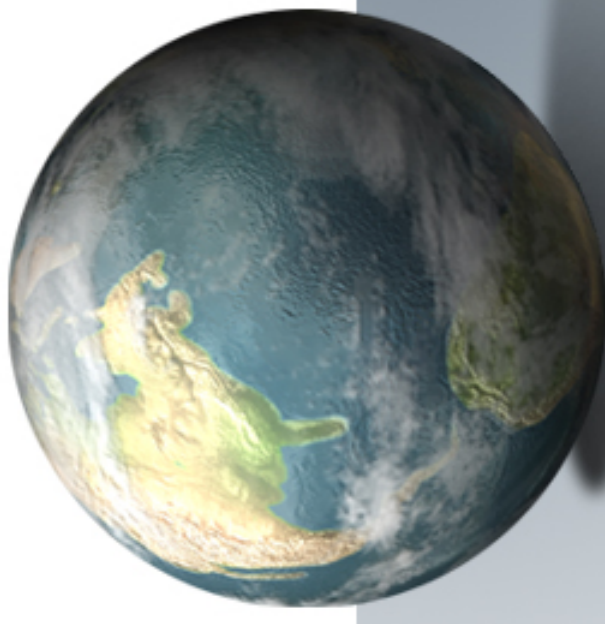


Nonrigid Surface Modelling and Fast Recovery



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Outline



- Introduction
- Two-stage Scheme with Active Appearance Models for nonrigid surface recovery
- Progressive Finite Newton Approach to nonrigid surface detection
- Conclusion



Introduction



- Nonrigid surface modelling and detection are essentially the computer vision tasks in a variety of applications:
 - image alignment
 - medial imaging
 - augmented reality
 - human computer interaction
 - digital entertainment



Nonrigid Surface Modelling



- Data embedding: Principal Component Analysis
 - Active Appearance Models
 - Active Shape Models
 - 3D Morphable Models
- Physical model: Finite Element Model (FEM)
 - Active Contours (Snakes)
- Thin-Plate Spline
 - TPS-RPM
 - Shape Context
 - Gaussian Mixture Models based points set registration



Nonrigid Surface Recovery



- Appearance-based Method
 - Also known as direct method
 - Lucas-Kanade algorithm based methods [LK IJCAI'81]
 - Active Appearance Models [Cootes PAMI'01]
 - 3D Morphable Models [Vetter Siggraph'99, PAMI'01]
 - 2D+3D AAMs [Xiao CVPR'04]
- Feature-based Method
 - Shape context [Belongie PAMI'02]
 - TPS-RPM [Hui CVIU'03]
 - Kernel correlation [ECCV'04]
 - Gaussian mixture models [Jian ICCV'05]
 - Semi-implicit optimization scheme [Pilet CVPR'05, IJCV'07]





Part I

A Two-stage Scheme for Nonrigid Surface Recovery with Active Appearance Models



Part I: Structure



- Motivation
- Extended Active Appearance Models (AAMs) fitting
- Two stage scheme for nonrigid surface recovery
 - Offline construction of 3D shape model
 - Estimate 3D pose and non-rigid shape parameters
- Experimental Results
- Summary of Part I



Motivation



- Nonrigid shape recovery for Augmented Reality
 - Rigid Object
 - L. Vacchetti et al. (PAMI'04) proposed an efficient solution for 3D rigid object tracking
 - Two 2D AAMs approach for rigid object pose estimation
 - Non-rigid Object
 - V.Blandz: 3D Morphable Models
 - J.Ahlberg: 3D AAM with generic Model.
 - Jing X. (CVPR'05) 2D+3D AAM



Active Appearance Models



- AAMs is defined by its shape and texture
- The 2D shape and texture are controlled by a statistical model. They can be represented as a base plus a linear combination of variations:

$$\mathbf{s} = (x_1, \dots, x_n, y_1, \dots, y_n)^T$$

$$\mathbf{s} = \bar{\mathbf{s}} + \mathbf{P}_s \mathbf{b}_s \quad \mathbf{s} = \bar{\mathbf{s}} + \mathbf{P}_s \mathbf{W}_s^{-1} \mathbf{P}_{cs} \mathbf{c}$$

$$\mathbf{t} = \bar{\mathbf{t}} + \mathbf{P}_t \mathbf{b}_t \quad \mathbf{t} = \bar{\mathbf{t}} + \mathbf{P}_t \mathbf{P}_{ct} \mathbf{c}$$

- Direct Appearance Models $\mathbf{b}_s = \mathbf{P}_{cs} \mathbf{P}_{ct}^+ \mathbf{b}_t$
 $\mathbf{s} = \bar{\mathbf{s}} + \mathbf{Q}_s \mathbf{b}_t \quad \mathbf{Q}_s = \mathbf{P}_s \mathbf{W}_s^{-1} \mathbf{P}_{cs} \mathbf{P}_{ct}^+$
 $\mathbf{t} = \bar{\mathbf{t}} + \mathbf{P}_t \mathbf{b}_t$



