

§ Gromov-Witten invariants

(ref: Cox-Katz, Ch7, GW inv.)

$$\overline{M}_{g,n}(X, \beta) \ni \begin{array}{c} C \\ \text{(could be nodal)} \end{array} \xrightarrow{\text{holo.}} \begin{array}{c} f \\ X \end{array}$$

$$g = g(C) \quad \beta = f_*[C] \in H_2(X; \mathbb{Z})$$

$$n = \# \text{marked pt. on } C \stackrel{\text{(distinct)}}{\text{ }} \quad (\text{topo. degree}).$$

$$\text{stable (i.e. } \# \text{Aut}(C \xrightarrow{f} X) < \infty)$$

(Alexeev) $\overline{M}_{g,n}(X, \beta)$ is a projective scheme / \mathbb{C}

Theorem: $\overline{M}_{g,n}(X, \beta)$ alg. stack, proper / \mathbb{C}

$\overline{M}_{0,n}(\mathbb{P}^r, \beta)$ smooth stack/orbifold

$$(\text{expected}) \dim_{\mathbb{C}} \overline{M}_{g,n}(X, \beta) = (1-g)(\dim X - 3) + \int_{\beta} c_1(X) + n$$

"Count" $\overline{M}_{g,n}(X, \beta)$ by imposing constraints.

(i) Passing thru. $Z_i \subset X$

(ii) Constraints on $(C, p_1, \dots, p_n) \in \overline{M}_{g,n}$

(iii) Descendant constraints $C \xrightarrow{L_i} \cong T_{p_i}^* C$
 $\chi_i = c_1(L_i) \in H^2(\overline{M}_{g,n}(X, \beta))$ \downarrow $\overline{m}_*(X, \beta) \ni \underset{p_1, \dots, p_n}{C} \xrightarrow{f} X$

$$\begin{array}{ccc}
 C \xrightarrow{f} X \in \overline{\mathcal{M}}_{g,n}(X, \beta) & \xrightarrow{P_i} & X \\
 \downarrow p_1, \dots, p_n & F \downarrow \text{forget} & \uparrow f(p_i) \\
 (C, p_1, \dots, p_n) \in \overline{\mathcal{M}}_{g,n}
 \end{array}$$

(only (1)):

$$\begin{aligned}
 & GW_{g,n,\beta}(\alpha_1, \dots, \alpha_n) \quad \alpha_i = P.D.(Z_i), \quad Z_i \subset X \\
 &= \int_{[\overline{\mathcal{M}}_{g,n}(X, \beta)]^{\text{virt}}} \prod_{i=1}^n P_i^*(\alpha_i) \quad (\text{virtual fund. class}) \\
 &\quad \because \dim \overline{\mathcal{M}} \geq \text{exp. dim.} \\
 &= \int_{[\overline{\mathcal{M}}_{g,n}]} F_* \left(\underbrace{\quad \quad \quad}_{I_{g,n,\beta}(\alpha_1, \dots, \alpha_n)} \right) \quad \text{for imposing (2).} \\
 &\quad I_{g,n,\beta}(\alpha_1, \dots, \alpha_n) \in H^*(\overline{\mathcal{M}}_{g,n})
 \end{aligned}$$

- For deforming smooth curve $C \subset X$

$$T_{[C]}^{\text{zar}} \{C \subset X\} = H^0(C, N_{C/X}) \xleftarrow{\quad \text{loc.} \quad} H^1(C, N_{C/X}) \quad \begin{matrix} \xleftarrow{\quad \text{infinitesimal deform.} \quad} \\ \text{obstruction space.} \end{matrix}$$

Moduli $\underline{K^{-1}(O)}$

$$\begin{aligned}
 \text{expected dim} &= \dim H^0(C, N_{C/X}) - \dim H^1(C, N_{C/X}) \\
 &= \chi(C, N_{C/X}) \quad (\because \dim C = 1)
 \end{aligned}$$

(Note: $0 \rightarrow T_C \rightarrow T_X|_C \rightarrow N_{C/X} \rightarrow 0$
 $T_X^* = \Omega_X^1$ behaves better than T_X when C singular.)

$$\overline{M}_{g,n}(X, \beta) \sim \text{Ext}_C^1(f^*\Omega_X^1 \rightarrow \Omega_C^1(\sum p_i), \quad \mathcal{O}_C)$$

$\hookrightarrow \text{Ext}^2_C(\quad \quad \quad , \quad -)$

"glue" these, \mapsto virtual fund. class

$$[\overline{M}_{g,n}(X, \beta)]^{\text{virt}} \in H_{\text{exp. dim.}}(\overline{M}_{g,n}(X, \beta), \mathbb{Q})$$

~ "Perfect obstruction theory"

deformatⁿ/obstructⁿ governed by H^* w/ only 2 nontrivial terms.

