

## Definition

Let  $F : M_1 \rightarrow M_2$  be a diffeomorphism.  $F$  is said to be an *isometry* if for any  $p \in M_1$  and  $q = F(p)$ , the linear map  $dF : M_1 \rightarrow M_2$  is an isometry as inner product spaces. If there is an isometry from  $M_1$  onto  $M_2$ , then  $M_1$  is said to be isometric to  $M_2$ .

# Examples

- Let  $M_1$  be the  $xy$ -plane parametrized by  $\mathbf{X}(u, v) = (u, v, 0)$ .  
Let  $M_2$  be the circular cylinder parametrized by  $\mathbf{Y}(u, v) = (\cos u, \sin u, v)$ .

# Examples

- Let  $M_1$  be the  $xy$ -plane parametrized by  $\mathbf{X}(u, v) = (u, v, 0)$ . Let  $M_2$  be the circular cylinder parametrized by  $\mathbf{Y}(u, v) = (\cos u, \sin u, v)$ .
- Consider the map  $F : M_1 \rightarrow M_2$  so that  $\mathbf{X}(u, v)$  is mapped into  $\mathbf{Y}(u, v)$ . This is not a diffeomorphism, but is a local diffeomorphism. Note that

$$dF(\mathbf{X}_u) = \mathbf{Y}_u, dF(\mathbf{X}_v) = \mathbf{Y}_v.$$

Moreover,  $\langle \mathbf{X}_u, \mathbf{X}_u \rangle = 1 = \langle \mathbf{Y}_u, \mathbf{Y}_u \rangle$ ,  
 $\langle \mathbf{X}_v, \mathbf{X}_v \rangle = 1 = \langle \mathbf{Y}_v, \mathbf{Y}_v \rangle$ ,  $\langle \mathbf{X}_u, \mathbf{X}_v \rangle = 0 = \langle \mathbf{Y}_u, \mathbf{Y}_v \rangle$ . So this is a local isometry.

Let  $M_1$  be the  $xy$ -plane with the negative axis deleted, parametrized by  $\mathbf{X}(\rho, \theta) = (\rho \cos \theta, \rho \sin \theta, 0)$ . Let  $M_2$  be the cone  $\{z = k\sqrt{x^2 + y^2}\}$ , so that  $\cot \alpha = k$ ,  $0 < 2\alpha < \pi$  is the angle at the vertex. Parametrize the cone by

$$\mathbf{Y}(\rho, \theta) = \left( \rho \sin \alpha \cos\left(\frac{\theta}{\sin \alpha}\right), \rho \sin \alpha \sin\left(\frac{\theta}{\sin \alpha}\right), \rho \cos \theta \right)$$

Then it is a local isometry.

# Examples

Let  $M_1$  be the catenoid parametrized by

$$\mathbf{X}(u, v) = (a \cosh v \cos u, a \cosh v \sin u, av)$$

Let  $M_2$  be the helicoid given by

$$\mathbf{Y}(s, t) = (t \cos s, t \sin s, as).$$

Define a map  $F$  from  $M_1$  to  $M_2$  so that  $(u, v) \rightarrow (s, t) = (u, a \sinh v)$ . The Jacobian matrix is given by

$$\begin{pmatrix} 1 & 0 \\ 0 & a \cosh v \end{pmatrix}$$

Then  $dF(\mathbf{X}_u) = \mathbf{Y}_s$ ,  $dF(\mathbf{X}_v) = a \cosh v \mathbf{Y}_t$ . So

$$\langle \mathbf{X}_u, \mathbf{X}_u \rangle = a^2 \cosh^2 v = \langle dF(\mathbf{X}_u), dF(\mathbf{X}_u) \rangle$$

etc

## Theorem

*(Theorema Egregium of Gauss) The Gaussian curvature  $K$  is invariant under isometries. That is to say, the Gaussian curvature depends only on the first fundamental form.*

Recall the following.

