

Curriculum vitae: Paul Brian McGuinness

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Marital Status: Married (with three children); Nationality (Dual): British/Irish.

I **Background:** I am a Tenured Professor in the Department of Finance at the Chinese University of Hong Kong (CUHK), having also served as Department Chairman (Aug 2000–Jul 2004; & Aug 2005–Jul 2009). Before joining CUHK, I was a faculty member at the University of Warwick. While on leave from CUHK, I have held positions as the National Bank Chair of Finance at Victoria University of Wellington (1996), Head of Research at the Securities and Futures Commission (Jun–Dec 2000), and Visiting Professor, Macquarie University (Feb–Jun 2008). My research addresses important issues within the fields of financial markets, Chinese equity markets and corporate finance. I have also conducted consultancy and training on securities market issues, and have published widely in internationally-refereed journals. I am also author of *A Guide to the Equity Markets of Hong Kong* (Oxford University Press, 1999).

Degrees: BA Honours: Accounting and Economics (First Class Degree) University of Newcastle, UK (1984)
MPhil: Economics University of Cambridge, UK (1985)
PhD: School of Business and Economic Studies University of Leeds, UK (1992)

II **Published papers in refereed ABS 3* or 4* journals (@) (2015-present)**

1. Ning Cao, Paul B. McGuinness & Chao Xi, **2021**. Does securities enforcement improve disclosure quality? An examination of Chinese listed companies' restatement activities. *Journal of Corporate Finance* (online, 7 January 2021). **ABS 4***
2. Paul B. McGuinness, **2020**. Board member age, stock seasoning and the evolution of capital structure in Chinese firms, *International Business Review* (online, 14 December 2020). **ABS 3***
3. Paul B. McGuinness, Joao Vieito, & Mingzhu Wang, **2020**. Proactive government intervention, board gender balance, and stakeholder engagement in China and Europe, *Asia-Pacific Journal of Management*, 37: 719-762. **ABS 3***
4. Paul B. McGuinness, **2019**. Beyond the board realm: Women in senior management and their impact on IPO capital funding, *British Journal of Management*, 30: 389-414. **ABS 4***
5. Paul B. McGuinness, **2019**. Risk factor and use of proceeds declarations and their effects on IPO subscription, price "fixings", liquidity and after-market returns, *The European Journal of Finance*, 25: 1122-1146. **ABS 3***
6. Charlie X. Cai, Paul B. McGuinness & Qi Zhang, **2018**. Credit Scores and the performance of newly-listed stocks: An exploration of the Chinese A-share market, *Review of Quantitative Finance and Accounting*, 51: 79-111. **ABS 3***
7. Paul B. McGuinness, **2018**. IPO firm performance and its link with board officer gender, family-ties and other demographics, *Journal of Business Ethics*, 152: 499-521. **ABS 3***
8. Paul B. McGuinness, Joao Vieito, & Mingzhu Wang, **2017**. The role of board gender and foreign ownership in the CSR performance of Chinese listed firms, *Journal of Corporate Finance*, 42: 75-99. **ABS 4***
9. Charlie X. Cai, Paul B. McGuinness, & Qi Zhang, **2017**. Capital account reform and short- and long-run stock price leadership. *The European Journal of Finance*, 23(10): 916-945. **ABS 3***
10. Paul B. McGuinness, **2016**. Voluntary profit forecast disclosures, IPO pricing revisions and after-market earnings drift. *International Review of Financial Analysis*, 46: 70-83. **ABS 3***
11. Paul B. McGuinness, **2016**. Post-IPO performance and its association with subscription cascades and issuers' strategic-political importance. *Review of Quantitative Finance and Accounting*, 46(2): 291-333. **ABS 3***
12. Paul B. McGuinness, Kevin C. K. Lam, & Joao Vieito, **2015**. Gender and other major board characteristics in China: Explaining corporate dividend policy and governance. *Asia Pacific Journal of Management*, 32: 989-1038. **ABS 3***

(@) See ABS Journal Ratings, [Academic Journal Guide 2015](http://academicjournalguide2015.org), The Chartered Association of Business Schools (<http://charteredabs.org/academic-journal-guide-2015-view/>). For 2015, ABS describes journal ratings under an AJG 2015 heading (AJG = Academic Journal Guide).

III **Books:** *A Guide to the Equity Markets of Hong Kong* (Paul B. McGuinness)
Publisher: *Oxford University Press* (in print from early November **1999**; now in 6th imprint).

IV **Projects/papers in-progress**

- Paul B. McGuinness, Joao Vieito, & Mingzhu Wang. "The influence of analyst gender, board diversity and CSR on stock recommendations" (under first-round review).
- Paraskevi Katsiampa, Paul B. McGuinness, Jean-Philippe Serbera & Kun Zhao. "The evolving risk, efficiency and performance characteristics of Chinese banks in the era of Fintech."
- Michael Ferguson & Paul B. McGuinness. "Profit Warnings and firm governance characteristics."
- Ning Cao, Paul B. McGuinness & Chao Xi. "The role of minority shareholder activity and activism in stimulating investment efficiency in Chinese listed firms."