Extended AAMs Fitting Algorithm



- Object:
- $$\|I - Im\|$$
- Idea: the proposed approach predicts shape directly from texture

$$\delta t = R_t r,$$

$$\delta b_t = R_g r.$$

where $r = g_i - g_m$, is the residual image



Extended AAM Fitting



The algorithm of AAM Matching

1. Generate texture vector \mathbf{g}_m from model
 2. Sample image below the model shape \mathbf{g}_i
 3. Evaluate error vector $\mathbf{r} = \mathbf{g}_i - \mathbf{g}_m$ and error $\mathbf{E} = |\mathbf{r}|$
 4. Compute displacements in pose $\delta\mathbf{t} = \mathbf{R}_t\mathbf{r}$
 5. Compute displacements in texture $\delta\mathbf{b}_t = \mathbf{R}_g\mathbf{r}$
 6. Update pose and texture parameters with initial $k = 1$
 7. Transform the shape by the estimated parameters
 8. Repeat step 1-3 to form a new error \mathbf{E}'
 9. If $\mathbf{E}' < \mathbf{E}$ accept the new estimate,
otherwise goto step 6 to try other $k=0.5, 0.25, \dots$
-



AAM Fitting Sample



The AAMs are built up with 140 still face image belonging to 20 individuals, 7 images for each. The fitting experiment is performed on an AAM with 14 shape parameters, 68 texture parameters, and 36335 color pixels.



Two-stage Scheme Nonrigid Surface Recovery



- **Training and building offline basis**

Acquire the 2D shape of objects using the AAM fitting algorithm, then construct the 3D shape basis.

- **Online tracking**

- Step 1. 2D AAM fitting and tracking
- Step 2. estimate the 3D pose and shape parameters simultaneously via local bundle adjustment by building up the point correspondences between 2D and 3D.



Building Offline 3D Model



- 3D shape

$$\mathbf{S} = \mathbf{S}_0 + \sum_{i=1}^m p_i \mathbf{S}_i \quad \mathbf{S}, \mathbf{S}_i \in \mathbb{R}^{3 \times n}, p_i \in \mathbb{R}$$

- Weak-perspective projection

$$\begin{bmatrix} u_1 & u_2 & \cdots & u_n \\ v_1 & v_2 & \cdots & v_n \end{bmatrix} = \mathbf{R} \cdot \left(\sum_{i=0}^m p_i \mathbf{S}_i \right) + \mathbf{T}$$



Building Offline 3D Model



- Factorization method [Bregler CVPR'00, CVPR'01]

$$W = \begin{bmatrix} u_1^1 & \dots & u_n^1 \\ v_1^1 & \dots & v_n^1 \\ \vdots & \vdots & \vdots \\ u_1^N & \dots & u_n^N \\ v_1^N & \dots & v_n^N \end{bmatrix} = \underbrace{\begin{bmatrix} \mathbf{R}_1 & p_1^1 \mathbf{R}_1 & \dots & p_m^1 \mathbf{R}_1 \\ \mathbf{R}_2 & p_1^2 \mathbf{R}_2 & \dots & p_m^2 \mathbf{R}_2 \\ \vdots & \vdots & \vdots & \vdots \\ \mathbf{R}_N & p_1^N \mathbf{R}_N & \dots & p_m^N \mathbf{R}_N \end{bmatrix}}_M \cdot \underbrace{\begin{bmatrix} \mathbf{S}_0 \\ \mathbf{S}_1 \\ \vdots \\ \mathbf{S}_m \end{bmatrix}}_B$$

$$\mathbf{M} = \tilde{\mathbf{M}} \cdot \mathbf{G}$$

$$\mathbf{B} = \mathbf{G}^{-1} \cdot \tilde{\mathbf{B}}$$



Online Algorithm



- The optimization problem can be derived as:

$$\min_{\mathbf{R}, \mathbf{T}, p} \rho \left(\mathbf{s}, \phi \left(\mathbf{A}[\mathbf{R}|\mathbf{T}], \mathbf{S}_0 + \sum_{i=1}^m p_i \mathbf{S}_i \right) \right)$$

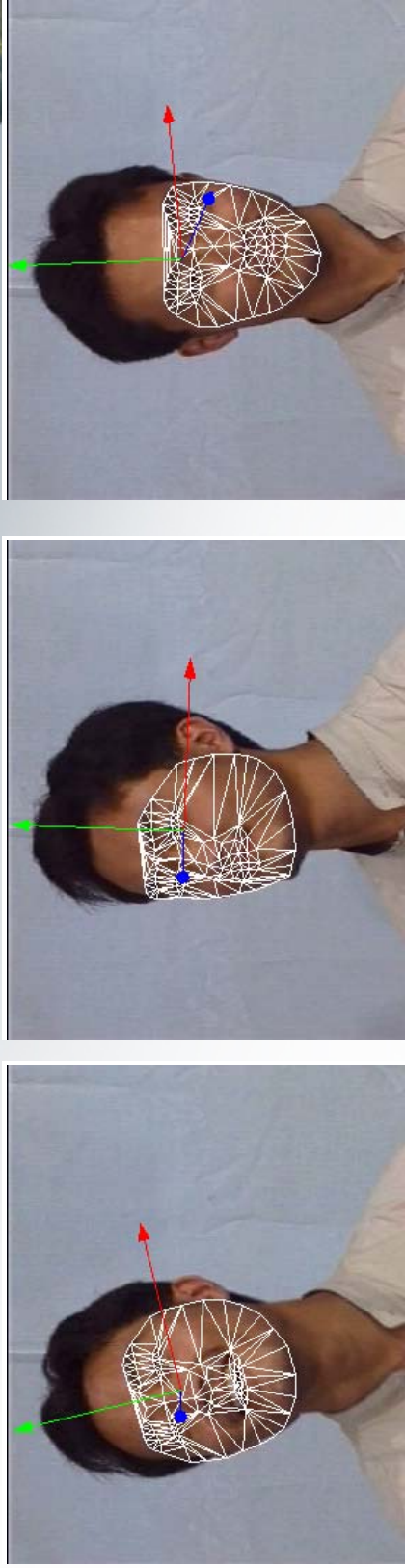
where

$$\rho(u) = \begin{cases} \frac{\alpha^2}{6} [1 - (1 - (\frac{u}{\alpha})^2)^3], & |u| \leq \alpha \\ \frac{\alpha^2}{6}, & |u| > \alpha \end{cases}$$

$\phi(\mathbf{A}[\mathbf{R}|\mathbf{T}], \mathbf{S}_0 + \sum_{i=1}^m p_i \mathbf{S}_i)$ denotes the projection of 3D shape given the parameters A, R and T.