Other example: Deform stable bdll E/X^3 w/ $c_1(X) \geq 0$

$$H^1(X, \text{End}_0 E) \xrightarrow{\kappa} H^2(X, \text{End}_0 E)$$

inf. deformatⁿ

obstruct¹⁵

$$H^0(X, \text{End}_0(E)) = 0 \quad (\because E \text{ stable})$$

$$H^{>4}(-, \text{---}) = 0 \quad (\because \dim X = 3)$$

$$H^3(-, -) = H^0(X, \text{End}_0 E \otimes K_X^{-1})^* = 0 \quad (\because K_X > 0)$$

When X is CY3,

$$H^2(X, \text{End}_0(E)) = H^1(X, \text{End}_0(E))^* = T_{[E]}^* m$$

indeed $K \in \text{Sym}^2 T^*m$

Geometric reason: $m = \text{Grt}(CSc)$

(so expected dim of M is 0).

$$K \sim \nabla^2(CS_0) \text{ along } m$$

"Symmetric obstruction theory"

Another example: Flat bdl. / oriented 3-mfd/ \mathbb{R}

Properties (axioms)

$$I_{g,n,\beta} : H^*(X)^{\otimes n} \rightarrow H^*(\overline{M}_{g,n})$$

- $I = 0$ unless β effective class
- $\deg I_{g,n,\beta}(d_1, \dots) = 2(g-1)\dim X - 2 \int_X c_1(X) + \sum |d_i|$
- S_n equivariant

$$\bullet I_{g,n,\beta}(d_1, \dots, d_{n-1}, [X]) = \underset{\in H^0(X)}{\tau_n^*} I_{g,n-1,\beta}(d_1, \dots, d_{n-1}) \begin{cases} \text{(i.e. no constraint)} \\ \text{on } p_n \end{cases}$$

$\tau_n : \overline{M}_{g,n} \rightarrow \overline{M}_{g,n-1}$ forget last point

- (Divisor) curve hitting divisor D is topological

$$\tau_n^* I_{g,n,\beta}(d_1, \dots, d_{n-1}, [D]) = (\int_D) \cdot I_{g,n-1,\beta}(d_1, \dots, d_{n-1})$$

$$\bullet I_{0,n,0}(-\alpha-) = \left(\sum_i \pi^* d_i \right) \cdot [\overline{M}_{g,n}]$$

\downarrow
classical

$g \geq 1 \rightarrow$ const. maps are stable! (collapsing component ✓)

• (Splitting)  $\xrightarrow{\varphi} \overline{M}_{g_1+n_1+1} \times \overline{M}_{g_2+n_2+1}$

$$\varphi : \overline{M}_{g_1+n_1+1} \times \overline{M}_{g_2+n_2+1} \rightarrow \overline{M}_{g_1+g_2, n_1+n_2}$$

$$\varphi^* I_{g_1+g_2, n_1+n_2, \beta}(d_1, \dots, d_{n_1}, d_{n_1+1}, \dots, d_{n_1+n_2})$$

$$= \sum_{\beta=\beta_1+\beta_2} \sum_{i,j} g^{ij} I_{g_1, n_1+1, \beta_1}(d_1, \dots, d_{n_1}, T_i) \otimes I_{g_2, n_2+1, \beta_2}(T_j, d_{n_1+1}, \dots, d_{n_1+n_2})$$

T_i : homog. basis of $H^*(X)$

$(g_{ij}) = (S_X T_i, T_j)$, i.e. quadratic form $\bigotimes^2 H^*(X) \rightarrow \mathbb{Q}$

$(g^{ij}) = (g_{ij})^{-1}$ as matrix wrt basis T_i 's
namely $T^i = g^{ij} T_j$'s is dual base to T_i 's.