- Let  $A = (a_{ij})$ ,  $B = (b_{ij})$  be two  $3 \times 3$  matrices. Let  $\mathbf{a}_i$  be the row vectors of  $A$  and  $\mathbf{b}_j$  be the column vectors of  $B$ . Then

$$AB = (\langle \mathbf{a}_i, \mathbf{b}_i \rangle).$$

## Proof:

- Let  $\mathbf{X}(u^1, u^2)$  be a local parametrization of a regular surface, and let  $g_{ij}$  be the coefficients of the first fundamental form and  $h_{ij}$  be the second fundamental form.



## Proof:

- Let  $\mathbf{X}(u^1, u^2)$  be a local parametrization of a regular surface, and let  $g_{ij}$  be the coefficients of the first fundamental form and  $h_{ij}$  be the second fundamental form.
- In the following, if  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  are three vectors,  $(\mathbf{a}, \mathbf{b}, \mathbf{c})$  is the ordered triple product of the three vectors. This is just equal to  $\det(\mathbf{a}, \mathbf{b}, \mathbf{c})$  as row vectors or as column vectors.

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$$h_{ij} = \langle \mathbf{N}, \mathbf{X}_{ij} \rangle = \frac{(\mathbf{X}_{ij}, \mathbf{X}_1, \mathbf{X}_2)}{\sqrt{\det(g_{ij})}}$$
$$=: \frac{\Theta_{ij}}{\sqrt{\det(g_{ij})}}.$$

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$$\begin{aligned}h_{ij} &= \langle \mathbf{N}, \mathbf{X}_{ij} \rangle = \frac{(\mathbf{X}_{ij}, \mathbf{X}_1, \mathbf{X}_2)}{\sqrt{\det(g_{ij})}} \\ &=: \frac{\Theta_{ij}}{\sqrt{\det(g_{ij})}}.\end{aligned}$$

- Now

$$K = \frac{\det(h_{ij})}{\det(g_{ij})} = \det(g_{ij})^{-2} (\Theta_{11}\Theta_{22} - \Theta_{12}^2)$$

$$\Theta_{11}\Theta_{22} = \det \begin{pmatrix} \langle \mathbf{X}_{11}, \mathbf{X}_{22} \rangle & \langle \mathbf{X}_{11}, \mathbf{X}_1 \rangle & \langle \mathbf{X}_{11}, \mathbf{X}_2 \rangle \\ \langle \mathbf{X}_1, \mathbf{X}_{22} \rangle & \langle \mathbf{X}_1, \mathbf{X}_1 \rangle & \langle \mathbf{X}_1, \mathbf{X}_2 \rangle \\ \langle \mathbf{X}_2, \mathbf{X}_{22} \rangle & \langle \mathbf{X}_2, \mathbf{X}_1 \rangle & \langle \mathbf{X}_2, \mathbf{X}_2 \rangle \end{pmatrix}$$

$$= \det \begin{pmatrix} \langle \mathbf{X}_{11}, \mathbf{X}_{22} \rangle & \frac{1}{2}(g_{11})_1 & (g_{12})_1 - \frac{1}{2}(g_{11})_2 \\ (g_{12})_2 - \frac{1}{2}(g_{22})_1 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_2 & g_{12} & g_{22} \end{pmatrix}$$

$$\Theta_{12}^2 = \det \begin{pmatrix} \langle \mathbf{X}_{12}, \mathbf{X}_{12} \rangle & \langle \mathbf{X}_{12}, \mathbf{X}_1 \rangle & \langle \mathbf{X}_{12}, \mathbf{X}_2 \rangle \\ \langle \mathbf{X}_1, \mathbf{X}_{12} \rangle & \langle \mathbf{X}_1, \mathbf{X}_1 \rangle & \langle \mathbf{X}_1, \mathbf{X}_2 \rangle \\ \langle \mathbf{X}_2, \mathbf{X}_{12} \rangle & \langle \mathbf{X}_2, \mathbf{X}_1 \rangle & \langle \mathbf{X}_2, \mathbf{X}_2 \rangle \end{pmatrix}$$

$$= \det \begin{pmatrix} \langle \mathbf{X}_{12}, \mathbf{X}_{12} \rangle & \frac{1}{2}(g_{11})_2 & \frac{1}{2}(g_{22})_1 \\ \frac{1}{2}(g_{11})_2 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_1 & g_{12} & g_{22} \end{pmatrix}$$

