarrow C^\infty(M) \text{ linear ;}$$

$$(ii) \quad \delta(fg) = f\delta(g) + g\delta(f)$$

(Pf = Omitted)

(Cautim: Analog statement for complex manifold is not true. Since we need to use cut-off functions to reduce to coordinate systems.)

Note : If  $\delta_1, \delta_2 \in \mathcal{D}(M)$ , then  $\delta_1 \circ \delta_2 \notin \mathcal{D}(M)$

Lemma: If  $\delta_1, \delta_2 \in \mathcal{D}(M)$ , then

$$\delta_1 \circ \delta_2 - \delta_2 \circ \delta_1 \in \mathcal{D}(M)$$

Pf (Exercise)

Def: Let  $X, Y$  be vector fields on  $M$ . Then  $[X, Y]$ , the bracket of  $X \& Y$ , is the vector field corresponding to the derivation  $L_X \circ L_Y - L_Y \circ L_X$   
(i.e.  $L_{[X, Y]} = L_X \circ L_Y - L_Y \circ L_X$ )

Local formula for  $[X, Y]$

If  $X = \sum_{i=1}^n X^i \frac{\partial}{\partial x^i}$ ,  $Y = \sum_{j=1}^m Y^j \frac{\partial}{\partial x^j}$  in some local coordinates

then

$$L_{\underline{X}} f = \sum_i \underline{X}^i \frac{\partial f}{\partial x^i}$$

$$\Rightarrow L_Y L_{\underline{X}} f = \sum_{i,j} Y^j \underline{X}^i \frac{\partial^2 f}{\partial x^j \partial x^i} + \underline{Y}^j \frac{\partial \underline{X}^i}{\partial x^j} \frac{\partial f}{\partial x^i}$$

Similar formula for  $L_{\underline{X}} L_Y f$ .

$$\Rightarrow (L_{\underline{X}} L_Y - L_Y L_{\underline{X}}) f = \sum_i \left( \sum_j Y^j \frac{\partial \underline{X}^i}{\partial x^j} - \underline{X}^j \frac{\partial Y^i}{\partial x^j} \right) \frac{\partial f}{\partial x^i}$$

$$\Rightarrow \boxed{[\underline{X}, Y] = \sum_i \underline{Z}^i \frac{\partial}{\partial x^i} \text{ with } \underline{Z}^i = \sum_j (Y^j \frac{\partial \underline{X}^i}{\partial x^j} - \underline{X}^j \frac{\partial Y^i}{\partial x^j})}$$

Lemma (Jacobi identity) For vector fields  $\underline{X}, Y, Z$ ,

$$[\underline{X}, [Y, Z]] + [Y, [Z, \underline{X}]] + [Z, [\underline{X}, Y]] = 0 \quad (\text{Pf : Ex})$$

## 1.6 Vector Bundles and Tensors

Def: Let  $E$  &  $B$  be 2 smooth manifolds and

$\pi: E \rightarrow B$  be a smooth map.

$(\pi, E, B)$  is a vector bundle of rank  $n$ ,

if

- $\pi$  is surjective;
- $\exists$  open covering  $(U_i)_{i \in \Lambda}$  of  $B$ , and

diffeomorphisms  $h_i^{-1}: \pi^{-1}(U_i) \rightarrow U_i \times \mathbb{R}^n$

s.t.  $\forall x \in U_i \quad h_i^{-1}(\pi^{-1}(x)) = \{x\} \times \mathbb{R}^n$

(hence  $\pi^{-1}(x)$  can be regarded as a vector space.)

• and such that  $\forall i, j \in \Lambda$ , the diffeomorphism

$$h_i \circ h_j^{-1}: (U_i \cap U_j) \times \mathbb{R}^n \rightarrow (U_i \cap U_j) \times \mathbb{R}^n$$

