

4.3 Completeness, metric structure.

$(M, g)$  = Riemannian manifold (connected)

Def:  $d: M \times M \rightarrow [0, \infty)$  defined by

$$d(x, y) = \inf_{\gamma} L(\gamma),$$

where " $\inf$ " is taken over all piecewise smooth curves

$\gamma$  joining  $x$  and  $y$ , is called the

distance (a metric) of  $(M, g)$ .

Thm:  $(M, d)$  is a metric space, i.e.  $d$  satisfies

(1)  $d(x, y) \geq 0$ ; " $=$ " iff  $x = y$ .

(2)  $d(x, y) = d(y, x)$ ,

(3)  $d(x, y) \leq d(x, z) + d(z, y)$ .

Pf: All are easy (Exs.) and we prove only

" $d(x, y) = 0 \Rightarrow x = y$ ".

Suppose  $x \neq y$ . If  $y \in B_\delta$ , where  $\delta$  is given as in the "Thm" in the previous section, then

$d(x, y) = L(\gamma)$ , where  $\gamma$  = radial geodesic from  $x$  to  $y$ .

$\Rightarrow d(x, y) > 0$ ,

Continuity argument  $\Rightarrow d(x, y) = \delta > 0$  if  $y \in \partial B_\delta$ .

Hence if  $y \notin B_\delta$ , and  $\gamma$  = curve joining  $x$  to  $y$ .

Choose the 1<sup>st</sup> point  $y_1$  of  $\gamma$  on  $\partial B_\delta$  and  
conclude that

$$L(\gamma) \geq L(\gamma \mid_{(\text{from } x \text{ to } y_1)}) > \delta > 0$$

Taking "inf"  $\Rightarrow d(x, y) \geq \delta > 0$   $\times$

In fact, we have a stronger theorem

Thm : The topology of  $(M, d)$  is the same as the

original topology of  $M$ .

(Pf: Ex a pages 61-62 of H. Wu a doCarro.)

Def: A Riemannian manifold  $(M, g)$  is said to be complete if the associated metric space  $(M, d)$  is complete.

Eg's:  $(\mathbb{R}^n, \text{standard metric})$ ,  $(S^n, \text{standard metric})$  are complete

Hopf-Rinow Thm: The following statements are equivalent on a Riemannian manifold  $(M, g)$ :

- (1)  $M$  is complete;
- (2)  $\forall x \in M$ ,  $\exp_x$  defined on the whole  $T_x M$ ;
- (3)  $\exists x \in M$ ,  $\exp_x$  defined on the whole  $\overline{T_x M}$ ;
- (4) bounded closed subsets of  $M$  are compact.

Ca 1 of Hopf-Rinow Thm

If  $(M, g)$  is complete, then  $\forall x \neq y \in M$ ,

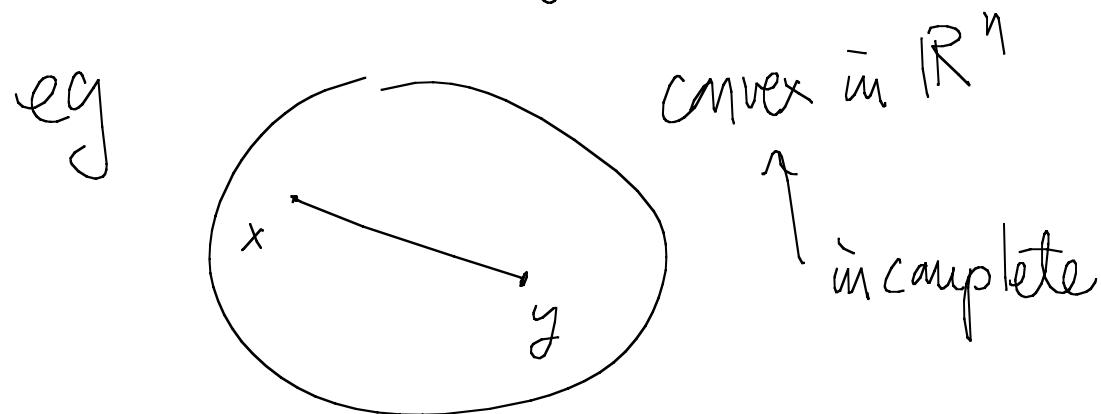
$\exists$  a minimizing geodesic  $\gamma$  joining  $x$  and  $y$ .

