

## More about bases

Goal: show that any subspace  $V \subset \mathbb{R}^n$  has a basis.

Recall: ① If  $\vec{v}_1, \dots, \vec{v}_m \in \mathbb{R}^n$  &  $m > n$ , then  $\vec{v}_1, \dots, \vec{v}_n$  are linearly dependent.

Theorem ②: Let  $S = \{\vec{v}_1, \dots, \vec{v}_m\} \in \mathbb{R}^n$ .

Suppose  $S$  is linearly independent.

Let  $\vec{u} \in \mathbb{R}^n$ ,  $\vec{u} \notin \langle S \rangle$ .

Then  $\langle S, \vec{u} \rangle = \{\vec{v}_1, \dots, \vec{v}_m, \vec{u}\}$  is

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also linearly independent.

Proof: Suppose not. (proof by contradiction).

Then  $\exists \alpha_1, \dots, \alpha_{m+1}$  s.t.

$$\alpha_1 \vec{v}_1 + \dots + \alpha_m \vec{v}_m + \alpha_{m+1} \vec{u} = \vec{0}_n$$

$$\Rightarrow \underline{\alpha_1 \vec{v}_1 + \dots + \alpha_m \vec{v}_m} = - \underline{\alpha_{m+1} \vec{u}}$$

Two possibilities: a)  $\alpha_{m+1} = 0$   
or

b)  $\alpha_{m+1} \neq 0$ .

$$a) \alpha_1 \vec{v}_1 + \dots + \alpha_m \vec{v}_m = \vec{0}_n$$

since  $\vec{v}_1, \dots, \vec{v}_m$  are linearly independent,

it follows that  $\alpha_1, \dots, \alpha_m = 0$

$$\Rightarrow \alpha_1 = \alpha_2 = \dots = \alpha_m = \alpha_{m+1} = 0$$

b) divided on both sides:

$$-\frac{\alpha_1}{\alpha_{m+1}} \vec{v}_1 + \dots - \frac{\alpha_m}{\alpha_{m+1}} \vec{v}_m = \vec{u}$$

but we assumed  $\vec{u} \notin \langle \vec{v}_1, \dots, \vec{v}_m \rangle$ .

so we have a contradiction.  $\square$

Recall: (3) If  $\vec{v}_1, \dots, \vec{v}_n \in V \subset \mathbb{R}^m$ , then  
 $\langle \vec{v}_1, \dots, \vec{v}_n \rangle \subset V$ .

Theorem: let  $V \subset \mathbb{R}^n$  be a subspace,  $V \neq \{\vec{0}_n\}$ .  
then  $V$  has a basis.

Proof: Pick  $\vec{v}_1 \in V$ ,  $\vec{v}_1 \neq \vec{0}_n$ . By (3),  
 $\langle \vec{v}_1 \rangle \subset V$ . If  $\langle \vec{v}_1 \rangle = V$ , then  
 $\vec{v}_1$  is a basis of  $V$ .



If  $\langle \vec{v}_1 \rangle \neq V$ , then  $\exists \vec{v}_2 \in V$ ,  
 $\vec{v}_2 \notin \langle \vec{v}_1 \rangle$ .

By (3),  $\langle \vec{v}_1, \vec{v}_2 \rangle \subset V$ .

By (2),  $\{\vec{v}_1, \vec{v}_2\}$  is linearly independent.

If  $\langle \vec{v}_1, \vec{v}_2 \rangle = V$ , it is a basis.

If not,  $\exists \vec{v}_3 \in V$ ,  $\vec{v}_3 \notin \langle \vec{v}_1, \vec{v}_2 \rangle$ .

By (3),  $\langle \vec{v}_1, \vec{v}_2, \vec{v}_3 \rangle \subset V$ .

By (2),  $\{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$  is linearly independent.

If  $\langle \vec{v}_1, \vec{v}_2, \vec{v}_3 \rangle = V$ , then they are a basis.

Continue in this manner.

Claim: after a finite number of steps, get a basis

$\vec{v}_1, \dots, \vec{v}_m$  of  $V$ .

Proof of claim: if not, after  $n+1$  steps, we would

have  $n+1$  linearly independent vectors in  $\mathbb{R}^n$ .

By (1), this is impossible.  $\square$

Note: In fact, the proof produces a basis of  $V \subset \mathbb{R}^n$  with at most  $n$  vectors.

Definition: Let  $V \subset \mathbb{R}^n$  be a subspace. Let

$\vec{v}_1, \dots, \vec{v}_m$  be a basis of  $V$ .

We call  $m$  the "dimension" of  $V$ .

Note: this appears to depend on the choice of basis.

It does not, by the following theorem:

Theorem: Let  $\vec{u}_1, \dots, \vec{u}_m$  &  $\vec{v}_1, \dots, \vec{v}_{m'}$  be two basis  
of  $V \subset \mathbb{R}^n$ . Then  $m = m'$ .

Examples: ① dimension of  $\mathbb{R}^3$  is 3  
(sample basis:  $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$ ).



② dimension of  $\mathbb{R}^n$  is  $n$ .

③  $\dim \mathcal{C}(A) = 2$

$$A = \begin{bmatrix} 1 & 1 & 2 \\ 1 & 1 & 2 \\ 0 & 1 & 1 \end{bmatrix}$$

- "Dimension" measures the size of a subspace  $V \subset \mathbb{R}^n$ .
- If  $V \subset \mathbb{R}^n$ , dimension of  $V \leq n$ .

Notations: We write  $\dim V$  for the dimension of  $V$ .

Definition: let  $A \in M_{mn}$ .

We call  $\dim \mathcal{C}(A)$  ( $\mathcal{C}(A) \subset \mathbb{R}^m$ )

the "rank" of  $A$ .

We call  $\dim \mathcal{N}(A)$  ( $\mathcal{N}(A) \subset \mathbb{R}^n$ )

big rank  
big  $\mathcal{C}(A)$   
big  $\mathcal{N}(A)$

→ lots of  
of the form  
 $A\vec{x}$ .

the "nullity" of  $A$ .

↗ big nullity  
→ big nullspace  
→ lots of  $\vec{x}$  s.t.  
 $A\vec{x} = \vec{0}_m$ .

Example:  $A = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$

Exercise: Show that  $A$  has rank = 1,  
nullity = 2.

We'll see, next class, the shortcut to computing  
these numbers.