

# Normal curvatures

Let  $M$  be a regular surface. Assume  $M$  is orientable with an orientation  $\mathbf{N}$ . Let  $\alpha(s)$  be a smooth curve on  $M$  parametrized by arc length so that  $\alpha'$  is the unit tangent. Let  $\mathbf{n}(s)$  be the unit vector at  $\alpha(s)$  such that  $\mathbf{n} \in T_{\alpha(s)}(M)$  and such that  $\{\alpha', \mathbf{n}, \mathbf{N}\}$  is positively oriented, i.e.  $\mathbf{n} = \mathbf{N} \times \alpha'$ .

## Lemma

$\alpha''$  is a linear combination of  $\mathbf{n}$  and  $\mathbf{N}$ :  $\alpha'' = k_g \mathbf{n} + k_n \mathbf{N}$  for some smooth functions  $k_n$  and  $k_g$  on  $\alpha(s)$ .

## Proof.

Since  $\alpha'' \perp \alpha'$  and so it is a l.c. of  $\mathbf{n}, \mathbf{N}$ .



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As in the lemma,  $k_n(s)$  is called the *normal curvature* of  $\alpha$  at  $\alpha(s)$  and  $k_g(s)$  is called the *geodesic curvature* of  $\alpha$  at  $\alpha(s)$ .

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- 2 We will see later that  $k_g$  is intrinsic: it depends only on the first fundamental form *and* the orientation of the surface.
- 3 Let  $k$  be the curvature of  $\alpha$ . Suppose  $k$  is not zero. Let  $N_\alpha$  be the normal of  $\alpha$  (recalled  $\alpha'' = kN_\alpha$ ). Then  $k_n = k\langle N_\alpha, \mathbf{N} \rangle = k \cos \theta$  where  $\theta$  is the angle between  $N$  and  $\mathbf{N}$ . If  $k = 0$ , then  $\alpha'' = 0$  and  $k_n = k_g = 0$ .

# Normal curvatures and second fundamental form

We first discuss normal curvature. The geometric meaning of the second fundamental form is the following:

## Proposition

*Let  $M$  be an orientable regular surface patch with an orientation  $\mathbf{N}$ . Let  $\mathbb{I}\mathbb{I}$  be the second fundamental form of  $M$  (w.r.t.  $\mathbf{N}$ ) and let  $p \in M$ . Suppose  $\mathbf{v} \in T_p(M)$  with unit length and suppose  $\alpha(s)$  is a smooth curve of  $M$  parametrized by arclength with  $\alpha(0) = p$  and  $\alpha'(0) = \mathbf{v}$ . Then*

$$k_n(0) = \mathbb{I}\mathbb{I}_p(\mathbf{v}, \mathbf{v})$$

*where  $k_n$  is the normal curvature of  $\alpha$  at  $\alpha(0) = p$ .*

**Proof:**  $k_n = \langle \alpha'', \mathbf{N} \rangle = -\langle \alpha', \frac{d}{dt} \mathbf{N} \rangle = \langle \mathbf{v}, \mathbf{S}_p(\mathbf{v}) \rangle$  at  $t = 0$ . From this the result follows.

## Corollary

*With the same notation as in the proposition, we have the following: Let  $\alpha$  and  $\beta$  be two regular curves parametrized by arc length passing through  $p$ . Suppose  $\alpha$  and  $\beta$  are tangent at  $p$ . Then the normal curvatures of  $\alpha$  and  $\beta$  at  $p$  are equal.*

Hence in order to find all normal curvatures, we only need to consider the so-called **normal section**.

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Now consider the circle on the unit sphere with  $z = r$ ,  $0 < r < 1$ . The curve is  $\alpha(s) = (\rho \cos(\rho^{-1}s), \rho \sin(\rho^{-1}s), r)$  with  $r^2 + \rho^2 = 1$ .  $\alpha'' = (-\rho^{-1} \cos(\rho^{-1}s), -\rho^{-1} \sin(\rho^{-1}s), 0)$ . The curvature  $k = \rho^{-1}$ .  $\langle \alpha'', \mathbf{N} \rangle = -1 = \rho^{-1} \cos \theta$ , where  $\theta$  is the angle between  $\alpha''$  and  $\mathbf{N}$ .

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- Consider the curve  $(t, 0, t^4)$  on the  $x - z$  plane. Rotate this about  $z$ -axis we get a surface  $M$ . The normal curvature at  $p = (0, 0, 0)$  is zero by considering the normal section. Hence  $S_p = 0$ .

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- Normal curvatures at a point on the cylinder will change from 1 to 0 if we use  $\mathbf{N}$  pointing to the axis.

# Basic facts on symmetric bilinear form

Let  $(V, \langle \cdot, \cdot \rangle)$  be a finite dimensional inner product space and let  $B$  be a *symmetric* bilinear form on  $V$ .

- Let  $Q$  be the corresponding quadratic form,  $Q(\mathbf{v}) = B(\mathbf{v}, \mathbf{v})$
- $A$  be the corresponding self-adjoint operator:  
 $\langle A(\mathbf{v}), \mathbf{w} \rangle = B(\mathbf{v}, \mathbf{w})$ .