Hence

$$\begin{aligned} & \Theta_{11}\Theta_{22} - \Theta_{12}^2 \\ = & \det \begin{pmatrix} \langle \mathbf{X}_{11}, \mathbf{X}_{22} \rangle - \langle \mathbf{X}_{12}, \mathbf{X}_{12} \rangle & \frac{1}{2}(g_{11})_1 & (g_{12})_1 - \frac{1}{2}(g_{11})_2 \\ (g_{12})_2 - \frac{1}{2}(g_{22})_1 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_2 & g_{12} & g_{22} \end{pmatrix} \\ = & \det \begin{pmatrix} 0 & \frac{1}{2}(g_{11})_2 & \frac{1}{2}(g_{22})_1 \\ \frac{1}{2}(g_{11})_2 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_1 & g_{12} & g_{22} \end{pmatrix}. \end{aligned}$$

Now

$$\begin{aligned} & \langle \mathbf{X}_{11}, \mathbf{X}_{22} \rangle - \langle \mathbf{X}_{12}, \mathbf{X}_{12} \rangle \\ &= \langle \mathbf{X}_1, \mathbf{X}_{22} \rangle_1 - \langle \mathbf{X}_1, \mathbf{X}_{221} \rangle - \langle \mathbf{X}_1, \mathbf{X}_{12} \rangle_2 + \langle \mathbf{X}_1, \mathbf{X}_{122} \rangle \\ &= \left( (g_{12})_2 - \frac{1}{2}(g_{22})_1 \right)_1 - \frac{1}{2}g_{11,22} \\ &= g_{12,12} - \frac{1}{2}(g_{11,22} + g_{22,11}). \end{aligned}$$

Hence

$$\begin{aligned}
 & (\det(g_{ij}))^2 K \\
 = & \det \begin{pmatrix} g_{12,12} - \frac{1}{2}(g_{11,22} + g_{22,11}) & \frac{1}{2}(g_{11})_1 & (g_{12})_1 - \frac{1}{2}(g_{11})_2 \\ (g_{12})_2 - \frac{1}{2}(g_{22})_1 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_2 & g_{12} & g_{22} \end{pmatrix} \\
 & - \det \begin{pmatrix} 0 & \frac{1}{2}(g_{11})_2 & \frac{1}{2}(g_{22})_1 \\ \frac{1}{2}(g_{11})_2 & g_{11} & g_{12} \\ \frac{1}{2}(g_{22})_1 & g_{12} & g_{22} \end{pmatrix}.
 \end{aligned}$$

Hence  $K$  depends only on  $g_{ij}$  and their derivatives up to second order.

# Christoffel symbols

Let  $\mathbf{X}(u^1, u^2)$  is a coordinate parametrization. Let  $\mathbf{X}_i = \mathbf{X}_{u^i}$ ,  $g_{ij} = \langle \mathbf{X}_i, \mathbf{X}_j \rangle$ ,  $(g^{ij}) = (g_{ij})^{-1}$ . Then

$$\mathbf{X}_{ij} = \Gamma_{ij}^k \mathbf{X}_k + h_{ij} \mathbf{N}. \quad (1)$$

**(Einstein summation convention: repeated indices mean summation.)**

$\Gamma_{ij}^k$  are called the **Christoffel symbols** for this parametrization.