Experimental Results I



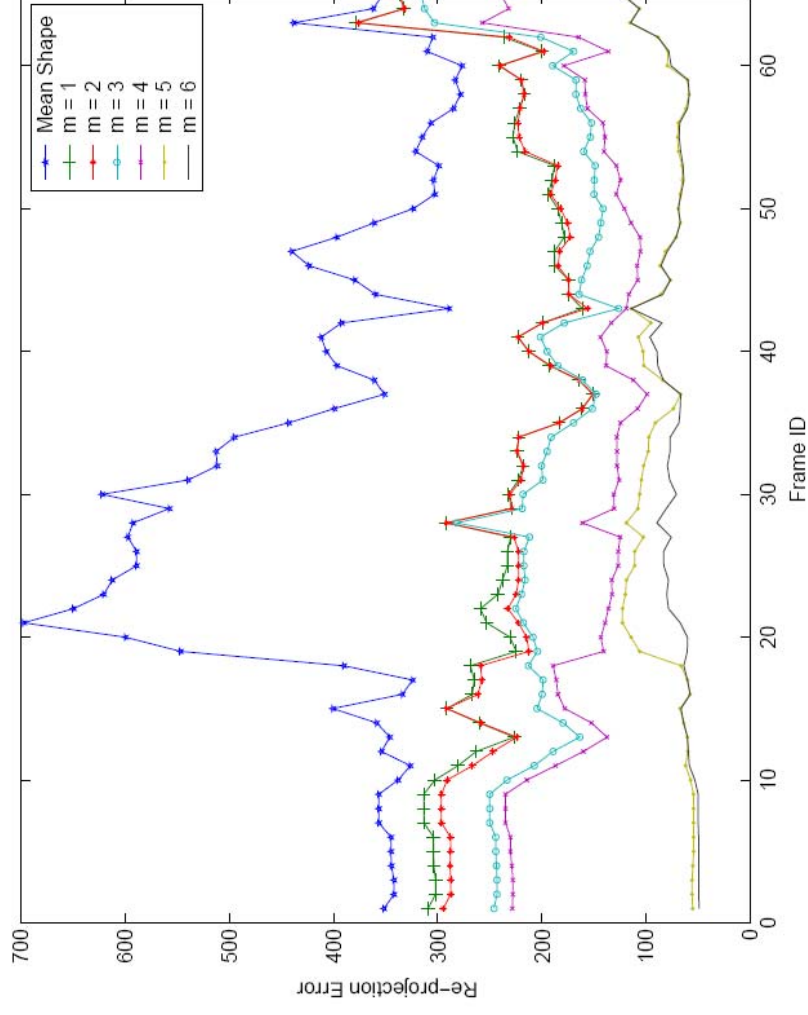
Pentium III 1GHz CPU, 200ms per image of size 352x288. AAM fitting takes 40ms and 3D recovery step takes 74ms. The AAM with 10 shape parameters, 52 texture parameters. 6 camera parameters and 6 3D shape parameters.