are of the form

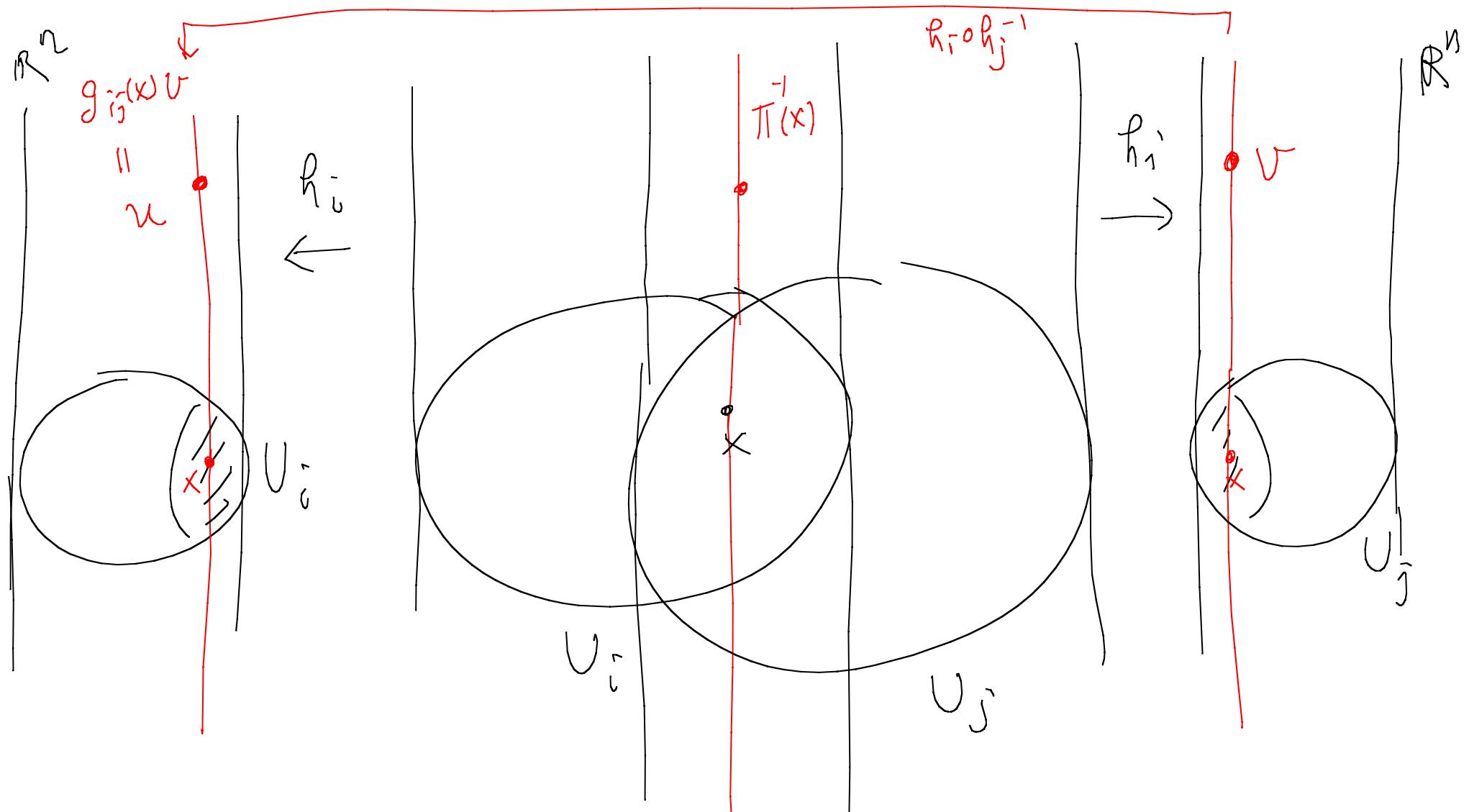
$$h_i \circ h_j^{-1}(x, v) = (x, g_{ij}(x)v)$$

where  $g_{ij}: U_i \cap U_j \rightarrow GL(n, \mathbb{R})$

Terminology:  $E = \underline{\text{total space}}$ ,  $B = \underline{\text{base}}$ .

$\mathbb{R}^n \cap \pi^{-1}(x) = \underline{\text{fibre}}$

$h_i = \underline{\text{local trivialization}}$



$$\text{eg: } (\text{Trivial Bundle}) : \pi = M \times \mathbb{R}^n \rightarrow M$$

$\downarrow$

$$(x, v) \mapsto x$$

$$\text{eg: Tangent bundle of } M = TM = \bigsqcup_{p \in M} T_p M \quad (\text{Exercise})$$

Def: (a) A vector bundle of rank  $n$ ,  $\pi: E \rightarrow B$ , is trivial  
 if  $\exists$  a diffeomorphism

$$\varphi: E \rightarrow B \times \mathbb{R}^n$$

s.t.  $\varphi^{-1}(x) \rightarrow \{x\} \times \mathbb{R}^n$  is a  
 vector space isomorphism.

(b) A (global) section of the bundle is a smooth

map  $s: B \rightarrow E$  s.t.  $\pi \circ s = \text{id}$

$$\begin{array}{ccc} & E & \\ \pi \downarrow \nearrow s & & \\ & B & \end{array}$$

e.g.: vector field  $X \in \Gamma(M)$  ( $= \Gamma(TM)$ ) is a section of  
the tangent bundle  $TM$ .

