# **Chapter 1: Graphs and Networks**

## **1.3 Notation and Basic Definitions**

**Definition 1.3.1:** A *graph* is an ordered triple  $G = (V, E, \phi)$ , where  $V \neq \emptyset$ ,  $V \cap E = \emptyset$  and  $\phi : E \rightarrow$  $\mathscr{P}(V)$  such that  $|\phi(e)| = 1$  or 2 for each  $e \in E$ .

Note that  $\mathcal{P}(V)$  denotes the power set of *V* and the mapping  $\phi$  is called the *end map* of the graph.

**Definition 1.3.2:** Elements of *V* are called *vertices* of *G*, and elements of *E* are called *edges* of *G*. The vertices in  $\phi(e)$  are called the *end vertices* of the edge *e*.

**Definition 1.3.6:** Let  $G = (V, E)$ . When V and E are finite, the graph G is called a *finite graph*, and the cardinal of *V* is called the *order* of *G* and denoted by  $|G|$  or  $p(G)$ , thus  $|G| = |V|$ . The number  $|E|$  is called the *size* of *G* and sometimes denoted by  $q(G)$ . More precisely, if  $|V| = p$  and  $|E| = q$ , then we say that *G* is a (*p, q*)*-graph*. A graph being not finite is called an *infinite graph*.

Note that, unless otherwise stated, the term "graph" always means finite graph.

**Remark 1.3.7:** *The graph G is also called an undirected graph. This is different from the digraph discussed later.*

**Example 1.3.8:** The graph come from Königsberg bridges problem is a  $(4, 7)$ -graph. That is, it contains 4 vertices and 7 edges.

Let *G* be a graph. We often use *V* and *E* to denote the vertex set and the edge set, respectively. When the discussion involves more than one graph, in order to avoid confusion we will use  $V(G)$  and  $E(G)$  to denote them, respectively.

We introduce some terminologies in the following:

**Definition 1.3.9:** Let  $G = (V, E, \phi)$  be a graph.

- 1. An edge *e* is called a *loop* (resp. *link*) if  $|\phi(e)| = 1$  (resp.  $|\phi(e)| = 2$ ).
- 2.  $u, v \in V$  are *adjacent* or *neighbors* if  $\phi(e) = \{u, v\}$  for some  $e \in E$ . That is,  $e = uv$  in our simplified notation. We also say that *e* joins *u* and *v*; *u* is adjacent with *v* and vice versa. Note that *u* and *v* may be the same.
- 3. *e, f* ∈ *E* are *adjacent* if  $\phi(e) \cap \phi(f) \neq \emptyset$ , i.e., they have a common end vertex.
- 4.  $u \in V$  and  $e \in E$  are *incident* if  $u \in \phi(e)$ . Sometimes, we say that *u* is *incident* with *e* as well as *e* is *incident with u*.
- 5.  $E' \subseteq E$  is a set of *multiple edges* or *parallel edges* if  $|E'| \geq 2$  and  $\phi(e) = \phi(f)$  for all  $e, f \in E'$ .
- 6.  $u \in V$  is called *isolated* if  $u \notin \phi(e)$  for all  $e \in E$ .

**Definition 1.3.10:** A graph containing no parallel edges nor no loops is called a *simple graph*. A graph that is not simple is called a *non-simple graph*. A graph containing parallel edges is called a *multigraph*.

### **1.4 Degree**

**Definition 1.4.1:** Let  $G = (V, E, \phi)$  be a graph and  $u \in V$ . The *degree* of *u*, denoted by  $\deg_G(u)$ , or  $deg(u)$  when there is no ambiguity, is defined by

$$
\deg(u) = \Big| \{ e \in E \mid u \in \phi(e), \ |\phi(e)| = 2 \} \Big| + 2 \Big| \{ e \in E \mid u \in \phi(e), \ |\phi(e)| = 1 \} \Big|.
$$

That means  $deg(u)$  is the number of edges incident with  $u$ , where the loops are counted twice.

**Theorem 1.4.3** (Handshaking Lemma, Euler): For a graph  $G = (V, E)$ , we have  $\sum$ *u∈V*  $deg(u) = 2|E|.$ 

**Definition 1.4.4:** Let  $G = (V, E)$  be a graph. A vertex *u* is called a *k*-vertex if deg(*u*) = *k*. If *k* is odd (resp. even), then *u* is called an *odd vertex* (resp. *even vertex*).