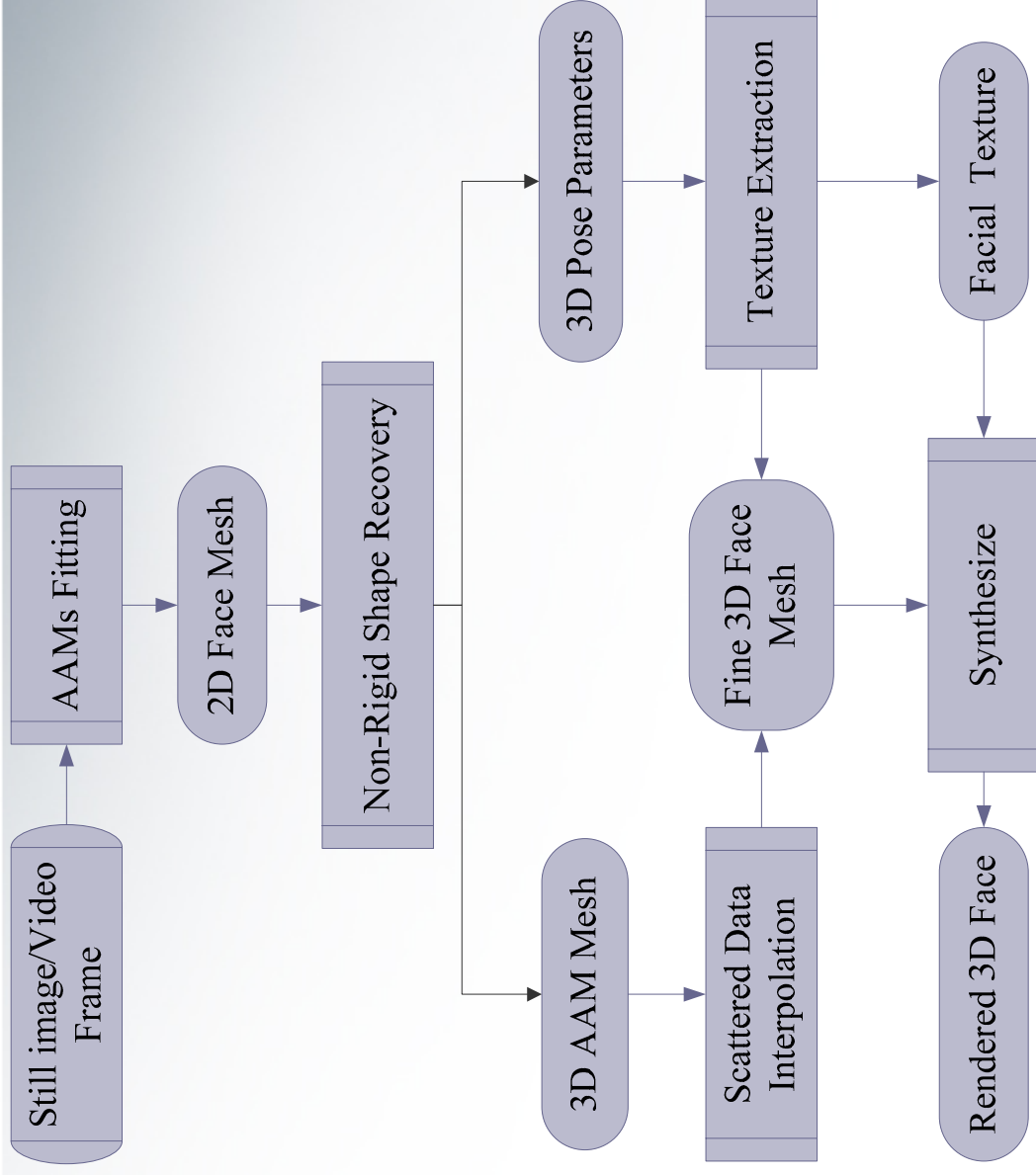
Experimental Results II



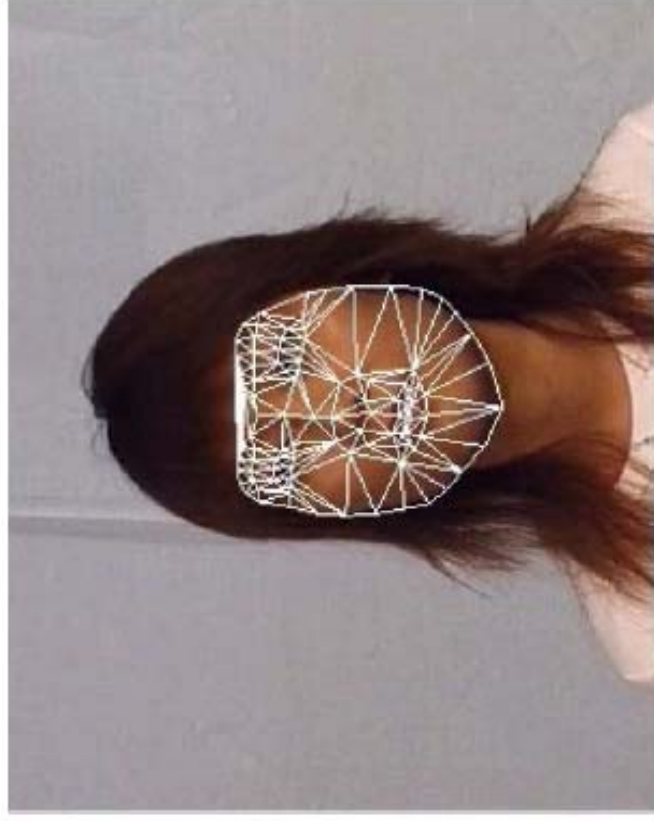
- Determine the number of 3D shape basis