## Tensor product

Def: Let  $E, F$  be 2 finite dimensional vector spaces, then  $E \otimes F$ , the tensor product of  $E$  &  $F$ , is defined as the vector space, unique up to isomorphism, such that

$\forall$  vector space  $G$ ,

$$L(E \otimes F, G) \xrightarrow{\text{isom}} L_2(E \times F, G)$$

(linear transformations)  
from  $E \otimes F$  to  $G$ )

(bilinear maps from  
 $E \times F$ ,  $G$ )

Remark:  $\exists$  a bilinear map  $\otimes: E \times F \rightarrow E \otimes F$   
such that if  $\{e_i\}$  = basis of  $E$  &

$\{f_j\}$  = basis of  $F$ ,

then  $\{e_i \otimes f_j\}_{i,j}$  is a basis of  $E \otimes F$

(Hence for  $u = a^i e_i \in E$ ,  $v = b^j f_j \in F$ , then )  
 $u \otimes v = a^i b^j e_i \otimes f_j$

Facts : (1) If  $E^* =$  dual of  $E = L(E, \mathbb{R})$

$F^* =$  dual of  $F$

then  $E^* \otimes F^* \cong L_2(E \times F, \mathbb{R})$

$\cong L(E \otimes F, \mathbb{R}) = (E \otimes F)^*$

( by  $\alpha \otimes \beta \mapsto \alpha \otimes \beta(u \otimes v) = \alpha(u)\beta(v)$  )

(2) If  $\alpha \in L(E, E')$  &  $\beta \in L(F, F')$   
 $(E, E', F, F'$  are finite dim'l vector spaces)

then one can define

$$\alpha \otimes \beta \in L(E \otimes F, E' \otimes F')$$

by  $(\alpha \otimes \beta)(u \otimes v) \stackrel{\text{def}}{=} \alpha(u) \otimes \beta(v)$

[If  $E' = \mathbb{R} = F'$ , then  $E' \otimes F' = \mathbb{R}$   
 $\Rightarrow L(E \otimes F, E' \otimes F') \cong L(E \otimes F, \mathbb{R})$  as in (1)]

(3) Given a vector bundle  $E$  (with fibers  $E_x, x \in M$ ),  
one can define the vector bundle  $E^*, \otimes^P E$   
(with fibers  $E_x^*$ , and  $\otimes^P E_x$  respectively)

(4) Given 2 vector bundles  $E, F$  (with fibers  $E_x, F_x$ )  
 with the same base manifold  $M$ , we can define  
 the vector bundle  $E \otimes F$  over  $M$  with fiber  $E_x \otimes F_x$ .

eg : Starting from  $TM$ , we can define the cotangent bundle  
 $T^*M$  of  $M$ , and the  $(p, q)$ -tensor bundle  
 $(\bigotimes^p TM) \otimes (\bigotimes^q T^*M)$  of  $M$

Def : A  $(p, q)$ -tensor field, or more precisely  
 $p$  times contravariant &  $q$  times covariant tensor,  
 on  $M$  is a smooth section of the bundle

$$(\bigodot^p TM) \otimes (\bigodot^q T^*M).$$

Note: For  $f: M \rightarrow \mathbb{R}$  smooth, we can define

$$df \in T(T^*M) \text{ by } df(X) = L_X f, \quad \forall X \in \Gamma(M)$$

$$(Tf = Df) \quad (= Xf)$$

Then  $\{dx^j\}_{j=1}^n$  is a dual basis to  $\left\{\frac{\partial}{\partial x^i}\right\}_{i=1}^n$

$$\left( dx^j \left( \frac{\partial}{\partial x^i} \right) = \frac{\partial}{\partial x^i} (x^j) = \delta_i^j \right)$$

at each point in a coordinate system with coordinate functions  $x^1, \dots, x^n$ .

Therefore

$$\left\{ \frac{\partial}{\partial x^{i_1}} \otimes \cdots \otimes \frac{\partial}{\partial x^{i_p}} \otimes dx^{j_1} \otimes \cdots \otimes dx^{j_q} \right\}$$

forms a basis for  $(\bigotimes^p T^*M) \otimes (\bigotimes^q T^*M)$ .

$\Rightarrow$  in coordinates, a  $(p, q)$ -tensor (field) can be written as

$$T = \sum_{i_1 \dots i_q} T^{j_1 \dots j_p}_{i_1 \dots i_q} \frac{\partial}{\partial x^{i_1}} \otimes \cdots \otimes \frac{\partial}{\partial x^{i_p}} \otimes dx^{j_1} \otimes \cdots \otimes dx^{j_q}.$$

## 1.7 Partitions of unity

Recall that all manifolds in this course are supposed to have the property that "partitions of unity" is

always possible.

That is :

for any  $\{U_i\}_{i \in \Lambda}$  = open cover of  $M$ ,

$\exists$  locally finite open cover  $\{V_k\}_{k \in \Lambda'}$  and

a family  $\{\varphi_k\}_{k \in \Lambda'}$  of real smooth functions

on  $M$  such that

- $\{V_k\}_{k \in \Lambda'}$  is subordinate to  $\{U_i\}_{i \in \Lambda}$   
(i.e. each  $V_k \subset U_i$  for some  $i$ )
- $\text{supp } \varphi_k \subset V_k$ ,  $\varphi_k \geq 0$ ,  $\sum_{k \in \Lambda'} \varphi_k(x) = 1$ ,  $\forall x \in M$ .

Here  $\{V_k\}_{k \in \Lambda'}$  being locally finite means

$\forall x \in M, \exists$  open nbd  $W$  of  $x$  such that

$W \cap V_k = \emptyset$  except finite many  $k$ 's.