**Corollary 1.4.5:** *In any graph there is always an even number of odd vertices.*

**Corollary 1.4.6:** *Suppose*  $G$  *is a*  $(p,q)$ *-simple graph (i.e.,*  $G$  *is a*  $(p,q)$ *-graph and is also simple). Then*  $q \leq \frac{1}{2}$  $\frac{1}{2}p(p-1)$ .

**Definition 1.4.7:** A graph  $G = (V, E)$  is called *k*-regular if deg(*u*) = *k* for all  $u \in V$ . A 3-regular graph is also called a *cubic graph*. A graph is *regular* if it is *k*-regular for some nonnegative integer *k*.

The graph below is a famous cubic regular graph called the *Petersen graph*:



**Corollary 1.4.8:** *Every k*-regular graph of order *p has*  $\frac{kp}{2}$  edges.

**Definition 1.4.9:** For a graph *G* with vertex set  $V = \{v_1, \ldots, v_p\}$ , the sequence  $(\deg(v_1), \ldots, \deg(v_p))$ with  $deg(v_1) \geq \cdots \geq deg(v_p)$  is called its *degree sequence*. The smallest term,  $deg(v_p)$ , of the degree sequence is called the *minimum degree* of *G* and is denoted by  $\delta(G)$  (or  $\delta$ ), while the largest term, deg( $v_1$ ), is called the *maximum degree* of *G* and is denoted by  $\Delta(G)$  (or  $\Delta$ ).

From Handshaking Lemma we have

**Corollary 1.4.10:** Suppose  $(d_1, \ldots, d_p)$  is a degree sequence of a graph, then  $\sum^p$ *i*=1 *d<sup>i</sup> is even.*

**Definition 1.4.11:** Let  $G = (V, E)$  be a graph and  $u \in V$ . The *open neighborhood* of *u* (or *neighborhood* of *u*, for short), denoted by  $N_G(u)$  or  $N(u)$ , is the set of all the neighbors of *u* in *G*. The *closed neighborhood* of *u*, denoted by  $N_G[u]$  or  $N[u]$ , is defined by  $N(u) \cup \{u\}$ . In general, for  $S \subseteq V$ ,

$$
N_G(S) = \{v \mid v \in N_G(s) \text{ for some } s \in S\}
$$
  

$$
N_G[S] = N_G(S) \cup S.
$$

When *G* is understood, we write  $N(S)$  and  $N[S]$ , respectively.

**Lemma 1.4.12:** *Suppose*  $G = (V, E)$  *is a graph and A as well as B are subsets of V*. The following *statements hold:*

- *1.*  $N(A \cup B) = N(A) \cup N(B);$
- $2. N[A \cup B] = N[A] \cup N[B]$ ;
- *3.*  $N(A ∩ B) ⊆ N(A) ∩ N(B);$
- $4. N[A \cap B] \subseteq N[A] \cap N[B]$ .

# **1.5 Some Basic Graphs**

The *null graph* on *p* vertices is the simple graph  $N_p$ , where  $|V(N_p)| = p$  and  $|E(N_p)| = 0$ . The *path graph* (or *path* for short) on  $p \geq 2$  vertices is the simple graph  $P_p$ , where

$$
V(P_p) = \{u_1, u_2, \dots, u_p\} \text{ and}
$$
  
 
$$
E(P_p) = \{u_1u_2, u_2u_3, \dots, u_{p-1}u_p\} = \{u_iu_{i+1} \mid 1 \le i \le p-1\}.
$$

By convention, let  $P_1 = N_1$ .  $P_p$  is also called the *p*-path. It is easy to see that  $P_p$  is a  $(p, p - 1)$ -graph. The *cycle graph* (or simple *cycle*) of order  $p \geq 3$  is the simple graph  $C_p$ , where

$$
V(C_p) = \{u_1, u_2, \dots, u_p\} \text{ and}
$$
  
\n
$$
E(C_p) = \{u_1u_2, u_2u_3, \dots, u_{p-1}u_p, u_pu_1\} = \{u_iu_{i+1} \mid 1 \le i \le p\} \text{ if we define } u_{p+1} = u_1.
$$

Let  $C_1 = (V_1, E_1)$ , where  $V_1 = \{u_1\}$ ,  $E_1 = \{u_1u_1\}$ .

Let  $C_2 = (V_2, E_2)$ , where  $V_2 = \{u_1, u_2\}$ ,  $E_2 = \{e_1 = u_1u_2, e_2 = u_1u_2\}$ .

Thus  $C_p$  is simple if and only if  $p \geq 3$ .  $C_p$  is also called the *p-cycle*.  $C_3$  is called a *triangle* and  $C_4$  is called a *square*. Clearly,  $C_p$  is a  $(p, p)$ -graph.