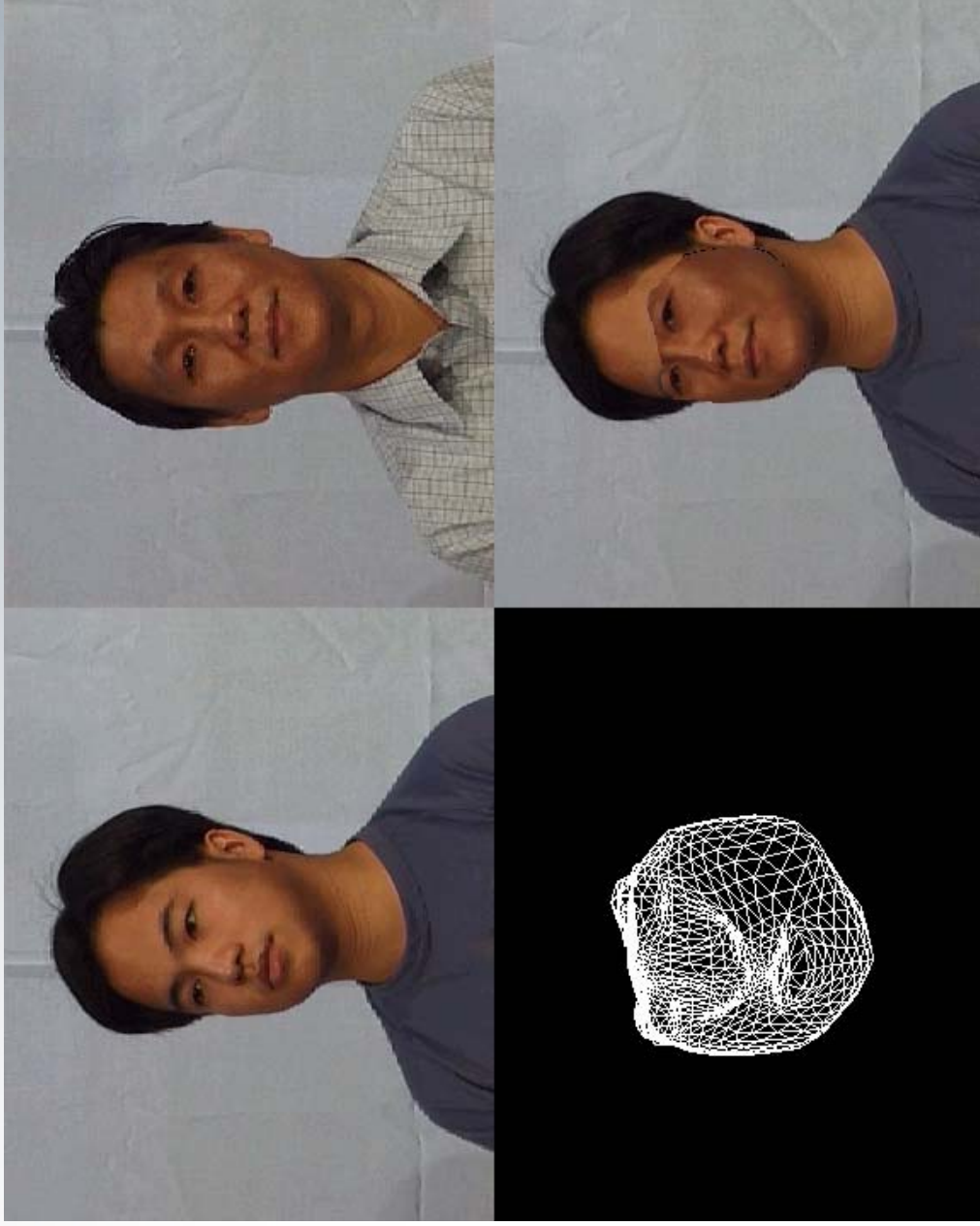
Automatic Face Modelling Scheme



Rendering in Different View



Re-texturing face



Discussion



- **Rigid vs. Non-Rigid**
 - The prior model employed by L. Vacchetti et al. is only for rigid objects or deformable objects with small variations.
 - P. Mittraiyanumic et al. do not take full advantage of AAM's deformation power
- **Offline vs. Online**
 - In contrast to the offline algorithms, our proposed method is able to work online by exploiting the 3D shape models that can be constructed offline effectively by AAM tracking
- **Advantages for AR applications**
 - Generic vs. person specific: handle large texture variations, fitting to different individuals
 - Combined 2D+3D AAM: weak-perspective model
- **Disadvantages and Future Work**
 - does not take full advantage of 3D information for speeding up AAM convergence.
 - Large rotation may be compensated by the 3D linear mode, therefore, the estimated pose is not so accurate.
 - Training the 3D AAM with the aligned 3D shapes instead of 2D shapes.



Summary of Part I



- A novel two-stage scheme for online non-rigid shape recovery toward Augmented Reality applications using AAMs.
- Obtain unbroken point correspondences across multiple frames to construct 3D shape models
- Provide 2D to 3D vertex correspondences in the online tracking.
- An efficient algorithm is proposed to estimate both 3D pose and non-rigid shape parameters via local bundle adjustment.





Part II

Progressive Finite Newton Approach to Nonrigid Surface Detection



Part II: Structure



- Motivation
- Progressive Finite Newton Approach to nonrigid surface detection
 - 2D nonrigid surface model
 - Feature-based nonrigid surface recovery
 - Finite Newton formulation
 - Optimization
- Experimental Results
 - Computational efficiency and nonrigid surface detection
 - Augmented reality
 - Medical image registration
- Summary of Part II



Motivation



- **Nonrigid surface detection:** recovering the explicit surface with a few deformation parameters and finding out the correct correspondences from noisy data simultaneously.
- Unlike the rigid object, it is difficult to directly employ a robust estimator to remove the spurious matches for nonrigid surface detection.
- The iterative methods, such as TPS-RPM [CVIU'03] and Shape Context [PAMI'02], are either sensitive to initial conditions and parameter choices, or involve too many iterations and a complex optimization procedure.
- Semi-implicit method: an automated approach, and can be applied for the real-time Augmented Reality. [Pilet et al CVPR'05, IJCV'07]



Robust Methods



- RANSAC
- PROSAC
- M-estimator
- Hough transform



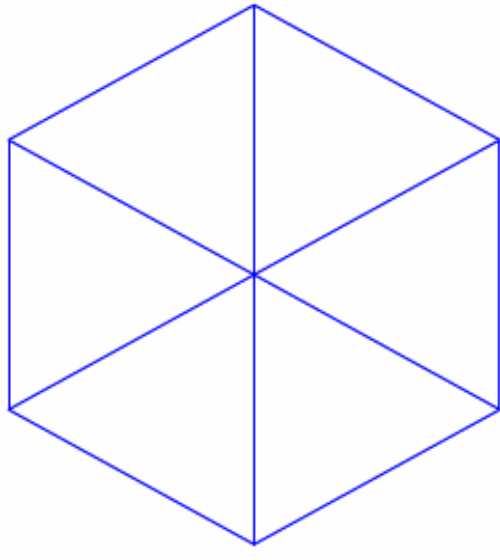
2D Nonrigid Surface Model



$$\begin{aligned} \mathbf{s} &= \begin{bmatrix} \mathbf{x} & \mathbf{y} \end{bmatrix}^T \\ &= \begin{bmatrix} x_1 & x_2 & \dots & x_N & y_1 & y_2 & \dots & y_N \end{bmatrix}^T \end{aligned}$$

$$T_s(\mathbf{m}) = \begin{bmatrix} x_i & x_j & x_k \\ y_i & y_j & y_k \end{bmatrix} \begin{bmatrix} \xi_1 & \xi_2 & \xi_3 \end{bmatrix}^T$$

Where (ξ_1, ξ_2, ξ_3) are the barycentric coordinates for the point m .