# To compute $\Gamma_{ij}^k$

## Lemma

$\Gamma_{ij}^k = \Gamma_{ji}^k$  and

$$\Gamma_{ij}^k = \frac{1}{2} \sum_{l=1}^2 g^{kl} (g_{il,j} + g_{jl,i} - g_{ij,l}).$$

where  $g_{ij,l} = \frac{\partial}{\partial u^l} g_{ij}$  etc.

**Proof:**  $\mathbf{X}_{ij} = \mathbf{X}_{ji}$ , so  $\Gamma_{ij}^k = \Gamma_{ji}^k$ .

$$\langle \mathbf{X}_{ij}, \mathbf{X}_l \rangle = \Gamma_{ij}^k g_{kl}$$

So

$$g_{il,j} - \langle \mathbf{X}_i, \mathbf{X}_{lj} \rangle = \Gamma_{ij}^k g_{kl}$$

So

$$g_{il,j} = \Gamma_{ij}^k g_{kl} + \Gamma_{lj}^k g_{ki}.$$

Hence we have

$$\begin{cases} g_{il,j} = \Gamma_{ij}^k g_{kl} + \underline{\Gamma_{lj}^k g_{ki}}. \\ g_{jl,i} = \Gamma_{ji}^k g_{kl} + \underline{\underline{\Gamma_{li}^k g_{kj}}}. \\ g_{ij,l} = \underline{\underline{\Gamma_{il}^k g_{kj}}} + \underline{\Gamma_{jl}^k g_{ki}}. \end{cases}$$

Hence

$$g_{il,j} + g_{jl,i} - g_{ij,l} = 2\Gamma_{ij}^k g_{kl}.$$

From this the result follows.

# Examples

- Let  $M$  be the  $xy$ -plane parametrized by  $\mathbf{X}(u, v) = (u, v, 0)$ .  
Then  $\Gamma_{ij}^k = 0$  for all  $i, j, k$ .

So  $\Gamma_{22}^1 = -r$ ,  $\Gamma_{12}^2 = r^{-1}$ , all other  $\Gamma$ 's are zero.

# Examples

- Let  $M$  be the  $xy$ -plane parametrized by  $\mathbf{X}(u, v) = (u, v, 0)$ . Then  $\Gamma_{ij}^k = 0$  for all  $i, j, k$ .
- If we use polar coordinates,  $\mathbf{X}(r, \theta) = (r \cos \theta, r \sin \theta, 0)$ . If  $u^1 \leftrightarrow r, u^2 \leftrightarrow \theta$ . Then  $g_{11} = 1, g_{12} = 0, g_{22} = r^2$ . So  $g^{11} = 1, g^{12} = 0, g^{22} = r^{-2}$ . Then

$$\Gamma_{ij}^1 = \frac{1}{2} g^{1k} (g_{ik,j} + g_{jk,i} - g_{ij,k}) = \frac{1}{2} (g_{i1,j} + g_{j1,i} - g_{ij,1})$$

Similarly,

$$\Gamma_{ij}^2 = \frac{1}{2} g^{2k} (g_{ik,j} + g_{jk,i} - g_{ij,k}) = \frac{1}{2} r^{-2} (g_{i2,j} + g_{j2,i} - g_{ij,2}).$$

So  $\Gamma_{22}^1 = -r, \Gamma_{12}^2 = r^{-1}$ , all other  $\Gamma$ 's are zero.

## Examples, cont.

Consider the surface of revolution given by

$$\mathbf{X}(u, v) = (\alpha(v) \cos u, \alpha(v) \sin u, \beta(v))$$

with  $\alpha > 0$ . Consider  $u^1 \leftrightarrow u, u^2 \leftrightarrow v$ . Then

$$g_{11} = \alpha^2, g_{12} = 0, g_{22} = (\alpha')^2 + (\beta')^2. \text{ So}$$
$$g^{11} = \alpha^{-2}, g^{12} = 0, g^{22} = ((\alpha')^2 + (\beta')^2)^{-1}.$$

$$\Gamma_{ij}^1 = \frac{1}{2} g^{1k} (g_{ik,j} + g_{jk,i} - g_{ij,k}) = \frac{1}{2} \alpha^{-2} (g_{i1,j} + g_{j1,i} - g_{ij,1}).$$