A complete graph is a simple graph in which every two distinct vertices are adjacent. The *complete graph* of order *p* is denoted by *Kp*. Namely

$$
V(K_p) = \{u_1, u_2, \dots, u_p\} \text{ and}
$$
  

$$
E(K_p) = \{u_i u_j \mid 1 \le i < j \le p\}.
$$

**Proposition 1.5.1:** *The complete graph*  $K_p$  *is a*  $(p-1)$ *-regular graph and contains*  $\frac{1}{2}p(p-1)$  *edges.* 

**Proof:** Every vertex is adjacent to other  $p-1$  vertices, so  $K_p$  is  $(p-1)$ -regular. By Corollary 1.4.8, the number of edges in  $K_p$  is  $\frac{1}{2}p(p-1)$ .

**Proposition 1.5.2:** *Suppose G is a*  $(p, q)$ *-simple graph. Then*  $q = \frac{1}{2}$  $\frac{1}{2}[p(p-1)]$  *if and only if G is*  $K_p$ *.* 

Suppose  $k \in \mathbb{N}$ . A graph  $G = (V, E)$  is said to be *k-partite* if V can be partitioned into *k* disjoint subsets  $V_1, \ldots, V_k$ , such that no two vertices within the same set are adjacent. The partition  $(V_1, \ldots, V_k)$ is called a *k*-partition of *G*. When  $k = 2$ , *G* is called *bipartite* and  $(V_1, V_2)$  is a *bipartition* of *G*.

A *complete bipartite graph* is a simple bipartite graph with bipartition (*X, Y* ) in which every vertex of *X* is adjacent to all vertices of *Y*. If  $|X| = m$  and  $|Y| = n$ , then such a graph is denoted by  $K_{m,n}$ . The vertex set and edge set of *Km,n* are

$$
V(K_{m,n}) = \{u_1, u_2, \dots, u_m\} \cup \{v_1, v_2, \dots, v_n\} \text{ and}
$$
  

$$
E(K_{m,n}) = \{u_iv_j \mid 1 \le i \le m, 1 \le j \le n\}.
$$

It is easy to see that  $K_{m,n}$  and  $K_{n,m}$  are the same in some sense (it will be called isomorphic). Hence we can assume  $m \leq n$ .

The complete bipartite graph  $K_{1,n}$  is a called a *star* (or *n*-star) and denoted by  $S_n$ .



The following proposition can be obtained easily.

**Proposition 1.5.3:** *The complete bipartite graph*  $K_{m,n}$  *is an*  $(m+n, mn)$ *-graph.* 

**Proposition 1.5.4:** *Suppose G is a simple bipartite graph with p vertices and q edges, then*  $q \leq \frac{p^2}{4}$  $\frac{p}{4}$ .

## **1.6 Subgraphs**

**Definition 1.6.1:** For graphs  $G' = (V', E')$  and  $G = (V, E)$ .  $G'$  is called a *subgraph* of  $G$  (also  $G$  is a supergraph of G') if  $V' \subseteq V$  and  $E' \subseteq E$ . We write  $G' \subseteq G$  if G' is a subgraph of G. When  $G' \subseteq G$  and  $G' \neq G, G'$  is a *proper subgraph* of *G* and is denoted as  $G' \subset G$ .

It is easy to obtain the following properties about subgraphs:

- $(1)$   $G \subseteq G$ *.*
- (2) *If*  $F \subseteq H$  *and*  $H \subseteq G$ *, then*  $F \subseteq G$ *.*
- (3) *Suppose*  $G = (V, E)$ *. For each*  $v \in V$ *,*  $H = (\{v\}, \varnothing)$  *is a subgraph of*  $G$ *.*
- (4) *A graph obtained from some edges of G together with their end vertices is a subgraph of G. Such a subgraph is called an edge-induced subgraph of G. We will give a formal definition later.*

**Definition 1.6.3:** For a nonempty subset  $W \subseteq V(G)$ , the subgraph of *G induced by W*, denoted by *G*[*W*], is the graph with vertex set *W* whose edge set consists of all the edges of *G* having their end vertices in  $W$ .  $G[W]$  is also called the *induced subgraph* of  $G$  by  $W$ .

In other words,  $G[W]$  is the maximal (with respect to inclusion ' $\subseteq$ ') subgraph of *G* containing *W*.

**Definition 1.6.4:** For a nonempty subset  $F \subseteq E(G)$ , the subgraph of *G induced by F*, denoted by *G*[*F*], is the graph with edge set *F* whose vertex set consists of all the end vertices of *F*. *G*[*F*] is also called the *edge-induced subgraph* of *G* by *F*.