Nonrigid Surface Recovery



- Energy function

$$E(\mathbf{s}) = E_e(\mathbf{s}) + \lambda_r E_r(\mathbf{s})$$

- $E_e(\mathbf{s})$ is the sum of the weighted square error residuals for the matched points.

$$E_e(\mathbf{s}) = \sum_{\mathbf{m} \in M} \omega_{\mathbf{m}} \mathcal{V}(\delta, \sigma)$$

- $E_r(\mathbf{s})$ is the regularization term that represents the surface deformation energy
- λ_r is a regularization coefficient.



Regularization Term



- $E_r(\mathbf{s})$, also known as internal force in Snakes, is composed of the sum of the squared second-order derivatives of the mesh vertex coordinates.

$$E_r(\mathbf{s}) = \mathbf{s}^T \begin{bmatrix} K & 0 \\ 0 & K \end{bmatrix} \mathbf{s}$$

where K is a sparse and banded matrix which is determined by the structure of the mesh model.



Robust Estimator



- Define the residual error $\delta = \mathbf{m}_1 - T_s(\mathbf{m}_0)$

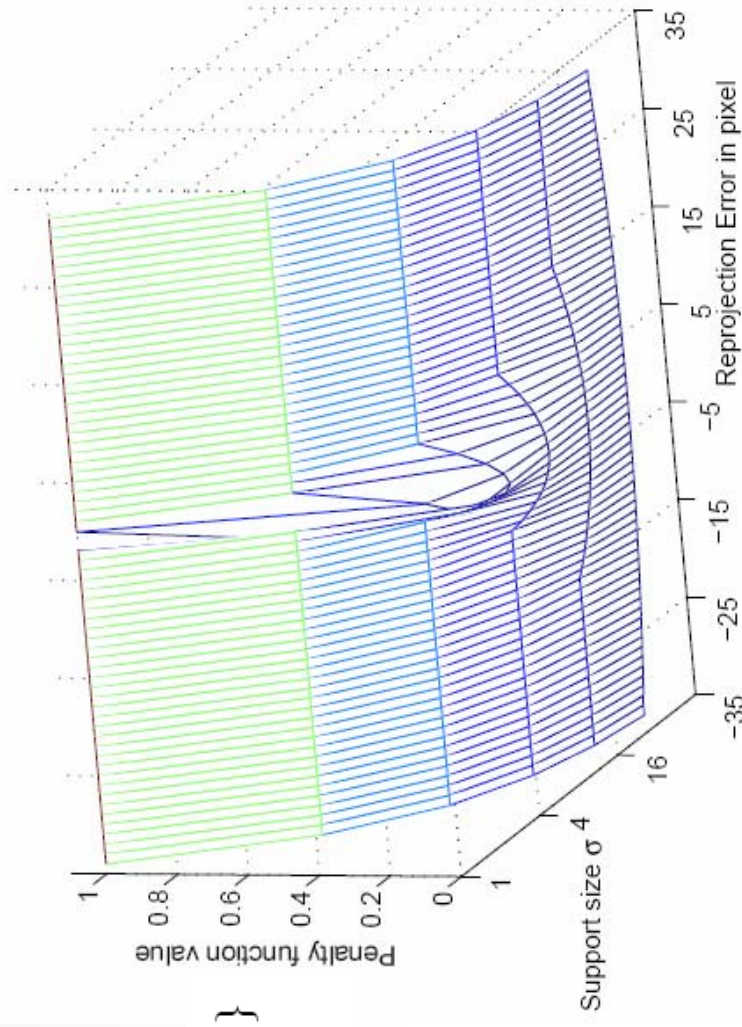
- The robust estimator

$$\mathcal{V}(\delta, \sigma) = \begin{cases} \frac{\|\delta\|}{\sigma^n}, & M_1 = \{\mathbf{m} \mid \|\delta\| \leq \sigma^2\} \\ \sigma^{2-n}, & M_2 = \overline{M_1} \end{cases}$$

M_1 contains the inlier matches

M_2 is the set of the outliers

The order n determines the scale of the residual.



Finite Newton Formulation



- The robust estimator function is not convex
- The associated penalty function approximation problem becomes a hard combinatorial optimization problem
- Tackle this problem under the finite Newton optimization framework



Finite Newton Formulation



- An augmented vector: $t_i = \xi_1 \quad t_j = \xi_2 \quad t_k = \xi_3$
- Then,
$$\begin{aligned} \|\delta\| &= (u - t^T \mathbf{x})^2 + (v - t^T \mathbf{y})^2 \\ &= u^2 + v^2 - 2(ut^T \mathbf{x} + vt^T \mathbf{y}) + \mathbf{x}^T \mathbf{t} \mathbf{t}^T \mathbf{x} + \mathbf{y}^T \mathbf{t} \mathbf{t}^T \mathbf{y} \end{aligned}$$

where (u, v) are the coordinates of m_1 .