So

$$\Gamma_{11}^1 = \frac{1}{2} \alpha^{-2} g_{11,1} = 0, \quad \Gamma_{22}^1 = \frac{1}{2} \alpha^{-2} g_{22,1} = 0,$$

$$\Gamma_{12}^1 = \frac{1}{2} \alpha^{-2} g_{11,2} = \frac{\alpha'}{\alpha}.$$

Similarly,

$$\Gamma_{ij}^2 = \frac{1}{2}g^{2k} (g_{ik,j} + g_{jk,i} - g_{ij,k}) = \frac{1}{2}g^{22} (g_{i2,j} + g_{j2,i} - g_{ij,2}).$$

Hence

$$\Gamma_{11}^2 = -\frac{1}{2}g^{22}g_{11,2} = -\frac{\alpha\alpha'}{(\alpha')^2 + (\beta')^2}, \Gamma_{22}^2 = \frac{1}{2}g^{22}g_{22,2} = \frac{\alpha'\alpha'' + \beta'\beta''}{(\alpha')^2 + (\beta')^2}.$$

$$\Gamma_{12}^2 = \frac{1}{2}g^{22}g_{22,1} = 0.$$

## Examples, cont.

In general, if  $g_{12} = 0$ , then

$$\Gamma_{ij}^k = \frac{1}{2} \sum_{l=1}^2 g^{kl} (g_{il,j} + g_{jl,i} - g_{ij,l}) = \frac{1}{2} g^{kk} (g_{ik,j} + g_{jk,i} - g_{ij,k})$$

no summation. So

$$\Gamma_{11}^1 = \frac{1}{2} g^{11} g_{11,1}, \quad \Gamma_{11}^2 = -\frac{1}{2} g^{22} g_{11,2};$$

$$\Gamma_{22}^1 = -\frac{1}{2} g^{11} g_{22,1}, \quad \Gamma_{22}^2 = \frac{1}{2} g^{22} g_{22,2};$$

$$\Gamma_{12}^1 = \frac{1}{2} g^{11} g_{11,2}, \quad \Gamma_{12}^2 = \frac{1}{2} g^{22} g_{22,1}.$$

# Second proof of Theorema Egregium of Gauss

## Theorem

With the above notations, then

$$2K = g^{ij} \left( \Gamma_{ij,k}^k - \Gamma_{ik,j}^k + \Gamma_{lk}^k \Gamma_{ji}^l - \Gamma_{lj}^k \Gamma_{ki}^l \right) = g^{ij} (\Gamma_{i[j,k]}^k + \Gamma_{l[k]j}^l).$$

Here  $T_{[ij]k} = T_{ijk} - T_{jik}$  etc.

Compare with higher dimensional Riemannian curvature:

$$R_{ijk}^l = \Gamma_{ik,j}^l - \Gamma_{ij,k}^l + \Gamma_{js}^l \Gamma_{ik}^s - \Gamma_{ks}^l \Gamma_{ij}^s$$



**Proof:** Let  $\mathcal{S}$  be the shape operator, then

$$-\mathbf{N}_i = \mathcal{S}(\mathbf{X}_i) = a_i^j \mathbf{X}_j.$$

$$\begin{aligned} \mathbf{X}_{ijm} &= h_{ij,m} \mathbf{N} + h_{ij} \mathbf{N}_m + \Gamma_{ij,m}^k \mathbf{X}_k + \Gamma_{ij}^k \mathbf{X}_{km} \\ &= \left( h_{ij,m} + \Gamma_{ij}^k h_{km} \right) \mathbf{N} + \left( -h_{ij} a_m^k + \Gamma_{ij,m}^k + \Gamma_{ij}^s \Gamma_{sm}^k \right) \mathbf{X}_k \end{aligned}$$