In other words,  $G[F]$  is the minimal subgraph of  $G$  containing  $F$ .

When  $W = \{w_1, \ldots, w_m\} \subseteq V(G)$ . We write  $G[w_1, \ldots, w_m]$  instead of  $G[\{w_1, \ldots, w_m\}]$  and similar convention will be adopted for the edge-induced subgraph.

**Definition 1.6.5:** Let  $H \subseteq G$ . If  $V(H) = V(G)$ , then *H* is called a *spanning subgraph* of *G*. A subgraph obtained from *G* by deleting all loops and identifying all parallel edges is called the *basic simple graph* or *underlying simple graph* of *G*.

Thus the underlying simple graph must be a spanning subgraph of *G*.

# **1.7 Isomorphism**

**Definition 1.7.1:** Let  $G = (V, E, \phi)$  and  $H = (V', E', \psi)$  be two graphs. An *isomorphism* f from G to H is an ordered pair  $f = (f_V, f_E)$  of bijections  $f_V : V \to V'$  and  $f_E : E \to E'$  satisfying the following condition:

$$
\phi(e) = \{u, v\} \text{ implies } \psi(f_E(e)) = \{f_V(u), f_V(v)\}.
$$

That is, *f* preserves the adjacency of vertices.

Two graphs *G* and *H* are *isomorphic*, denoted by  $G \cong H$ , if there is an isomorphism between them.

For simple graphs, we may ignore the end maps.

**Definition 1.7.2:** Let  $G = (V, E)$  and  $H = (V', E')$  be two simple graphs. An *isomorphism*  $f : G \to H$ is an ordered pair  $f = (f_V, f_E)$  of bijections  $f_V: V \to V'$  and  $f_E: E \to E'$  satisfying the following condition:

$$
f_E(uv) = f_V(u) f_V(v)
$$

for every edge  $uv \in E$ .

**Definition 1.7.3:** Two graphs *G* and *H* are *equal* or *identical*, denoted by  $G = H$ , if  $V(G) = V(H)$ ,  $E(G) = E(H)$  and their end maps are the same.

**Remark 1.7.4:** *Of course, two identical graphs are isomorphic. We may treat isomorphic graphs as the same graphs.*

**Definition 1.7.6:** Any subgraph of a given graph *G* that is isomorphic to a complete graph  $K_h$  for some *h* ∈ N is called an *h-clique* in *G*. When the number of vertices in the subgraph is irrelevant, we simply call it a *clique*.

Suppose  $f: G \to H$  is an isomorphism. Then

- 1.  $|V(G)| = |V(H)|$ .
- 2.  $|E(G)| = |E(H)|$ .
- 3. deg<sub>*G*</sub> $(u) = \deg_{H}(f_{V}(u))$ .
- 4. The degree sequence of *G* is the same as that of *H*.

5. The number of *h*-cliques in *G* is the same as that in *H*.

 $6.$ :

**Definition 1.7.8:** For simple graph  $G = (V, E)$ , the *complement* of *G* is defined as  $\overline{G} = (V, \overline{E})$ , where  $\overline{E} = \{uv \mid u, v \in V, uv \notin E\}.$ 

Clearly  $\overline{N}_n = K_n$ ,  $\overline{K}_n = N_n$ ,  $\overline{\overline{G}} = G$ .  $G \cong H$  if and only if  $\overline{G} \cong \overline{H}$ .

#### **1.8 Graph Operations and Constructions**

**Definition 1.8.1:** Let  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be graphs.

- 1. The *union* of  $G_1$  and  $G_2$  is the graph  $G_1 \cup G_2 = (V_1 \cup V_2, E_1 \cup E_2)$ .
- 2. If  $V_1 \cap V_2 = \emptyset$ , then  $G_1$  and  $G_2$  are *disjoint*. If  $E_1 \cap E_2 = \emptyset$ , then  $G_1$  and  $G_2$  are *edge-disjoint*.
- 3. If  $G_1$  and  $G_2$  are disjoint, then the union  $G_1 \cup G_2$  is called the *disjoint union* of  $G_1$  and  $G_2$ , and denoted by  $G_1 + G_2$ .
- 4. If  $V_1 \cap V_2 \neq \emptyset$ , then the graph  $G_1 \cap G_2 = (V_1 \cap V_2, E_1 \cap E_2)$  is called the *intersection* of  $G_1$  and  $G_2$ .
- 5. The *symmetric difference* of  $G_1$  and  $G_2$  is the graph  $G_1 \triangle G_2 = (V_1 \cup V_2, E_1 \triangle E_2)$ .