Re-write the error term as

$$E_e = \sum_{m \in M_1} \frac{\omega_m}{\sigma^n} \left(u^2 + v^2 - 2 \begin{bmatrix} ut \\ vt \end{bmatrix}^T \begin{bmatrix} tt^T & 0 \\ 0 & tt^T \end{bmatrix} \mathbf{s} \right) + q\sigma^{2-n}$$

where q is the number of outliers



Finite Newton Formulation



- Define vector \mathbf{b} as:

$$\mathbf{b} = \begin{bmatrix} b_x \\ b_y \end{bmatrix} = \sum_{m \in M_1} \frac{\omega^m}{\sigma^n} \begin{bmatrix} ut \\ vt \end{bmatrix}$$

- Matrix A (N by N):

$$A = \sum_{m \in M_1} \frac{\omega^m}{\sigma^n} \mathbf{t} \mathbf{t}^T$$

- Energy function

$$E = \mathbf{s}^T \begin{bmatrix} \lambda_r K + A & 0 \\ 0 & \lambda_r K + A \end{bmatrix} \mathbf{s} - 2\mathbf{b}^T \mathbf{s} + q\sigma^{2-n} + \sum_{m \in M_1} \frac{\omega^m}{\sigma^n} (u^2 + v^2)$$



Finite Newton Formulation



- The finite gradient of the energy function E with respect to \mathbf{s}

$$\nabla = 2 \left(\begin{bmatrix} \lambda_r K + A & 0 \\ 0 & \lambda_r K + A \end{bmatrix} \mathbf{s} - \begin{bmatrix} \mathbf{b}_x \\ \mathbf{b}_y \end{bmatrix} \right)$$

- Hessian

$$H = 2 \begin{bmatrix} \lambda_r K + A & 0 \\ 0 & \lambda_r K + A \end{bmatrix}$$

- Rewrite gradient $\nabla = H\mathbf{s} - 2\mathbf{b}$



Finite Newton Formulation



- Update equation: $\mathbf{s} \leftarrow \mathbf{s} - \gamma H^{-1} \nabla$
- Set $r = 1$, and obtain the linear equation

$$H\mathbf{s} = \mathbf{b}$$

$$\begin{bmatrix} \lambda_r K + A & 0 \\ 0 & \lambda_r K + A \end{bmatrix} \mathbf{s} = \begin{bmatrix} \mathbf{b}_x \\ \mathbf{b}_y \end{bmatrix}$$

$$\mathbf{x} = (\lambda_r K + A)^{-1} \mathbf{b}_x$$

$$\mathbf{y} = (\lambda_r K + A)^{-1} \mathbf{b}_y$$



Optimization



- Coarse-to-fine scheme to deal with large outliers
 - Support σ of robust estimator is progressively decayed at a constant rate
 - λ_r is kept constant
 - Result is used as the initial state for next minimization
 - Stop when σ is close to the expected precision
 - Report a successful detection when the number of inlier matches is above a given threshold.



Optimization



- Start from a sufficiently large support σ
 - To avoid getting stuck at local minima
 - Needs a few iterations to compensate for the errors due to pose variations
- Modified RANSAC
 - Closed-form solution
 - Draw from progressively larger sets of top-ranked correspondences
 - Sample size



Experimental Setup



- In order to register the mesh model conveniently, a model image is acquired when the nonrigid surface contains no deformation.
- A random-trees based method [Lepetit PAMI'06] is used to build the correspondences between the model image and input image.
- A set of synthetic data is used to select the parameters
- The best regularization coefficient is found by grid searching.
- $n = 4$, decay rate is 0.5
- Pentium-4 3.0GHz PC with 1GB RAM
- A DV camera size of 720x576



Computational Efficiency



- The complexity of the proposed method is mainly determined by the number of vertices N
- Another factor is the number of inlier matches
- Proposed method: 8 iterations, around 18 frames per second
- Semi-implicit method [CVPR'05, IJCV'07]: 40 iterations, about 9 frames per second on Coffee mat video

Table 3.1. Computational time of proposed method at each step.

Total	Match	Optimization	Iteration	Other
57ms	27ms	14ms	~ 1.9ms	16ms



Coffee mat [Video demo]



