

① 2 Exercises in last tutorial.

(a) $M =$ cpt regular surface in \mathbb{R}^3

$g =$ a C^∞ function on M s.t $\forall f \in C^\infty$ function on M

$$\int_M g \cdot f \, dA = 0$$

$\Rightarrow g \equiv \text{Constant.}$

Pf: $C = \frac{\int_M g \, dA}{\int_M dA}, \quad \bar{g} = g - C$

Then $\int_M \bar{g} \, dA = 0.$

$$\begin{aligned} \int_M \bar{g}^2 \, dA &= \underbrace{\int_M g \cdot \bar{g} \, dA}_{\substack{\text{assumption} \\ \downarrow \\ 0}} - C \int_M \bar{g} \, dA \\ &= 0 - 0 \end{aligned}$$

$$= 0.$$

$$\Rightarrow \bar{g}^2 = 0 \Rightarrow g \equiv C.$$

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(b) $D =$ domain in \mathbb{R}^2

$f =$ cts function on D s.t $\forall g = C^\infty$ function with

$$g|_{\partial D} = 0, \quad \int_D f \cdot g \, dx \, dy = 0$$

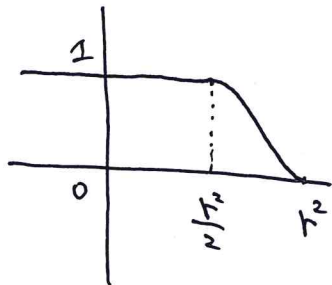
$$\Rightarrow f \equiv 0.$$

L2

pf: We argue by contradiction. Suppose $\exists p \in D$ s.t. $f(p) > 0$, (" < 0 " is similar) then \exists a neighborhood of p , Ω s.t. $f > 0$ on Ω .

$$r \triangleq \text{dist}(p, \partial\Omega).$$

$\eta = C^\infty$ cut-off function



(Please explicitly find such η .
Hint: e^{-x})

$$g \triangleq \eta(\text{dist}^2(x, p))$$

C^∞ function on D with $g|_{\partial D} = 0$

$$\int_D f \cdot g \, dx \, dy = \int_{B(p, r)} f \cdot g \, dx \, dy$$

> 0 Since in this ball, $f, g > 0$

\rightarrow with the assumption.

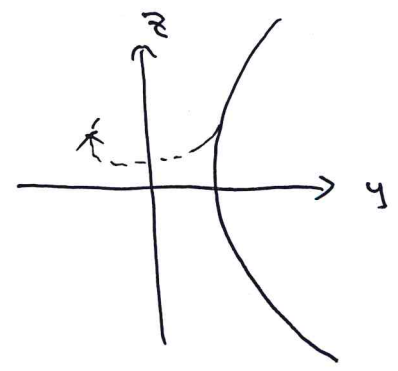
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② Alexandrov's Thm (1956) If M is a cpt embedded (i.e. no self-intersection) surface of constant mean curvature, then M is a standard sphere.

Examples:

Minimal surface: \mathbb{R}^2 , catenoid $X(u,v) = (\cosh v \cos u, \cosh v \sin u, v)$

Constant mean curvature surface ($H = \text{Constant} > 0$)
 S^2 .



To prove Alexandrov's Thm, we need

Pos' Thm: $M = \text{cpt embedded surface in } \mathbb{R}^3 \text{ bounding a domain } D \text{ of volume } V. \text{ If } H > 0 \text{ on } M, \text{ then}$

$$\iint_M \frac{1}{H} dA \geq 3V.$$

"=" holds $\Leftrightarrow M$ is a standard sphere.

Pf of Alexandrov's Thm:

$M \text{ cpt} \Rightarrow \exists p_0 \in M \text{ s.t. } K(p_0) > 0.$

i.e. $K_1(p_0), K_2(p_0)$ are of same sign. One can just choose inward normal makes $K_1(p_0), K_2(p_0) > 0$

$$\Rightarrow H(p_0) > 0$$

$$\Rightarrow H > 0.$$

Now we need to apply 1st variational formula for area: 14

$$A'(0) = - \iint_M 2H \langle U, V \rangle dA$$

$$M : X(u, v)$$

$$M^t : X^t(u, v) = X(u, v) + tV(u, v) \quad \text{for } |t| \text{ small}$$

$$A(t) = \text{Area}(M^t), \quad A(0) \triangleq A.$$

Take a special case $V = X$.

$$\text{Then } X^t = (1+t)X$$

$$A(t) = (1+t)^2 A$$

$$2A = A'(0) = - \iint_M 2H \langle U, X \rangle dA$$

$$\Rightarrow A = - \iint_M H \langle U, X \rangle dA.$$

$$= -H \iint_M \langle X, U \rangle dA$$

\leftarrow inward

By div. Thm

$$= H \iint_D \text{div}(X) d\omega$$

$$= H \iint_D \left(\frac{\partial x_1}{\partial x_1} + \frac{\partial x_2}{\partial x_2} + \frac{\partial x_3}{\partial x_3} \right) d\omega$$

$$= 3HV$$

div. Thm:

$$\iint_D \text{div} \vec{F} d\omega = \iint_{\partial D} \langle \vec{F}, \vec{n} \rangle d\omega$$