- (Reduction)

$$\psi: \overline{M}_{g-1, n+2} \rightarrow \overline{M}_{g, n}$$

The diagram shows a red circle with a wavy line inside, representing a surface with one boundary component and two punctures. An arrow labeled \$\psi\$ points to another red circle with a single puncture, representing a surface with \$g\$ components and one puncture.

$$\gamma^* I_{g, n, \beta}(-\alpha-) = \sum_{i,j} g^{ij} I_{g-1, n+2, \beta}(-\alpha-, T_i, T_j)$$

- I is invariant under deformations of complex str.
- (Motivic) I is induced by alg. cycle in $(X)^n \times \overline{M}_{g, n}$

Eg. (Reconstructⁿ) If $H^*(X) = S^* H^2(X)$

$$\langle I_{0, 3, \beta} \rangle (\alpha_1, \alpha_2, D) \xrightarrow[\substack{\alpha(X) \leq d+1 \\ H^2}]{} I_{0, n, \beta}$$

Eg. \mathbb{P}^2 GW for $g=0$

Enough to assume $\alpha_i = [pt]$ (\because div. axiom)

$$N_d \triangleq \langle I_{0, 3d-1, d} \rangle ([pt]^{3d-1}) \quad \begin{matrix} \# \text{ of genus 0 curves} \\ \text{of deg } d \text{ in } \mathbb{P}^2 \\ \text{thru. } 3d-1 \text{ points.} \end{matrix}$$

Axioms & $\exists!$ line thru. 2 points

\Rightarrow recursive formula

$$N_d = \sum_{\substack{d=d_1+d_2 \\ d_i > 0}} N_{d_1} \cdot N_{d_2} \left(d_1^2 d_2^2 \binom{3d-4}{3d_1-2} - d_1^3 d_2 \binom{3d-4}{3d_1-1} \right)$$

$$= 1, 1, 12, 620, 87304, \dots$$

Eg. CY3 X^3

$$v.dim_{\mathbb{C}} \overline{M}_{g,n}(X, \beta) = (1-g)(\dim X - 3) + \int_{\beta} c_1(X) + n$$

\Rightarrow no constraint. $N_{\beta} := \langle I_{0,0,\beta} \rangle$

$$X = X^3(5) \subset \mathbb{CP}^4 \quad \text{quintic CY3.}$$

$$= \{ s = 0 \} \quad s \in H^0(\mathbb{CP}^4, \mathcal{O}(5))$$

$$C \xrightarrow{\substack{f \\ \{s=0\}}} X \iff \begin{cases} C \xrightarrow{f} \mathbb{CP}^4 \xleftarrow[s]{\mathcal{O}(5)} \\ f^*(s) = 0 \in H^0(C, f^*\mathcal{O}(5)) \end{cases}$$

$$\underbrace{\overline{M}_{0,0}(X,d)}_{\{s=0\}} \subset \overline{M}_{0,0}^{5d+1}(\mathbb{P}^4, d) \ni f$$

$\tilde{s} \uparrow \quad \downarrow \quad \Rightarrow H^0(C, f^*\mathcal{O}_{\mathbb{P}^4}(5)) \simeq \mathbb{C}^{5d+1}$

$$N_d = \sum_{\overline{M}_{0,0}(\mathbb{P}^4, d)} C_{5d+1}(U_d) \quad \begin{pmatrix} \text{no } s \text{ anymore.} \\ \text{use localization} \\ T^4 \xrightarrow{\sim} \mathbb{P}^4 \end{pmatrix}$$

(issues on enumerative meaning)

Clemens conjecture: X generic quintic CY

- $\#$ family of rational curves
- disjoint
- $N_{c/X} \simeq \mathcal{O}_{\mathbb{P}^1}(-1) \oplus \mathcal{O}_{\mathbb{P}^1}(-1)$

(could be nodal!).

Multiple cover formula:

$$\Rightarrow N_d = \frac{1}{d^3} \sum_{k|d} n_k k^3 \quad \begin{matrix} d^3 \\ \text{BPS # } (\in \mathbb{Z}) \end{matrix} \quad \begin{matrix} \text{choices of preimage} \\ \text{of } c \end{matrix}$$

$$\text{Generating fu. } \sum_{d=1}^{\infty} N_d q^d = 5 + \sum_{d=1}^{\infty} n_d d^3 \frac{q^d}{1-q^d}$$