(Recall: all manifolds discussed in this course are)  
assumed to be connected.)

Cr2 : If  $(M, g)$  is complete, then  $\forall x \in M$ ,

$\exp_x : T_x M \rightarrow M$  is surjective.

Notes : • The converse of Cr 1 of Hopf-Rinow Thm  
is not true in general :



- A general complete metric space may not have Heine-Borel property (4) of the thm)

Eg:  $S = \{a_1, a_2, \dots\}$  countable infinite set  
of distinct elements.

Define discrete metric  $d$  on  $S$  by

$$d(a_i, a_j) = 1 - \delta_{ij}$$

Then  $(S, d)$  is a complete metric space  
which is bounded.

$\Rightarrow S$  is a closed & bounded set  
but not compact.

Pf of Hopf-Rinow Thm:

(1)  $\Rightarrow$  (2) Let  $\gamma: [0, \delta) \rightarrow M$  be a geodesic

$$\gamma(t) = \exp_x(tv) \text{ for some } v \in T_x M.$$

Suppose that  $I = (a_1, b_1)$  is the maximal possible interval containing  $[0, \delta)$  s.t.  $\gamma(t)$  is defined.

Suppose  $b_1 < +\infty$ . Then  $M$  complete  $\Rightarrow$

$$\exists y \in M \text{ s.t. } \lim_{t \rightarrow b_1} \gamma(t) = y.$$

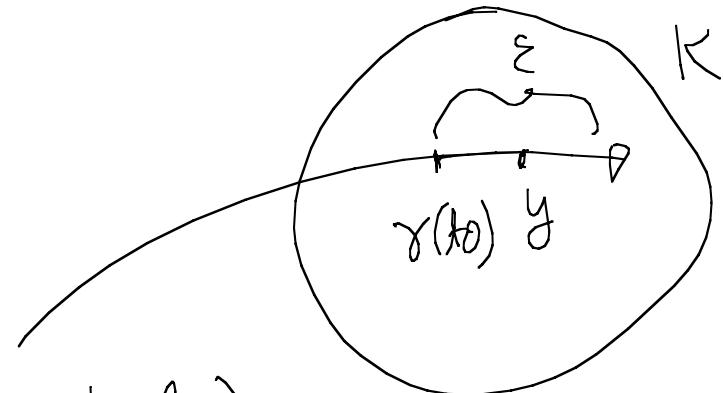
Let  $K = \text{cpt nbd. of } y$ .

ODE theory  $\Rightarrow \exists \varepsilon > 0$  indep. of to sit.

" If  $d(\gamma(t_0), y) < \frac{\varepsilon}{2}$ ,

then  $\exists$  geodesic  $\zeta: [0, \varepsilon] \rightarrow M$  s.t.

$$\zeta(0) = \gamma(t_0) \quad \& \quad \zeta'(0) = \gamma'(t_0).$$



(Ex: check the detail)

$\Rightarrow$  joining  $\zeta$  to  $\gamma$  gives an extension of  $\gamma$  beyond  $b_1$ .

Hence  $b_1 = +\infty$ .

Similar argument  $\Rightarrow a_1 = -\infty$

$\therefore \exp_x(tv)$  defined  $\forall t \in (-\infty, \infty)$ .

Since  $v$  is arbitrary,  $\exp_x$  defined on whole  $T_x M$ .

(2)  $\Rightarrow$  (3) trivial

(4)  $\Rightarrow$  (1) is standard for metric space.

To prove (3)  $\Rightarrow$  (4), we claim

(5) Assume  $x \in M$  as in (3), then  $\forall y \in M, \exists$  a minimizing geodesic joining  $x$  to  $y$ .

Pf of claim(5)

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$$\text{Let } \overline{B}(r) = \{y \in M : d(x, y) \leq r\}$$

$$\Sigma(r) = \left\{ y \in \overline{\mathcal{B}}(r) : y \text{ is joined to } x \text{ by a min. geodesic} \right\}$$

Then we need to show

$$\overline{\mathcal{B}}(r) = \Sigma(r), \quad \forall r \in [0, \infty)$$

$$\text{Let } \mathcal{J} = \{ r \in [0, \infty) : \overline{\mathcal{B}}(r) = \Sigma(r) \}$$

Then we have already shown that

if  $r < \delta$  where  $\delta > 0$  is given by the "Thm" in the previous section,

then  $r \in \mathcal{J}$

$$\Rightarrow \mathcal{J} \neq \emptyset.$$

Next : Since  $\exp_x$  defined on whole  $T_x M \cong \mathbb{R}^n$   
 continue dependence of  $\exp_x(tv)$  on  $v$   
 $\Rightarrow J$  is closed.