Since  $\mathbf{X}_{ijm} = \mathbf{X}_{imj}$ , we have

$$\left( -h_{ij} a_m^k + \Gamma_{ij,m}^k + \Gamma_{ij}^s \Gamma_{sm}^k \right) \mathbf{X}_k = \left( -h_{im} a_j^k + \Gamma_{im,j}^k + \Gamma_{im}^s \Gamma_{sj}^k \right) \mathbf{X}_k$$

Or

$$h_{ij} a_m^k - h_{im} a_j^k = \Gamma_{ij,m}^k - \Gamma_{im,j}^k + \Gamma_{ij}^s \Gamma_{ms}^k - \Gamma_{im}^s \Gamma_{js}^k$$

## Proof, cont.

Now the matrix of the shape operator is:

$$(a_i^j) = (h_{ij})(g_{ij})^{-1}$$

So  $h_{ji} = h_{ij} = a_i^l g_{lj} = a_j^l g_{li}$ . Hence

$$a_i^l g_{lj} a_m^k - a_m^l g_{li} a_j^k = \Gamma_{ij,m}^k - \Gamma_{im,j}^k + \Gamma_{ij}^s \Gamma_{ms}^k - \Gamma_{im}^s \Gamma_{js}^k.$$

Let  $m = k$  and sum on  $k$

$$\begin{aligned} & g^{ij} \left( \Gamma_{ij,k}^k - \Gamma_{ik,j}^k + \Gamma_{ij}^s \Gamma_{ks}^k - \Gamma_{ik}^s \Gamma_{js}^k \Gamma_{ij,k} \right) \\ &= g^{ij} \left( a_i^l g_{lj} a_k^k - a_k^l g_{li} a_j^k \right) \\ &= \left( \sum_i a_i^i \right)^2 - \sum_{l,k} a_l^k a_k^l \\ &= 2a_{11}a_{22} - 2a_1^2 a_2^1 \\ &= 2K \end{aligned}$$

# Compatibility conditions

Given  $(g_{ij})$  which is symmetric and positive definite and  $(h_{ij})$  which is symmetric, can we find  $\mathbf{X}(u^1, u^2)$  so that the first fundamental form is  $h_{ij}$ ? If  $\mathbf{X}_i$  exist, then we can find  $\mathbf{X}$ . The restriction on  $\mathbf{X}_i$  are

$$\mathbf{X}_{ijk} = \mathbf{X}_{ikj}, \quad \mathbf{N}_{ij} = \mathbf{N}_{ji}.$$

Hence we have

$$\left(-h_{ij}a_m^k + \Gamma_{ij,m}^k + \Gamma_{ij}^s \Gamma_{sm}^k\right) \mathbf{X}_k = \left(-h_{im}a_j^k + \Gamma_{im,j}^k + \Gamma_{im}^s \Gamma_{sj}^k\right) \mathbf{X}_k$$

with  $a_i^j = h_{il}g^{lj}$ . We have three relations for each  $\mathbf{X}_i$ . Now

$$\begin{aligned} -\mathbf{N}_{ij} &= (a_i^k \mathbf{X}_k)_j \\ &= (a_i^k)_j \mathbf{X}_k + a_i^k (\Gamma_{jk}^l \mathbf{X}_l + a_j^k h_{jk} \mathbf{N}) \\ &= \left((a_i^k)_j + a_i^l \Gamma_{jl}^k\right) \mathbf{X}_k + a_i^k h_{jk} \mathbf{N} \end{aligned}$$

So we also need, for  $k = 1, 2$

$$(a_i^k)_j + a_i^l \Gamma_{jl}^k = (a_j^k)_i + a_j^l \Gamma_{il}^k.$$

These are called Gauss equations and Mainardi-Codazzi equations respectively.