

### More on principal curvatures and normal curvatures

**Proposition 3.** (*Euler formula*) Let  $e_1, e_2$  be orthonormal in  $T_p(M)$  where  $M$  is a regular surface patch. Suppose  $e_1, e_2$  are in principal direction with principal curvatures  $k_1, k_2$  respectively. Let  $v = e_1 \cos \theta + e_2 \sin \theta$  be a unit vector in  $T_p(M)$ . Then the normal curvature in the direction  $v$  is given by  $k_1 \cos^2 \theta + k_2 \sin^2 \theta$ .

**Proposition 4.** Let  $\mathbf{X} : U \rightarrow \mathbb{R}^3$  be a regular surface patch and let  $M = \mathbf{X}(U)$ . Suppose every point in  $M$  is umbilical. Then  $M$  is contained in a plane or in a sphere.

*Proof.* (Sketch) Let  $\mathbf{N}$  be a unit normal vector field on  $M$  and let  $\mathcal{S}$  be the shape operator. Then  $\mathcal{S}_p(\mathbf{v}) = \lambda \mathbf{v}$  for any  $\mathbf{v} \in T_p(M)$  for some number  $\lambda$ . Here  $\lambda$  may depend on the point  $p$  but not  $\mathbf{v}$ . So we write  $\lambda = \lambda(u, v)$ .

- $\lambda$  is constant. That is  $\lambda_u = \lambda_v = 0$  everywhere (Why?)
- If  $\lambda = 0$ , then  $\mathbf{N}$  is constant and  $\langle \mathbf{X}(u, v) - \mathbf{X}(u_0, v_0), \mathbf{N} \rangle = 0$  for some  $(u_0, v_0) \in U$ . (Why?)
- If  $\lambda \neq 0$ , then  $\mathbf{X} - \frac{1}{\lambda} \mathbf{N}$  is a constant vector  $\mathbf{a}$ , say. Then  $|\mathbf{X} - \mathbf{a}| = 1/|\lambda|$ . (Why?)

□

**Definition 3.** Let  $p$  be a point in a regular surface patch. Then it is called

1. Elliptic if  $\det(\mathcal{S}_p) > 0$ .
2. Hyperbolic if  $\det(\mathcal{S}_p) < 0$
3. Parabolic if  $\det(\mathcal{S}_p) = 0$  but  $\mathcal{S}_p \neq 0$ .
4. Planar if  $\mathcal{S}_p = 0$ .

Suppose  $p$  is not a planar point. Let  $e_1, e_2$  be the principal directions with principal curvature  $k_1, k_2$  with  $\mathbf{N} = e_1 \times e_2$ . We choose the coordinates in  $\mathbb{R}^3$  as follows:  $p$  is the origin,  $e_1$  is the positive  $x$ -axis direction,  $e_2$  is the positive  $y$ -axis direction. Then  $\mathbf{X}(U)$  is a graph over  $xy$ -plane locally. That is the surface can be expressed as  $(x, y, f(x, y))$ .

**Proposition 5.** Near  $p = (0, 0, 0)$ , the surface the graph of

$$f(x, y) = \frac{1}{2}(k_1 x^2 + k_2 y^2) + o(x^2 + y^2).$$

Hence locally, the regular surface patch is a

- elliptic paraboloid if  $p$  is elliptic;
- hyperbolic paraboloid if  $p$  is hyperbolic;
- parabolic cylinder if  $p$  is parabolic.

*Proof.* Since  $f(0, 0) = 0$ ,  $f_x(0, 0) = 0$ ,  $f_y(0, 0) = 0$ , we have

$$f(x, y) = \frac{1}{2}(f_{xx}(0, 0)x^2 + 2f_{xy}(0, 0)xy + f_{yy}(0, 0)y^2) + o(x^2 + y^2).$$

Note that  $\mathbf{X}_x = (1, 0, f_x)$ ,  $\mathbf{X}_y = (0, 1, f_y)$ ,  $\mathbf{X}_{xx} = (0, 0, f_{xx})$ ,  $\mathbf{X}_{xy} = \mathbf{X}_{yx} = (0, 0, f_{xy})$ ,  $\mathbf{X}_{yy} = (0, 0, f_{yy})$ . At  $p$ ,  $f_{xx} = k_1$ ,  $f_{xy} = 0$ ,  $f_{yy} = k_2$ . (Why?)

□