To show  $J$  is open, we need the following fact

(Ex , a see do Carmo )

(\*)  $\left[ \forall \text{ cpt } K \subset M, \exists \varepsilon > 0 \text{ s.t.}, \right.$   
 $\forall y, z \in K \text{ with } d(y, z) \leq \varepsilon,$   
 $\left. \text{then } \exists \text{ a minimizing geodesic joining } y \& z \right]$

Note : This is a stronger result than the last Thm in §4.1  
 (in which one of the points has to be the center.)

Pf of openness: Define  $K = \overline{B}(r)$ ,  $\forall r$

Then  $\overline{B}(r) \subset \exp_x(\overline{B}(r))$

$\Rightarrow \overline{B}(r)$  cpt, (since  $\overline{B}(r)$  cpt in  $T_x M$ )  
&  $\exp_x$  diffeo.

Applying (\*),  $\exists \varepsilon > 0$  with property stated in (\*).

Let  $\varepsilon' \in (0, \varepsilon)$  and  $y \in \overline{B}(r + \varepsilon')$ .

If  $y \in \overline{B}(r)$ , then  $y \in \Sigma(r) \subset \Sigma(r + \varepsilon')$   
( $\because r \in S$ )

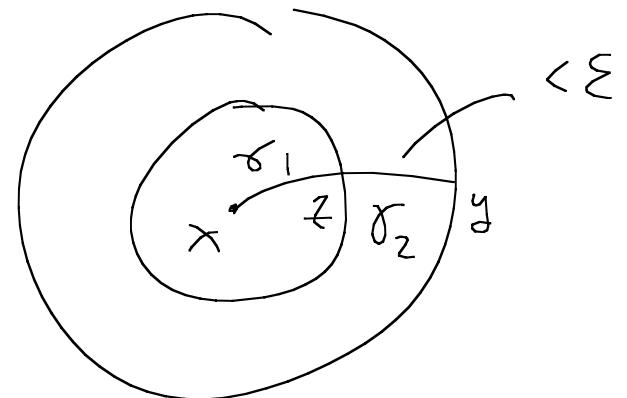
If  $y \in \overline{B}(r + \varepsilon') \setminus \overline{B}(r)$ ,  $\exists z \in \partial \overline{B}(r)$

$$\text{s.t. } d(x, y) = d(x, z) + d(z, y)$$

(by using cptness of  $\bar{B}(x)$  & definition of  $d(x, y)$ )

Then  $r \in \mathcal{I} \Rightarrow$

$\exists$  minimizing geodesic  $\gamma_1$  joining  $x$  &  $z$



On the other hand,

$$d(z, y) = d(x, y) - d(x, z) \leq r + \varepsilon' - r = \varepsilon' < \varepsilon$$

$\stackrel{(*)}{\Rightarrow} \exists$  minimizing geodesic  $\gamma_2$  joining  $z$  &  $y$ .

Then connecting  $\gamma_1$  &  $\gamma_2$ , we have a (piecewise smooth)

curve joining  $x$  &  $y$  with

$$\text{length} = d(x, z) + d(z, y) = d(x, y)$$

$\Rightarrow$  it must be a minimizing geodesic.

Therefore  $\mathcal{S}$  is open.

Altogether,  $\mathcal{S}$  is open, closed, nonempty subset of  $[0, \infty)$   $\Rightarrow \mathcal{S} = [0, \infty)$   $\Rightarrow$  claim (5) ~~✓~~

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Pf of (3)  $\Rightarrow$  (4)

By claim (5),  $\forall$  bounded & closed set  $K$ ,  
 $\exists A > 0$  s.t.  $d(x, k) \leq A$ ,  $\forall k \in K$

$$\Rightarrow K \subset \exp_X(\bar{B}(A))$$

$\Rightarrow K$  is cpt (since  $\bar{B}(A)$  is cpt.)  $\times$

This completes the proof of Hopf-Rinow Thm.

