

## Regular surfaces

**Definition 1.** A subset  $M \subset \mathbb{R}^3$  is said to be a *regular surface* if for any  $p \in M$ , there is an open neighborhood  $U$  of  $p$  in  $M$ , an open set  $D$  in  $\mathbb{R}^2$  and a map  $\mathbf{X} : D \rightarrow M \cap U$  such that the following are true:

- (rs1)  $\mathbf{X}$  is smooth.
- (rs2)  $\mathbf{X}$  is full rank:  $\mathbf{x}_u = \frac{\partial \mathbf{x}}{\partial u}$  and  $\mathbf{x}_v = \frac{\partial \mathbf{x}}{\partial v}$  are linearly independent, for any  $(u, v) \in D$ .
- (rs3)  $\mathbf{X}$  is a homeomorphism from  $D$  onto  $M \cap U$ . (That is:  $\mathbf{X}$  is bijective,  $\mathbf{X}$  and  $\mathbf{X}^{-1}$  are continuous).

Let  $M$  be a regular surface, a map  $\mathbf{x} : U \rightarrow V$  where  $V$  is an open set of  $M$ , satisfying the above conditions.  $\mathbf{X}$  is called a *parametrization* (a *system of local coordinates*), and  $V$  is called a *coordinate chart* (*patch*). If  $\mathbf{X}(u, v) = p$ , then  $(u, v)$  are called local coordinates of  $p$ .

### Basic properties on coordinate chart

We need the inverse function theorem. Let  $F : U \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a smooth map from an open set  $U$  to  $\mathbb{R}^m$ ,  $F(\mathbf{x}) = \mathbf{y}(\mathbf{x}) = (y^1, \dots, y^m)$  where  $\mathbf{x} = (x^1, \dots, x^n)$ ,  $\mathbf{y} = (y^1, \dots, y^m)$ . Let  $\mathbf{x}_0 = (x_0^1, \dots, x_0^n) \in U$ . The Jacobian matrix of  $F$  at  $\mathbf{x}_0$  is the  $m \times n$  matrix

$$dF_{\mathbf{x}_0} = \left( \frac{\partial y^i}{\partial x^j}(\mathbf{x}_0) \right).$$

**Theorem 1. (Inverse Function Theorem)** *Let  $F : U \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a smooth map. Suppose  $F(\mathbf{x}_0) = \mathbf{y}_0$  and  $dF_{\mathbf{x}_0}$  is nonsingular. Then there exist open sets  $U \supset V \ni \mathbf{x}_0$  and  $W \ni \mathbf{y}_0$ , such that  $F$  is a diffeomorphism from  $V$  to  $W$ . That is to say,  $F : V \rightarrow W$  is bijective and  $F^{-1}$  is also smooth on  $W$ .*

**Proposition 1.** *Let  $U$  be an open set in  $\mathbb{R}^3$  and let  $f : \mathbb{R}^3 \rightarrow \mathbb{R}$  be a smooth function. Suppose  $a$  is a regular value of  $f$ . (That is: if  $f(x) = a$ , then  $\nabla f(x) \neq \mathbf{0}$ .) Then*

$$M = \{x \in U \mid f(x) = a\}$$

*is a regular surface.*

**Proposition 2.** *Let  $M$  be regular surface and let  $\mathbf{X} : U \rightarrow M$  be a coordinate parametrization. Then for any  $p = (u_0, v_0) \in U$  there is an open set  $V \subset U$  with  $p \in V$  such that  $\mathbf{X}(V)$  is a graph over an open set in one of the coordinate plane.*

**Proposition 3. (Change of coordinates)** *Let  $M$  be a regular surface and let  $\mathbf{X} : U \rightarrow M$ ,  $\mathbf{Y} : V \rightarrow M$  be two coordinate parametrizations. Let  $S = \mathbf{X}(U) \cap \mathbf{Y}(V) \subset M$  and let  $U_1 = \mathbf{X}^{-1}(S)$  and  $V_1 = \mathbf{Y}^{-1}(S)$ . Then  $\mathbf{Y}^{-1} \circ \mathbf{X} : U_1 \rightarrow V_1$  is a diffeomorphism.*

**Proposition 4.** *Suppose  $M$  is a regular surface and suppose  $\mathbf{X} : U \rightarrow M$  with  $U$  being an open set in  $\mathbb{R}^2$  such that  $\mathbf{X}$  satisfies (rs1) and (rs2). Suppose  $\mathbf{X}$  is one to one, then  $\mathbf{X}$  also satisfies (rs3). Hence  $\mathbf{X} : U \rightarrow M$  is a coordinate parametrization.*

**Definition 2.** (i) Let  $M$  be regular surface and let  $f : M \rightarrow \mathbb{R}$  be a function.  $f$  is said to be smooth if and only if  $f \circ \mathbf{X}$  is smooth for all coordinate chart  $\mathbf{X} : U \rightarrow M$ .  
(ii)  $M_1, M_2$  be regular surfaces and let  $F : M_1 \rightarrow M_2$  be a map.  $F$  is said to be smooth if and only if the following is true: For any  $p \in M_1$  and any coordinate charts  $\mathbf{X}$  of  $p$ ,  $\mathbf{Y}$  of  $q = F(p)$ ,  $\mathbf{Y}^{-1} \circ \mathbf{X}$  is smooth whenever it is defined.

Note that a parametrized curve on  $M$  is defined as a curve  $\alpha : I \rightarrow \mathbb{R}^3$  such that  $\alpha(t) \in M$  for all  $t$ .

### Assignment 3, Due Friday, 26/9/2014

- (1) Show that the hyperboloid of one sheet:

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$$

is a regular surface and the following is a parametrization:

$$\mathbf{X}(u, v) = (a \cosh u \cos v, b \cosh u \sin v, c \sinh u).$$

Find the domain on  $(u, v)$  plane such that  $\mathbf{X}$  is one to one.

- (2) Let  $\mathbf{S}^1$  be the unit circle  $x^2 + y^2 = 1$ . Let  $\alpha(s), 0 \leq s \leq 2\pi$ , be a parametrization of  $\mathbf{S}^1$  by arc length. Let  $\mathbf{w}(s) = \alpha'(s) + e_3$  where  $e_3 = (0, 0, 1)$ . Show the ruled surface

$$\mathbf{X}(s, v) = \alpha(s) + v\mathbf{w}(s)$$

with  $-\infty < v < \infty$ , is the hyperboloid  $x^2 + y^2 - z^2 = 1$ . Is  $\mathbf{X}$  a surjective map to the hyperboloid? Is  $\mathbf{X}$  injective? Does  $\mathbf{X}$  has rank 2 for  $0 < s < 2\pi, v \in \mathbb{R}$ ?

- (3) Find coordinate charts which cover the catenoid obtained by revolving the catenary  $y = \cosh x$  about the  $x$ -axis.  
(4) The Enneper's surface is defined by

$$\mathbf{X}(u, v) = \left(u - \frac{u^3}{3} + uv^2, v - \frac{v^3}{3} + vu^2, u^2 - v^2\right).$$

Show that this a regular surface for  $u^2 + v^2 < 3$ . Also find two points on the circle  $u^2 + v^2 = 3$  such that they have the same image under  $\mathbf{X}$ .