Note that all set operations related to edge sets are multiset operations. It is easy to see that operations *∪*, +, *∩* and *△* satisfy association and symmetric laws.

**Definition 1.8.2:** The *join* of two disjoint graphs *G* and *H*, denoted by  $G \vee H$ , is obtained from  $G + H$ by joining each vertex of *G* to all vertices of *H*.

Clearly  $G \vee H \cong H \vee G$  and  $(G_1 \vee G_2) \vee G_3 \cong G_1 \vee (G_2 \vee G_3)$ .

**Definition 1.8.3:** The *sequential join*  $G_1 \vee G_2 \vee \cdots \vee G_k$  of graphs  $G_1, G_2, \ldots, G_k$  is the graph formed by taking one copy of each graph and adding additional edges from each vertex of *G<sup>i</sup>* to all vertices of *G*<sub>*i*+1</sub>, for  $1 \leq i \leq k-1$ .

Note that  $G_1 \vee G_2 \vee G_3 \ncong (G_1 \vee G_2) \vee G_3$ .

Clearly  $K_{m,n} \cong N_m \vee N_n$ . For  $n \geq 3$ , the wheel graph  $W_{n+1}$  is defined by  $W_{n+1} = K_1 \vee C_n$ . For  $n \geq 2$ , the *fan graph*  $F_{n+1}$  is defined by  $F_{n+1} = K_1 \vee P_n$ .

**Definition 1.8.4:** For graphs *G* and *H*, the *Cartesian product*  $G \times H$  (or some books use  $G \square H$ ) has vertex set  $V(G) \times V(H)$  and two vertices  $(u_1, v_1)$  and  $(u_2, v_2)$  are adjacent if and only if either

- 1.  $u_1 = u_2$  and  $v_1v_2 \in E(H)$ , or
- 2.  $v_1 = v_2$  and  $u_1u_2 \in E(G)$ .

We call an edge of the first type an *H edge*, and that of the second type a *G edge*.

It is clear that  $G \times H \cong H \times G$  and  $(G \times H) \times K \cong G \times (H \times K)$ . Thus we may denote this product as  $G \times H \times K$ . There is a similar definition for the Cartesian product of more graphs.

The *hypercube*  $Q_n$  or (*n*-cube) is defined recursively:  $Q_1 = K_2$  and  $Q_n = K_2 \times Q_{n-1}$ . That is, *n* times

 $Q_n = K_2 \times \cdots \times K_2.$ 

Each vertex of  $Q_n$  can be labeled as a binary sequence of length *n*, or equivalently  $V(Q_n) = \mathbb{Z}_2^n$ . Two vertices in  $Q_n$  are adjacent if and only if their coordinates differ in exactly one place.

 $P_m \times P_n$  is called a *mesh* or a *grid*. It is also called a 2*-mesh* and denoted by  $M(m, n)$ . The *n-mesh*  $M(a_1, \ldots, a_n)$  is the Cartesian product  $P_{a_1} \times \cdots \times P_{a_n}$ .

**Definition 1.8.5:** For any graph  $G$ , its *line graph*  $L(G)$  has vertex set consisting of the edges of  $G$ , i.e.,  $V(L(G)) = E(G)$ . Two vertices of  $L(G)$  are adjacent if the corresponding edges of *G* have a vertex in common.

**Definition 1.8.6:** If  $G = (V, E)$ , for  $U \subset V$ , the subgraph  $G - U$  is obtained from  $G$  by removing all the vertices in *U* and all the edges of *G* incident with vertices in *U*. That is,  $G - U = G[V \setminus U]$ .

If  $U = \{v_1, \ldots, v_s\}$ , then  $G - U$  is often written as  $G - v_1 - \cdots - v_s$ .

**Definition 1.8.7:** If  $G = (V, E)$ , for  $F \subseteq E$ , the subgraph  $G - F$  is obtained from  $G$  by removing all the edges in *F*. Note that all vertices of *G* are retained.

If  $F = \{e_1, \ldots, e_t\}$ , then  $G - F$  is often written as  $G - e_1 - \cdots - e_t$ .

**Definition 1.8.8:** Let *G* be a graph. Suppose *E′* is a set of edges which are not in *G* but their end vertices are vertices of *G*.  $G + E'$  denotes the graph obtained from *G* by adding all edges of  $E'$  to *G*. If  $E' = \{e\}$ , then we simply denote  $G + E'$  by  $G + e$ .