Pf of Cor1 : Hopf-Rinow  $\Rightarrow$  (2) is true

( $\Rightarrow$  (3) is true )

$\Rightarrow$  claim(5) is true  $\forall x \in M$

$\Rightarrow$  Cor 1 is true  $\times$

## Ch5 Isometry, Space forms

$(M, g)$  = complete Riemannian manifold (connected)

Def :  $(M, g)$  with constant sectional curvature  $\tilde{c}$   
called a space form.

Thm1 :  $\forall c \in \mathbb{R}$  &  $n \geq 2$ ,  $\exists$  unique (up to isometry)  
simply-connected space form of dimension  $n$  and  
with constant sectional curvature  $c$ .

egs (Proof later)

- $c = 0 \quad (\mathbb{R}^n, \text{standard flat metric})$
- $c = +1 \quad (\mathbb{S}^n, \text{standard metric})$
- $c = -1 \quad \left(\mathbb{B}^n, \frac{4}{\left[1 - \sum_{i=1}^n (x^i)^2\right]^2} (dx^1 \otimes dx^1 + \dots + dx^n \otimes dx^n)\right)$

where  $\mathbb{B}^n = \{(x^1, \dots, x^n) : \sum_{i=1}^n (x^i)^2 < 1\}$

(Hyperbolic n-space : unit ball model)

Def: Let  $M$  be a submanifold of  $\overline{M}$  equipped with the induced metric. Then  $M$  is called

a totally geodesic submanifold of  $\bar{M}$  if

a geodesic  $\gamma$  (of  $\bar{M}$ ) tangent to  $M$  implies  
 $\gamma \subset M$ .

Note: Such a geodesic  $\gamma$  of  $\bar{M}$  must be a geodesic  
of the submanifold  $M$ .

- e.g. :
- $\mathbb{R}^k \hookrightarrow \mathbb{R}^n = (x_1, \dots, x_k) \mapsto (x_1, \dots, x_k, 0, \dots, 0)$   
gives a totally geodesic submanifold of  $\mathbb{R}^n$ .
  - $S^n \subset \mathbb{R}^{n+1}$  is not a totally geodesic submanifold  
(Since tangent lines to  $S^n$  don't stay in  $S^n$ .)

Let •  $M \subset \bar{M}$  be a submanifold

- $M$  equipped with induced metric
- $D, \bar{D}$  = Levi-Civita connections of  $M, \bar{M}$  respectively  
(note :  $D_X Y = (\bar{D}_X Y)^{\text{tangential part}}$ )

Consider  $S(X, Y) = D_X Y - \bar{D}_X Y, \forall X, Y \in \mathcal{P}(TM)$

(note :  $S$  defined for vector fields on  $M$ , not  $\bar{M}$ )

- Facts :
- $S(X_1 + X_2, Y) = S(X_1, Y) + S(X_2, Y)$
  - $S(X, Y) = S(Y, X)$
  - $\forall f \in C^\infty(M), S(fX, Y) = S(X, fY) = fS(X, Y)$

The last one  $\Rightarrow S$  defines a tensor field on  $M$ .

Pf of Symmetry:  $S(X, Y) - S(Y, X)$

$$= (D_X Y - \bar{D}_X Y) - (D_Y X - \bar{D}_Y X)$$
$$= (D_X Y - R_Y X) - (\bar{D}_X Y - \bar{D}_Y X)$$
$$= [X, Y] - [X, Y] = 0 \quad (D, \bar{D} \text{ loc. } \text{Givita})$$

others are easy (Ex.) , ~~X~~

Therefore, we can define a symmetric bilinear form

on  $T_x M$ ,  $\forall x \in M$ :

$$\forall v, w \in T_x M, \quad S(v, w) = S(v, w) \left( S_x(v, w) = S(v, w)(x) \right)$$

where  $V, W$  = extension of  $U, W$ .

Def : This  $S$  is called the  $2^{\text{nd}}$  fundamental form of  $M$   
in  $\overline{M}$ .