\vec{n} = outward normal

Ray Ros' Thm,

$\iint_M \frac{1}{H} dA \geq 3V$ and " $=$ " holds iff M is a standard sphere

|| now

$$\frac{1}{H} A = 3V.$$

So M is a standard sphere. #

Proof of Ros' Thm:

$D =$ domain bdd by M

Locally, D can be represented by

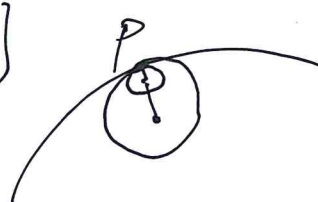
$$Y(u, v, t) = X(u, v) + tU(u, v)$$

where $X =$ local parametrization of M

$U =$ inward normal (unit)

$$t \in [0, h(u, v)]$$

with $h(p) = h(u, v) = \sup \{ r \mid \text{the pt } p \text{ is the unique nearest pt on } M \text{ to the pt } q \text{ at a distance } r \text{ from } p \text{ along the normal } U \}$



Vol of D in terms of $\{Y(u, v, t)\}$.

Note that if we choose $\{X(u, v)\}$ so that the coordinate patches have no interior intersection, then the ~~cor~~ correspond

$\{Y(u, v, t)\}$ also have no interior intersection. And we can calculate $\text{Vol}(D)$ by summing up the volumes of each local coordinates $Y(u, v, t)$. (See Pigo "Oprea's book")

Hence

$$\text{Vol}(D) = \iint \left[\int_0^{h(u,v)} |\langle Y_u \times Y_v, Y_t \rangle| dt \right] du dv$$

$$Y = X + tU \quad \begin{cases} Y_u = X_u + tU_u \\ Y_v = X_v + tU_v \\ Y_t = U \end{cases}$$

One can choose a coordinate patch s.t

$$\begin{cases} U_u = -\int (X_u) = -k_1 X_u \\ U_v = -\int (X_v) = -k_2 X_v \end{cases}$$

$$\Rightarrow \begin{cases} Y_u = (1 - k_1 t) X_u \\ Y_v = (1 - k_2 t) X_v \end{cases}$$

(What if we can't choose this coordinate patch?)

fact: neighborhood of a umbilical pt, we can do this.

$$Y_u \times Y_v = (1-k_1 t)(1-k_2 t) X_u \times X_v$$

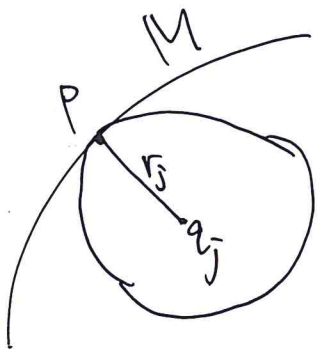
$$= (1-2Ht + kt^2) X_u \times X_v$$

$$\Rightarrow |\langle Y_u \times Y_v, Y_t \rangle| = |1-2Ht + kt^2| \cdot |\langle X_u \times X_v, U \rangle|$$

$$\Rightarrow = \underbrace{\quad}_{\downarrow} |X_u \times X_v|$$

$$\text{Vol}(D) = \iint_M \left(\int_0^{h(c_u, v)} |1-2Ht + kt^2| dt \right) dA$$

Claim: $\frac{1}{h(p)} \geq \max\{k_1(p), k_2(p)\}, \forall p \in M.$



$r_j \rightarrow h(p)$
 Using the same method in the proof of \rightarrow opt M, $\exists p$ s.t $k(p)$
 $\Rightarrow \max\{k_1(p), k_2(p)\} \leq \frac{1}{r_j}$

let $j \rightarrow \infty$, we get the claim.

$$1-2Ht + kt^2 = (1-k_1 t)(1-k_2 t) \geq \left(1 - \frac{1}{h} t\right)^2 \geq 0.$$

$$\left(\begin{array}{l} 1-k_1 t \geq 0 \\ k_1 \leq \frac{1}{t} \text{ Since } \frac{1}{h} \leq \frac{1}{t}. \end{array} \right) \quad \left(k_1 \leq \frac{1}{h} \text{ v. } \right)$$

$$\int_0^{h(p)} | \dots | dt = \int_0^{h(p)} (1-2Ht + kt^2) dt$$

$$= \int_0^{h(p)} \left[(1-Ht)^2 - \underbrace{(H^2 - k)}_{\geq 0} t^2 \right] dt$$

$$\int_0^{h(p)} | \dots | \leq \int_0^{h(p)} (1-Ht)^2 dt$$

$$\leq \int_0^{\frac{1}{H}} (1-Ht)^2 dt \quad \left(H = \frac{k_1 + k_2}{2} \leq \frac{1}{h} \right)$$

$$= \frac{1}{3H}$$

$$\Rightarrow \text{Vol}(D) = \iint_M \left(\int_0^{h(p)} | \dots | dt \right) dA$$

$$\leq \frac{1}{3} \iint_M \frac{1}{H} dA$$

Finally, " $=$ " holds \Rightarrow all the ineq. have to be " $=$ ".

In particular, $H^2 - K = 0$

$$\Rightarrow K_1 = K_2 \Rightarrow \text{totally umbilical}$$

$$(H > 0)$$

$$\Rightarrow \text{Standard sphere.}$$

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