(a) Model image

(b) Result

(c) Result

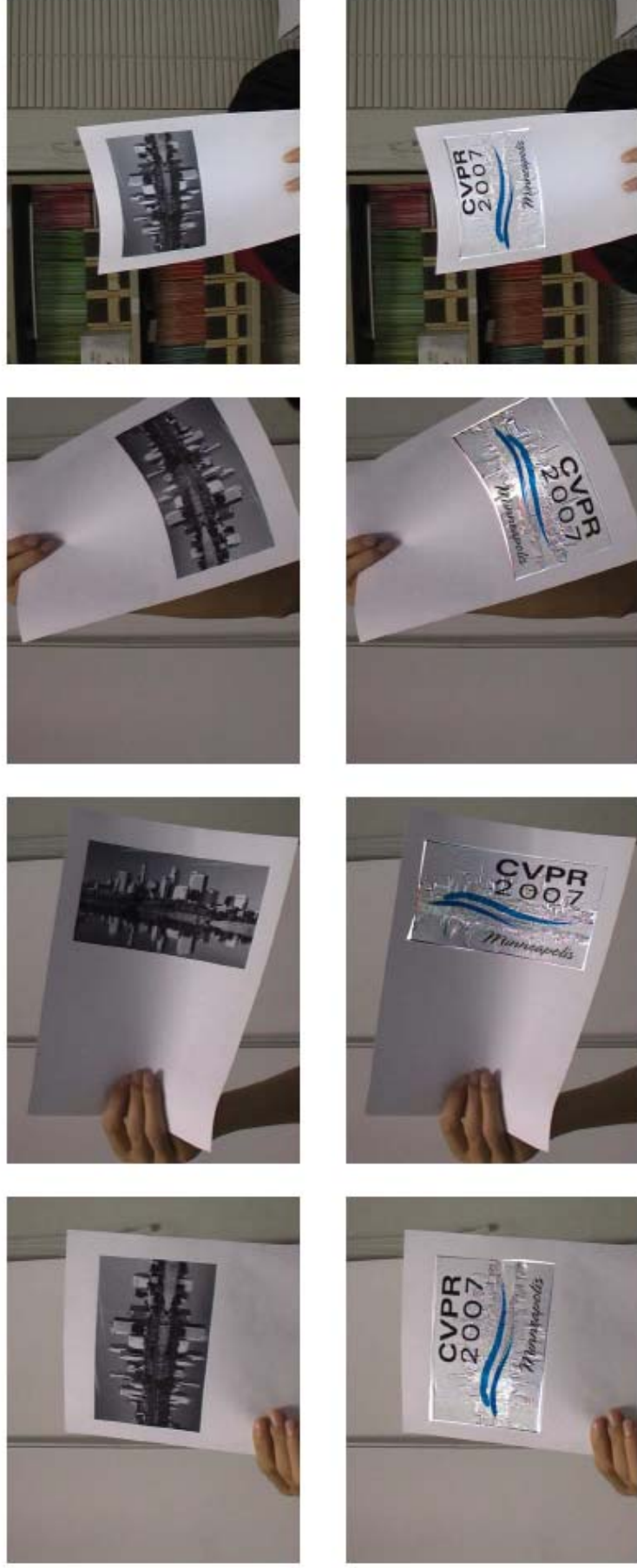
(e) Plastic cup



Re-texturing a T-shirt [Video demo]



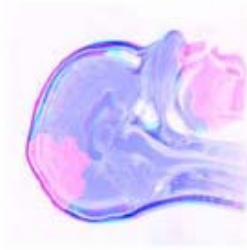
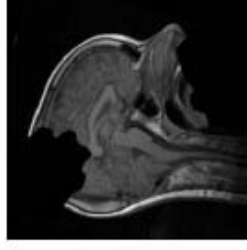
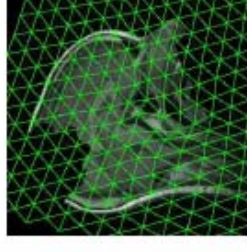
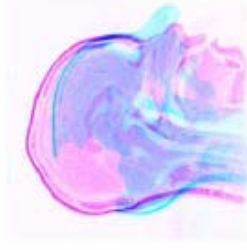
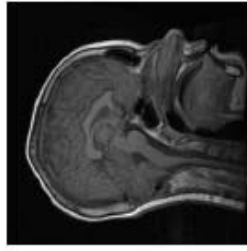
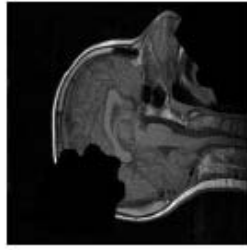
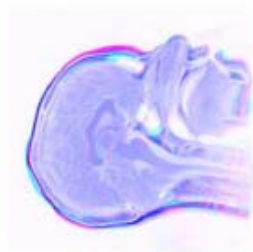
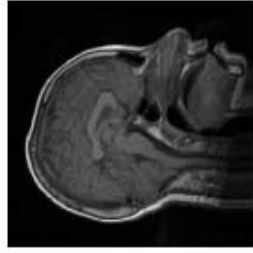
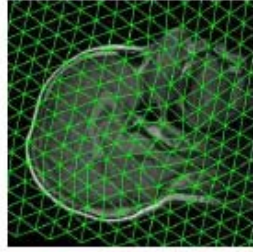
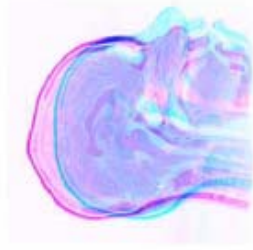
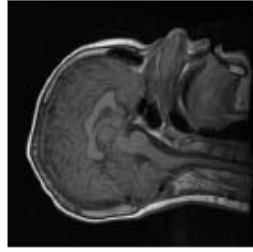
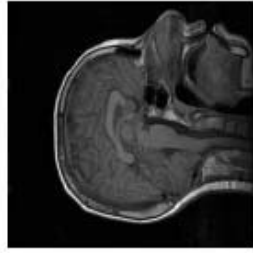
Paper [Video demo]



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Medical image



Summary



- A novel progressive scheme to solve the non-rigid surface detection problem
- In contrast to the previous semi-explicit method, we directly solve the unconstrained quadratic optimization problem by an efficient factorization
- Modified RANSAC scheme can handle high-dimensional spaces with noisy data.
- We have conducted extensive experimental evaluations on diverse objects with different materials.
- The proposed method is very fast and robust, and can handle large deformations and illumination changes.



Conclusion



- Two different approaches to nonrigid surface recovery have been investigated
- Appearance-based method:
 - Using more information, may be more accurate
 - Offering image coding capability
 - Tend to be computational expensive
 - Easy to stuck at the local optima
- Feature-based method
 - Automatic solution
 - Essentially fast
 - Taking advantage of the advances in feature matching and object recognition
 - Errors occur in the region lacking texture



Future work



- Fusion approach
- Explore new regularization method
- Find fast and accurate feature matching algorithm
- Including more features, such as edges and silhouette



The End



Thank you



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