Lemma 2 :  $M \subset \overline{M}$  totally geodesic

$\Leftrightarrow S \equiv 0$ , where  $S = 2^{\text{nd}} \text{ f.f. of } M \text{ in } \overline{M}$

(i.e.  $D_Z Y = \overline{D}_Z Y$ )

Pf : ( $\Rightarrow$ ) Let  $x \in M$  &  $v \in T_x M \subset T_x \overline{M}$

Let  $\gamma$  = geodesic on  $\overline{M}$  with

$$\gamma(0) = x, \quad \gamma'(0) = v.$$

$$\Rightarrow \bar{D}_{\gamma'} \gamma' = 0$$

By assumption,  $\gamma$  is also a geodesic of  $M$

$$\Rightarrow D_{\gamma} \gamma' = 0$$

Therefore  $S(v, v) = S(\gamma(0), \gamma'(0))$

$$= D_{\gamma'} \gamma' - \bar{D}_{\gamma'} \gamma' = 0$$

Symmetry of  $S \Rightarrow S(v, w) = 0 \quad \forall v, w \in T_x M.$

( $\Leftarrow$ ) Suppose  $S \equiv 0$ .

Let  $\gamma$  be a geodesic of  $\bar{M}$  such that

$$\gamma(0) = x \text{ and } \gamma'(0) = v \in T_x M \subset T_x \bar{M}.$$

By Existence & Uniqueness of geodesic in  $M$ ,

$\exists \xi = \text{geodesic of } M \text{ s.t.}$

$$\xi(0) = x, \xi'(0) = v \in T_x M.$$

Then  $S=0$

$$\Rightarrow \bar{D}_{\dot{\xi}'(t)} \dot{\xi}'(t) = D_{\dot{\xi}'(t)} \dot{\xi}'(t) = 0 \quad (\xi = \text{geo. of } M)$$

$\Rightarrow \xi$  is also a geodesic of  $\bar{M}$

Then uniqueness  $\Rightarrow \gamma = \xi \subset M \quad \times$

Lemma 3 Let  $M \subset \bar{M}$  be totally geodesic,

$K, \bar{K}$  = sectional curvatures of  $M, \bar{M}$  respectively.

Then  $\forall x \in M$ ,  $\forall$  2-plane  $\pi \subset T_x M \subset T_x \bar{M}$ ,

$$K(\pi) = \bar{K}(\pi)$$

(Pf: Immediately from Lemma 2 )

eg : Let  $\gamma: (a, b) \rightarrow \bar{M}$  be a smooth curve parametrized by arc-length. Suppose  $\exists$  isometry  $\varphi: \bar{M} \rightarrow \bar{M}$

s.t.

$$\gamma((a, b)) = \{y \in \bar{M} : \varphi(y) = y\}$$

Then  $\gamma$  is a normalized geodesic.

Pf: We first note that  $\forall$  geodesic  $\xi$  in  $\bar{M}$ ,

$\varphi \circ \xi$  is also a geodesic in  $\bar{M}$  ( $\text{since } \varphi \text{ isom.}$ )

Now  $\forall t_0 \in (a, b)$ , take a geodesic

$$\gamma \subset \bar{M} \text{ s.t. } \begin{cases} \gamma(0) = \gamma(t_0) \\ \gamma'(0) = \gamma'(t_0) \end{cases}$$

Since  $\gamma((a, b)) = \text{fixed point set of } \varphi$

$$d\varphi(\gamma'(t_0)) = \gamma'(t_0) \quad (\text{by diff. } \varphi \circ \gamma = \gamma)$$

$$\Rightarrow d\varphi(\gamma'(0)) = \gamma'(0)$$

$$\Rightarrow (\varphi \circ \gamma)'(0) = \gamma'(0) \quad (\text{since } \varphi(\gamma(0)) = \gamma(0))$$

Uniqueness of geodesic  $\Rightarrow \varphi \circ \gamma = \gamma$

$$\Rightarrow \gamma \subset \{y \in \bar{M} : \varphi(y) = y\} = \gamma((a, b))$$

$\Rightarrow \gamma$  is normalized geodesic  $\times$

Lemma 4 : The set of fixed points of an isometry is a totally geodesic submanifold.  
(not necessary connected.)

Pf: Let  $\varphi: \bar{M} \rightarrow \bar{M}$  be an isometry &  
 $M = \{y \in \bar{M} : \varphi(y) = y\}$  be the set of fixed  
points of  $\varphi$ .

If  $M$  is submanifold of  $\bar{M}$ , then the same  
argument as in the previous example implies

$M$  is totally geodesic. So we only need to show  
the following claim:

Claim : Let  $x \in M$ ,  $B(\delta) = \{v \in T_x \bar{M} : |v| < \delta\}$

$$B_\delta = \{y \in \bar{M} : d(x, y) < \delta\}$$

where  $\delta > 0$  small enough s.t.

