



Centre for  
Financial Regulation &  
Economic Development (CFRED)



**CFRED and AIIFL Joint Seminar:**

## **EMPOWERING FINANCIAL SERVICES REGULATORS**

by

**Prof. Pamela Hanrahan**

*Professor, Director (Research)*

*The University of New South Wales Business School*

**31 October 2018, Wednesday, 12:30-2:00p.m.**

*The Warren Chan Moot Court, CUHK Graduate Law Centre, 2/F Bank of America Tower, 12 Harcourt Road, Central*

---

Australia has a justifiably strong reputation internationally as an innovator in financial regulation. Despite this, there is a currently a Royal Commission underway into misconduct in the Australian banking, superannuation and financial services industry. This lecture considers the powers available to financial services regulators to detect, deter and address misconduct, in the context of increasing levels of retail participation in the market for complex financial services across the region.

---

**All are welcome!**  
**Admission is free of charge!**

To join us, please register your interest [here](#) by 30 October 2018.

## About the Speaker:



**Professor Pamela Hanrahan** is one of Australia's leading authorities on financial services law and regulation. In addition to her academic career at the UNSW Business School and, previously, the Melbourne Law School and as a Visitor at the Centre for Corporate and Commercial Law at the University of Cambridge, she has over 15 years' experience as a lawyer in private practice and four years as a senior regulator in Australian Commonwealth and State government agencies.

From 2008-2010, Pamela was head of the Investment Managers stakeholder team at ASIC and a member of the International Organization of Securities Commissions' Technical Committee 5 on collective investments. Her responsibilities included dealing with the aftermath of high profile and complex investment scheme collapses including frozen mortgage funds and failed agribusiness and infrastructure schemes, and the Trio Capital fraud. In 2010-2011 she was Special Counsel at ASIC and the ASIC Regional Commissioner for Queensland. From 2013-2015, she was Registrar of Community Housing for New South Wales.

Pamela has published widely in the areas of financial services regulation, funds management and superannuation law, and corporations and securities law. She has taught corporations law at the undergraduate and graduate level, and managed investments law and financial services law at the Masters level, at the Melbourne Law School for over a decade, and is a former director of studies in banking and financial services law for the Melbourne Law Masters. In the financial services area, her books include *Managed Investments Law & Practice* (CCH, looseleaf, 1999 - 2017), which is the leading Australian text on the law of managed investment schemes, and *Funds Management in Australia: Officers' Duties and Liabilities* (LexisNexis, 2007), which provides a detailed treatment of directors' and other officers' legal responsibilities across a range of Australian collective investment vehicles. In corporations and securities law, she is co-author with Professor Bob Baxt AO and Justice Ashley Black of the main securities law treatise in Australia, *Securities and Financial Services Law* (LexisNexis, 2017) and with Professor John Farrar of *Corporate Governance* (LexisNexis, 2017). She is lead author of the Australian, Singaporean, Malaysian and New Zealand editions of the corporations law textbook *Commercial Applications of Company Law* (OUP, first published CCH 2000, 18th edition 2017). In 2014 she was the Australian Reporter to the International Academy of Comparative Law's world congress on the law of close corporations.

Pamela holds Honours degrees in Arts and Law from the University of Melbourne, a Masters degree (with Honors) from Case Western Reserve University in the United States, and a Doctorate of Juridical Science from the University of Melbourne. She is a solicitor member of the Law Society of New South Wales, a Fellow of the Financial Services Institute of Australasia and a member of the Section Executive of the Business Law Section of the Law Council of Australia. Pamela is a Senior Visiting Fellow in the Faculty of Law at UNSW Sydney, a Senior Fellow of the Melbourne Law School, a Member of the Centre for Law, Markets and Regulation at UNSW Sydney and an Associate of the Centre for Corporate Law and Securities Regulation at the University of Melbourne.

*Sponsors:*