A non-increasing sequence *S* of nonnegative integers is called *graphical* if there is a simple graph whose degree sequence is *S*.

**Theorem 1.8.9:** If a sequence of nonnegative integers  $(d_1, \ldots, d_p)$  with  $d_1 \geq \cdots \geq d_p$  is graphical, then P *p i*=1 *d*<sub>*i*</sub> *is even and for each integer*  $k$  (1  $\leq$   $k$   $\lt$   $p$ )

$$
\sum_{i=1}^{k} d_i \le k(k-1) + \sum_{j=k+1}^{p} \min\{k, d_j\}.
$$

**Theorem 1.8.10:** *Suppose*  $S = (d_1, d_2, \ldots, d_n)$  *is a non-increasing sequence of nonnegative integers. Let*

 $S' = (d_2 - 1, d_3 - 1, \ldots, d_{d_1+1} - 1, d_{d_1+2}, \ldots, d_p),$ 

*where*  $d_1 + 1 \leq p$ . Let  $S^*$  be the non-increasing sequence obtained from  $S'$  by rearranging the terms of  $S'$ . *Then S is graphical if and only if S ∗ is graphical.*

#### **Algorithm: Determining Graphical Degree Sequence**

Given a non-increasing sequence *S* of nonnegative integers.

- Step 1. Delete the first number, say *k*, from *S*.
- Step 2. Subtract 1 from each of the next *k* terms of *S* if this is possible. The resulting sequence is denoted by *S ′* . If *S ′* cannot be formed, stop; the original sequence is not graphical. If all terms of the current sequence are zero, stop; the original sequence is graphical.
- Step 3. Rearrange the sequence obtained so that it is a sequence *S ∗* in non-increasing order.

Step 4. Let  $S = S^*$ , and return to Step 1.

#### **1.9 Directed Graph**

**Definition 1.9.1:** A *directed graph* or *digraph* or *network* is an ordered triple  $\overrightarrow{G} = (V, E, \eta)$ , where  $V \neq \emptyset$ ,  $V \cap E = \emptyset$  and  $\eta : E \to V \times V$  is a map.

Elements of *V* are called *vertices* of *G*, and elements of *E* are called *directed edges* or *arcs*. If  $\eta(e)$  $(u, v)$ , then *u* is called the *tail* of *e* and *v* the *head* of *e*. Vertex *u* is called a *predecessor* of *v* and *v* is called a *successor* of *u*. We say that *u* is *adjacent to v* while *v* is *adjacent from u*. Also, *u* is *incident to e* and *v* is *incident from e*. A vertex not incident with any arc is called an *isolated vertex*.

If  $\eta(e) = (u, u)$ , then *e* is called a *directed loop*. The vertex *u* is a tail of *e* and also a head of *e*.

Two arcs *e* and *f* are said to be *parallel* if  $\eta(e) = \eta(f)$ .

We will assume that all digraphs are finite.

**Definition 1.9.2:** Given a digraph, the graph with each arc replaced by an edge is called the *underlying graph*. That is, if  $\overline{G} = (V, E, \eta)$  and the corresponding underlying graph is  $G = (V, E, \phi)$ , then  $\eta(e) =$  $(u, v) \Rightarrow \phi(e) = \{u, v\}.$ 

**Definition 1.9.3:** A digraph without directed loops and parallel arcs is called a *simple digraph*.

Similar to undirected graph, we use *V* and *E* to denote the vertex set and arc set of a digraph  $\vec{G}$ , respectively. When the discussion involves more than one digraph, in order to avoid confusion, we use  $V(\overrightarrow{G})$  and  $E(\overrightarrow{G})$  to denote them, respectively. We use  $(u, v)$  to denote an arc, where *u* is the tail and *v* is the head of the arc. When we adopt this notation, the end map  $\eta$  may be omitted.  $\overrightarrow{G}$  is also called a  $(p, q)$ -digraph if  $\overrightarrow{G}$  contains p vertices and q arcs. Moreover, we use  $p(\overrightarrow{G})$  and  $q(\overrightarrow{G})$  to denote the order and the size of  $\vec{G}$ .