$\exp_x : B(\delta) \rightarrow B_\delta$  is a diffeomorphism

$$(\Rightarrow B_\delta = \exp_x B(\delta))$$

Let  $\mathcal{F} \subset T_x \bar{M}$  be a linear subspace defined by

$$\mathcal{F} = \{v \in T_x \bar{M} : d\varphi(v) = v\}$$

Then

$$M \cap B_\delta = \exp_x (\mathcal{F} \cap B(\delta)).$$

Hence  $M$  is submanifold of  $\bar{M}$ .

Pf of Claim :

$$(1) M \cap B_\delta \subset \exp_x(F \cap B(F))$$

Pf: Let  $y \in M \cap B_\delta \subset B_\delta$

$$\Rightarrow \exists v \in B(\delta) \text{ s.t. } \exp_x v = y.$$

$$\text{Let } \gamma(t) = \exp_x(tv) : [0, 1] \rightarrow \overline{M}$$

be the unique minimizing geodesic joining  
 $x$  to  $y$ .

Since  $x, y \in M$ , we have  $\varphi(x) = x$  &  $\varphi(y) = y$

$\Rightarrow \varphi \circ \gamma$  is also a minimizing geodesic joining  
 $x$  to  $y$ .

Uniqueness

$$\Rightarrow \varphi \circ \gamma = \gamma$$

$$\Rightarrow d\varphi(v) = v$$

$$\Rightarrow v \in \mathcal{F}$$

$$\therefore y = \exp_x v \in \exp_x(\mathcal{F} \cap B(\delta))$$

This proves (1).

$$(2) \exp_x(\mathcal{F} \cap B(\delta)) \subset M \cap B_\delta$$

If: Let  $y \in \exp_x(\mathcal{F} \cap B(\delta))$ .

Then  $\exists v \in \mathcal{F} \cap B(\delta)$  such that

$$y = \exp_x v.$$

Let  $\gamma(t) = \exp_x(tv) : [0, 1] \rightarrow \bar{M}$  be the

unique minimizing geodesic joining  $x$  to  $y$ .

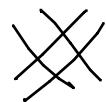
Since  $\cup \in \mathcal{F}$ ,  $d\varphi(\gamma'(0)) = \gamma'(0)$

$\Rightarrow \varphi_0 \gamma$  &  $\gamma$  have the same initial values.

Uniqueness  $\xrightarrow{\qquad} \varphi_0 \gamma = \gamma$

$$\Rightarrow y = \gamma(1) = \varphi(\gamma(1)) = \varphi(y)$$

$$\Rightarrow y \in M \cap B_\delta.$$



Lemma 5:  $S^n \subset \mathbb{R}^{n+1}$  has constant sectional curvature +1,  
 $\forall n \geq 2$ .

Pf : " $n=2$ " is proved in undergrad DG (ex)

If  $n \geq 3$ , define

$$\tilde{\varphi}: \mathbb{R}^{n+1} \rightarrow \mathbb{R}^{n+1}$$

$$\downarrow \\ (x^1, x^2, x^3, x^4 \dots x^{n+1}) \mapsto (x^1, x^2, x^3, -x^4, \dots, -x^{n+1})$$

Then  $|\tilde{\varphi}(x)| = |x|$  (Euclidean norm)

Hence  $\tilde{\varphi}$  induces an isometry

$$\varphi: S^n \rightarrow S^n.$$

The fixed points set

$$M = \{x \in S^n : \varphi(x) = x\}$$

$$= \{(x^1, x^2, x^3, 0, \dots, 0) : (x^1)^2 + (x^2)^2 + (x^3)^2 = 1\}$$

$$= \mathbb{S}^2$$

is a totally geodesic submanifold.

Hence  $K_{\mathbb{S}^n}(\pi) = K_{\mathbb{S}^2}(\pi) = +1$ ,

$\forall 2\text{-plane } \pi \subset T_x \mathbb{S}^2$ , where  $x \in (x_1^1 x_1^2 x_1^3, 0, \dots, 0)$

Repeat the argument for any 3 indices  $i, j, k \in \{1, \dots, n+1\}$   
and the fact  $\mathbb{S}^n$  is invariant under rotation,  
we have proved that  $K_{\mathbb{S}^n} \equiv +1$ . ~~XX~~