## Theorem

*Let  $(V, \langle \cdot, \cdot \rangle)$  be a finite dimensional inner product space of dimension  $n$  and let  $B$  be a symmetric bilinear form. Then there is an orthonormal basis  $\mathbf{v}_1, \dots, \mathbf{v}_n$  such that  $B$  is diagonalized. Namely,  $B(\mathbf{v}_i, \mathbf{v}_j) = \lambda_i \delta_{ij}$ .  $\mathbf{v}_i$  is an eigenvector of  $A$  with eigenvalue  $\lambda_i$ :  $A(\mathbf{v}_i) = \lambda_i \mathbf{v}_i$ . Moreover, if  $\mathbf{v} = \sum_{i=1}^n x^i \mathbf{v}_i$ , then  $Q(\mathbf{v}) = \sum_{i=1}^n \lambda_i (x^i)^2$ .*

# Sketch of proof

We just prove the case that  $n = 2$ . Let  $S$  be the set in  $V$  with  $\|\mathbf{v}\|^2 = \langle \mathbf{v}, \mathbf{v} \rangle = 1$ . Then  $B(\mathbf{v}, \mathbf{v})$  attains maximum on  $S$  at some  $\mathbf{v}$ . Let  $\mathbf{v}_1 \in S$  be such that

$$B(\mathbf{v}_1, \mathbf{v}_1) = \max_{\mathbf{v} \in S} B(\mathbf{v}, \mathbf{v}).$$

Let  $\mathbf{v}_2 \in S$  such that  $\mathbf{v}_1 \perp \mathbf{v}_2$ . It is sufficient to prove that  $B(\mathbf{v}_1, \mathbf{v}_2) = 0$ . Let  $t \in \mathbb{R}$  and let

$$f(t) = \frac{B(\mathbf{v}_1 + t\mathbf{v}_2, \mathbf{v}_1 + t\mathbf{v}_2)}{\|\mathbf{v}_1 + t\mathbf{v}_2\|^2}.$$

Then  $f'(0) = 0$ . Hence

$$\begin{aligned} 0 &= 2B(\mathbf{v}_1, \mathbf{v}_2) - 2B(\mathbf{v}_1, \mathbf{v}_1)\langle \mathbf{v}_1, \mathbf{v}_2 \rangle \\ &= 2B(\mathbf{v}_1, \mathbf{v}_2). \end{aligned}$$

Note that  $\lambda_2 = B(\mathbf{v}_2, \mathbf{v}_2) = \min_{\mathbf{v} \in \mathcal{S}} B(\mathbf{v}, \mathbf{v})$ .

Now  $\langle A(\mathbf{v}_1), \mathbf{v}_1 \rangle = B(\mathbf{v}_1, \mathbf{v}_1) = \lambda_1 = \lambda_1 \langle \mathbf{v}_1, \mathbf{v}_1 \rangle$ ;

$\langle A(\mathbf{v}_1), \mathbf{v}_2 \rangle = B(\mathbf{v}_1, \mathbf{v}_2) = 0 = \langle \mathbf{v}_1, \mathbf{v}_2 \rangle$ . Hence

$$\langle A(\mathbf{v}_1) - \lambda_1 \mathbf{v}_1, \mathbf{v}_i \rangle = 0$$

for  $i = 1, 2$ . Hence  $A(\mathbf{v}_1) = \lambda_1 \mathbf{v}_1$ .

Let  $\mathbf{v} = \sum_{i=1}^2 x^i \mathbf{v}_i$ , then

$$\begin{aligned} Q(\mathbf{v}) &= B(\mathbf{v}, \mathbf{v}) \\ &= \sum_{i,j=1}^2 x^i x^j B(\mathbf{v}_i, \mathbf{v}_j) \\ &= \sum_{i=1}^n \lambda_i (x^i)^2. \end{aligned}$$

# Principal curvatures

Let  $M$  be an orientable regular surface with orientation  $\mathbf{N}$ .

## Definition

Let  $\mathbf{e}_1, \mathbf{e}_2$  be an orthonormal basis on  $T_p(M)$  which diagonalizes  $\mathbb{I}\mathbb{I}_p$  with eigenvalues  $k_1$  and  $k_2$ . Then  $k_1, k_2$  are called the principal curvatures of  $M$  at  $p$  and  $\mathbf{e}_1, \mathbf{e}_2$  are called the principal directions. Suppose  $k_1 \leq k_2$  then all normal curvature  $k$  must satisfy  $k_1 \leq k \leq k_2$ .

## Proposition

With the above notations, if  $k_1 = k_2 = k$ , then every direction is a principal direction and in this case,  $S_p = \mathbf{kid}$ . (In this case, the point is said to be umbilical.) Moreover, the Gaussian curvature and the mean curvature are given by  $K(p) = k_1 k_2$ , and  $H(p) = \frac{1}{2}(k_1 + k_2)$ .