The *directed path* on  $p \geq 2$  vertices is the simple digraph  $\overrightarrow{P}_p$  with

$$
V(\overrightarrow{P}_p) = \{u_1, \dots, u_p\}
$$
  
\n
$$
E(\overrightarrow{P}_p) = \{(u_1, u_2), \dots, (u_{p-1}, u_p)\} = \{(u_i, u_{i+1}) \mid 1 \le i \le p-1\}
$$

The *directed cycle* on  $p \geq 2$  vertices is the simple digraph  $\overrightarrow{C}_p$  with

$$
V(\overrightarrow{C}_p) = \{u_1, \ldots, u_p\}
$$
  

$$
E(\overrightarrow{C}_p) = \{(u_1, u_2), \ldots, (u_{p-1}, u_p), (u_p, u_1)\} = \{(u_i, u_{i+1}) \mid 1 \le i \le p\}, \text{ where } u_{p+1} = u_1.
$$

 $\vec{C}_1$  is defined to be the digraph consisting of a directed loop.

**Definition 1.9.6:** Let  $\overrightarrow{G} = (V, E, \eta)$  be a digraph. Let  $u \in V$ .

- 1. The *indegree* of *u*, denoted by  $\deg_{\overrightarrow{G}}(u)$  or  $\deg^{-}(u)$ , is the number of arcs having *u* as head.
- 2. The *outdegree* of *u*, denoted by  $\deg_{\vec{G}}^+(u)$  or  $\deg^+(u)$ , is the number of arcs having *u* as tail.
- 3. The *inneighborhood*, denoted by  $N_G^-(u)$  or  $N^-(u)$ , and the *outneighborhood*, denoted by  $N_G^+$  $G^+(u)$  or  $N^+(u)$  of *u* are given by

$$
N^{-}(u) = \{x \in V \mid \eta(e) = (x, u) \text{ for some } e \in E\},\
$$
  

$$
N^{+}(u) = \{x \in V \mid \eta(e) = (u, x) \text{ for some } e \in E\}.
$$

**Theorem 1.9.7: (Handshaking Lemma)** For a digraph  $\overrightarrow{G}$ , we have

$$
\sum_{u \in V(\overrightarrow{G})} \deg^{-}(u) = \sum_{u \in V(\overrightarrow{G})} \deg^{+}(u) = |E(\overrightarrow{G})|.
$$

**Definition 1.9.8:** A digraph  $\overrightarrow{G}$  is called *balanced* if for every vertex *u* of  $\overrightarrow{G}$ , deg<sup>-</sup>(*u*) = deg<sup>+</sup>(*u*). A balanced digraph *G* is called *regular* if deg<sup>−</sup>(*u*) = deg<sup>−</sup>(*v*) for all  $u, v \in V(\overrightarrow{G})$ .

#### **1.10 Matrix Representations**

**Definition 1.10.1:** Let  $G = (V, E)$  be a graph. Suppose we give each vertex of *G* a name (label). Then the graph *G* is called a *labeled graph*. Mathematically, there is a bijection  $f: V \to L$ , where *L* is a set. The bijection *f* is called a *labeling* (or *vertex labeling*) of *G* and *L* is called a *label set*.

For general labeling problems, the labeling may not be bijective.

Similarly, we may label edges of a graph and such a labeling is called an *edge labeling*. We omit the formal definition here.

**Definition 1.10.2:** Let  $G = (V, E, \phi)$  be a graph on *p* vertices labeled by  $\{u_1, \ldots, u_p\}$ . For each *i*, *j* ∈ {1, . . . , *p*} define

$$
a_{ij} = |\{e \in E \mid \phi(e) = \{u_i, u_j\}\}|.
$$

That is,  $a_{ij}$  is the number of edges connecting  $u_i$  and  $u_j$ . The *adjacency matrix* of *G* with respect to the labeling is defined as the  $p \times p$  matrix  $A(G) = (a_{ij})$ .

**Remark 1.10.3:** *Let*  $G = (V, E)$  *be a graph.* 

- *1. Clearly, A*(*G*) *is symmetric.*
- 2. If *G* is a simple graph, then  $A(G)$  is a symmetric binary matrix. That is, it is symmetric and  $a_{ij} \in$  $\{0,1\}$  *for all i and j. In addition,*  $a_{ii} = 0$  *for all i.*
- $3.$   $|E(G)| = \sum$ *i≥j*  $a_{ij} = \sum$ *i≤j aij .*

4. 
$$
\deg(u_i) = a_{ii} + \sum_{\ell=1}^n a_{i\ell} = a_{ii} + \sum_{\ell=1}^n a_{\ell i}.
$$

Let *A* be an adjacency matrix of *G* with respect to a labeling. If we relabel all the vertices, then the adjacency matrix of *G*, say *A′* , with respect to the new labeling is obtained by permuting the rows and the columns of *A* accordingly. In other words,  $A' = PAP^T$ , for some permutation matrix *P*, where  $P^T$  is the transpose of *P*. In this case,  $P^T = P^{-1}$ .

Since two graphs being isomorphic means that the vertices of one graph can be rearranged to match the other. Therefore, by the discussion above, we obtain the following proposition.

**Proposition 1.10.4:** *Graphs G and H are isomorphic if and only if there is a permutation matrix P*  $such that A(H) = P^{-1}A(G)P$ .

**Corollary 1.10.5:** *If*  $G \cong H$ *, then the spectrum of their adjacency matrices are the same, i.e., the multisets of their eigenvalues are the same.*

**Proposition 1.10.8:** Let G be a bipartite graph with bipartition  $(X, Y)$ . If  $|X| = m$  and  $|Y| = n$ , then *an adjacency matrix of G is of the form*

$$
\left(\begin{array}{c|c} O_m & B \\ \hline B^T & O_n \end{array}\right),
$$

*for some matrix*  $B$ *, where*  $O_k$  *is the square zero matrix of order*  $k$ *.* 

Let  $J_p$  be the  $p \times p$  matrix with all entries equal to 1 and  $I_p$  be the identity matrix of order p. It is easy to obtain the following proposition.

**Proposition 1.10.9:** *The adjacency matrix of*  $K_p$  *is*  $J_p - I_p$ *. If G is a simple graph of order p, then the adjacency matrix of*  $\overline{G}$  *is*  $J_p - I_p - A(G)$ *.* 

Adjacency matrix describes the relationship between vertices. Another matrix called incident matrix describes the relationship between vertices and edges.

**Definition 1.10.10:** Let  $G = (V, E)$  be a graph with vertex labeling  $V = \{u_1, \ldots, u_p\}$  and edge labeling  $E = \{e_1, \ldots, e_q\}$ . The *incidence matrix* of *G* with respect to these labelings is the  $p \times q$  matrix  $M(G) = (m_{ij})$ , where the  $(i, j)$ -th entry  $m_{ij}$  is defined by

$$
m_{ij} = \begin{cases} 0 & \text{if } u_i \text{ is not incidence with } e_j, \\ 1 & \text{if } u_i \text{ is incidence with a link } e_j, \\ 2 & \text{if } u_i \text{ is incidence with a loop } e_j. \end{cases}
$$

**Remark 1.10.11:** *By the definition of*  $M(G)$ *, the <i>i*-th row sum is equal to the degree of  $u_i$ *, while the sum of each column is 2. Using this property we can prove the handshaking lemma again.*

**Theorem 1.10.13:** Let  $G = (V, E)$  be a simple graph. Suppose  $V = \{u_1, ..., u_p\}$  and  $E = \{e_1, ..., e_q\}$ . Let *A* and *M* be the adjacency and incidence matrices of *G*, respectively. Then  $MM^T = A + D$ , where  $D = \text{diag}\{\text{deg}(u_1), \ldots, \text{deg}(u_p)\}.$ 

**Definition 1.10.14:** Let  $\overrightarrow{G}$  be a digraph of order *p*. We label the vertices of *G* as  $v_1, \ldots, v_p$ . The *adjacency matrix* of  $\overrightarrow{G}$ , with respect to this labeling, is the  $p \times p$  matrix  $A(\overrightarrow{G}) = (a_{ij})$ , where the  $(i, j)$ -th entry  $a_{ij}$  is the number of arcs joining from  $v_i$  to  $v_j$ .

Note that  $A(\vec{G})$  may not be symmetric. Moreover,  $|E(\vec{G})| = \sum$ *i,j*  $a_{ij}$ , the *i*-th row sum is  $\deg^+(v_i)$  and the *i*-th column sum is the deg<sup> $-(v_i)$ </sup>.

**Definition 1.10.16:** Let  $\overrightarrow{G}$  be a  $(p, q)$ -digraph without loop. Label the vertices as  $v_1, \ldots, v_p$  and the arcs  $a_1, \ldots, a_q$ . The *incidence matrix* of  $\overrightarrow{G}$ , with respect to these labelings, is the  $p \times q$  matrix  $M(\overrightarrow{G}) = (m_{ij}),$ where the  $(i, j)$ -th entry  $m_{ij}$  is defined by

> $m_{ij} =$  $\sqrt{ }$  $\bigg)$  $\overline{1}$ 1 if the arc  $a_j$  is incidence from the vertex  $v_i$ , *−*1 if the arc  $a_j$  is incidence to the vertex  $v_i$ , 0 otherwise.

Note that the *i*-th row sum is deg<sup>+</sup>( $v_i$ ) – deg<sup>-</sup>( $v_i$ ), while the sum of each column is 0.